

Biennial report

**A. General information**

Party	Australia
Period covered in this report (e.g. 1 January 2003 to 31 December 2004)	1 January 2013 - 31 December 2014
Details of agency preparing this report	Australian Department of the Environment – CITES Authorities for Australia
Contributing agencies, organizations or individuals	

**B. Legislative and regulatory measures**

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully)	<input checked="" type="checkbox"/>				
		Yes (partly)	<input type="checkbox"/>				
		No	<input type="checkbox"/>				
		No information/unknown	<input type="checkbox"/>				
2	If any CITES-relevant legislation has been planned, drafted or enacted, please provide the following details:						
	Title and date:	Status:					
	Brief description of contents:						
3	Is enacted legislation available in one of the working languages of the Convention?	Yes	<input type="checkbox"/>				
		No	<input type="checkbox"/>				
		No information	<input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached	<input type="checkbox"/>				
		provided previously	<input type="checkbox"/>				
		not available, will send later	<input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures adopted for CITES-listed species (in accordance with Article XIV of the Convention)? <span style="float:right">Tick all applicable</span>						
		The conditions for:		The complete prohibition of:			
	Issue	Yes	No	No information	Yes	No	No information
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Additional comments							

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Clarity of legal obligations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Implementing regulations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (please specify)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available: Reviews of compliance with the wildlife trade provisions of Australian implementing legislation have been undertaken. Responses to the recommendations of these reviews are in development.						
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
Please provide details if available: A review of opportunities for streamlining domestic regulation of wildlife trade under Australia's implementing legislation is underway.						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable	
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Please provide details if available:						
9	Please provide details of any additional measures taken: In July 2014, Australia introduced a stricter domestic measure restricting the import and export of rhinoceros specimens including rhinoceros horn hunting trophies. Permits are no longer issued to import hunting trophies of southern white rhinoceros listed on Appendix II to CITES, rhinoceros horn hunting trophies may not be imported as personal and household effects, and radiocarbon dating is required to conclusively prove the age of vintage rhinoceros horn for export.					

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations. If available, please attach details.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details.	Number  Total number of seizure notices issued - 2603 (1801 Seizure Notices issued in 2013; 802 Seizure Notices issued in 2014).		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. <b>See Annex.</b>			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. <b>See Annex and attached media releases.</b>			
10	How were the confiscated specimens usually disposed of?	Tick if applicable		
	– Return to country of export			<input checked="" type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input checked="" type="checkbox"/>
	– Other (specify):			<input type="checkbox"/>

	<p>Comments:</p> <p>Live plants and animals confiscated at Australia's borders are generally destroyed and euthanased due to concerns about potential introduction of disease and other biosecurity issues. Non-live specimens confiscated at Australia's borders are also generally destroyed. A small number of specimens have been retained for educational and research purposes.</p>		
11	<p>Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments:</p> <p>Eco-messages/information reports were provided on the illegal importation/exportation of reptiles, ivory, rhino products, CITES listed specimens and the potential involvement of 'Silk Road' in illegal wildlife trade.</p>		
12	<p>Have there been any cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
13	<p>If Yes, please give a brief description:</p> <p>Australia has worked collaboratively with:</p> <ul style="list-style-type: none"> <li>• New Zealand authorities regarding illegal trade in giant clam meat and rock scorpions.</li> <li>• United Kingdom Wildlife Crime Unit regarding Australian nationals identified as potentially dealing in illegal ivory.</li> <li>• United States Fish and Wildlife Service regarding illegal trade in CITES specimens by an Australian national, and illegal possession of reptile eggs by a United States citizen.</li> </ul>		
14	<p>Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
15	<p>If Yes, please describe:</p>		
16	<p>Has there been any review or assessment of CITES-related enforcement?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
	<p>Comments:</p> <p>Reviews of compliance with the wildlife trade provisions of Australian implementing legislation have been undertaken. Responses to the recommendations of these reviews are in development.</p>		
17	<p>Please provide details of any additional measures taken:</p>		

## D. Administrative measures

### **D1 Management Authority (MA)**

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA? 8		
6	Can you estimate the percentage of time they spend on CITES-related matters?  If yes, please give estimation: 70%	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input checked="" type="checkbox"/>
	– Other (specify):		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken:		

### **D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Is the designated Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s)?	Tick if applicable	
	– Government institution		<input checked="" type="checkbox"/>
	– Academic or research institution		<input type="checkbox"/>

	<ul style="list-style-type: none"> <li>– Permanent committee <input type="checkbox"/></li> <li>– Pool of individuals with certain expertise <input type="checkbox"/></li> <li>– Other (specify): <input type="checkbox"/></li> </ul>																																																											
5	How many staff work in each SA on CITES issues? 7																																																											
6	Can you estimate the percentage of time they spend on CITES-related matters	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																																																										
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7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable																																																										
	<ul style="list-style-type: none"> <li>– Botany <input checked="" type="checkbox"/></li> <li>– Ecology <input checked="" type="checkbox"/></li> <li>– Fisheries <input checked="" type="checkbox"/></li> <li>– Forestry <input type="checkbox"/></li> <li>– Welfare <input checked="" type="checkbox"/></li> <li>– Zoology <input checked="" type="checkbox"/></li> <li>– Other (specify): Biology, Chemistry <input checked="" type="checkbox"/></li> <li>– No information <input type="checkbox"/></li> </ul>																																																											
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																																																										
9	If Yes, please give the species name and provide details of the kind of research involved.																																																											
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">Species name</th> <th style="width: 15%;">Populations</th> <th style="width: 15%;">Distribution</th> <th style="width: 10%;">Off take</th> <th style="width: 10%;">Legal trade</th> <th style="width: 10%;">Illegal trade</th> <th style="width: 15%;">Other (specify)</th> </tr> </thead> <tbody> <tr> <td><i>Lichenostomus melanops cassidix</i></td> <td style="text-align: center;">x</td> <td style="text-align: center;">x</td> <td></td> <td style="text-align: center;">x</td> <td style="text-align: center;">x</td> <td rowspan="4" style="vertical-align: top;">For Periodic Review of the Appendices – draft proposals provided to AC28</td> </tr> <tr> <td><i>Cyclopsitta diopthalma coxeni</i></td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> <td></td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> </tr> <tr> <td><i>Psephotus dissimilis</i></td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> <td></td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> </tr> <tr> <td><i>Ninox novaeseelandiae undulata</i></td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> <td></td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> </tr> <tr> <td><i>Sphyrna lewini</i>, <i>S. mokarran</i> and <i>S. zygaena</i></td> <td style="text-align: center;">X</td> <td style="text-align: center;">X</td> <td></td> <td style="text-align: center;">X</td> <td></td> <td rowspan="3" style="vertical-align: top;">Metadata review to support the making of non detriment findings</td> </tr> <tr> <td><i>Lamna nasus</i></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td><i>Carcharhinus longimanus</i></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>No information <input type="checkbox"/></td> </tr> </tbody> </table>	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	<i>Lichenostomus melanops cassidix</i>	x	x		x	x	For Periodic Review of the Appendices – draft proposals provided to AC28	<i>Cyclopsitta diopthalma coxeni</i>	X	X		X	X	<i>Psephotus dissimilis</i>	X	X		X	X	<i>Ninox novaeseelandiae undulata</i>	X	X		X	X	<i>Sphyrna lewini</i> , <i>S. mokarran</i> and <i>S. zygaena</i>	X	X		X		Metadata review to support the making of non detriment findings	<i>Lamna nasus</i>						<i>Carcharhinus longimanus</i>												No information <input type="checkbox"/>	
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						No information <input type="checkbox"/>																																																						
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>																																																										
11	Please provide details of any additional measures taken:																																																											

**D3 Enforcement Authorities**

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: Department of the Environment	
5	Please provide details of any additional measures taken:	

**D4 Communication, information management and exchange**

1	To what extent is CITES information computerized? <span style="float: right;">Tick if applicable</span>																													
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>																												
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>																												
	– Permit issuance	<input checked="" type="checkbox"/>																												
	– Not at all	<input type="checkbox"/>																												
	– Other (specify): Monitoring and reporting of permit applications, assessments and issuance.	<input checked="" type="checkbox"/>																												
2	Do the following authorities have access to the Internet? <span style="float: right;">Tick if applicable</span>																													
	<table border="1"> <thead> <tr> <th>Authority</th> <th>Yes, continuous and unrestricted</th> <th>Yes, but only through a dial-up connection</th> <th>Yes, but only through a different office</th> <th>Some offices only</th> <th>Not at all</th> <th>Please provide details where appropriate</th> </tr> </thead> <tbody> <tr> <td>Management Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Scientific Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Enforcement Authority</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Authority	Yes, continuous and unrestricted	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
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Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																									
3	Is there an electronic information system providing information on CITES species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>																												

4	If Yes, does it provide information on: <ul style="list-style-type: none"> <li data-bbox="345 226 998 262">– Legislation (national, regional or international)?</li> <li data-bbox="345 275 1084 310">– Conservation status (national, regional, international)?</li> <li data-bbox="345 323 703 359">– Other (please specify):</li> </ul>	Tick if applicable  <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
5	Is it available through the Internet:   Please provide URL: <a data-bbox="345 583 1036 619" href="http://www.environment.gov.au/biodiversity/wildlife-trade" style="color: blue; text-decoration: underline;">http://www.environment.gov.au/biodiversity/wildlife-trade</a>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>



6	Do the authorities indicated have access to the following publications?			Tick if applicable
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
7	If not, what problems have been encountered to access this information?			
8	Have Enforcement Authorities reported to the Management Authority on:			Tick if applicable
	– Mortality in transport?			<input type="checkbox"/>
	– Seizures and confiscations?			<input checked="" type="checkbox"/>
	– Discrepancies in number of items in permits and number of items actually traded?			<input type="checkbox"/>
Comments:				
9	Is there a government website with information on CITES and its requirements?		Yes	<input checked="" type="checkbox"/>
			No	<input type="checkbox"/>
		No information	<input type="checkbox"/>	
If Yes, please give the URL: <a href="http://www.environment.gov.au/biodiversity/wildlife-trade">http://www.environment.gov.au/biodiversity/wildlife-trade</a>				
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?			Tick if applicable
	– Press releases/conferences			<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances			<input checked="" type="checkbox"/>
	– Brochures, leaflets			<input checked="" type="checkbox"/>
	– Presentations			<input checked="" type="checkbox"/>
	– Displays			<input checked="" type="checkbox"/>
	– Information at border crossing points			<input checked="" type="checkbox"/>
	– Telephone hotline			<input checked="" type="checkbox"/>
	– Other (specify):			<input type="checkbox"/>
Please attach copies of any items. <b>See attached media releases.</b>				

11	<p>Please provide details of any additional measures taken:</p> <p>During 2013-2014, education and awareness raising activities regarding CITES requirements for international trade in CITES listed species were targeted at Australian auction houses. In particular, focus was given to requirements regarding proof of provenance for specimens such as rhino horn and elephant ivory. An analysis of illegal trade of wildlife products through online auction sites was also undertaken in collaboration with the International Fund for Animal Welfare Oceania.</p> <p>In November 2014, Australia, as the Chair of the Coalition Against Wildlife Trafficking (CAWT), coordinated an event at the IUCN World Parks Congress in Sydney, Australia. The 'Poaching from Parks – combating wildlife crime in protected areas' Congress Event was a market-place style event where respected international organisations showcased their work to combat wildlife crime. The event facilitated information and knowledge sharing between organisations and people involved in the prevention and prosecution of wildlife crime and management of protected areas.</p>
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**D5 Permitting and registration procedures**

1	<p>Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?</p> <p>If no, please provide details of any:</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>		
2	<p>To date, has your country developed written permit procedures for any of the following?</p>	Tick if applicable		
		Yes	No	No information
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3	Please indicate how many CITES documents were issued and denied in the two-year period? (Note that actual trade is reported in the Annual Report by some Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	1152 (238 MUP*) (914 SUP*)	280 (137 MUP*) (143 SUP*)	288 (201 MUP*) (87 SUP*)	80 (Pre-Convention and Certificate of Origin)  13871 (PBP*)	*SUP = Single Use Permit *MUP = Multiple Use Permits (one permit covers multiple consignments) *PBP = Personal Baggage Permits are required under domestic legislation for most CITES listed specimens carried as personal baggage.
	How many applications were denied because of serious omissions or misinformation?	4	1	0		
	Year 2	TBA	TBA	TBA	TBA	TBA
	How many documents were issued?	TBA	TBA	TBA	TBA	TBA
	How many applications were denied because of serious omissions or misinformation?	TBA	TBA	TBA	TBA	TBA
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation?				Yes	<input type="checkbox"/>
					No	<input checked="" type="checkbox"/>
					No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this.					

6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason			Yes	No	No information
	Technical violations			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify): Administrative errors			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas used as a management tool in the procedure for issuance of permits?			Yes	<input checked="" type="checkbox"/>	
				No	<input type="checkbox"/>	
				No information	<input type="checkbox"/>	
Comments:						
8	How many times has the Scientific Authority been requested to provide opinions? The Scientific Authority was approached by the Australian CITES Management Authority, other scientific authorities, government agencies, industry and researchers both formally and informally for opinions. These approaches occurred at least weekly.					
9	Has the MA charged fees for permit issuance, registration or related CITES activities?			Tick if applicable		
	– Issuance of CITES documents:			<input checked="" type="checkbox"/>		
	– Licensing or registration of operations that produce CITES species			<input checked="" type="checkbox"/>		
	– Harvesting of CITES-listed species			<input type="checkbox"/>		
	– Use of CITES-listed species			<input type="checkbox"/>		
	– Assignment of quotas for CITES-listed species			<input type="checkbox"/>		
	– Importing of CITES-listed species			<input checked="" type="checkbox"/>		
– Other (specify):			<input type="checkbox"/>			
10	If Yes, please provide the amounts of such fees.					
	Year	2013 (AUD\$)	2014 (AUD\$)			
	Single use import/export permits	\$30	\$61			
	Multiple use import/export permits	\$75	\$153			
	Facility assessment	\$150	\$306			
	Household pet import/export permit	\$150	\$306			
	Personal baggage permit	\$1	\$2			
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?			Tick if applicable		
	– Entirely			<input type="checkbox"/>		
	– Partly			<input type="checkbox"/>		
	– Not at all			<input type="checkbox"/>		
	– Not relevant			<input checked="" type="checkbox"/>		
Comments: Fees go into consolidated government revenue.						
12	Please provide details of any additional measures taken:					

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?					Tick if applicable	
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input checked="" type="checkbox"/>			
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>			
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerization	<input checked="" type="checkbox"/>			
Other (specify): <input type="checkbox"/>							
2	Have the CITES authorities received or benefited from any of the following capacity-building activities provided by external sources?					What were the external sources?	
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	CITES Secretariat
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Interpol
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

3	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities?	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Please tick boxes to indicate which target group and which activity.						
	Target group						
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Awareness-raising and education regarding permitting requirements.
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	December 2013 and February 2014 the CITES Scientific Authority of Australia in conjunction with New Zealand, TRAFFIC and ANCORS held workshops for CITES authorities in the Oceania region regarding the making of non detriment findings and implementation of newly listed shark and ray species.
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Awareness-raising and education of border authorities regarding domestic implementation of CITES requirements, including through a 24 hour manned telephone hotline.

Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Display of CITES listed specimens and educational material on cruise ships operating in the South Pacific region.
NGOs	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Collaborative investigation into illegal ivory trade.
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Confiscated specimens provided to scientific and museum facilities for education and research purposes.
4	Please provide details of any additional measures taken:					

**D7 Collaboration/cooperative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>					
		No	<input checked="" type="checkbox"/>					
		No information	<input type="checkbox"/>					
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicate the frequency of meetings or consultancies used by the Management Authority to ensure coordination among CITES authorities (e.g. other MAs, SAs, Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	As needed
	Consultations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	As needed

4	At the national level have there been any efforts to collaborate with:	Tick if applicable	Details if available
	Agencies for development and trade	<input checked="" type="checkbox"/>	Australian Department of Immigration and Border Protection; Department of Foreign Affairs and Trade; Department of Agriculture
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>	
	Local authorities or communities	<input checked="" type="checkbox"/>	
	Indigenous peoples	<input checked="" type="checkbox"/>	
	Trade or other private sector associations	<input checked="" type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	
Other (specify)	<input type="checkbox"/>		
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?	Tick if applicable	
	Scientific Authority	<input type="checkbox"/>	
	Customs	<input type="checkbox"/>	
	Police	<input type="checkbox"/>	
	Other border authorities (specify): Department of Agriculture (Biosecurity)	<input type="checkbox"/>	
	Other government agencies	<input type="checkbox"/>	
	Private sector bodies	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	
Other (specify):	<input type="checkbox"/>		
6	Have government staff participated in any regional activities related to CITES?	Tick if applicable	
	Workshops	<input checked="" type="checkbox"/>	
	Meetings	<input checked="" type="checkbox"/>	
	Other (specify):	<input type="checkbox"/>	
7	Has there been any effort to encourage any non-Party to accede to the Convention?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>



8	<p>If Yes, which one(s) and in what way?</p> <p>CITES information and awareness raising materials have been translated into Pacific Island nation languages for use by CITES and non-CITES Parties in the Oceania region. Non-Parties in the Oceania region were invited to regional CITES workshops and meetings to promote awareness of CITES and identify opportunities for addressing barriers to sustainable wildlife trade.</p>		
9	Has technical or financial assistance been provided to another country in relation to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
10	<p>If Yes, which country(ies) and what kind of assistance was provided?</p> <p>In March 2014, An Australian Government officer travelled to the Solomon Islands to provide technical assistance in relation to permit application and assessment processes.</p>		
11	Has any data been provided for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve coordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related conventions)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
14	<p>If Yes, please give a brief description.</p> <p>Australian CITES authorities work closely with the coordinators of Australian engagement in all other multilateral environment agreements to promote coordination, efficiency and consistency.</p>		
15	Please provide details of any additional measures taken:		

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerization	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>		

3	If Yes, which one(s) and what is the main difficulty?		
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5	<p>If Yes, please describe the constraint and the type of attention or assistance that is required.</p> <p><i>Permit validation</i></p> <p>Australia does not fully implement CITES Resolution Conf. 12.3 (Rev. CoP16). The Australian Department of Immigration and Border Protection undertakes export clearances in Australia by electronic means, in line with the best practice international border clearance processes. Intervention at Australia's borders is undertaken using a risk-based, intelligence-driven approach.</p>		
6	Have any measures, procedures or mechanisms been identified within the Convention that would benefit from review and/or simplification?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	<p>If Yes, please give a brief description.</p> <p><i>Non-detriment findings</i></p> <p>The development of non-detriment findings by a Party for migratory species and shared stocks would be assisted by the publication of information used by other range state Parties in making non-detriment findings for the same species. While CITES Parties are encouraged to share non-detriment findings and a number of non-detriment findings and case studies are made available through the CITES website, broader dissemination of research undertaken to support existing non-detriment findings would benefit the development of new non-detriment findings, particularly for migratory species and shared stocks.</p>		
8	Please provide details of any additional measures taken:		

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments referred to in the report. For convenience, these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed:	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C7	Significant seizure and confiscations of CITES specimens include the following:	Enclosed	<input checked="" type="checkbox"/>
	<ul style="list-style-type: none"> <li>• 21 December 2013, a conviction and fine for the attempted export of a large number of giant clams (<i>Tridacna gigas</i>) from Australia.</li> <li>• February 2014, seizure of a large quantity of ivory (estimated value AUD\$80,000).</li> <li>• 3 April 2014, sentencing for the attempt export of giant clams (<i>Tridacna gigas</i>) from Australia .</li> <li>• May 2014, a conviction on 24 charges and sentencing to 12 months imprisonment for illegal possession of CITES specimens.</li> <li>• 30 July 2014, a conviction, 3 month prison sentence and deportation for illegal importation of parrot eggs to Australia.</li> <li>• 29 September 2014, a conviction and fine for illegal possession of Asian arowana (<i>Scleropages formosus</i>).</li> </ul>	Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
D4(10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Comments		