A. General information

<table>
<thead>
<tr>
<th>Party</th>
<th>China</th>
</tr>
</thead>
<tbody>
<tr>
<td>Period covered in this report:</td>
<td>1 January 2003 to 31 December 2004</td>
</tr>
<tr>
<td>Details of agency preparing this report</td>
<td>CITES Management Authority of China</td>
</tr>
<tr>
<td>Contributing agencies, organizations or individuals</td>
<td></td>
</tr>
</tbody>
</table>

B. Legislative and regulatory measures

| | 1 Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? | Yes (fully) | Yes (partly) | No |
| | If yes, ignore questions 2, 3 and 4. | No information/unknown |
| | 2 If any CITES-relevant legislation has been planned, drafted or enacted, please provide the following details: | |
| | Title and date: | Status: |
| | Brief description of contents: | |
| | 3 Is enacted legislation available in one of the working languages of the Convention? | Yes | No | No information |
| | 4 If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted. | legislation attached provided previously not available, will send later |
| | 5 Which of the following issues are addressed by any stricter domestic measures adopted for CITES-listed species (in accordance with Article XIV of the Convention)? | Tick all applicable |
| | The conditions for: | The complete prohibition of: |
| | | Yes | No | No information | Yes | No | No information |
| | Trade |  | | |  |  |  |
| | Taking |  | | |  |  |  |
| | Possession |  | | |  |  |  |
| | Transport |  | | |  |  |  |
| | Other (specify) |  | | |  |  |  |
| | Additional comments |  | | |  |  |  |
6 What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? Tick all applicable items:

<table>
<thead>
<tr>
<th>Item</th>
<th>Adequate</th>
<th>Partially Inadequate</th>
<th>Inadequate</th>
<th>No information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Powers of CITES authorities</td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Clarity of legal obligations</td>
<td>☒</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Control over CITES trade</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Consistency with existing policy on wildlife management and use</td>
<td>☒</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Coverage of law for all types of offences</td>
<td>☒</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Coverage of law for all types of penalties</td>
<td>☒</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Implementing regulations</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Coherence within legislation</td>
<td>☒</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other (please specify):</td>
<td></td>
<td></td>
<td></td>
<td>☒</td>
</tr>
</tbody>
</table>

Please provide details if available:

7 If no review or assessment has taken place, is one planned for the next reporting period? Yes ☐ No ☐ No information ☐

Please provide details if available:

8 Has there been any review of legislation on the following subjects in relation to implementation of the Convention? Tick all applicable subjects:

<table>
<thead>
<tr>
<th>Subject</th>
<th>Yes</th>
<th>No</th>
<th>No information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Access to or ownership of natural resources</td>
<td>☒</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Harvesting</td>
<td>☒</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transporting of live specimens</td>
<td></td>
<td></td>
<td>☒</td>
</tr>
<tr>
<td>Handling and housing of live specimens</td>
<td></td>
<td></td>
<td>☒</td>
</tr>
</tbody>
</table>

Please provide details if available:

9 Please provide details of any additional measures taken:
C. Compliance and enforcement measures

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
<th>No information</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Have any of the following compliance monitoring operations been undertaken?</td>
<td></td>
<td></td>
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<tr>
<td>Review of reports and other information provided by traders and producers:</td>
<td>☒</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Inspections of traders, producers, markets</td>
<td>☒</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Border controls</td>
<td>☒</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Other (specify)</td>
<td>☐</td>
<td>☐</td>
<td>☒</td>
</tr>
<tr>
<td>2. Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?</td>
<td>☒</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>3. If Yes, please indicate how many and for what types of violations? If available, please attach details.</td>
<td></td>
<td></td>
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<tr>
<td>4. Have any significant seizures, confiscations and forfeitures of CITES specimens been made?</td>
<td>☒</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>5. If information available:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>□ Significant seizures/confiscations</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>□ Total seizures/confiscations</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Information had been provided to the CITES Secretariat in a manner of case by case previously</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. Have there been any criminal prosecutions of significant CITES-related violations?</td>
<td>☒</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>7. If Yes, how many and for what types of violations? If available, please attach details as Annex.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Have there been any other court actions of CITES-related violations?</td>
<td>☒</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>9. If Yes, what were the violations involved and what were the results? Please attach details as Annex.</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>10. How were the confiscated specimens usually disposed of?</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>− Return to country of export</td>
<td>☐</td>
<td></td>
<td></td>
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<tr>
<td>− Public zoos or botanical gardens</td>
<td>☒</td>
<td></td>
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<tr>
<td>− Designated rescue centres</td>
<td>☒</td>
<td></td>
<td></td>
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<tr>
<td>− Approved, private facilities</td>
<td>☐</td>
<td></td>
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<tr>
<td>− Euthanasia</td>
<td>☐</td>
<td></td>
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<tr>
<td>− Other (specify)</td>
<td>☒</td>
<td></td>
<td></td>
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<tr>
<td>Comments:</td>
<td></td>
<td></td>
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<tr>
<td>11</td>
<td>Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td></td>
<td></td>
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<tr>
<td>12</td>
<td>Have there been any cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td></td>
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<tr>
<td>13</td>
<td>If Yes, please give a brief description:</td>
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<tr>
<td>14</td>
<td>Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td></td>
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<tr>
<td>15</td>
<td>If Yes, please describe:</td>
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<td></td>
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<td></td>
</tr>
<tr>
<td>16</td>
<td>Has there been any review or assessment of CITES-related enforcement?</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td></td>
<td></td>
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<tr>
<td>17</td>
<td>Please provide details of any additional measures taken:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### D. Administrative measures

**D1 Management Authority (MA)**

<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Have there been any changes in the designation of or contact information for the MA(s) which are not yet reflected in the CITES Directory?</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>2</td>
<td>If Yes, please use the opportunity to provide those changes here.</td>
<td></td>
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<td></td>
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<tr>
<td>3</td>
<td>If there is more than one MA in your country, has a lead MA been designated?</td>
<td>Yes</td>
<td>No</td>
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<tr>
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<tr>
<td>4</td>
<td>If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. <em>Information had been provided previously to the CITES Secretariat</em></td>
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<tr>
<td>5</td>
<td>How many staff work in each MA?</td>
<td>130</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Question</td>
<td>Yes</td>
<td>No</td>
</tr>
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<td>--------------------------------------------------------------------------</td>
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</tr>
<tr>
<td>6</td>
<td>Can you estimate the percentage of time they spend on CITES-related matters?</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>If yes, please give estimation. Fully work for CITES matters</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>What are the skills/expertise of staff within the MA(s)? Tick if applicable</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>– Administration</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>– Biology</td>
<td></td>
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</tr>
<tr>
<td></td>
<td>– Economics/trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>– Law/policy</td>
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<td></td>
</tr>
<tr>
<td></td>
<td>– Other (specify)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>– No information</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?</td>
<td>Yes</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td>No</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>No information</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>If Yes, please give the species name and provide details of the kind of research involved.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>Please provide details of any additional measures taken:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**D2 Scientific Authority (SA)**

<table>
<thead>
<tr>
<th></th>
<th>Question</th>
<th>Yes</th>
<th>No</th>
<th>No information</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>No</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>No information</td>
<td></td>
<td></td>
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<tr>
<td>2</td>
<td>If Yes, please use the opportunity to provide those changes here.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Is the designated Scientific Authority independent from the Management Authority?</td>
<td>Yes</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>No</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>No information</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>What is the structure of the SA(s)? Tick if applicable</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>– Government institution</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>– Academic or research institution</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>– Permanent committee</td>
<td></td>
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<tr>
<td></td>
<td>– Pool of individuals with certain expertise</td>
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<tr>
<td></td>
<td>– Other (specify)</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>5</td>
<td>How many staff work in each SA on CITES issues?</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Can you estimate the percentage of time they spend on CITES-related matters? If yes, please give estimation</td>
<td>Yes</td>
<td>No</td>
<td>No information</td>
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<tr>
<td>6</td>
<td></td>
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</tr>
<tr>
<td>7</td>
<td>What are the skills/expertise of staff within the SA(s)?</td>
<td>Botany</td>
<td>Ecology</td>
<td>Fisheries</td>
</tr>
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<td></td>
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<tr>
<td>8</td>
<td>Have any research activities been undertaken by the SA(s) in relation to CITES species?</td>
<td>Yes</td>
<td>No</td>
<td>No information</td>
</tr>
<tr>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>If Yes, please give the species name and provide details of the kind of research involved.</td>
<td>Species name</td>
<td>Populations</td>
<td>Distribution</td>
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<td>3</td>
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<td></td>
<td>etc.</td>
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</tr>
<tr>
<td>10</td>
<td>Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?</td>
<td>Yes</td>
<td>No</td>
<td>No information</td>
</tr>
<tr>
<td>11</td>
<td>Please provide details of any additional measures taken:</td>
<td></td>
<td></td>
<td></td>
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</tbody>
</table>
**D3 Enforcement Authorities**

<table>
<thead>
<tr>
<th></th>
<th>Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?</th>
<th>Yes</th>
<th>No</th>
<th>No information</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>No</td>
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<tr>
<td></td>
<td>Under consideration</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>No information</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2. If No, please designate them here (with address, phone, fax and email).

3. Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor’s office)?
   - Yes
   - No
   - Under consideration
   - No information

4. If Yes, please state which is the lead agency for enforcement:

5. Please provide details of any additional measures taken:

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**D4 Communication, information management and exchange**

<table>
<thead>
<tr>
<th></th>
<th>To what extent is CITES information computerized?</th>
<th>Tick if applicable</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>- Monitoring and reporting of data on legal trade</td>
<td>☒</td>
</tr>
<tr>
<td></td>
<td>- Monitoring and reporting of data on illegal trade</td>
<td>☒</td>
</tr>
<tr>
<td></td>
<td>- Permit issuance</td>
<td>☒</td>
</tr>
<tr>
<td></td>
<td>- Not at all</td>
<td></td>
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<tr>
<td></td>
<td>- Other (specify)</td>
<td></td>
</tr>
</tbody>
</table>

2. Do the following authorities have access to the Internet?

<table>
<thead>
<tr>
<th>Authority</th>
<th>Yes, continuous and unrestricted</th>
<th>Yes, but only through a dial-up connection</th>
<th>Yes, but only through a different office</th>
<th>Some offices only</th>
<th>Not at all</th>
<th>Please provide details where appropriate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Management Authority</td>
<td>☒</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td>Scientific Authority</td>
<td>☒</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td>Enforcement Authority</td>
<td>☒</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Question</td>
<td>Yes</td>
<td>No</td>
<td>No Information</td>
<td></td>
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<td></td>
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</tr>
<tr>
<td>3</td>
<td>Is there an electronic information system providing information on CITES species?</td>
<td>Yes</td>
<td>No</td>
<td>No Information</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>If Yes, does it provide information on:</td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td></td>
<td>- Legislation (national, regional or international)?</td>
<td>Yes</td>
<td>No</td>
<td>No Information</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Conservation status (national, regional, international)?</td>
<td>Yes</td>
<td>No</td>
<td>No Information</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Other (please specify)?</td>
<td>Yes</td>
<td>No</td>
<td>No Information</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Is it available through the Internet:</td>
<td>Yes</td>
<td>No</td>
<td>No Information</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Please provide URL: <a href="http://www.cites.gov.cn">http://www.cites.gov.cn</a></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Do the authorities indicated have access to the following publications?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Publication</td>
<td>Management Authority</td>
<td>Scientific Authority</td>
<td>Enforcement Authority</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>[2003 Checklist of CITES Species (book)]</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>[2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)]</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Identification Manual</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>CITES Handbook</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>If not, what problems have been encountered to access this information?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Have enforcement authorities reported to the Management Authority on:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Mortality in transport?</td>
<td>No</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Seizures and confiscations?</td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Discrepancies in number of items in permits and number of items actually traded?</td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Comments:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Is there a government website with information on CITES and its requirements?</td>
<td>Yes</td>
<td>No</td>
<td>No Information</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>If Yes, please give the URL: <a href="http://www.cites.gov.cn">http://www.cites.gov.cn</a></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
10 Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention’s requirements to the wider public? Tick if applicable

- Press releases/conferences
- Newspaper articles, radio/television appearances
- Brochures, leaflets
- Presentations
- Displays
- Information at border crossing points
- Telephone hotline
- Other (specify)

Please attach copies of any items.

11 Please provide details of any additional measures taken:

**D5 Permitting and registration procedures**

<table>
<thead>
<tr>
<th></th>
<th>Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?</th>
<th>Yes</th>
<th>No</th>
<th>Not applicable</th>
<th>No information</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>If no, please provide details of any:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Changes in permit format:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Changes in designation or signatures of relevant officials:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>To date, has your country developed written permit procedures for any of the following?</th>
<th>Yes</th>
<th>No</th>
<th>No information</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Permit issuance/acceptance</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Registration of traders</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Registration of producers</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

|   | |
|---|---|---|---|
|   | Yes | No | No information |
|   | Yes | No | No information |
|   | Yes | No | No information |
3 Please indicate how many CITES documents were issued and denied in the two year period? (Note that actual trade is reported in the Annual Report by some Parties. This question refers to issued documents). No Information

<table>
<thead>
<tr>
<th>Year 1</th>
<th>Import or introduction from the sea</th>
<th>Export</th>
<th>Re-export</th>
<th>Other</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>How many documents were issued?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>How many applications were denied because of serious omissions or misinformation?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Year 2</th>
<th>Import or introduction from the sea</th>
<th>Export</th>
<th>Re-export</th>
<th>Other</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>How many documents were issued?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>How many applications were denied because of serious omissions or misinformation?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4 Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation? Yes ☒ No ☒ No information ☐

5 If Yes, please give the reasons for this.

6 Please give the reasons for rejection of CITES documents from other countries.

<table>
<thead>
<tr>
<th>Reason</th>
<th>Yes</th>
<th>No</th>
<th>No information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Technical violations</td>
<td>☒</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Suspected fraud</td>
<td>☒</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Insufficient basis for finding of non-detriment</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Insufficient basis for finding of legal acquisition</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other (specify)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

7 Are harvest and/or export quotas as a management tool in the procedure for issuance of permits? Yes ☒ No ☒ No information ☐

8 How many times has the Scientific Authority been requested to provide opinions? No Information
<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>Has the MA charged fees for permit issuance, registration or related CITES activities?</td>
<td>Tick if applicable</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Issuance of CITES documents:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Licensing or registration of operations that produce CITES species:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Harvesting of CITES-listed species:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Use of CITES-listed species:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Assignment of quotas for CITES-listed species:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Importing of CITES-listed species:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Other (specify):</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>If Yes, please provide the amounts of such fees.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>About 8 Million US Dollars</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>Have revenues from fees been used for the implementation of CITES or wildlife conservation?</td>
<td>Tick if applicable</td>
</tr>
<tr>
<td></td>
<td>- Entirely:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Partly:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Not at all:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Not relevant:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Comments:</td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>Please provide details of any additional measures taken:</td>
<td></td>
</tr>
</tbody>
</table>

**D6 Capacity building**

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?</td>
<td>Tick if applicable</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Increased budget for activities</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Hiring of more staff</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Development of implementation tools</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Other (specify)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Improvement of national networks</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Purchase of technical equipment for monitoring/enforcement</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Computerization</td>
<td></td>
</tr>
</tbody>
</table>
2. Have the CITES authorities received or benefited from any of the following capacity building activities provided by external sources? Please tick boxes to indicate which target group and which activity.

<table>
<thead>
<tr>
<th>Target group</th>
<th>Oral or written advice/guidance</th>
<th>Technical assistance</th>
<th>Financial assistance</th>
<th>Training</th>
<th>Other (specify)</th>
<th>What were the external sources?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Staff of Management Authority</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>From NGOs</td>
</tr>
<tr>
<td>Staff of Scientific Authority</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>From NGOs</td>
</tr>
<tr>
<td>Staff of enforcement authorities</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>From NGOs</td>
</tr>
<tr>
<td>Traders</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>From NGOs</td>
</tr>
<tr>
<td>NGOs</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>From NGOs</td>
</tr>
<tr>
<td>Public</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>From NGOs</td>
</tr>
<tr>
<td>Other (specify)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>From NGOs</td>
</tr>
</tbody>
</table>

3. Have the CITES authorities been the providers of any of the following capacity building activities? Please tick boxes to indicate which target group and which activity.

<table>
<thead>
<tr>
<th>Target group</th>
<th>Oral or written advice/guidance</th>
<th>Technical assistance</th>
<th>Financial assistance</th>
<th>Training</th>
<th>Other (specify)</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Staff of Management Authority</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Staff of Scientific Authority</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Staff of enforcement authorities</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
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<tr>
<td>Traders</td>
<td></td>
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<td></td>
<td></td>
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<tr>
<td>NGOs</td>
<td></td>
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<td></td>
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<tr>
<td>Public</td>
<td></td>
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<td></td>
<td></td>
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<tr>
<td>Other (specify)</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other parties/International meetings</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Other (specify)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4. Please provide details of any additional measures taken:
### D7 Collaboration/co-operative initiatives

<table>
<thead>
<tr>
<th></th>
<th>Question</th>
<th>Answer</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Is there an inter-agency or inter-sectoral committee on CITES?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>No</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>No information</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>If Yes, which agencies are represented and how often does it meet?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>If No, please indicated the frequency of meetings or consultancies used by the Management Authority to ensure co-ordination among CITES authorities (e.g. other MAs, SAs, Customs, police, others):</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Daily</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Weekly</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Monthly</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Annually</td>
<td>✗</td>
<td></td>
</tr>
<tr>
<td></td>
<td>None</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>No information</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other (specify)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>At the national level have there been any efforts to collaborate with:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agencies for development and trade</td>
<td>✗</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Provincial, state or territorial authorities</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Local authorities or communities</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Indigenous peoples</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Trade or other private sector associations</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>NGOs</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other (specify)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Scientific Authority</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Customs</td>
<td></td>
<td>✗</td>
</tr>
<tr>
<td></td>
<td>Police</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other border authorities (specify)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other government agencies</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Private sector bodies</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>NGOs</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other (specify)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
6 Have Government staff participated in any regional activities related to CITES? Tick if applicable
   Workshops ✗
   Meetings ✗
   Other (specify)

7 Has there been any effort to encourage any non-Party to accede to the Convention?
   Yes ✗
   No
   No information

8 If Yes, which one(s) and in what way? Lao

9 Has technical or financial assistance been provided to another country in relation to CITES?
   Yes ✗
   No
   No information

10 If Yes, which country(ies) and what kind of assistance was provided? Lao, training

11 Has any data been provided for inclusion in the CITES Identification Manual?
   Yes ✗
   No
   No information

12 If Yes, please give a brief description. For Huamei and several species of turtles

13 Have measures been taken to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?
   Yes
   No ✗
   No information

14 If Yes, please give a brief description.

15 Please provide details of any additional measures taken:

---

**D8 Areas for future work**

<table>
<thead>
<tr>
<th>Activity</th>
<th>High</th>
<th>Medium</th>
<th>Low</th>
</tr>
</thead>
<tbody>
<tr>
<td>Increased budget for activities</td>
<td>✗</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hiring of more staff</td>
<td></td>
<td></td>
<td>✗</td>
</tr>
<tr>
<td>Development of implementation tools</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Improvement of national networks</td>
<td></td>
<td>✗</td>
<td></td>
</tr>
<tr>
<td>Purchase of new technical equipment for monitoring and enforcement</td>
<td></td>
<td></td>
<td>✗</td>
</tr>
<tr>
<td>Computerization</td>
<td></td>
<td></td>
<td>✗</td>
</tr>
<tr>
<td>Other (specify)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Question</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>---</td>
<td>--------------------------------------------------------------------------</td>
<td>-------</td>
<td>------</td>
</tr>
<tr>
<td>2</td>
<td>Were any difficulties encountered in implementing specific Resolutions</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td></td>
<td>or Decisions adopted by the Conference of the Parties?</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>No information</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>If Yes, which one(s) and what is the main difficulty? <strong>Too many</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Have any constraints to implementation of the Convention arisen in your</td>
<td></td>
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<tr>
<td></td>
<td>country requiring attention or assistance?</td>
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<tr>
<td>5</td>
<td>If Yes, please describe the constraint and the type of attention or</td>
<td></td>
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</tr>
<tr>
<td></td>
<td>assistance that is required.</td>
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<td>6</td>
<td>Have any measures, procedures or mechanisms been identified within the</td>
<td></td>
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<tr>
<td></td>
<td>Convention that would benefit from review and/or simplification?</td>
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<td>7</td>
<td>If Yes, please give a brief description.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Please provide details of any additional measures taken:</td>
<td></td>
<td></td>
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</tbody>
</table>
E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

<table>
<thead>
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<th>Question</th>
<th>Item</th>
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<th>Not available</th>
<th>Not relevant</th>
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</thead>
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<td>C3</td>
<td>Details of violations and administrative measures imposed</td>
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<td>Not relevant</td>
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<td>C5</td>
<td>Details of specimens seized, confiscated or forfeited</td>
<td>Enclosed</td>
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<td>Not relevant</td>
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<tr>
<td>C7</td>
<td>Details of violations and results of prosecutions</td>
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<td>Not available</td>
<td>Not relevant</td>
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<tr>
<td>C9</td>
<td>Details of violations and results of court actions</td>
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<td>Not relevant</td>
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<tr>
<td>D4(10)</td>
<td>Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes</td>
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<td>Not relevant</td>
</tr>
<tr>
<td></td>
<td>Comments</td>
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