

## BIENNIAL REPORT OF THE REPUBLIC OF UZBEKISTAN (2010-2011)

### A. General information

Party	Uzbekistan
Period covered in this report (e.g. 1 January 2003 to 31 December 2004)	1 January 2010 - 31 December 2011
Details of agency preparing this report	“DAVBIONAZORAT” (State Inspection on guards of the Wildlife and reserves) at the State Committee on Nature Protection 21 a Choshtepa str., 100049, Tashkent city, Uzbekistan
Contributing agencies, organizations or individuals	State agency

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input type="checkbox"/> Yes (partly) <input checked="" type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>																																																							
2	If any CITES-relevant legislation has been planned, drafted or enacted, please provide the following details: Title and date: <b>2011</b> Status: <b>in preparation</b> Brief description of contents: <b>Annex to the Resolution of the Cabinet of Ministers according implementation of CITES requirements</b>																																																								
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>																																																							
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input checked="" type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>																																																							
5	Which of the following issues are addressed by any stricter domestic measures adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable																																																								
		<table border="1"> <thead> <tr> <th rowspan="2">Issue</th> <th colspan="3">The conditions for:</th> <th colspan="3">The complete prohibition of:</th> </tr> <tr> <th>Yes</th> <th>No</th> <th>No information</th> <th>Yes</th> <th>No</th> <th>No information</th> </tr> </thead> <tbody> <tr> <td>Trade</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Taking</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Possession</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Transport</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>Other (specify)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td colspan="7">Additional comments</td> </tr> </tbody> </table>	Issue	The conditions for:			The complete prohibition of:			Yes	No	No information	Yes	No	No information	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Additional comments						
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Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>																																																			
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																			
Additional comments																																																									

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes	<input checked="" type="checkbox"/>
				No	<input type="checkbox"/>
			No information	<input type="checkbox"/>	
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Please provide details if available: <b>Suggested amending the Code "On Administrative Offences", in terms of increasing responsibility for the collection, maintenance and transport of species listed in the Appendices of CITES</b>					
9	Please provide details of any additional measures taken:				

### C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations. If available, please attach details.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input type="checkbox"/> Total seizures/confiscations  If possible, please specify per group of species or attach details.	Number <b>Testudo horsfieldii - 2000 in 2011</b> <b>Testudo horsfieldii - 850 in 2011</b> <b>Saiga tatarica (horn) - 67 in 2011</b> <b>Neofelis nebulosa – 2 in 2010</b> <b>Nycticebus pygmaeus – 3 in 2010</b> <b>Cacatua galerita -7 – in 2010</b> <b>Gracula religiosa – 10 – in 2010</b> <b>Cervus nippon (horns)– 1 in 2010</b>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens usually disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input checked="" type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>

	– Other (specify): <input checked="" type="checkbox"/>		
	<b>Comments: Transfer to special organizations that have the right to conduct foreign economic activity for the subsequent trade. These organizations must also be able to maintain confiscated animals.</b>		
11	Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>	
	<b>Comments: Information about the confiscation of Neofelis nebulosa was transferred to the Secretariat. The same announcement was made at CoP 15.</b>		
12	Have there been any cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
13	If Yes, please give a brief description:		
14	Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>	
	Comments:		
17	Please provide details of any additional measures taken:		

#### D. Administrative measures

##### D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.		
5	How many staff work in each MA? <b>2</b>		

6	Can you estimate the percentage of time they spend on CITES-related matters?  If yes, please give estimation:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)? – Administration – Biology – Economics/trade – Law/policy – Other (specify): – No information	Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved. <b>Identify ways of illegal transportation of saiga horns</b>	
10	Please provide details of any additional measures taken:	

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Is the designated Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s)? – Government institution – Academic or research institution – Permanent committee – Pool of individuals with certain expertise – Other (specify):	Tick if applicable <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5	How many staff work in each SA on CITES issues? <b>2</b>	
6	Can you estimate the percentage of time they spend on CITES-related matters?  If yes, please give estimation:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

7	What are the skills/expertise of staff within the SA(s)?						Tick if applicable	
	– Botany						<input checked="" type="checkbox"/>	
	– Ecology						<input checked="" type="checkbox"/>	
	– Fisheries						<input type="checkbox"/>	
	– Forestry						<input type="checkbox"/>	
	– Welfare						<input type="checkbox"/>	
	– Zoology						<input checked="" type="checkbox"/>	
	– Other (specify):						<input type="checkbox"/>	
– No information						<input type="checkbox"/>		
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?						Yes	<input checked="" type="checkbox"/>
							No	<input type="checkbox"/>
							No information	<input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.							
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	saiga	Ustyurt	Uzbekistan	x		x		
							No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?						Yes	<input type="checkbox"/>
							No	<input checked="" type="checkbox"/>
							No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken:							

**D3 Enforcement Authorities**

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?						Yes	<input type="checkbox"/>
							No	<input type="checkbox"/>
							No information	<input checked="" type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).							
3	Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?						Yes	<input type="checkbox"/>
							No	<input checked="" type="checkbox"/>
							Under consideration	<input type="checkbox"/>
							No information	<input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: <b>The State Committee for Nature Protection, "DAVBIONAZORAT" (State Bio Control)</b>							
5	Please provide details of any additional measures taken:							

**D4 Communication, information management and exchange**

1	To what extent is CITES information computerized?						Tick if applicable
	– Monitoring and reporting of data on legal trade						<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade						<input checked="" type="checkbox"/>
	– Permit issuance						<input checked="" type="checkbox"/>
	– Not at all						<input type="checkbox"/>
– Other (specify):						<input type="checkbox"/>	
2	Do the following authorities have access to the Internet?						Tick if applicable
	Authority	Yes, continuous and unrestricted	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	No information
3	Is there an electronic information system providing information on CITES species?						Yes <input type="checkbox"/>
							No <input checked="" type="checkbox"/>
							No information <input type="checkbox"/>
4	If Yes, does it provide information on:						Tick if applicable
	– Legislation (national, regional or international)?						<input type="checkbox"/>
	– Conservation status (national, regional, international)?						<input type="checkbox"/>
	– Other (please specify):						<input type="checkbox"/>
5	Is it available through the Internet:						Yes <input type="checkbox"/>
							No <input type="checkbox"/>
							Not applicable <input type="checkbox"/>
							No information <input type="checkbox"/>
	Please provide URL:						

6	Do the authorities indicated have access to the following publications?			Tick if applicable
	Publication	Management Authority	Scientific Authority	Enforcement Authority
	<i>2003 Checklist of CITES Species (book)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<i>2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If not, what problems have been encountered to access this information?			
8	Have Enforcement Authorities reported to the Management Authority on:			Tick if applicable
	– Mortality in transport?			<input type="checkbox"/>
	– Seizures and confiscations?			<input checked="" type="checkbox"/>
	– Discrepancies in number of items in permits and number of items actually traded?			<input type="checkbox"/>
Comments:				
9	Is there a government website with information on CITES and its requirements?		Yes	<input type="checkbox"/>
			No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>	
If Yes, please give the URL:				
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?			Tick if applicable
	– Press releases/conferences			<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances			<input checked="" type="checkbox"/>
	– Brochures, leaflets			<input checked="" type="checkbox"/>
	– Presentations			<input checked="" type="checkbox"/>
	– Displays			<input type="checkbox"/>
	– Information at border crossing points			<input type="checkbox"/>
	– Telephone hotline			<input type="checkbox"/>
	– Other (specify):			<input type="checkbox"/>
Please attach copies of any items. Poster for protection of Saiga antelope				
11	Please provide details of any additional measures taken:			



**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?			Yes	<input type="checkbox"/>	
				No	<input checked="" type="checkbox"/>	
				Not applicable	<input type="checkbox"/>	
			No information	<input type="checkbox"/>		
If no, please provide details of any:						
– Changes in permit format:						
– Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?			Tick if applicable		
		Yes	No	No information		
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued and denied in the two-year period? (Note that actual trade is reported in the Annual Report by some Parties. This question refers to issued documents).					
	Year 2010	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	15	126	9		Total 161 Used 150 Not realised 11
	How many applications were denied because of serious omissions or misinformation?					
	Year 2011					
	How many documents were issued?	17	137	5		Total 170 Used 159 Not realised 11
	How many applications were denied because of serious omissions or misinformation?					
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation?			Yes	<input type="checkbox"/>	
				No	<input checked="" type="checkbox"/>	
				No information	<input type="checkbox"/>	
5	If Yes, please give the reasons for this.					

6	Please give the reasons for rejection of CITES documents from other countries.			Tick if applicable		
	Reason			Yes	No	No information
	Technical violations			<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Suspected fraud			<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Insufficient basis for finding of non-detriment			<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Insufficient basis for finding of legal acquisition			<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other (specify):			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas used as a management tool in the procedure for issuance of permits?			Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
Comments: <b>For permission to harvesting must be obtain the conclusion of a special interdepartmental commission</b>						
8	How many times has the Scientific Authority been requested to provide opinions?					
9	Has the MA charged fees for permit issuance, registration or related CITES activities?					Tick if applicable
	– Issuance of CITES documents:					<input checked="" type="checkbox"/>
	– Licensing or registration of operations that produce CITES species					<input type="checkbox"/>
	– Harvesting of CITES-listed species					<input checked="" type="checkbox"/>
	– Use of CITES-listed species					<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species					<input type="checkbox"/>
	– Importing of CITES-listed species					<input type="checkbox"/>
– Other (specify):					<input type="checkbox"/>	
10	If Yes, please provide the amounts of such fees. <b>Approximately from 60 USD (in local currency) for citizenship till 100 USD for foreigner</b>					
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?					Tick if applicable
	– Entirely					<input type="checkbox"/>
	– Partly					<input checked="" type="checkbox"/>
	– Not at all					<input type="checkbox"/>
	– Not relevant					<input type="checkbox"/>
Comments:						
12	Please provide details of any additional measures taken:					

**D6 Capacity building**

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?					Tick if applicable
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input type="checkbox"/>		
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement	<input type="checkbox"/>		
	Development of implementation tools	<input type="checkbox"/>	Computerization	<input checked="" type="checkbox"/>		
Other (specify): <input type="checkbox"/>						
2	Have the CITES authorities received or benefited from any of the following capacity-building activities provided by external sources?					What were the external sources?
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	
	Target group					
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify): <input type="checkbox"/>						
3	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities?					Details
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	
	Target group					
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify): <input type="checkbox"/>						

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	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	Please provide details of any additional measures taken:					

**D7 Collaboration/cooperative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?		
3	If No, please indicate the frequency of meetings or consultancies used by the Management Authority to ensure coordination among CITES authorities (e.g. other MAs, SAs, Customs, police, others):		
		Daily	Weekly
		Monthly	Annually
		None	No information
		Other (specify)	
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input checked="" type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input checked="" type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
4	At the national level have there been any efforts to collaborate with:	Tick if applicable	Details if available
	Agencies for development and trade	<input type="checkbox"/>	
	Provincial, state or territorial authorities	<input type="checkbox"/>	
	Local authorities or communities	<input type="checkbox"/>	
	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	<input checked="" type="checkbox"/>	
	NGOs	<input checked="" type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?	Tick if applicable
	Scientific Authority	<input type="checkbox"/>
	Customs	<input type="checkbox"/>
	Police	<input type="checkbox"/>
	Other border authorities (specify):	<input type="checkbox"/>
	Other government agencies	<input type="checkbox"/>
	Private sector bodies	<input type="checkbox"/>
	NGOs	<input checked="" type="checkbox"/>
	Other (specify):	<input type="checkbox"/>
6	Have government staff participated in any regional activities related to CITES?	Tick if applicable
	Workshops	<input checked="" type="checkbox"/>
	Meetings	<input type="checkbox"/>
	Other (specify):	<input type="checkbox"/>
7	Has there been any effort to encourage any non-Party to accede to the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
8	If Yes, which one(s) and in what way? <b>Kyrgyztan (consultations)</b>	
9	Has technical or financial assistance been provided to another country in relation to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided? <b>Kazakhstan (Agreement between Uzbekistan and Kazakhstan on Saiga conservation)</b>	
11	Has any data been provided for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
12	If Yes, please give a brief description.	
13	Have measures been taken to achieve coordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related conventions)?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>
14	If Yes, please give a brief description.	
15	Please provide details of any additional measures taken:	

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerization	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input checked="" type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input checked="" type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Have any measures, procedures or mechanisms been identified within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input checked="" type="checkbox"/>	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments referred to in the report. For convenience, these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
	<b>Testudo horsfieldii - 2000 in 2011</b>	Not available	<input type="checkbox"/>
	<b>Testudo horsfieldii - 850 in 2011</b>	Not relevant	<input type="checkbox"/>
	<b>Saiga tatarica (horn) - 67 in 2010</b>		
	<b>Neofelis nebulosa – 2 in 2010</b>		
	<b>Nycticebus pygmaeus – 3 in 2010</b>		
	<b>Cacatua galerita -7 in 2010</b>		
	<b>Gracula religiosa – 10 in 2010</b>		
	<b>Cervus nippon (horns)– 1 in 2010</b>		
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
	<b>Uncia uncia. The court decided to pay the fine.</b>	Not available	<input type="checkbox"/>
	<b>Testudo horsfieldii. Case goes to trial.</b>	Not relevant	<input type="checkbox"/>
	<b>Saiga tatarica (horn). At the stage of the investigation.</b>		
D4(10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	<b>Comments Forthcoming second determinant of species included in the CITES Uzbek and Russian languages. Posters are ready for re-introduction of the turtles into the wild, posters on the Saiga, brochures, and presentations on the protection and restoration of species included in the CITES (Saiga tatarica, Testudo horsfieldii, hubara bustard)</b>		

Tashkent, 30<sup>th</sup> October 2012. The report is prepared by:

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Chief of CITES MA of Uzbekistan  
Chief of “DAVBIONAZORAT”

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## ANNEX 1. Text of the National Legislation of the CITES implementation in Uzbekistan.

In 2004 were prepared the Resolution of the Cabinet of Ministers of the Republic of Uzbekistan from 28/10/2004 No.508 "On strengthening the control over rational use of biological resources, their imports and exports outside the Republic of Uzbekistan". We present the extract on the question of implementation the Convention clauses into Nature protection legislation of the Republic of Uzbekistan (Article VII):

### VII. Order and procedure of issuing permits for importing and/or exporting of CITES species

41. Permits for transporting in as well as out of Uzbekistan of the species listed in Annex I, II, or III of the Convention on International Trade of Endangered Species (CITES) are issued to legal and physical entities by the Management Authority of CITES in Uzbekistan. The Management Authority of CITES in Uzbekistan is the State inspection on guards and rational use of Animal and Vegetative world of the State Committee on Nature Protection of the Republic of Uzbekistan (GosBioControl – "DAVBIONAZORAT"). The Management Authority acts in accordance with the requirements of CITES.

42. The Scientific Authority of CITES in Uzbekistan is the Institute of Zoology and the Institute of Botany of the Academy of Sciences of the Republic of Uzbekistan. Its functions include:

- providing consultations to the Management Authority on issues pertaining to transporting in and out of the republic of the species listed in Annex I, II, or III of CITES;

- conclusions as to the importing/exporting of sample animal and plant species;

- conclusions as to the readiness of a state to receive sample animal and plant species;

- conclusions as to the conditions of keeping animals;

- conclusions as to the registration of collections and nurseries.

43. In order to obtain a permission from CITES to transport in or out of the republic (export, re-export, import) any of the species listed in Annex I, II, or III of CITES, it is necessary to submit an application to the Scientific and Administrative bodies of CITES, containing the following information:

the purpose of export, re-export, import (such as commercial operations, scientific research, exchange between zoological parks and museums, hunting trophies, gifts, etc.);

the name of the transported species, with full Russian and Latin names;

the description of the sample (such as live animals, hides, stuffed animals, bodies, bones, horns, fangs, blood, eggs, as well as objects made of any of the above, etc.; for live animals it is necessary to indicate their sex and age and presence of identifying marks);

the quantity or weight of samples;

the origin of the sample (whether it is from wild nature, bred or grown in artificial conditions, imported from another country (indicate documentary basis), confiscated, purchased, received as a gift, inherited, etc.);

the time frames and the customs checkpoint of entry/exit of the sample, means of transportation, the itinerary;

for live animals – a confirmation of compliance of the transportation to the relevant rules of CITES and, in case of air transport, of IATA, for transporting live animals;

the conclusion of the Scientific Authority of CITES in Uzbekistan;

the legal addresses (for physical persons – home addresses and passport data) of the exporter (re-exporter) and importer in Russian and English, their contact phones and fax numbers.

44. The following documents can count as evidence of legality of obtaining, acquiring, handling, or owning a sample:

a letter of entitlement to handle or use the sample;

a copy of a permit for obtaining animal objects;

a copy of a permit for obtaining biological resources of water sources;

an excerpt from the herd-book containing the place and date of birth, livestock numbers and nicknames, pedigree information of at least two generations or more, if needed;

a sales receipt, if the sample had been purchased in the trading network;

primary legal documents confirming the right of ownership and/or sales contract, will, or gift certificate;

a resolution of relevant authorities on confiscation of the sample and/or confiscation certificate by the Management Authority of CITES in Uzbekistan;

an excerpt from the inventory books of museums and other collecting organizations;

the original of permission by the Management Authority of CITES of the home country of the exporter or, in case the exporting country is not a CITES party, by a relevant state authority.

45. In exceptional cases dictated by the necessity of adhering to the stipulations of the Convention and conservation of animals, the Management Authority of CITES in Uzbekistan can request additional information related to the transport of the species or samples in and out of the country.

46. The Management Authority of CITES in Uzbekistan reviews the application and attached documentation, consulting the Secretary of CITES in cases stipulated by CITES, and issues a permit or a substantiated denial within 30 days.

The permit is valid for a period of 6 months since the date of issue, unless the permit is issued for mobile exhibitions or circuses, in which case it is issued for 12 months.

A standard fee is collected for the issuing of permits.

47. Each permit is issued on a standard form in two copies, with the first copy bearing protective marking, is signed by an empowered officer, and sealed by the seal of the Management Authority of CITES. Each sample shipment is issued a separate permit.

48. Completed permits are either issued to the applicant in person, with the applicant's signature entered in the permit issuance register, or mailed to the applicant upon confirmation of payment of fees.

49. The transporting of samples is effected in agreement with the specified time frame and through the customs checkpoint named in the permit.

The original of the permit with protective markings accompanies the sample.

The original of the CITES permit for the transported samples is submitted to the Management Authority of CITES and kept on file.

50. If for any reason the permit is not used within the indicated period of time, it is to be unconditionally returned to the Management Authority of CITES.

At the present time are developing the separate regulations on the implementation of the CITES Convention based on the documents which sent from the CITES Secretariat. Unfortunately, the process of taking new normative documents is rather long, as it takes much coordination with different organizations and departments.