

Party	<b>United Kingdom</b>
Period covered in this report	1 Jan 2015 – 31 Dec 2017
Department or agency preparing this report	Department for Environment, Food & Rural Affairs (DEFRA)
Contributing departments, agencies and organizations	Animal and Plant Health Agency (APHA) Royal Botanic Gardens – Kew (RBG Kew) Joint Nature Conservation Committee (JNCC) UK Border Force (UKBF) UK National Wildlife Crime Unit (NWCU)

**GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION**

**Objective 1.1** Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.

All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If 'Yes', have you shared information with the Secretariat? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Applicable <input type="checkbox"/>  The UK's Overseas Territories and Crown Dependencies made good progress towards putting in place CITES legislation to bring them into Category one status. A comprehensive update was provided by email to the CITES Secretariat in September 2017.
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If 'No', please provide details of the constraints faced: n/a

**Objective 1.2** Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Are the procedures publicly available?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange between Management Authorities of some countries	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	If 'Yes', please list countries			

Electronic permit information exchange to Management Authorities of all countries?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Electronic permit data exchange between Management Authorities and customs?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Electronic permit used to cross border with electronic validation by customs?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If 'Yes' to any of the above, please provide information on challenges faced or issues overcome:			
If 'No', do you have any plans to move towards e-permitting <sup>1</sup> ?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The UK does have plans to move towards e-permitting but requires appropriate resourcing to fund development.			

Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in [Resolution Conf. 12.3 \(Rev. CoP16\)](#).

1.2.2a	Has your country developed simplified procedures for any of the following?	Tick all applicable		
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 of <a href="#">Resolution Conf. 12.3 (Rev. CoP16)</a> are urgently required.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	For the issuance of pre-Convention certificates or equivalent documents in accordance with <a href="#">Article VII</a> , paragraph 2.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details: n/a	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Objective 1.3** Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.  
[All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.](#)

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

1.3.1a	<p>Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat?</p> <p>Responses provided to ALL relevant reporting requirements <input type="checkbox"/></p> <p>Responses provided to SOME of the relevant reporting requirements <input checked="" type="checkbox"/></p> <p>Responses provided to NONE of the relevant reporting requirements <input type="checkbox"/></p> <p>No special reporting requirements applicable <input type="checkbox"/></p> <p>-The UK has responded to all regular reporting requirements. The UK has also responded to the majority of notifications resulting from the Resolutions and Decisions of the Conference of the Parties, and Standing Committee recommendations.</p>
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<sup>1</sup> e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

	-UK Overseas Territories do not respond to reporting requirements directly. The UKMA commissions information from the relevant overseas territories and crown dependencies and submits information on their behalf as part of the UK response.
1.3.1b	<p>Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? <span style="float: right;">Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></span></p> <p>If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?</p> <p>Dalbergia spp listings – this led to many issues regarding identification, increased workload in terms of communication with range states, and led to lots of seizures. The listing also led to the following difficulties:</p> <ul style="list-style-type: none"> <li>• The up-lising created a 27% increase in the volume of applications processed</li> <li>• It created increased workload in terms of liaising with range states regarding the origin of timber</li> <li>• It led to the UK having to request significant scientific advice from Kew (questioning the source of the timber),</li> <li>• The UK had to engage with the EU to develop new guidance</li> <li>• The listing led to the seizure of containers arriving in UK without correct permits, in addition to a rise in retrospective applications</li> </ul>

**Objective 1.4** The Appendices correctly reflect the conservation needs of species.  
[Aichi Target 1](#), [Target12](#), [Target 14](#) and [Target 19](#).

**1.4.1:** [The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.](#)

1.4.1a	<p>Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices? <span style="float: right;">Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></span></p> <p>If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):</p> <p><b><u>JNCC (SA for Fauna):</u></b></p> <ul style="list-style-type: none"> <li>- JNCC contributes to reviews of whether species would benefit from listing in the wider context of their appraisal by the European Union (EU) through their Scientific review Group (SRG)</li> </ul> <p><b><u>Kew (SA for Flora):</u></b></p> <ul style="list-style-type: none"> <li>- Kew has reviewed potential listings data and proposals from the EU Scientific Review Group (SRG) and for COP17. Kew has also provided a comprehensive brief and analysis for the 13 flora proposals listed for COP17.</li> <li>- During the reporting period Kew analysed 8 flora species that were selected for review at SRG 83 as a follow up from the UNEP-WCMC report SRG 81/9, to determine whether they met the criteria for listing in EU Annex B. The 8 species were: <i>Arisaema sikokianum</i>, <i>Arnica montana</i>, <i>Arctostaphylos uva-ursi</i>, <i>Cetraria islandica</i>, <i>Gentiana lutea</i>, <i>Lycopodium clavatum</i>, <i>Menyanthes trifoliata</i>, <i>Selaginella lepidophylla</i>.</li> <li>- Kew also analysed and reviewed the Swedish SA commissioned 'Preliminary assessment of selected shrub and tree species for consideration as possible CoP18 proposals for amendment of CITES Appendices' presented at SRG 82. A further 8 species were reviewed.</li> </ul> <p><b><u>Montserrat (UKOT):</u></b></p> <p>Consideration was given to adding the Island's endemic species however no decision has been made yet.</p>
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**Objective 1.5** Best available scientific information is the basis for non-detriment findings.  
 Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.

**Indicator 1.5.1:** The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:

- a) the population status of Appendix-II species;
- b) the trends and impact of trade upon Appendix-II species; and
- c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:	Yes	No	Not Applicable	If Yes, How many?
	- the population status of Appendix II species?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the trends and impact of trade on Appendix II species?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the status of and trend in naturally-occurring Appendix I species?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	- the impact of any recovery plans on Appendix I species?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

	<p>If there are such studies that you are willing to share, please provide:</p> <p>Species name (scientific) n/a</p> <p>A brief summary of the results of the survey, study or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.</p> <p>UK Biodiversity indicators: <a href="http://jncc.defra.gov.uk/page-1824">http://jncc.defra.gov.uk/page-1824</a></p>																					
1.5.1b	<p>How are the results of such surveys, studies or other analyses used in making non-detriment findings (NDFs)? Please tick all that apply</p> <p>Revised harvest or export quotas <input type="checkbox"/></p> <p>Banning export <input type="checkbox"/></p> <p>Stricter domestic measures <input type="checkbox"/></p> <p>Changed management of the species <input type="checkbox"/></p> <p>Discussion with Management Authorities <input type="checkbox"/></p> <p>Discussion with other stakeholders? <input type="checkbox"/></p> <p>Other (please provide a short summary):</p> <p>The UK does not typically export wild-taken native fauna and although several studies on UK Appendix I and II species have been undertaken, these were not conducted in order to underpin a CITES non detriment finding.</p>																					
1.5.1c	<p>Do you have specific conservation measures or recovery plans for naturally occurring Appendix-I listed species?</p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not Applicable <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>If 'Yes', please provide a brief summary, including, if possible, an evaluation of their impact: n/a</p>																					
1.5.1d	<p>Have you published any non-detriment findings that can be shared? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'Yes', please provide links or examples to the Secretariat within this report: n/a</p>																					
1.5.1e	<table border="0"> <thead> <tr> <th data-bbox="295 1189 1220 1256">Which of the following (A to F of paragraph a) x) of <a href="#">Resolution Conf. 16.7</a> do you use in making non-detriment findings?</th> <th data-bbox="1220 1189 1348 1256">Yes</th> <th data-bbox="1348 1189 1444 1256">No</th> </tr> </thead> <tbody> <tr> <td data-bbox="295 1256 1220 1335">A. relevant scientific literature concerning species biology, life history, distribution and population trends.</td> <td data-bbox="1220 1256 1348 1335" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1348 1256 1444 1335" style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="295 1335 1220 1379">B. details of any ecological risk assessments conducted.</td> <td data-bbox="1220 1335 1348 1379" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1348 1335 1444 1379" style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="295 1379 1220 1447">C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.</td> <td data-bbox="1220 1379 1348 1447" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1348 1379 1444 1447" style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="295 1447 1220 1491">D. relevant knowledge and expertise of local and indigenous communities.</td> <td data-bbox="1220 1447 1348 1491" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1348 1447 1444 1491" style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="295 1491 1220 1536">E. consultations with relevant local, regional and international experts.</td> <td data-bbox="1220 1491 1348 1536" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1348 1491 1444 1536" style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td data-bbox="295 1536 1220 1693">F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.</td> <td data-bbox="1220 1536 1348 1693" style="text-align: center;"><input checked="" type="checkbox"/></td> <td data-bbox="1348 1536 1444 1693" style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table> <p>The UK does not export normally wild-taken specimens of its native CITES-listed fauna and so non detriment findings are not required. However, all the factors above are taken into account when making non detriment findings for the import of CITES species into the UK, as required under EU CITES Regulations.</p>	Which of the following (A to F of paragraph a) x) of <a href="#">Resolution Conf. 16.7</a> do you use in making non-detriment findings?	Yes	No	A. relevant scientific literature concerning species biology, life history, distribution and population trends.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	B. details of any ecological risk assessments conducted.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	D. relevant knowledge and expertise of local and indigenous communities.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	E. consultations with relevant local, regional and international experts.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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D. relevant knowledge and expertise of local and indigenous communities.	<input checked="" type="checkbox"/>	<input type="checkbox"/>																				
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Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

<p>1.5.2a</p>	<table style="width: 100%; border: none;"> <tr> <td style="width: 60%;"></td> <td style="text-align: center;">Yes</td> <td style="text-align: center;">No</td> <td style="text-align: center;">No information</td> </tr> <tr> <td>Do you have standard procedures for making non-detriment findings in line with <a href="#">Resolution Conf. 16.7</a>?</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table> <p>If 'Yes', please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:</p> <p><b>Kew:</b> (the UK Scientific Authority for flora) The following link outlines the procedure used by Kew for making NDF: <a href="https://www.bfn.de/en/activities/species-conservation/species-conservation-legislation-and-conventions/cites/perennial-plants.html">https://www.bfn.de/en/activities/species-conservation/species-conservation-legislation-and-conventions/cites/perennial-plants.html</a></p> <p><b>JNCC:</b> (the UK Scientific Authority for fauna) JNCC uses all relevant guidance when making non-detriment findings including Conference Resolution 16.7 which, of course, focuses on wild-taken specimens. In relation to fauna, UK exports consist mainly of captive bred specimens, such as falcons, where the NDF we undertake involves an examination of the breeding operation against Conference Resolution 10.16 (as applied through Article 54 of Regulation EC No 865/06).</p>		Yes	No	No information	Do you have standard procedures for making non-detriment findings in line with <a href="#">Resolution Conf. 16.7</a> ?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>							
	Yes	No	No information													
Do you have standard procedures for making non-detriment findings in line with <a href="#">Resolution Conf. 16.7</a> ?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>													
<p>1.5.2b</p>	<p>When establishing non-detriment findings, have any of the following guidance been used?</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 60%;"></td> <td style="text-align: right;">Please tick all that apply</td> </tr> <tr> <td style="text-align: right;">Virtual College</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td style="text-align: right;">IUCN Checklist</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td style="text-align: right;">Resolution Conf. 16.7</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="text-align: right;">2008 NDF workshop</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td style="text-align: right;">Species specific guidance</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td style="text-align: right;">Other</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table> <p>If 'Other' or 'Species specific guidance', please specify details: n/a</p>		Please tick all that apply	Virtual College	<input type="checkbox"/>	IUCN Checklist	<input type="checkbox"/>	Resolution Conf. 16.7	<input checked="" type="checkbox"/>	2008 NDF workshop	<input type="checkbox"/>	Species specific guidance	<input type="checkbox"/>	Other	<input type="checkbox"/>	
	Please tick all that apply															
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Other	<input type="checkbox"/>															
<p>1.5.2c</p>	<table style="width: 100%; border: none;"> <tr> <td style="width: 60%;"></td> <td style="text-align: right;">Case by case</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td></td> <td style="text-align: right;">Annually</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td></td> <td style="text-align: right;">Every two years</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td></td> <td style="text-align: right;">Less frequently</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td></td> <td style="text-align: right;">A mix of the above</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> <p>Please describe the circumstances under which non-detriment findings would be changed:</p> <ul style="list-style-type: none"> <li>• When new information / data comes to light either from the Commission's consultations with a country or information from another Member State who share information at the Scientific Review Group (SRG).</li> <li>• The UK SAs would also review a NDF for a species-country combination if we haven't seen an application recently, i.e over 3-5 years ago.</li> <li>• We also review a species-country combination NDF if we know the information we had before was for a different area in that country and therefore we require a new NDF for the area in question.</li> <li>• Lastly, we scan through our NDFs and select species we determine require further research or where gaps existed in the NDF. Subject to capacity we dedicate time to researching to see if any new information is available or if we have made new contacts who may be able to help.</li> </ul>		Case by case	<input type="checkbox"/>		Annually	<input type="checkbox"/>		Every two years	<input type="checkbox"/>		Less frequently	<input type="checkbox"/>		A mix of the above	<input checked="" type="checkbox"/>
	Case by case	<input type="checkbox"/>														
	Annually	<input type="checkbox"/>														
	Every two years	<input type="checkbox"/>														
	Less frequently	<input type="checkbox"/>														
	A mix of the above	<input checked="" type="checkbox"/>														

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

<p>1.5.3a</p>	<p>Do you set annual export quotas?</p> <p>If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:</p> <p>Species Name (scientific) n/a</p> <p>The UK does not normally export wild-taken specimens of its native fauna and so does not normally set export quotas. The UK has published, for a number of years now, a zero-export quota for trade in European eels (<i>Anguilla anguilla</i>) as part of wider EU measures to restrict imports and exports of this species into and from the Community.</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Population Survey? <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p>	<p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p>Other, please specify n/a</p>
<p>1.5.3b</p>	<p>Have annual export quotas been set at levels which will ensure sustainable production and consumption?</p> <p>The UK does not normally export wild-taken specimens of its native fauna and so does not normally set export quotas. The UK has published, for a number of years now, a zero-export quota for trade in European eels (<i>Anguilla anguilla</i>) as part of wider EU measures to restrict imports and exports of this species into and from the Community.</p> <p>If 'Yes', please describe how this fits into your non-detriment finding process: n/a</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p>	<p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p>

**Objective 1.6** Parties cooperate in managing shared wildlife resources.  
 Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.

**Indicator 1.6.1:** The number of bilateral and multilateral agreements that specifically provide for co-management of shared CITES listed species by range States.

1.6.1a	<p>Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species?                  Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:</p> <p>The Convention on Migratory Species (CMS) (and relevant subsidiary agreements) - <a href="https://www.cms.int/">https://www.cms.int/</a></p> <p>The Agreement on the Convention of Albatrosses and Petrels (ACAP) – <a href="https://www.acap.aq/en">https://www.acap.aq/en</a></p>
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**Indicator 1.6.2:** The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	<p>Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? <span style="float: right;">Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></span></p> <p>If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species.</p> <p>-Through its membership of the EU, the UK has been involved in (since 1993) the development of Species Action Plans for around 50 bird species listed in Annex I of the Birds Directive, many of which are also CITES-listed. Developed by BirdLife International with the financial support of the European Commission, the plans provide information about the status, ecology, threats of each species and describe the key actions that are required to improve their conservation status in Europe.  <a href="http://ec.europa.eu/environment/nature/conservation/wildbirds/action_plans/index_en.htm">http://ec.europa.eu/environment/nature/conservation/wildbirds/action_plans/index_en.htm</a></p> <p>-EU Eel Recovery Regulation 1100-2007 - COUNCIL REGULATION (EC) No 1100/2007 of 18 September 2007 establishing measures for the recovery of the stock of European eel (<i>Anguilla anguilla</i>) and under which we have a range of UK eel management plans.</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 40%;">Species Name (scientific)</td> <td>Link or reference to a published plan</td> </tr> <tr> <td>See link above</td> <td>See link above</td> </tr> </table>	Species Name (scientific)	Link or reference to a published plan	See link above	See link above
Species Name (scientific)	Link or reference to a published plan				
See link above	See link above				

**Indicator 1.6.3:** The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?
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Please tick boxes to indicate which target group and which activity.						
Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources <sup>1</sup> ?
Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a
Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a
Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a
Other (please specify): n/a	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a

<sup>1</sup> Please provide the names of Parties, and any non-Parties, involved.

1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?						
Please tick boxes to indicate which target group and which activity.							
Target group	<table border="1"> <thead> <tr> <th data-bbox="742 224 821 448">Oral or written advice/guidance</th> <th data-bbox="825 224 904 448">Technical assistance</th> <th data-bbox="908 224 987 448">Financial assistance</th> <th data-bbox="991 224 1070 448">Training</th> <th data-bbox="1074 224 1133 448">Other (specify)</th> <th data-bbox="1136 224 1442 448">Details</th> </tr> </thead> </table>	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details		
Staff of Management Authority	<table border="1"> <tr> <td data-bbox="742 452 821 488"><input type="checkbox"/></td> <td data-bbox="825 452 904 488"><input type="checkbox"/></td> <td data-bbox="908 452 987 488"><input type="checkbox"/></td> <td data-bbox="991 452 1070 488"><input type="checkbox"/></td> <td data-bbox="1074 452 1133 488"><input type="checkbox"/></td> <td data-bbox="1136 452 1442 488"></td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
Staff of Scientific Authority	<table border="1"> <tr> <td data-bbox="742 492 821 528"><input type="checkbox"/></td> <td data-bbox="825 492 904 528"><input type="checkbox"/></td> <td data-bbox="908 492 987 528"><input type="checkbox"/></td> <td data-bbox="991 492 1070 528"><input checked="" type="checkbox"/></td> <td data-bbox="1074 492 1133 528"><input type="checkbox"/></td> <td data-bbox="1136 492 1442 528">A representative from the UK's Scientific Authority (Fauna) in 2016 also participated as a lecturer in the MASTER'S DEGREE IN MANAGEMENT AND CONSERVATION OF SPECIES IN TRADE: THE INTERNATIONAL FRAMEWORK (12th edition) organised by the International University of Andalucia, Spain.</td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	A representative from the UK's Scientific Authority (Fauna) in 2016 also participated as a lecturer in the MASTER'S DEGREE IN MANAGEMENT AND CONSERVATION OF SPECIES IN TRADE: THE INTERNATIONAL FRAMEWORK (12th edition) organised by the International University of Andalucia, Spain.
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	A representative from the UK's Scientific Authority (Fauna) in 2016 also participated as a lecturer in the MASTER'S DEGREE IN MANAGEMENT AND CONSERVATION OF SPECIES IN TRADE: THE INTERNATIONAL FRAMEWORK (12th edition) organised by the International University of Andalucia, Spain.		
Staff of enforcement authorities	<table border="1"> <tr> <td data-bbox="742 972 821 1008"><input type="checkbox"/></td> <td data-bbox="825 972 904 1008"><input type="checkbox"/></td> <td data-bbox="908 972 987 1008"><input type="checkbox"/></td> <td data-bbox="991 972 1070 1008"><input type="checkbox"/></td> <td data-bbox="1074 972 1133 1008"><input checked="" type="checkbox"/></td> <td data-bbox="1136 972 1442 1008">During this reporting period BF delivered training to 90 enforcement officers at two events in Georgia (Tbilisi &amp; Batumi). Training delivered on IWT focussing on the species located in the Caucasus.</td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	During this reporting period BF delivered training to 90 enforcement officers at two events in Georgia (Tbilisi & Batumi). Training delivered on IWT focussing on the species located in the Caucasus.
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	During this reporting period BF delivered training to 90 enforcement officers at two events in Georgia (Tbilisi & Batumi). Training delivered on IWT focussing on the species located in the Caucasus.		
Traders	<table border="1"> <tr> <td data-bbox="742 1326 821 1361"><input type="checkbox"/></td> <td data-bbox="825 1326 904 1361"><input type="checkbox"/></td> <td data-bbox="908 1326 987 1361"><input type="checkbox"/></td> <td data-bbox="991 1326 1070 1361"><input type="checkbox"/></td> <td data-bbox="1074 1326 1133 1361"><input type="checkbox"/></td> <td data-bbox="1136 1326 1442 1361"></td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
NGOs	<table border="1"> <tr> <td data-bbox="742 1366 821 1402"><input type="checkbox"/></td> <td data-bbox="825 1366 904 1402"><input type="checkbox"/></td> <td data-bbox="908 1366 987 1402"><input type="checkbox"/></td> <td data-bbox="991 1366 1070 1402"><input type="checkbox"/></td> <td data-bbox="1074 1366 1133 1402"><input type="checkbox"/></td> <td data-bbox="1136 1366 1442 1402"></td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
Public	<table border="1"> <tr> <td data-bbox="742 1406 821 1442"><input type="checkbox"/></td> <td data-bbox="825 1406 904 1442"><input type="checkbox"/></td> <td data-bbox="908 1406 987 1442"><input type="checkbox"/></td> <td data-bbox="991 1406 1070 1442"><input type="checkbox"/></td> <td data-bbox="1074 1406 1133 1442"><input type="checkbox"/></td> <td data-bbox="1136 1406 1442 1442"></td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
Other Parties/International meetings	<table border="1"> <tr> <td data-bbox="742 1447 821 1482"><input type="checkbox"/></td> <td data-bbox="825 1447 904 1482"><input type="checkbox"/></td> <td data-bbox="908 1447 987 1482"><input type="checkbox"/></td> <td data-bbox="991 1447 1070 1482"><input type="checkbox"/></td> <td data-bbox="1074 1447 1133 1482"><input type="checkbox"/></td> <td data-bbox="1136 1447 1442 1482"></td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
Other (please specify): Private sector businesses and leaders from the transport industry.	<table border="1"> <tr> <td data-bbox="742 1487 821 1523"><input type="checkbox"/></td> <td data-bbox="825 1487 904 1523"><input type="checkbox"/></td> <td data-bbox="908 1487 987 1523"><input type="checkbox"/></td> <td data-bbox="991 1487 1070 1523"><input type="checkbox"/></td> <td data-bbox="1074 1487 1133 1523"><input checked="" type="checkbox"/></td> <td data-bbox="1136 1487 1442 1523">The UK hosted Transport Taskforce meeting in November 2017. More than 90 members now signed up to declaration.</td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	The UK hosted Transport Taskforce meeting in November 2017. More than 90 members now signed up to declaration.
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	The UK hosted Transport Taskforce meeting in November 2017. More than 90 members now signed up to declaration.		
1.6.3c	In what ways do you collaborate with other CITES Parties?						
	<table border="1"> <thead> <tr> <th data-bbox="742 1697 821 1877">Never</th> <th data-bbox="825 1697 904 1877">Rarely</th> <th data-bbox="908 1697 987 1877">Sometimes</th> <th data-bbox="991 1697 1070 1877">Very Often</th> <th data-bbox="1074 1697 1133 1877">Always</th> <th data-bbox="1136 1697 1442 1877">Further detail / examples</th> </tr> </thead> </table>	Never	Rarely	Sometimes	Very Often	Always	Further detail / examples
Never	Rarely	Sometimes	Very Often	Always	Further detail / examples		
Information exchange	<table border="1"> <tr> <td data-bbox="742 1881 821 1917"><input type="checkbox"/></td> <td data-bbox="825 1881 904 1917"><input type="checkbox"/></td> <td data-bbox="908 1881 987 1917"><input type="checkbox"/></td> <td data-bbox="991 1881 1070 1917"><input checked="" type="checkbox"/></td> <td data-bbox="1074 1881 1133 1917"><input type="checkbox"/></td> <td data-bbox="1136 1881 1442 1917">Email correspondence with the MA and SA of other CITES parties on CITES issues.</td> </tr> </table>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Email correspondence with the MA and SA of other CITES parties on CITES issues.
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Email correspondence with the MA and SA of other CITES parties on CITES issues.		
Monitoring / survey	<table border="1"> <tr> <td data-bbox="742 1921 821 2049"><input checked="" type="checkbox"/></td> <td data-bbox="825 1921 904 2049"><input type="checkbox"/></td> <td data-bbox="908 1921 987 2049"><input type="checkbox"/></td> <td data-bbox="991 1921 1070 2049"><input type="checkbox"/></td> <td data-bbox="1074 1921 1133 2049"><input type="checkbox"/></td> <td data-bbox="1136 1921 1442 2049">n/a</td> </tr> </table>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a		

Habitat management	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a
Species management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a
Law enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	During the reporting period the British military provided anti-poaching training for rangers in Gabon, home of Africa's largest population of forest elephants.
Capacity building	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	The UK collaborates with other CITES parties and helps build capacity through the IWT Challenge Fund Projects and the Darwin Initiative.
Other (please provide details): n/a						

**Objective 1.7** Parties are enforcing the Convention to reduce illegal wildlife trade.  
[Aichi Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 9](#), [Target 10](#), [Target 12](#) and [Target 19](#).

**Indicator 1.7.1:** The number of Parties that have, are covered by, or engaged with:

- an international enforcement strategy and/or action plan;
- formal international cooperation, such as an international enforcement network;
- a national enforcement strategy and/or action plan; and
- formal national interagency cooperation, such as a national interagency enforcement committee.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No	No Information
	– an international enforcement strategy and/or action plan?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– formal international cooperation, such as an international enforcement network?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	– a national enforcement strategy and/or action plan?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– formal national interagency cooperation, such as a national interagency enforcement committee?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If 'Yes' to any of the above, please specify the level of engagement and provide additional details: <ul style="list-style-type: none"> <li>• <b>EU Action Plan</b> on IWT &amp; UK Wildlife priorities which includes CITES</li> <li>• <b>Enforcement working group</b> – the UK is a member of the EU Enforcement Working Group. The task of the group is to monitor enforcement policy and practice in the EU Member States and make recommendations to improve the enforcement of wildlife trade legislation. It also catalyses the exchange of information, experience and expertise on wildlife trade control related topics between the Member States (trends in illegal trade, significant seizures and investigations), including sharing of intelligence information and establishing and maintaining databases.</li> <li>• <b>International Consortium of Combating Wildlife Crime (ICWC)</b> – In November 2016 the UK announced an additional £13 million in funding (doubling investment to £26m) to tackle IWT through a variety of projects, including an up to £4m commitment to fund the International Consortium of Combating Wildlife Crime (ICWC).</li> </ul>				

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	<p>Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?</p> <p>If 'Yes', what do you do?</p> <p>The CITES priority delivery group oversees UK enforcement of UK wildlife priorities which includes CITES. This group reports twice a year to a strategic meeting chaired by Police Chief Constable.</p> <p>If 'Yes' or 'No, but review is under consideration', which tools do you find of value? n/a</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No, but review is under consideration <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
1.7.2b	<p>Have you used the International Consortium on Combating Wildlife Crime (ICWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?</p> <p>If 'Yes', please provide feedback on the parts of the toolkit used and how useful the toolkit or equivalent tools have been. Please specify improvements that could be made: n/a</p> <p>If 'No', please provide feedback on why not or what is needed to make the toolkit or equivalent tools useful to you: The UK has not yet used the toolkit but will shortly be implementing it (as announced at the recent London IWT Conference). The UK previously funded ICCWC for up to £4m towards their strategic programme, of which £1.6m has already been committed.</p>	<p>Yes <input type="checkbox"/></p> <p>No, but toolkit use is under consideration <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	<p>Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?</p> <p>If 'Yes', please provide the title of the legislation and a summary of the penalties available:</p> <p><b>-Title of legislation:</b> The Control of Trade in Endangered Species Regulations 2018 (COTES)</p> <p><b>-Link to legislation:</b> <a href="http://www.legislation.gov.uk/ukxi/2018/703/contents/made">http://www.legislation.gov.uk/ukxi/2018/703/contents/made</a></p> <p><b>-Summary of penalties:</b> If offences are committed under COTES legislation, they are punishable by up to five years imprisonment.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
1.7.3b	<p>Are criminal offences such as poaching and wildlife trafficking recognized as serious crime<sup>1</sup> in your country?</p> <p>If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes:</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>

<sup>1</sup> The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

	<p>Wildlife crimes are recognised as serious in the UK. As such, if criminal offences are committed under COTES legislation, they are punishable by up to five years imprisonment.</p> <p>The Wildlife and Countryside Act 1981 (WCA) also makes it an offence to intentionally kill, injure, take from the wild, possess or have control of any species listed in schedule 5 to the act.  <a href="http://www.legislation.gov.uk/title/wildlife%20and%20countryside%20act">http://www.legislation.gov.uk/title/wildlife%20and%20countryside%20act</a></p>				
1.7.3c	<p>Do you have capacity to use forensic technology<sup>1</sup> to support the investigation of CITES offences?</p> <p>If 'Yes', please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report:  <b>Please see appendix B &amp; C.</b></p> <p>If 'Yes', and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to:  <b>Please see appendix B &amp; C.</b></p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>			
1.7.3d	<p>Did your authorities participate in or initiate any multi-disciplinary<sup>2</sup> law enforcement operation(s) targeting CITES-listed species during the period covered in this report?</p> <p>If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for other Parties: Where possible, a summary of relevant operations has been provided below:</p> <ul style="list-style-type: none"> <li>• <b>Operation COBRA 3 2015</b> – this was a global enforcement operation. Border Force (BF) conducted various risk testing operations at ports of import including Heathrow, Stansted, Royal Mail Langley and Coventry. Seizure results already published. BF invited UK police officers to work with Border Force officers at selected locations.</li> <li>• <b>Operation FROSTY 2017</b> - Operation conducted at Heathrow and Langley Royal Mail facility</li> <li>• <b>Operation Thunderbird 2017</b> - Global enforcement operation replacing the Cobra "brand" coordinated by Interpol. Seizure results already published. BF action was conducted mainly at airports around the UK.</li> <li>• <b>Operation LAKE</b> – this is an ongoing operation coordinated by Europol focussing entirely on <i>Anguilla anguilla</i> (European Eel). BF &amp; NWCU working in partnership on this.</li> </ul>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>			
1.7.3e	<p>Do you have a standard operating procedure among relevant agencies for submitting information related to CITES offences to INTERPOL and/or the World Customs Organization?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>			
1.7.3f	<p>Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?</p> <p>General crime<sup>3</sup></p>	<p>Yes</p> <p><input checked="" type="checkbox"/></p>	<p>No</p> <p><input type="checkbox"/></p>	<p>No information</p> <p><input type="checkbox"/></p>	<p>If yes, how many times was this used during the period covered by this report?</p> <p>This info is not stored centrally so an accurate figure cannot be provided.</p>

<sup>1</sup> Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

<sup>2</sup> A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

<sup>3</sup> General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

Predicate offences <sup>1</sup>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	This info is not stored centrally so an accurate figure cannot be provided.
Asset forfeiture <sup>2</sup>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	This info is not stored centrally so an accurate figure cannot be provided.
Corruption <sup>3</sup>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	This info is not stored centrally so an accurate figure cannot be provided.
International cooperation in criminal matters <sup>4</sup>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	This info is not stored centrally so an accurate figure cannot be provided.
Organized crime <sup>5</sup>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	This info is not stored centrally so an accurate figure cannot be provided.
Specialized investigation techniques <sup>6</sup>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	This info is not stored centrally so an accurate figure cannot be provided.
<p>If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provide a brief summary, including any lessons learned which might be helpful for other Parties:</p> <p><b>Asset Forfeiture Legislation:</b></p> <p>In the UK the Proceeds of Crime Act (POCA) provides for the confiscation of the proceeds from crime. During this reporting period the UK was able to use this legislative provision to convict a man who illegally sold imported primate body parts online. The body parts included four monkey heads and leopard skulls. The man also possessed images of bestiality. The gentleman was sentenced to 14 months imprisonment, suspended for two years and was ordered to pay a sum of £4,724.34. This case shows that strong controls are in place to protect endangered species and the police will take action against anyone found to be trading illegally.</p> <p>Source: <a href="https://ww2.rspb.org.uk/Images/legal-eagle-79_tcm9-421996.pdf">https://ww2.rspb.org.uk/Images/legal-eagle-79_tcm9-421996.pdf</a></p>				

<sup>1</sup> Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence as an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

<sup>2</sup> Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

<sup>3</sup> Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

<sup>4</sup> International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

<sup>5</sup> Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

<sup>6</sup> Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

1.7.3g	Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?	Yes <input checked="" type="checkbox"/>
		No <input type="checkbox"/>
		No information <input type="checkbox"/>
If 'No', please provide a brief summary of your major capacity-building needs: n/a		

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always <input checked="" type="checkbox"/>
		Very often <input type="checkbox"/>
		Sometimes <input type="checkbox"/>
		Rarely <input type="checkbox"/>
		Never <input type="checkbox"/>
		No information <input type="checkbox"/>
1.7.4b	Do you have capacity to analyse information gathered on illegal trade in CITES-listed species?	Yes <input checked="" type="checkbox"/>
		No <input type="checkbox"/>
		No information <input type="checkbox"/>
1.7.4c	Do you use criminal intelligence <sup>1</sup> to inform investigations into illegal trade in CITES-listed species?	Always <input checked="" type="checkbox"/>
		Very often <input type="checkbox"/>
		Sometimes <input type="checkbox"/>
		Rarely <input type="checkbox"/>
		Never <input type="checkbox"/>
		No information <input type="checkbox"/>
1.7.4d	Have you implemented any supply-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes <input checked="" type="checkbox"/>
		No, but activities are under development <input type="checkbox"/>
		No <input type="checkbox"/>
		No information <input type="checkbox"/>

<sup>1</sup> Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

<p>1.7.4e</p>	<p>Have you implemented any demand-side activities to address illegal trade in CITES-listed species during the period covered in this report?</p> <p>During the reporting period the UK has continued to fund projects through the Illegal Wildlife Trade (IWT) Fund. The fund has committed £13 million over 4 years (2014-2018) to support projects around the world tackling IWT in 3 main areas:</p> <ul style="list-style-type: none"> <li>• developing sustainable livelihoods for communities affected by illegal wildlife trade</li> <li>• strengthening law enforcement and the role of the criminal justice system</li> <li>• reducing demand for the products of the illegal wildlife trade</li> </ul> <p>The UK also funded a demand reduction report during the reporting period. The study forms part of the UK government’s commitment to “Eradicate the Market,” made at the Hanoi Conference on Illegal Wildlife Trade in November 2016.  <a href="https://www.traffic.org/publications/reports/reducing-demand-for-illegal-wildlife-products/">(https://www.traffic.org/publications/reports/reducing-demand-for-illegal-wildlife-products/)</a>.</p> <p>Further projects and activities funded by the UK to address demand reduction through the IWT Challenge Fund can be found in <b>Appendix D</b>.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No, but activities are under development <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
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Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the period covered in this report:		Yes	No	No Information
1.7.5a	<p>Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?</p> <p>If 'Yes', please indicate how many and for what types of offences. If available, please attach details: please see <b>appendix B</b>.</p> <p>Enforcement activities in the Isle of Man (IOM) resulted in the following:</p> <ul style="list-style-type: none"> <li>- Successful prosecution for the illegal importation of Harris hawks which resulted in £500 in fines for illegal possession and a further £1000 for cruelty to other pets. Other fines for this case included £1000 in costs and a further £2000 compensation for an animal charity (MSPCA) which provided housing. The perpetrator was also banned from keeping animals for 10 years. The Hawks were later rehomed in the UK.</li> <li>- Forfeiture of a Dendrobium orchid which was posted from Thailand without any permits. The specimen was subsequently destroyed.</li> <li>- Interception of an ivory statue in the UK in 2015. IOM Customs advised UK Border Force on certain aspects of the case but were not directly involved in the seizure.</li> <li>- In 2017 a 17ft Northern Rock Python skin from Nigeria was voluntarily surrendered to the IOM Customs. The skin was subsequently held for educational use.</li> </ul>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.7.5b	<p>Have there been any criminal prosecutions of CITES-related offences?</p> <p>If 'Yes', how many and for what types of offences? If available, please attach details: please see appendix B.</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.7.5c	<p>Have there been any other court actions against CITES-related offences?</p> <p>If 'Yes', what were the offences involved and what were the results? Please attach details: please see appendix B.</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.7.5d	<p>How were any confiscated specimens disposed of? <span style="float: right;">Tick all that apply</span></p> <ul style="list-style-type: none"> <li>- Return to country of export <input type="checkbox"/></li> <li>- Public zoos or botanical gardens <input checked="" type="checkbox"/></li> <li>- Designated rescue centres <input type="checkbox"/></li> <li>- Approved private facilities <input checked="" type="checkbox"/></li> <li>- Euthanasia <input type="checkbox"/></li> <li>- Other (please specify): <input checked="" type="checkbox"/></li> </ul> <p>Confiscated specimens were used for law enforcement training and also donated to museums.</p> <p>Have you encountered any challenges in disposing of confiscated specimens? When there is a large number of specimens of the same species.</p> <p>Do you have good practice that you would like to share with other Parties? n/a</p>			

**Objective 1.8** Parties and the Secretariat have adequate capacity-building programmes in place.  
[Aichi Target 1](#), [Target 12](#) and [Target 19](#).

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: <b>Yes / No</b> The making of non-detriment findings? <input checked="" type="checkbox"/> <input type="checkbox"/> Permit officers? <input checked="" type="checkbox"/> <input type="checkbox"/> Enforcement officers? <input checked="" type="checkbox"/> <input type="checkbox"/>		
1.8.1b	Is the CITES Virtual College used as part of your capacity building work?  What improvements could be made in using the Virtual College for capacity building? No comments	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes?  What improvements could be made in using the ICCWC Toolkit for capacity building? N/A	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	

**GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION**

**Objective 2.1** Financial resources are sufficient to ensure – operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

**Objective 2.2** Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

[Aichi Target 1](#), [Target 2](#), [Target 3](#), [Target 12](#), [Target 19](#) and [Target 20](#).

**Indicator 2.2.1:** [The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.](#)

2.2.1a	Do you have an approved service standard(s) <sup>1</sup> for your <b>Management Authority(ies)</b> ? If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards? Yes, APHA the UK management authority with the responsibility for processing cites applications and issuing permits has service standards for this function.  If 'Yes', do you have performance targets for these standards <sup>2</sup> ? If 'Yes', what are your performance targets? The UK CITES licensing team's (APHA) published target is to process 90% of complete applications for CITES permits within 15 days of receiving them.  Do you publish your performance against service standard targets?  If possible, please provide your performance against service standards during the period covered in this report: APHA does not publish its exact performance against targets. However we can confirm that performance levels during the reporting period did fall slightly below the expectation due to a shortage in staff and the listing of certain species which caused a spike in work levels.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	

<sup>1</sup> For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

<sup>2</sup> For example, 85% of all decisions will take place within the service standard.

	<p>If you did not meet your performance targets then was this shortfall a result of:</p> <ul style="list-style-type: none"> <li>– availability of funding?</li> <li>– number of staff?</li> <li>– a shortage of skills?</li> </ul> <p>If 'Yes' to a shortage of skills, which skills do you need more of? n/a</p>	<p>Yes</p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p>	<p>No</p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>
2.2.1b	<p>Do you have an approved service standard(s)<sup>47</sup> for your <b>Scientific Authority(ies)</b>? If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards?</p> <p>JNCC, as UK Scientific Authority for fauna, is required to provide scientific advice on 90% of CITES licence applications within 5 working days and provide scientific advice on 90% of priority applications within 3 working days.</p> <p>Kew (UK Scientific Authority for flora) have a Service Level Agreement with the MA (Animal and Plant Health) to fulfil our duty as a SA in terms of Services and Quality Assurance when providing advice on permits.</p> <p>If 'Yes', do you have performance targets for these standards<sup>48</sup>? If 'Yes', what are your performance targets?</p> <p>JNCC (the UK Scientific Authority for Fauna) is required to provide scientific advice on 90% of CITES licence applications within 5 working days (or within 3 for priority applications).</p> <p>Kew (UK Scientific Authority for Flora) is required to advise on 97% of referrals/permits within 5 working days. If possible, please provide your performance against service standards during the period covered in this report:</p> <p>UK records indicate that JNCC are meeting service standards despite an increase in the number of referrals to them (16,229 consultations between April- September 2017, compared to 11,969 consultation over the same period of the previous year). This increase is assumed to be the direct result of changes made to the CITES Appendices at CoP17 which saw the inclusion of <i>Psittacus erithacus</i> in App I and the consequent requirement for any commercial transactions within the UK/EU to be covered by an Article 10 certificate.</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <ul style="list-style-type: none"> <li>– availability of funding?</li> <li>– number of staff?</li> <li>– a shortage of skills?</li> </ul> <p>If 'Yes' to a shortage of skills, which skills do you need more of? n/a</p>	<p>Yes</p> <p>No</p> <p>Yes</p> <p>No</p>	<p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>
2.2.1c	<p>Do you have an approved service standard(s)<sup>47</sup> for your <b>enforcement authority(ies)</b>? If 'No', please go to Question 2.2.1d.</p>	<p>Yes</p> <p>No</p>	<p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p>

	<p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>The UK has UK-wide service standards for all policing (including enforcement of CITES crimes) and does not have any particular approved services standards for CITES offences alone.</p> <p>If 'Yes', do you have performance targets for these standards<sup>48</sup>? Yes <input type="checkbox"/></p> <p>If 'Yes', what are your performance targets? No <input type="checkbox"/></p> <p>n/a</p> <p>If possible, please provide your performance against service standards during the period covered in this report: n/a</p> <p>If you did not meet your performance targets then was this shortfall a result of:</p> <p>Yes No</p> <p>– availability of funding? <input type="checkbox"/> <input type="checkbox"/></p> <p>– number of staff? <input type="checkbox"/> <input type="checkbox"/></p> <p>– a shortage of skills? <input type="checkbox"/> <input type="checkbox"/></p> <p>If 'Yes' to a shortage of skills, which skills do you need more of? n/a</p>																
2.2.1d	<p>Please only complete this question if you answered 'No' to the first part of question 2.2.1a, 2.2.1b, or 2.2.1c, relating to the existence of approved service standards for your authorities:</p> <p>Do you have sufficient of the following for your authorities to function effectively?</p> <table border="1"> <thead> <tr> <th></th> <th>Management Authority(ies)</th> <th>Scientific Authority(ies)</th> <th>Enforcement Authority(ies)</th> </tr> </thead> <tbody> <tr> <td>Funding?</td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> </tr> <tr> <td>Staff?</td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> </tr> <tr> <td>Skills?</td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> <td>Yes <input type="checkbox"/> No <input type="checkbox"/></td> </tr> </tbody> </table>		Management Authority(ies)	Scientific Authority(ies)	Enforcement Authority(ies)	Funding?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Staff?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Skills?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
	Management Authority(ies)	Scientific Authority(ies)	Enforcement Authority(ies)														
Funding?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>														
Staff?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>														
Skills?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>														

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities;
- hired more staff;
- developed implementation tools;
- purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	<p>Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES implementation at the national level? Tick if applicable</p> <p>Hiring of more staff <input type="checkbox"/></p> <p>Development of implementation tools <input type="checkbox"/></p> <p>Purchase of technical equipment for implementation, monitoring or enforcement <input type="checkbox"/></p> <p>Other (please specify):</p>																
2.2.2b	<table border="1"> <thead> <tr> <th>During the period covered in this report, was the budget for your:</th> <th>Increased</th> <th>Stable</th> <th>Decreased</th> </tr> </thead> <tbody> <tr> <td>Management Authority(ies)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Scientific Authority(ies)</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Enforcement authorities</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	During the period covered in this report, was the budget for your:	Increased	Stable	Decreased	Management Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Scientific Authority(ies)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Enforcement authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
During the period covered in this report, was the budget for your:	Increased	Stable	Decreased														
Management Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>														
Scientific Authority(ies)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>														
Enforcement authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>														
2.2.2c	<table border="1"> <thead> <tr> <th>Have you been able to use international development funding assistance to increase the level of implementation of your</th> <th>Yes</th> <th>No</th> <th>Not applicable</th> </tr> </thead> <tbody> <tr> <td>Management Authority(ies)?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Scientific Authority(ies)?</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	Have you been able to use international development funding assistance to increase the level of implementation of your	Yes	No	Not applicable	Management Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Scientific Authority(ies)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>				
Have you been able to use international development funding assistance to increase the level of implementation of your	Yes	No	Not applicable														
Management Authority(ies)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>														
Scientific Authority(ies)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>														

	Enforcement authorities?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
2.2.2d	What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities?				
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for implementation, monitoring or enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	e-permitting	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify): n/a	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.2.2e	Do you have a operational system (e.g. electronic database) for managing		Yes	Under development	No
	Species information		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Trade information		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Non-detriment findings		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are applicable	
	– Administrative procedures	<input checked="" type="checkbox"/>	
	– Issuance of CITES documents (e.g. for import, exports, re-export, or introduction from the sea)	<input checked="" type="checkbox"/>	
	– Shipment clearance (e.g. for the import, export, re-export, or introduction from the sea of CITES-listed species)	<input type="checkbox"/>	
	– Licensing or registration of operations that produce CITES species	<input checked="" type="checkbox"/>	
	– Harvesting of CITES-listed species	<input type="checkbox"/>	
	– Use of CITES-listed species	<input type="checkbox"/>	
	– Assignment of quotas for CITES-listed species	<input type="checkbox"/>	
	– Other (please specify): n/a	<input type="checkbox"/>	
2.2.3b	Is a fee schedule publicly available?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
	If 'Yes', please provide an internet link, or a copy of the schedule to the Secretariat: <a href="https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/602751/cites-gn11.pdf">https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/602751/cites-gn11.pdf</a>		
2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Entirely <input type="checkbox"/>	
		Partly <input type="checkbox"/>	
		Not at all <input type="checkbox"/>	
		Not relevant <input checked="" type="checkbox"/>	
2.2.3d	Do you raise funds for CITES management through charging user fees?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
	Do your fees recover the full economic cost of issuing permits?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Do you have case studies on charging or using fees?	<input type="checkbox"/>	<input type="checkbox"/>
	If 'Yes' to any of the above, please provide brief details: APHA charges an application fee for processing all CITES applications. This is paid by the applicant before their application can be processed or invoiced after for BACS customers. The current charges were introduced in July 2013. We recover approximately 50% of the cost of the service through these charges (£750,000). The		

	<p>charges were developed on the basis of the time taken to process different types of applications. These were then averaged out for the different types of applications (for example import permit, export permit, re-export permit, A10 certificate).</p> <p>Do you use innovative financial mechanisms to raise funds for CITES implementation? <span style="float: right;"><input type="checkbox"/> <input checked="" type="checkbox"/></span></p> <p>If 'Yes', please provide brief details: n/a</p>
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**Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.**

2.2.4a	<p>Do you use incentive measures<sup>1</sup> such as those described in <a href="#">CoP14 Doc 14.32</a> to implement the Convention?</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;"></th> <th style="width: 10%; text-align: center;">Yes</th> <th style="width: 10%; text-align: center;">No</th> </tr> </thead> <tbody> <tr> <td>Due diligence</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Compensatory mechanisms</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Certification</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Communal property rights</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Auctioning of quotas</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Cost recovery or environmental charges</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Enforcement incentives</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table> <p>If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to further information: n/a</p>		Yes	No	Due diligence	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Compensatory mechanisms	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Certification	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Communal property rights	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Auctioning of quotas	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Cost recovery or environmental charges	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Enforcement incentives	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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Cost recovery or environmental charges	<input type="checkbox"/>	<input checked="" type="checkbox"/>																							
Enforcement incentives	<input type="checkbox"/>	<input checked="" type="checkbox"/>																							
2.2.4b	<p>Have incentives harmful to biodiversity been eliminated?</p> <p style="margin-left: 40px;">Not at all <input type="checkbox"/></p> <p style="margin-left: 40px;">Very little <input type="checkbox"/></p> <p style="margin-left: 40px;">Somewhat <input type="checkbox"/></p> <p style="margin-left: 40px;">Completely <input checked="" type="checkbox"/></p>																								

<sup>1</sup> Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and fauna and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

**Objective 2.3** Sufficient resources are secured at the national and international levels to implement capacity-building programmes.  
 Aichi Target 12, Target 19 and Target 20.

**Indicator 2.3.1:** The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many training and capacity building activities <sup>1</sup> have you run during the period covered in this report?	Without assistance from the Secretariat	Conducted or assisted by the Secretariat				
	None	<input type="checkbox"/>	<input type="checkbox"/>				
	1	<input type="checkbox"/>	<input type="checkbox"/>				
	2-5	<input checked="" type="checkbox"/>	<input type="checkbox"/>				
	6-10	<input type="checkbox"/>	<input type="checkbox"/>				
	11-20	<input type="checkbox"/>	<input type="checkbox"/>				
	More than 20	<input type="checkbox"/>	<input type="checkbox"/>				
Please list the Resolutions or Decisions involved: The activities below relate to (but are not limited to) Resolution Conf. 11.3 (Rev. CoP17).							
2.3.1b	What sorts of capacity building activities have taken place?  -2 Police Wildlife Crime Officers (PWCO) Training Seminars have taken place for local officers to understand the A10s CITES requirements and how to enforce them.  -The UK has also conducted a Wildlife Inspectors Seminar to help train Wildlife Inspectors on the CITES requirements.						
2.3.1c	What capacity building needs do you have?  Please tick all boxes which apply to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders / other user groups	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

<sup>1</sup> An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

**GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE**

**Objective 3.1** Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.  
[Aichi Target 2 and Target 20.](#)

**Indicator 3.1.1:** The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
If 'Yes', please provide brief details: n/a			
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased	<input type="checkbox"/>
		Remained stable	<input type="checkbox"/>
		Decreased	<input type="checkbox"/>

**Indicator 3.1.2:** The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?						Yes	<input checked="" type="checkbox"/>
							No	<input type="checkbox"/>
						No information	<input type="checkbox"/>	
If 'Yes', please tick boxes to indicate type of assistance provided		Species Management <sup>1</sup>	Habitat Management <sup>2</sup>	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)
Country(ies)								
Malawi		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Further details of assistance can be provided on request.
Georgia		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Further details of assistance can be provided on request.
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

<sup>1</sup> Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

<sup>2</sup> Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.



**Objective 3.2** Awareness of the role and purpose of CITES is increased globally.  
 Aichi Target 1, Target 4, Target 12 and Target 18.

**Indicator 3.2.1:** The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups? – Press conferences – Press releases – Newspaper articles, brochures, leaflets – Television appearances – Radio appearances – Presentations – Public consultations / meetings – Market surveys – Displays – Information at border crossing points – Telephone hotline – Website(s) – if so please provide link(s): <a href="http://www.nwcu.police.uk">www.nwcu.police.uk</a>  <a href="https://www.gov.uk/guidance/cites-imports-and-exports">https://www.gov.uk/guidance/cites-imports-and-exports</a>  <a href="http://www.gov.gg/article/152126/Endangered-species">www.gov.gg/article/152126/Endangered-species</a>  – Other (specify): The UK holds regular meetings with traders of CITES-listed specimens which contributes to bringing about better awareness of the convention's requirements as well as improved compliance. These meetings with selected traders are known as the CITES Sustainable Users Group (CSUG). Please attach copies of any items or describe examples: Please see <b>appendix A</b> .	Wider public	Relevant User Groups
		<input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>  <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>  <input checked="" type="checkbox"/>

**Indicator 3.2.2:** The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?					
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).					
	Target group	Daily	Weekly	Monthly	Less frequently	Not known
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of enforcement authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3.2.2b	What has been your experience with using the CITES website?				Excellent	<input type="checkbox"/>
					Good	<input checked="" type="checkbox"/>
					Average	<input type="checkbox"/>

		Poor	<input type="checkbox"/>
		Very Poor	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc): n/a		

Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

**Objective 3.3** Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) <sup>1</sup> to which your country is party? If 'Yes', please give a brief description: The major multilateral environmental agreements (MEAs) are dealt with by the same 'International Biodiversity' team within Defra. This allows for coordination amongst those working on the MEAs in the UK.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
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Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?  The UK contributes to and funds many international projects which integrate CITES issues. Examples of such projects can be found in <b>Appendix D</b> or at the following link which provides details of IWT Challenge Fund projects: <a href="https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/746965/iwt-challenge-fund-list-2018.pdf">https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/746965/iwt-challenge-fund-list-2018.pdf</a>		
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?  A series of IWT Conference which were initiated in 2014.		
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with: Agencies for development? Agencies for trade? Provincial, state or territorial authorities? Local authorities or communities? Indigenous or local peoples? Trade or other private sector associations? NGOs? Other (please specify)	Yes          <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	No          <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
3.3.2d	Are CITES requirements integrated into? National and local development strategies? National and local poverty reduction strategies?	Yes   <input type="checkbox"/> <input type="checkbox"/>	No   <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>

<sup>1</sup> CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Planning processes?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
National accounting?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and non-governmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?	<input type="checkbox"/>	No info
	Non-governmental organizations?	<input type="checkbox"/>	No info

**Objective 3.4** The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions. [Aichi Target 1](#), [Target 2](#), [Target 3](#), [Target 4](#), [Target 5](#), [Target 6](#), [Target 7](#), [Target 12](#), [Target 14](#), [Target 17](#), [Target 18](#) and [Target 19](#).

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved?	Yes	No	Not Applicable
	<p>Appendix I <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p>Appendix II <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p>Appendix III <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/></p> <p>If there are such studies that you are willing to share, please provide:</p> <p>Although a number of status surveys have been undertaken, and are ongoing, on UK wild native Appendix I and Appendix II species (such as peregrine falcon) because the UK does not normally export wild-taken specimens of native fauna the details of these surveys have not been attached to this report. Full details can be provided upon request.</p> <p>The status of Scottish Wild Cat (<i>Felis silvestris</i>) is constantly monitored by Scottish Natural Heritage. UK native birds of prey (<i>F.peregrinus</i> (App I) and UK native birds of prey and owls are regularly monitored through a number of partnership schemes including periodic national targeted surveys, annual reporting, where relevant, by the Rare Birds Breeding Panel (<a href="http://www.rbbp.org.uk/">http://www.rbbp.org.uk/</a>), through the Breeding Bird Survey (<a href="https://www.bto.org/volunteer-surveys/bbs">https://www.bto.org/volunteer-surveys/bbs</a>), and by other volunteer schemes (e.g. see <a href="http://raptormonitoring.org/">http://raptormonitoring.org/</a> and <a href="http://www.scottishraptorstudygroup.org/">http://www.scottishraptorstudygroup.org/</a>).</p> <p>With regards to CITES listed Cetacea, here is an obligation under Article 11 of the Habitats Directive to undertake surveillance on the conservation status of all cetacean species occurring in UK waters and report on this every six years. Monitoring is undertaken between decadal surveys (<a href="http://jncc.defra.gov.uk/pdf/Report481_web.pdf">http://jncc.defra.gov.uk/pdf/Report481_web.pdf</a>).</p>			
3.4.1b	Do you have examples of specific examples of success stories or emerging problems with any CITES listed species?	Yes	No	No information
	<p>If 'Yes', please provide details:</p> <p><b><u>Ivory Bill (Success Story):</u></b></p> <p>As a result of a public consultation, with the largest response ever received, a ban on the sale of Ivory (apart from certain exemptions) is currently making its way through the UK parliamentary process. The UK believes that closing the domestic ivory market will reduce</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	the demand for products which will help to conserve elephants by reducing levels of poaching.
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Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	<p>Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?</p> <p>In the UK CITES has not been incorporated into the NBSAP. It has been incorporated however in certain UK Overseas Territories. In the Isle of Man, the biodiversity strategy (Managing our Natural Wealth, the Isle of Man's first biodiversity strategy, 2015-2025) includes CITES.</p> <p>The UK ensured International species conservation work featured as our 25 Year Environment Plan was developed (Published Jan 2018).</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Other <input checked="" type="checkbox"/></p>	<p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p>
3.4.2b	<p>Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	<p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p>

**Objective 3.5** Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited.  
 Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.

**Indicator 3.5.1:** The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details:	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	<ul style="list-style-type: none"> <li>- The UK has taken action through established agreements such as the International Whaling Commission (IWC).</li> <li>- In Montserrat local law requires permits for the possession of partially protected species. Possession of protected species is not allowed unless an application is made and reviewed.</li> </ul>		

**Indicator 3.5.2:** The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
	Management Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	NGOs mainly, other MEAs.
	Scientific Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	The UK frequently consults international organisations including the IUCN, EU Scientific Review Group and the CITES Secretariat. The UK also consults several International NGOs such as TRAFFIC.
	Enforcement Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	EU Enforcement Working group, Europol, Interpol, other Border Force equivalents.

**General feedback**

Please provide any additional comments you would like to make, including comments on this format.

Item		
Copy of full text of CITES-relevant legislation if changed	Enclosed	<input checked="" type="checkbox"/>
Web link(s)	Not available	<input type="checkbox"/>
	Previously provided	<input type="checkbox"/>
Copies of CITES-relevant legislation will have been provided previously as part of the National Legislation Project. Additional copies for certain territories are below.		
<b>Falkland Islands</b> – Endangered Species Protection Ordinance (2015): <a href="https://legislation.gov.fk/view/html/inforce/2018-10-24/fiord-2015-13">https://legislation.gov.fk/view/html/inforce/2018-10-24/fiord-2015-13</a>		
<b>Montserrat</b> (legislation passed during reporting period but not yet come into force): <a href="http://agc.gov.ms/wp-content/uploads/2011/10/Act-No.-10-of-2016-Trade-in-Endangered-Species-Act-2016.pdf">http://agc.gov.ms/wp-content/uploads/2011/10/Act-No.-10-of-2016-Trade-in-Endangered-Species-Act-2016.pdf</a>		
Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc:		
<ul style="list-style-type: none"> <li>• <b>Appendix A – Awareness raising materials</b></li> <li>• <b>Appendix B – Forensic Analysis Fund (FAF) Cases</b> – this table provides examples of cases where forensic testing has been used to sentence those suspected of committing CITES offences.</li> <li>• <b>Appendix C - Cases Submitted to Science and Advice for Scottish Agriculture (SASA)</b> – this table displays cases where samples from CITES-listed species have been submitted to a forensic analysis facility</li> <li>• <b>Appendix D – Demand reduction projects</b></li> </ul>		
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input checked="" type="checkbox"/>
	No	<input type="checkbox"/>
	No Information	<input type="checkbox"/>
If 'Yes', please describe the constraint and the type of attention or assistance that is required. Many UKOTs have limited resources which constrain their ability to implement the convention effectively. Montserrat has reported that due to capacity constraints it is difficult to assign persons to honour the obligations of CITES in a timely manner. As persons are not versed in CITES it takes quite a bit of time to do research to address queries from the secretariat and the general public.		
Are there examples of good practice you would like to share with other Parties?	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	No Information	<input checked="" type="checkbox"/>
If 'Yes' please provide details / links: n/a		
How could this report format be improved?		
<ul style="list-style-type: none"> <li>• There could be guidance to accompany the questionnaire or definitions of certain terms to make the questions more specific.</li> <li>• For question 1.3.1a there should perhaps be an explanation for the term 'special reporting requirements'</li> <li>• For 3.5.2a there could be a definition or explanation of the term 'international organisation'</li> </ul>		

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.



## **APPENDICES**

### **APPENDIX A – Awareness Raising Materials & Information (relevant to 3.2.1a)**

Documents provided below in appendix A will be attached to the email when submitting the implementation report.



Kew and IWT  
Roseood anatomy le

**APPENDIX B – Forensic Analysis Fund (FAF) Cases**

<b>Conviction Date</b>	<b>Outline of offences for which convicted</b>	<b>Sentence</b>	<b>Forensic Methods Used</b>
07-Dec-15	Selling taxidermy and animal parts contrary to COTES regulations	24 weeks imprisonment	Number of wildlife products being offered for sale seized by police. Radiocarbon dating confirmed that leopard skins were from animals post 1947. The charges regarding these specimens were discontinued though the skins were forfeited by the court. Supported by FAF.
13-Sep-16	Offering elephant ivory for sale contrary to COTES regulations	Seven months jail suspended 18 months	Adverts offering 'Cow bone carvings' appeared on eBay with claim imported from Africa in 1947. Items seized, suspect accepted the items were ivory but maintained claim regarding age and submitted for radio carbon testing, this confirmed they were modern and from an elephant living after 1947. Supported by FAF.
29-Sep-16	Offering tiger skin for sale contrary to COTES regulations	Information not available	Javan tiger skin advertised on Ebay. Advert stated the skin was pre 1947. From appearance it looked newer. Police seized the skin and sent it for radiocarbon dating. The result was positive, the animal died either around 1962 or between 1977 and 1979, the latter period being more likely. Supported by FAF.

**APPENDIX C – Cases Submitted to Science and Advice for Scottish Agriculture (SASA)**

Case ref	Description	CITES species involved	Date samples received	Result
WDF_132	Species ID from DT content of poisoned red kite - WIIS-14168	Red kite - <i>Milvus milvus</i>	04/02/2015	Bait material probably pigeon
WDF_134	Species ID from DT of poisoned peregrine, WIIS-15011	Peregrine falcon, <i>Falco peregrinus</i>	04/02/2015	Bait material probably red grouse
WDF_135	Species ID from DT of 2 poisoned buzzards, WIIS-15060	Buzzards, <i>Buteo buteo</i>	08/04/2015	Bait material probably wood pigeon and pheasant
WDF_137	Species ID from DT of poisoned red kite (carb) - WIIS- 15069	Red kite - <i>Milvus milvus</i>	17/04/2015	Bait material probably red grouse
WDF_144, WDF_145 and WDF149	Species ID from DT of poisoned buzzard - WIIS -15155, 15172 and 15184	Buzzards, <i>Buteo buteo</i>	23/09/2015	Bait material probably rabbit
WDF_151	Big cat species ID from claws for sale.	Tiger - <i>Panthera tigris</i>	01/12/2015	Tiger DNA identified from one claw
WDF_154	Powdered product which may include bear bile - test for bear DNA requested.	Bear sp	23/03/2016	No results from testing. This could mean there is no bear product present, or it could mean that any bear DNA present is too degraded or dilute for detection.
WDF_159	<i>Anguilla japonica</i> shipment - supplier has previously shipped <i>Anguilla anguilla</i> - species ID requested	European eel	08/06/2016	Identified as <i>Anguilla japonica</i>
WDF_161	Illegally set pole traps - test for raptor DNA. Kestrel and merlin DNA identified on the traps.	Kestrel, <i>Falco tinnunculus</i>	16/06/2016	Kestrel and Merlin DNA identified on the traps.

WDF_162	Peregrine persecution - test of 12 items for raptor DNA. Peregrine DNA positively identified on two items submitted.	Peregrine falcon, Falco peregrinus	28/06/2016	Peregrine DNA positively identified on two items submitted.
WDF_165	Test for rhino DNA from bracelet	Rhino sp	07/07/2016	No result from rhino DNA test. Yak DNA identified, bracelet likely made from Yak horn.
WDF_168	Species ID from possible bear gall bladder	Bear sp	28/07/2016	No bear DNA identified, but domestic cat DNA is present. The gall bladder is likely to be from a cat.
WDF_170	Species ID from DT of poisoned buzzard - WIIS -16161	Buzzard, Buteo buteo	24/10/2016	Bait material probably pheasant
WDF_173	Test for raptor DNA from traps baited with pheasant. Buzzard DNA identified from the traps.	Buzzard, Buteo buteo	22/11/2016	Complete- buzzard DNA identified in some case samples
WDF_176	rhino-horn cup possibly (360g) - 12-13cm across. Species ID	Rhino sp	29/11/2016	No results from testing. This could mean there is no rhino horn present, or it could mean that any rhino DNA present is too degraded or dilute for detection.
WDF_181	Short-eared owl persecution - confirmation of species from blood sample recovered from the scene and examination of boots of suspect. Scene sample identified as short-eared owl.	Short-eared owl - Asio flammeus	01/06/2017	Sample from the scene was confirmed as short-eared owl. Samples taken from the boots gave no results.
WDF_184	Mammal and raptor persecution - snares and traps. Otter DNA identified from one of the snares.	Otter - Lutra lutra	27/06/2017	Multiple snares and traps examined and tested. Among other results, otter DNA was identified from one of the snares.
WDF_185	Ivory carving - species identification requested. Identified as originating from African elephant, Loxodonta africana.	African elephant, Loxodonta africana	04/08/2017	Tusk identified as originating from African elephant, Loxodonta africana.
WDF_189	Species ID from DT of poisoned buzzard - WIIS -17139	Buzzard, Buteo buteo	12/12/2017	Bait material probably pheasant
WDF_190	Species ID from DT content of poisoned red kite - WIIS-17141	Red kite - Milvus milvus	12/12/2017	Inconclusive results, only red kite DNA amplified from stomach content.

**APPENDIX D – Table of Demand Reduction Activities**

Ref	Title	Organisation	Partner organisations	Start / End Date Value Awarded	Country(ies)	Focus species	Summary (from GOV.UK)
IWT002	Cutting out the middleman: combatting wildlife trafficking in Vietnam	Wildlife Conservation Society (WCS)	Vietnam CITES Management Authority (MA) National Environmental Police (Southern Office)	01/04/2014 31/03/2017  <b>£279708</b>	Vietnam	Elephant Rhino	This project aims to build the capacity, political interest, and longer-term commitment of government, media and civil society to reduce illegal trafficking in wildlife. It also aims to strengthen enforcement and prosecution of wildlife trafficking crimes and help disrupt and dismantle the criminal networks driving the illegal trade.

IWT004	Reducing rhino horn demand through behaviour change in Vietnam	Save the Rhino International	TRAFFIC - East and Southern Africa Population Services International (PSI)	01/04/2014 31/12/2016  <b>£280780</b>	Vietnam	Rhino	This project will develop a model approach for a behaviour-change campaign incorporating a strong science-based approach to tackle the demand for illegal wildlife products in Asia that will be available to other organisations working specifically on reducing consumption of rhino horn and other illegal-traded wildlife products.
IWT006	Educational Children's Videos Reduce Endangered Species Demand in Viet Nam	Humane Society International	CITES Management Authority Vietnam	01/02/2015 31/01/2017  <b>£59762</b>	Vietnam	Elephant Pangolin Rhino Tiger	This project will build on experience with a recent rhino horn demand reduction campaign. It will produce three new illustrated 16-page children's booklets in Vietnamese and English, one each on elephants, tigers and pangolins, and prepare electronic versions of these. It will produce animated videos of 10 minutes for each of the four booklets (including the rhino booklet that already exists) for dissemination in Vietnam. The videos will be broadcast at least 100 times over the course of a year on national television. Surveys will be used to measure the impact of the material.
IWT015	A Recipe for Reducing Ivory Consumption in China	Wildlife Conservation Society (WCS)	College of Life Science (CLS), Beijing Normal University China Wildlife Conservation Association (CWCA)	15/04/2015 15/09/2017  <b>£238891</b>	China	Elephant	This project will build on the foundation of previous demand reduction campaigns, aiming to activate the public to engage in anti-ivory campaigns themselves. This will include: harnessing the power of social media, building on a pilot ('voices of China'); working with corporate leaders; research work to further explain the rationale for restricting the legal market

							for ivory and promoting this work to Chinese officials and the Chinese public.
IWT025	Saving Pangolins by Reducing Demand in Vietnam and China	WildAid	CHANGE (Center of Hands-on Actions and Networking for Growth and Environment)	01/04/2016 31/03/2018  <b>£195600</b>	Vietnam, China	Pangolin	This project will dissuade Vietnamese and Chinese consumers from purchasing pangolin products by debunking the false medicinal value of their scales and making the consumption of pangolin meat socially unacceptable, and also build capacity for more effective enforcement of trade bans.
IWT045	Research and pilot campaign to reduce demand for pangolins	Zoological Society of London (ZSL)	Eco-bridge Continental, Beijing Forestry University	01/04/2017 31/03/2021  <b>£386945</b>	China	Pangolin	This project will pilot demand reduction programmes in Guangdong, China, targeting consumers of pangolin products. Using a best-practice framework, the project will conduct in-depth research into specific triggers/drivers of consumption, and develop marketing programmes, including social media, with stakeholder input, to change behaviours, thereby reducing demand. Having demonstrated impact, the programme will be scaled-up and expanded to additional pangolin markets (post-project). Reducing demand for pangolins will remove incentives for trafficking, and reduce exploitation of wild populations and adjacent communities in low-income pangolin range states.