



NOTIFICATION TO THE PARTIES

No. 2016/006

Geneva, 5 February 2016

CONCERNING:

New implementation report format (formerly known as biennial report)

- 1. At its 66th meeting (Geneva, January 2016), the Standing Committee adopted a new implementation report format that is not subject to compliance procedures. The last biennial report for 2013-2014 was due on 31 October 2015. To reflect the three year cycle between meetings of the Conference of the Parties, the implementation report covering the period 2015-2017 is due on 31 October 2018.
- 2. Subject to the availability of external funds, the Secretariat will make a version of the implementation report available using the CITES Online Reporting System by 31 October 2017 (at least a year in advance of the deadline set for submission of reports to the 18th Conference of the Parties).
- 3. The new implementation report format can be found in the Annex to this Notification.
- 4. This Notification replaces Notification to the Parties No. 2005/035 of 6 July 2005.

Annex

Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: info@cites.org Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	Sweden
Period covered in this report	2015-2017
Department or agency preparing this report	Swedish Board of Agriculture
Contributing departments, agencies and organizations	Swedish environmental protection agency, Swedish Agency for Marine and Water management Swedish Coast guards, SwedishPolice Swedish Custom, Swedish Prosecution Authority

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.

All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes No 🗵			
	If 'Yes', have you shared information with the Secretariat? Yes 🗌 No 🗌 Not Applicable 🗌			
	If 'No', please provide details to the Secretariat with this report: Existing legislation is sufficient.			
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes No 🗴			
	If 'No', please provide details of the constraints faced: The EU legislative process is complex and can take longer than 90 days,			

- **Objective 1.2** Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens. Aichi Target 3.
- Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	X		
	Are the procedures publicly available?	x		
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	×		
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries		X	
	Electronic permit information exchange to Management Authorities of all countries?		×	
	Electronic permit data exchange between Management Authorities and customs?		×	
	Electronic permit used to cross border with electronic validation		X	

by customs?				
If 'Yes' to any of the above, please provide information on challenges faced or issues overcome:				
1		_		
If 'No', do you have any plans to move towards e-permitting ¹ ?	X			
If 'No', do you have any plans to move towards e-permitting ¹ ? If you are planning to move towards e-permitting, please explain		t help you	to do so:	

Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in <u>Resolution</u> <u>Conf. 12.3 (Rev. CoP16)</u>.

1.2.2a	a Has your country developed simplified procedures for any of the following?			
		Tick all applicable		
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 of <u>Resolution Conf. 12.3</u> (Rev. CoP16) are urgently required.	×		
	For the issuance of pre-Convention certificates or equivalent documents in accordance with <u>Article VII</u> , paragraph 2.		X	
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.	x	X	
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.	x		
	Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details: Musical instruments need more streamlined handling	×		

Objective 1.3 Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties. All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

1.3.1a	Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by
	the Secretariat (see [link to location on the CITES website where the reporting requirements are listed])?
	Responses provided to ALL relevant reporting requirements 🗵
	Responses provided to SOME of the relevant reporting requirements
	Responses provided to NONE of the relevant reporting requirements
	No special reporting requirements applicable

¹ e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

1.3.1b	Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes □ No ×	
	If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?	

Objective 1.4 The Appendices correctly reflect the conservation needs of species. Aichi Target 1, Target12, Target 14 and Target 19.

1.4.1: The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

1.4.1a	Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices?	Yes 🗌 No 🗵
	If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):	

- **Objective 1.5** Best available scientific information is the basis for non-detriment findings. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.
- Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:
 - a) the population status of Appendix-II species;
 - b) the trends and impact of trade upon Appendix-II species; and
 - c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses been undertaken in your country in relation to:	Yes	No	Not Applicable	If Yes, How many?
	- the population status of Appendix II species?	X			
	 the trends and impact of trade on Appendix II species? 		X		
	 the status of and trend in naturally-occurring Appendix I species? 		X		
	 the impact of any recovery plans on Appendix I species? 		X		
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?	x			

	If there are such studies that you are willing to share, please provide:			
	Species name (scientific)	A brief summary of the results of or other analysis (e.g. population stable / increase, off-take levels links to published reference ma	on status, de s etc), or pro	cline /
1.5.1b	How are the results of such surveys, studies findings (NDFs)?		ig non-detrir se tick all th	
		Revised harve	•	·
			-	export 🗌
			omestic me	_
		Changed managen Discussion with Mana		-
		Discussion with o	-	
	Oti	ner (please provide a short sumr	nary):	
1.5.1c	Do you have specific conservation measures plans for naturally occurring Appendix-I listed	species? No		
		Not Applicable No information		
	If 'Yes', please provide a brief summary, inclu		of their	
	impact:			
1.5.1d	Have you published any non-detriment findir If 'Yes', please provide links or examples to t	-	🗌 No 🗵	
1.5.1e	Which of the following (A to F of paragraph a do you use in making non-detriment findings) x) of <u>Resolution Conf. 16.7</u>) ?	Yes	No
	A. relevant scientific literature concerning spe distribution and population trends.	ecies biology, life history,	x	
	B. details of any ecological risk assessments	conducted.	x	
	C. scientific surveys conducted at harvest loo from harvest and other impacts.	cations and at sites protected	X	
	D. relevant knowledge and expertise of local	and indigenous communities.	x	
	E. consultations with relevant local, regional	and international experts.	x	
	F. national and international trade information the CITES trade database maintained by UN Monitoring Centre (UNEP-WCMC), publication on trade and investigations of sales at market example.	IEP World Conservation ons on trade, local knowledge	X	

1.5.2a				No
		Yes	s No	o information
	Do you have standard procedures for making non-detriment findings in line with <u>Resolution Conf. 16.7</u> ?) x
	If 'Yes', please briefly describe your procedures for making non-d or attach as an annex to this report, or provide a link to where the on the internet:			
1.5.2b	When establishing non-detriment findings, have any of the follow	ing		
	guidance been used?	P	lease ti	ck all that apply
	Virtual Co	llege		
	IUCN Che	cklist		x
	Resolution Conf.	Resolution Conf. 16.7		
	2008 NDF work	shop		
	Species specific guid	ance		x
	(Other		x
	If 'Other' or 'Species specific guidance', please specify details:Inside	e the EU the scienti	fic authorities	share relevant information
1.5.2c	How often do you review and/or change your non-	ase by cas	se	x
	detriment findings? A	nnually		
		very two y		
		ess freque		
	A	mix of the	above	
	Please describe the circumstances under which non-detriment fir	ndings wou	uld be c	changed:

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas?	Yes	
		No	X
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how		
	quotas are set:		Other,
		Population	please
	Species Name (scientific)	Survey?	specify
1.5.3b	Have annual export quotas been set at levels which will	Yes	
	ensure sustainable production and consumption?	No	
	If 'Yes', please describe how this fits into your non-detriment	finding process:	

- **Objective 1.6** Parties cooperate in managing shared wildlife resources. Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.
- Indicator 1.6.1: The number of bilateral and multilateral agreements that specifically provide for comanagement of shared CITES listed species by range States.

1.6.1a	Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species?	Yes 🗌 No 🗶
	If 'Yes', please provide brief details, including the names of the agreements, a countries are involved:	and which other

Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species?Yes ∑ No □
	If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species.
	Species Name (scientific)Link or reference to a published planUrsus arctos, Canis lupus and Anguilla anguilla

Indicator 1.6.3: The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources ² ?
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other (please specify):						

² Please provide the names of Parties, and any non-Parties, involved.

1.6.3b	Bb Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	X					timmerguide and suckulent guide
	Staff of Scientific Authority	X					
	Staff of enforcement authorities	X					
	Traders						
	NGOs						
	Public						
	Other Parties/International meetings			X			Amongst others Sponsored delegates project
	Other (please specify)						
1.6.3c	In what ways do you collaborate with	other C	ITES P	arties?			
		Never	Rarely	Sometimes	Very Often	Always	Further detail / examples
	Information exchange				X		
	Monitoring / survey	X					
	Habitat management	X					There is collaboration for the nordic species but not inside CITES
	Species management	x					
	Law enforcement		X				
	Capacity building		X				
	Other (please provide details)						

Objective 1.7Parties are enforcing the Convention to reduce illegal wildlife trade.
Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.

Indicator 1.7.1: The number of

The number of Parties that have, are covered by, or engaged with: – an international enforcement strategy and/or action plan;

- formal international cooperation, such as an international enforcement network;
- a national enforcement strategy and/or action plan; and
- formal national interagency cooperation, such as a national interagency enforcement committee.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No	No Information
	– an international enforcement strategy and/or action plan?	X		
	– formal international cooperation, such as an international enforcement network?	X		
	– a national enforcement strategy and/or action plan?	x		

	 formal national interagency cooperation, such as a national interagency enforcement committee? 			
If 'Yes' to any of the above, please specify the level of engagement and provide additional				
details:	The other group is involving NGO's and authorities and is chaired by the WWF. Sweden participates in yearly nordic meetings were the nordic countries discuss their CITES implementati	on. Sweden follows	the EU action plan.	IMPEL

The county administrative boards actively cooperate with each other, mainly through the "Miljösamverkan "Sweden, where there is digital cooperation with a common database, common templates for cases and supervision, compilation of regulations, discussion forums, etc.

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	Yes No, but review is under consideration No No information	
	If 'Yes', what do you do? The government asked the authorities working with CITES i A new question has been send from the government concer If 'Yes' or 'No, but review is under consideration', which tools do yo	rning the implementation of the EU action	
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	Yes No, but toolkit use is unde consideration No No information	
	If 'Yes', please provide feedback on the parts of the toolkit used an equivalent tools have been. Please specify improvements that could fille?	ld be made:	4
	If 'No', please provide feedback on why not or what is needed to m tools useful to you: The enforcement authorities have clearly stated that we have sufficient	•	τ

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating,	Yes	x		
	prosecuting, and penalizing CITES offences as a crime?	No			
		No information			
	If 'Yes', please provide the title of the legislation and a summary Environmental code and the Species protection regulation of the penalties available Dayfines or 2 years imprisonment and if the crime is severe at least 6 months imprisonment us of years imprisonment.	to 6 vegrs			
1.7.3b	Are criminal offences such as poaching and wildlife trafficking	Yes	X		
	recognized as serious crime ³ in your country?	No			
		No information			
	If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes: Usuams consider particularely if it is systematically or to greater extent, particularly dangerous nature or serious violation of significant cousting of the systematical of th				

³ The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

1.7.3c	Do you have capacity to use forensic technology ⁴ to sinvestigation of CITES offences?	suppor	t the	Yes No No informatio	n 🗌			
	collected and submitted to an appropriate forensic an	rovide a brief summary of any samples from CITES-listed species that were bmitted to an appropriate forensic analysis facility (located in your country and/or) during the period covered in this report: Elephant, stony coral, butterflies, bear skulls and						
		r country has an appropriate forensic analysis facility for CITES-listed species, which species it applies to: Many different species eg Swedish Museum of Natural History						
1.7.3d	Did your authorities participate in or initiate any multi- law enforcement operation(s) targeting CITES-listed a during the period covered in this report?	rticipate in or initiate any multi-disciplinary ⁵ ation(s) targeting CITES-listed species red in this report?			YesXNoNo information			
	If 'Yes', please provide a brief summary, including any other Parties: eg TEMBO organized by WCO/Europol)	ovide a brief summary, including any lessons learned which might be helpful for TEMBO organized by WCO/Europol)						
1.7.3e	Do you have a standard operating procedure among agencies for submitting information related to CITES INTERPOL and/or the World Customs Organization?	offence		Yes No No informatio	x □ mation □			
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?			
	General crime ⁶	x			no information available			
	Predicate offences ⁷		X					
	Asset forfeiture ⁸	x			0			
	Corruption ⁹	X			0			
	International cooperation in criminal matters ¹⁰	x			1			
	Organized crime ¹¹	x			1			
	Specialized investigation techniques ¹²	x			4			

⁴ Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

⁵ A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

⁶ General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

⁷ Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

⁸ Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

⁹ Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

¹⁰ International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

	If 'Yes' to any of the above, please explain how each is used for Cl ⁻ brief summary, including any lessons learned which might be helpfu		e provide a	
1.7.3g	Do you have institutional capacity to implement the legislative provisions listed in question 1.7.3f against CITES offences?	Yes No No information		
	If 'No', please provide a brief summary of your major capacity-building needs:			

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

474		A	
1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always	×
		Very often	
		Sometimes	
		Rarely	
		Never	
		No information	
1.7.4b	Do you have capacity to analyse information gathered on illegal	Yes	x
	trade in CITES-listed species?	No	
		No information	
1.7.4c	Do you use criminal intelligence ¹³ to inform investigations into	Always	x
	illegal trade in CITES-listed species?	Very often	
		Sometimes	
		Rarely	
		Never	
		No information	
1.74d	Have you implemented any supply-side activities to address illegal	Yes	x
	trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
		No	
		No information	
1.7.4e	Have you implemented any demand-side activities to address	Yes	Х
	illegal trade in CITES-listed species during the period covered in this report?	No, but activities are under development	
	A permanent exhibition has been installed at the Swedish Museum of Naruralk history informing about CITES and CITES rules.	No	
	Swedish Zoos inform about CITES and why some demand is detrimental -	No information	

¹² Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

¹³ Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the	e period covered in this report:	Yes	No	No Information			
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?	x					
	If 'Yes', please indicate how many and for what types of offences. If available, please a details: Yes by customs, eleven cases, no inside the country.						
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?	x					
	If 'Yes', how many and for what types of offences? If available,	please at	tach details	:			
1.7.5c	Have there been any other court actions against CITES- related offences?	X					
	If 'Yes', what were the offences involved and what were the result	s? Please	attach detai	ls:			
1.7.5d	How were any confiscated specimens disposed of?		Tick	all that apply			
	 Return to country of export 						
	 Public zoos or botanical gardens 			x			
	 Designated rescue centres 						
	 Approved private facilities 						
	– Euthanasia			x			
	- Other (please specify): Museum of natural history, Destruction			×			
	Have you encountered any challenges in disposing of confiscated specimens?						
	The costs regarding live specimen are a challenge.	The costs regarding live specimen are a challenge.					
	Do you have good practice that you would like to share with ot	her Partie	s?				

Objective 1.8 Parties and the Secretariat have adequate capacity-building programmes in place. Aichi Target 1, Target 12 and Target 19.

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: The making of non-detriment findings? Permit officers? Enforcement officers?		Yes ×	№ □
1.8.1b	Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building?	Yes No No informatic	on	
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No informatio	on	

GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

- **Objective 2.2** Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention. Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.
- Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	Do you have an approved service standard(s) ¹⁴ for your Management Authority(ies)? If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards?		res No	
	If 'Yes', do you have performance targets for these standards ¹⁵ ? If 'Yes', what are your performance targets?		íes No	X
	Do you publish your performance against service standard targets?		res No	
	If possible, please provide your performance against service standards during the period covered in this report:			
	If you did not meet your performance targets then was this shortfall a result of:	/es		No
	 availability of funding? 			x
	– number of staff?	x		
	– a shortage of skills?			X
	If 'Yes' to a shortage of skills, which skills do you need more of?			
2.2.1b	Do you have an approved service standard(s) ⁴⁷ for your Scientific Authority(ies)?		res No	
	If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards? Answer the MA on request for NDF during a timeframe of 14 days. (More complex requests can	take longe	er time)	
	If 'Yes', do you have performance targets for these standards ⁴⁸ ? If 'Yes', what are your performance targets?		res No	×
	If possible, please provide your performance against service standards during the period covered in this report:			
		/es		No
	 availability of funding? 			x

¹⁴ For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

¹⁵ For example, 85% of all decisions will take place within the service standard.

	number ofa shortage	of skills?			X X		
	If 'Yes' to a sh	ortage of skills, which skills	do you need more of?				
2.2.1c	enforcement a If 'No', please	an approved service standa authority(ies)? go to Question 2.2.1d. ich services are there stand			∕es □ No ⊠		
		u have performance targets are your performance targe		∕es □ No □			
		ease provide your performa ing the period covered in th					
	If you did not a result of:	meet your performance tar	gets then was this shortfall	Yes	No		
	 availability 	of funding?					
	 number of 	staff?					
	 a shortage 	of skills?					
	lf 'Yes' to a sh	ortage of skills, which skills	do you need more of?				
2.2.1d	d Please only complete this question if your answered 'No' to the first part of question 2.2.1a, 2.2.1b, or 2.2.1c, relating to the existence of approved service standards for your authorities:						
	Do you have s	sufficient of the following for	your authorities to function e	ffectively?			
		Management Authority(ies)	Scientific Authority(ies)	Enforcen Authority			
	Funding?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes 🗵 N	o 🗌		
	Staff?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes 🗵 N	o 🗌		
	Skills?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes 🗵 N	o 🗌		

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities; hired more staff; —
- _
- developed implementation tools;
- _ purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES	
	implementation at the national level?	Tick if applicable
	Hiring of more staff At the SA and police	X
	Development of implementation tools	
	Purchase of technical equipment for implementation, monitoring or enforcement	
	Other (please specify):	

2.2.2b	During the period covered in this report, was the budget for your:		Increased	Stable	Decreased
	Management Authority(ies)			x	
	Scientific Authority(ies)		x		
	Enforcement authorities			x	
2.2.2c		Have you been able to use international development funding assistance to increase the level of implementation of your		No	Not applicable
	Management Authority(ies)?				x
	Scientific Authority(ies)?				x
	Enforcement authorities?				x
2.2.2d	What is the respective level of priority f the national level through the following		ng the effectiv	eness of CITES	implementation at
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff				x
	Development of implementation tools			x	
	Purchase of new technical equipment for implementation, monitoring or enforcement			x	
	e-permitting			x	
	Other (please specify):				
2.2.2e	Do you have a operational system (e.g electronic database) for managing		Yes	Under development	No
	Species information		x		
	Trade information		X		
	Non-detriment findings				x

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for:	Tick all that are app	licable
	 Administrative procedures 		
	 Issuance of CITES documents (e.g. for import, exports, re-export, o the sea) 	r introduction from	X
	 Shipment clearance (e.g. for the import, export, re-export, or introdu of CITES-listed species) 	iction from the sea	
	- Licensing or registration of operations that produce CITES species		
	 Harvesting of CITES-listed species 		
	 Use of CITES-listed species 		
	 Assignment of quotas for CITES-listed species 		
	 Other (please specify): 		
2.2.3b	Is a fee schedule publicly available?	Yes 🗙 I	No 🗌
	If 'Yes', please provide an internet link, or a copy of the schedule to the	Secretariat:	

2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife conservation?						
		Entirely	X				
		Partly					
		Not at all					
		Not relevant					
2.2.3d		Yes	No				
	Do you raise funds for CITES management through charging user fees?		x				
	Do your fees recover the full economic cost of issuing permits?		Х				
	Do you have case studies on charging or using fees?		x				
	If 'Yes' to any of the above, please provide brief details:						
	Do you use innovative financial mechanisms to raise funds for CITES implementation?						
	If 'Yes', please provide brief details:						

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures ¹⁶ such as those described in <u>CoP14 Doc 14.32</u> to implement the							
	Convention?	Yes	No					
	Due diligence							
	Compensatory mechanisms							
	Certification							
	Communal property rights							
	Auctioning of quotas							
	Cost recovery or environmental charges							
	Enforcement incentives							
	If 'Yes' to any of the above, or if you use other measures, please provide a summa further information:	ary or link to						
2.2.4b	Have incentives harmful to biodiversity been eliminated?	Not at all						
		Very little						
		Somewhat						
		Completely						

¹⁶ Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

Objective 2.3 Sufficient resources are secured at the national and international levels to implement capacity-building programmes. Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many training and capacity building activities ¹⁷ have you run during the period covered in this report?			Withou from th Secreta	е	istance Conducted or assisted by the Secretariat	
			Ν	lone			
				1			
				2-5			
				6-10 1-20			
		ſ	More tha	-			
	Please list the Resolutions or Decisions	involve	d:			_	
2.3.1b	What sorts of capacity building activities	s have ta	aken pla	ce? Edi	ucation of cour	nty admin all autho	istration, police, custom, prosecutors rities working with CITES had work shops
2.3.1c	What capacity building needs do you ha						
	Please tick all boxes which apply to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority					X	due to the high working load generated by Dalberga spp I the staff has no time for education
	Staff of Scientific Authority	X			X		NDF methods and guidance activities ongoing inside the EU
	Staff of enforcement authorities						
	Traders / other user groups					x	Common information approach would be appreciated
	NGOs					X	
	Public					X	
	Other (please specify)						

¹⁷ An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

- **Objective 3.1** Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities. Aichi Target 2 and Target 20.
- Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes No Not applicable No information	
	If 'Yes', please provide brief details:		
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or finance or countries in relation to CITES?	ve you provided technical or financial assistance to another country countries in relation to CITES?						nformation
	If 'Yes', please tick boxes to indicate type of assistance provided Country(ies)	Species Management ¹⁸	Habitat Management ¹⁹	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)

¹⁸ Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

¹⁹ Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

Objective 3.2 Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's		Relevant User
	requirements by the wider public and relevant user groups?	Wider public	Groups
	 Press conferences 		
	 Press releases 		
	 Newspaper articles, brochures, leaflets 	X	X
	 Television appearances 		X
	 Radio appearances 	X	x
	– Presentations		X
	 Public consultations / meetings 		X
	 Market surveys 		
	– Displays	X	
	 Information at border crossing points 		
	 Telephone hotline 		
	 Website(s) – if so please provide link(s) 	X	
	– Other (specify):		
	Please attach copies of any items or describe examples:		

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?							
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).	ily	Weekly	weekly Monthly Less frequently Not known				
	Target group	Daily	N Vot Free Mc					
	Staff of Management Authority	X						
	Staff of Scientific Authority		X					
	Staff of enforcement authorities			X				
3.2.2b	What has been your experience with using the CITES website? Excellent							
	Good X							
	Average							
	Poor							
	Very Poor							
				No i	nformation			
	Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc):							

Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

Ramsar, WHC) ²⁰ to which your country is party? If 'Yes', please give a brief description:	3.3.1a		Yes No No information	
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Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has y contributed towards?	our country	2
3.3.2b	In addition to 3.2.2a, how many national level projects has your cour implemented which integrate CITES issues?	ntry	3
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No
	Agencies for development?		x
	Agencies for trade?	x	
	Provincial, state or territorial authorities?	x	
	Local authorities or communities?		X
	Indigenous or local peoples?		X
	Trade or other private sector associations?	x	
	NGOs?	X	
	Other (please specify)		
3.3.2d	Are CITES requirements integrated into?	Yes	No
	National and local development strategies?		X
	National and local poverty reduction strategies?		X
	Planning processes?		X
	National accounting?		X

²⁰ CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and nongovernmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?		
	Non-governmental organizations?		

Objective 3.4 The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets,* and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions. Aichi Target 1, Target 2, Target 3, Target 4, Target 5, Target 6, Target 7, Target 12, Target 14, Target 17, Target 18 and Target 19.

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved?	Yes	No	Not Applicable		
	Appendix I			X		
	Appendix II	X				
	Appendix III			X		
	If there are such studies that you are willing to share, please provide:					
	Species name (scientific) Link to the data, or a brief summary https://www.artdatabanken.se/	,				
3.4.1b	Do you have examples of specific examples of success stories or emerging problems with any CITES listed species? If 'Yes', please provide details:	No	nformatio	n		

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes No No information	
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes No No information	

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- **Objective 3.5** Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.
- Indicator 3.5.1: The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from	Yes No	
	being unsustainably exploited through international trade? If 'Yes', please provide details:	No information	
	ii res, please provide details.		

Indicator 3.5.2: The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year		SS	nes	than 20	consultation	
	that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 time	6-20 time:	More th times	No con:	Optional comment about which organizations and issues consulted on
	Management Authority(ies)		X				CITES
	Scientific Authority(ies)					X	
	Enforcement Authority(ies)					K	

General feedback

Please provide any additional comments you would like to make, including comments on this format.

ltem				
Copy of full text of CITES-relevant legislation if changed	Enclosed	X		
Web link(s) http://ec.europa.eu/environment/cites/index_en.htm	Not available Previously provided			
Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc:				
Have any constraints to implementation of the Convention arisen in	n Yes			
your country requiring attention or assistance?	No	X		
	No Information			
If 'Yes', please describe the constraint and the type of attention or a	assistance that is required.			
Are there examples of good practice you would like to share with o	ther Yes			
Parties?	No	X		
	No Information			
If 'Yes' please provide details / links:				
How could this report format be improved?				

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.