



## NOTIFICATION TO THE PARTIES

### A. General information

Party	Australia
Period covered in this report:	1 January 2003 to 31 December 2004
Details of agency preparing this report	Department of the Environment and Heritage Australian CITES Management and Scientific Authorities
Contributing agencies, organizations or individuals	

### B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully)	<input checked="" type="checkbox"/>					
		Yes (partly)	<input type="checkbox"/>					
		No	<input type="checkbox"/>					
		No information/unknown	<input type="checkbox"/>					
2	If any CITES-relevant legislation has been planned, drafted or enacted, please provide the following details:	Title and date:	Status:					
		Brief description of contents:						
3	Is enacted legislation available in one of the working languages of the Convention?	Yes	<input checked="" type="checkbox"/>					
		No	<input type="checkbox"/>					
		No information	<input type="checkbox"/>					
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached	<input type="checkbox"/>					
		provided previously	<input checked="" type="checkbox"/>					
		not available, will send later	<input type="checkbox"/>					
		See websites	<input type="checkbox"/>					
5	Which of the following issues are addressed by any stricter domestic measures adopted for CITES-listed species (in accordance with Article XIV of the Convention)?	Tick all applicable						
		The conditions for:			The complete prohibition of:			
		Issue	Yes	No	No information	Yes	No	No information
		Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

	Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Additional comments						
	All elephants and elephant products are considered to be Appendix I.						
6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?					Tick all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information		
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Please provide details if available: The Australian CITES Management and Scientific Authorities are committed to continuous improvement and have ongoing reviews of processes. A formal review of Australia's <i>Environment Protection and Biodiversity Conservation Act 1999</i> will be undertaken before 2010.						
7	If no review or assessment has taken place, is one planned for the next reporting period?					Yes	<input checked="" type="checkbox"/>
						No	<input type="checkbox"/>
						No information	<input type="checkbox"/>
	Please provide details if available: Minor legislative amendments are planned to streamline and strengthen some provisions						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?					Tick all applicable	
	Subject	Yes	No	No information			
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
	Please provide details if available:						
9	Please provide details of any additional measures taken:						

## C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify) TRAFFIC Oceania has undertaken consultancies to review the exotic bird trade and trade in cuscus/Varanus	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details. 45 charges have been laid in the 2003-2004 calendar years – ranging from fines to imprisonment.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details.	< see 2003 and 2004 CITES annual reports >		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? 4 prosecutions:			
	<b>Possession of CITES listed specimen:</b>			
	10 July 2003	Possession of 1 Turtle.	Fine \$500.00 AUD	
	18 July 2003	Import 2 Boa Snakes	Fine \$750.00 AUD	
	20 January 2004	Possession of 86 snakes.	Fine \$4300.00 AUD	
	<b>Import of CITES listed specimen:</b>			
	18 November 2003	Import body pack - 10 Parrot eggs	Fine \$2000.00 AUD 6 Months imprisonment – released good behaviour 12 months	
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.		
10	How were the confiscated specimens usually disposed of?	Tick if applicable	
	- Return to country of export		<input type="checkbox"/>
	- Public zoos or botanical gardens		<input checked="" type="checkbox"/>
	- Designated rescue centres		<input type="checkbox"/>
	- Approved, private facilities		<input checked="" type="checkbox"/>
	- Euthanasia		<input checked="" type="checkbox"/>
	- Other (specify)		<input type="checkbox"/>
Comments: Specimens are disposed of in accordance with the principles outlined in Resolution Conf. 10.7.			
11	Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
		Comments:	
12	Have there been any cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
13	The Department of the Environment and Heritage has established a relationship with the Australian Customs Service with a Senior Customs Analyst out-posted to the Department for intelligence management. International and national information and intelligence sharing occurs regularly. A number of intelligence-led investigations have commenced, with the cooperation of overseas agencies.		
14	Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
		In response to a need being identified for increased resources to tackle wildlife crime, a specialised enforcement unit has been established within the Department. The unit is staffed with personnel with significant law enforcement experience.	

17	Please provide details of any additional measures taken:
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#### D. Administrative measures

##### *D1 Management Authority (MA)*

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.			
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.			
5	How many staff work in each MA? 13			
6	Can you estimate the percentage of time they spend on CITES-related matters? If yes, please give estimation: 50%	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable		
	– Administration	<input checked="" type="checkbox"/>		
	– Biology	<input checked="" type="checkbox"/>		
	– Economics/trade	<input checked="" type="checkbox"/>		
	– Law/policy	<input checked="" type="checkbox"/>		
	– Other (specify): Forestry, Environmental Management	<input checked="" type="checkbox"/>		
	– No information	<input type="checkbox"/>		
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.			
10	Please provide details of any additional measures taken:			

**D2 Scientific Authority (SA)**

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Is the designated Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s)?	Tick if applicable	
	- Government institution		<input checked="" type="checkbox"/>
	- Academic or research institution		<input type="checkbox"/>
	- Permanent committee		<input type="checkbox"/>
	- Pool of individuals with certain expertise		<input type="checkbox"/>
	- Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? 10.5		
6	Can you estimate the percentage of time they spend on CITES-related matters If yes, please give estimation: 50%	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable	
	- Botany		<input checked="" type="checkbox"/>
	- Ecology		<input checked="" type="checkbox"/>
	- Fisheries		<input checked="" type="checkbox"/>
	- Forestry		<input checked="" type="checkbox"/>
	- Welfare		<input checked="" type="checkbox"/>
	- Zoology		<input checked="" type="checkbox"/>
	- Other (specify): Law, entomology		<input checked="" type="checkbox"/>
	- No information		<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	<i>Elephas maximus</i>						Captive breeding/welfare
	Flora spp.	Australian					Sustainable harvest assessments
	Great white shark <i>Carcharodon carcharias</i> :						<ul style="list-style-type: none"> <li>Investigating site fidelity, residence times and home range patterns of white sharks around pinniped colonies (R-Code acoustic tagging + Vemco VR2 acoustic listening stations). A final report from this project will be available shortly from <a href="http://www.deh.gov.au">www.deh.gov.au</a>.</li> <li>Identifying movements and habitats of white sharks and grey nurse sharks. A final report from this project will be available shortly from <a href="http://www.deh.gov.au">www.deh.gov.au</a>.</li> <li>Trial DNA testing of shark products imported into and exported from Australia to detect the presence of Great White Shark. This project was started in 2004 and has recently been finalised. A final report, including procedural manual, is available from <a href="http://www.deh.gov.au/coasts/publications/dna-testing-shark/index.html">http://www.deh.gov.au/coasts/publications/dna-testing-shark/index.html</a></li> </ul>
	Whale shark <i>Rhincodon typus</i>						<ul style="list-style-type: none"> <li>Investigating Whale Shark Critical Habitats and Movements Patterns within Australian Waters – Pop-up archival tagging study.</li> <li>A research project for the years 2004-06 into the migration and behaviour of whale sharks in Ningaloo Marine Park (Australia’s largest known aggregation site for whale sharks) was initiated. Results from current tagging activities are available from <a href="http://www.cmar.csiro.au/research/sharks/whale/index.html">http://www.cmar.csiro.au/research/sharks/whale/index.html</a>.</li> </ul>
	Great white shark, whale shark, and basking shark <i>Cetorhinus maximus</i>						<ul style="list-style-type: none"> <li>Development of standardised identification guides for CITES-listed shark species. This project was started in 2004 and is anticipated to be completed in 2006.</li> </ul>
The following table provides information on Major research projects on CITES-listed cetaceans for the years 2004-2005 funded by the Australian Government:							
	Whale species	Research focus					
	Blue	<ul style="list-style-type: none"> <li>Determination of distribution and abundance in Bonney Upwelling (Victoria) and Perth Canyon (Western Australia) - two known feeding areas from December to April each year.</li> <li>Distribution details for blue whales in Geographe Bay, Western Australia from October to December each year.</li> </ul>					
	Humpback	<ul style="list-style-type: none"> <li>Survey and analysis for both east and west coast population abundance.</li> <li>Population comparisons from east and west coasts to determine level of interchange between these two groups.</li> </ul>					
	Southern right	<ul style="list-style-type: none"> <li>Collection of information on distribution and abundance around southern coastline, life history data and usage of habitat (includes first surveys of Tasmania).</li> </ul>					
	Sperm	<ul style="list-style-type: none"> <li>Determination of best method of estimating Australian sperm whale population.</li> </ul>					
	Inshore dolphins	<ul style="list-style-type: none"> <li>Estimation of level of biologically sustainable removal rate for inshore populations of dolphins in South Australian waters.</li> <li>Determination of methods to estimate abundance and trends in populations of inshore dolphins.</li> </ul>					
	General	<ul style="list-style-type: none"> <li>National disentanglement training.</li> <li>Development of sightings and strandings data bases.</li> <li>Development of National biological sampling protocol for stranded cetaceans.</li> <li>Revision of Guidelines on the application of the <i>Environment Protection and Biodiversity Conservation Act</i> to interactions between offshore seismic operations and larger cetaceans.</li> </ul>					

	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?	No
10	Please provide details of any additional measures taken: At CITES CoP13, the Governments of Australia and Madagascar successfully nominated the great white shark to CITES Appendix II, making it the third shark species listed on the Convention.	
11		

**D3 Enforcement Authorities**

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).			
3	Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Under consideration <input type="checkbox"/>
			No information <input type="checkbox"/>	
4	If Yes, please state which is the lead agency for enforcement: Department of the Environment and Heritage			
5	Please provide details of any additional measures taken: The Department's Environment Investigations Unit is in the process of reworking a series of formal working relationships with Commonwealth and State agencies, with the view of accrediting state-based officers to enforce CITES. This has been instrumental in raising the profile of wildlife crime issues amongst the Australian Federal Police.			

**D4 Communication, information management and exchange**

1	To what extent is CITES information computerized?	Tick if applicable
	- Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	- Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	- Permit issuance	<input checked="" type="checkbox"/>
	- Not at all	<input type="checkbox"/>
	- Other (specify): Scientific exchange, approval of artificial propagation and captive breeding programs	<input checked="" type="checkbox"/>



2	Do the following authorities have access to the Internet?					Tick if applicable			
	Authority	Yes, continuous and unrestricted	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate		
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Australian Customs Service also has access		
3	Is there an electronic information system providing information on CITES species?					Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>	
4	If Yes, does it provide information on:					Tick if applicable			
	– Legislation (national, regional or international)?					<input checked="" type="checkbox"/>			
	– Conservation status (national, regional, international)?					<input checked="" type="checkbox"/>			
	– Other (please specify)?					<input type="checkbox"/>			
5	Is it available through the Internet:					Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Not applicable <input type="checkbox"/>	No information <input type="checkbox"/>
	Please provide URL: <a href="http://www.deh.gov.au/biodiversity/trade-use/lists/index.html">http://www.deh.gov.au/biodiversity/trade-use/lists/index.html</a>								
6	Do the authorities indicated have access to the following publications?					Tick if applicable			
	Publication	Management Authority	Scientific Authority	Enforcement Authority					
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					

7	If not, what problems have been encountered to access this information?		
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable	
	- Mortality in transport?		<input checked="" type="checkbox"/>
	- Seizures and confiscations?		<input checked="" type="checkbox"/>
	- Discrepancies in number of items in permits and number of items actually traded?		<input checked="" type="checkbox"/>
	Comments:		
9	Is there a government website with information on CITES and its requirements?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If Yes, please give the URL: <a href="http://www.deh.gov.au/biodiversity/trade-use/index.html">www.deh.gov.au/biodiversity/trade-use/index.html</a>		
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	- Press releases/conferences		<input checked="" type="checkbox"/>
	- Newspaper articles, radio/television appearances		<input checked="" type="checkbox"/>
	- Brochures, leaflets		<input checked="" type="checkbox"/>
	- Presentations		<input checked="" type="checkbox"/>
	- Displays		<input checked="" type="checkbox"/>
	- Information at border crossing points		<input checked="" type="checkbox"/>
	- Telephone hotline		<input checked="" type="checkbox"/>
	- Other (specify)		<input type="checkbox"/>
	Please attach copies of any items.		
11	Please provide details of any additional measures taken:		

**D5 Permitting and registration procedures**

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If no, please provide details of any:		
	Changes in permit format:		
	Changes in designation or signatures of relevant officials:		
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable	
		Yes	No
		No information	

	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued and denied in the two year period? (Note that actual trade is reported in the Annual Report by some Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?					< as detailed in annual report >
	How many applications were denied because of serious omissions or misinformation?	2				
	Year 2					
	How many documents were issued?					< as detailed in annual report >
	How many applications were denied because of serious omissions or misinformation?	2				
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation?					Yes <input type="checkbox"/>
						No <input checked="" type="checkbox"/>
						No information <input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.					Tick if applicable
	Reason	Yes	No	No information		
	Technical violations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Suspected fraud	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?					Yes <input checked="" type="checkbox"/>
						No <input type="checkbox"/>
						No information <input type="checkbox"/>
	Comments					
8	How many times has the Scientific Authority been requested to provide opinions? Engagement with MA occurs on a daily basis					

9	<p>Has the MA charged fees for permit issuance, registration or related CITES activities?</p> <ul style="list-style-type: none"> <li>- Issuance of CITES documents: <input checked="" type="checkbox"/></li> <li>- Licensing or registration of operations that produce CITES species: <input type="checkbox"/></li> <li>- Harvesting of CITES-listed species : <input type="checkbox"/></li> <li>- Use of CITES-listed species: <input type="checkbox"/></li> <li>- Assignment of quotas for CITES-listed species: <input type="checkbox"/></li> <li>- Importing of CITES-listed species: <input type="checkbox"/></li> <li>- Other (specify): <input type="checkbox"/></li> </ul>	Tick if applicable
10	<p>If Yes, please provide the amounts of such fees.</p> <ul style="list-style-type: none"> <li>• Pre-CITES and certificates of origin: no fee.</li> <li>• Import/ export/ re-export permits: \$1 - \$75, depending on commodity, purpose and duration</li> </ul>	
11	<p>Have revenues from fees been used for the implementation of CITES or wildlife conservation?</p> <ul style="list-style-type: none"> <li>- Entirely: <input checked="" type="checkbox"/></li> <li>- Partly: <input type="checkbox"/></li> <li>- Not at all: <input type="checkbox"/></li> <li>- Not relevant: <input type="checkbox"/></li> </ul> <p>Comments:</p>	Tick if applicable
12	Please provide details of any additional measures taken:	

**D6 Capacity building**

1	<p>Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?</p>		Tick if applicable
	Increased budget for activities	<input checked="" type="checkbox"/>	Improvement of national networks <input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	Computerization <input checked="" type="checkbox"/>
	- Other (specify)		<input type="checkbox"/>

2	Have the CITES authorities received or benefited from any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
		Target group					
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	CITES Secretariat and a range of other providers CITES Secretariat and a range of other providers including zoos, museums, CSIRO
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

3	Have the CITES authorities been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Training/assistance provided to traders, Customs and other stakeholders
	Staff of Scientific Authority	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Standard information products, as well as individually tailored advice, have been provided to all these groups
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Assistance has been provided to Oceania parties	
Other (specify) Customs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken:						

**D7 Collaboration/co-operative initiatives**

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?		

3	If No, please indicated the frequency of meetings or consultancies used by the Management Authority to ensure co-ordination among CITES authorities (e.g. other MAs, SAs, Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consultations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Regular consultation occurs between MAs and SAs
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input checked="" type="checkbox"/>		Customs
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		
	Local authorities or communities					<input checked="" type="checkbox"/>		
	Indigenous peoples					<input checked="" type="checkbox"/>		
	Trade or other private sector associations					<input checked="" type="checkbox"/>		
	NGOs					<input checked="" type="checkbox"/>		
Other (specify)					<input type="checkbox"/>			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?						Tick if applicable	
	Scientific Authority						<input type="checkbox"/>	
	Customs						<input checked="" type="checkbox"/>	
	Police						<input checked="" type="checkbox"/>	
	Other border authorities (specify) – quarantine officers						<input checked="" type="checkbox"/>	
	Other government agencies						<input type="checkbox"/>	
	Private sector bodies						<input type="checkbox"/>	
	NGOs						<input type="checkbox"/>	
Other (specify)						<input type="checkbox"/>		
6	Have Government staff participated in any regional activities related to CITES?						Tick if applicable	
	Workshops						<input checked="" type="checkbox"/>	
	Meetings						<input checked="" type="checkbox"/>	
	Other : Placement of government employees in Regional Management Authorities						<input checked="" type="checkbox"/>	

7	Has there been any effort to encourage any non-Party to accede to the Convention?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
8	If Yes, which one(s) and in what way? Palau and Solomon Islands. Encouragement at regional meetings			
9	Has technical or financial assistance been provided to another country in relation to CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided? Technical assistance has been provided to Papua New Guinea and Fiji.			
11	Has any data been provided for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
12	If Yes, please give a brief description.			
13	Have measures been taken to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
14	If Yes, please give a brief description. Ongoing communication occurs within the agency with areas that deal with other conventions, including CBD, CMS, etc			
15	Please provide details of any additional measures taken:			

**D8 Areas for future work**

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?				
	Activity	High	Medium	Low	
	Increased budget for activities		<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Computerization	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
2	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>



3	If Yes, which one(s) and what is the main difficulty? Interpretation of the new definition of artificial propagation, and the new orchid exemptions – their application is not clear						
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance? <table style="float: right; border: none;"> <tr> <td style="padding-right: 10px;">Yes</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td style="padding-right: 10px;">No</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="padding-right: 10px;">No information</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table>	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>	No information	<input type="checkbox"/>
Yes	<input type="checkbox"/>						
No	<input checked="" type="checkbox"/>						
No information	<input type="checkbox"/>						
5	If Yes, please describe the constraint and the type of attention or assistance that is required.						
6	Have any measures, procedures or mechanisms been identified within the Convention that would benefit from review and/or simplification? <table style="float: right; border: none;"> <tr> <td style="padding-right: 10px;">Yes</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="padding-right: 10px;">No</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td style="padding-right: 10px;">No information</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table>	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	No information	<input type="checkbox"/>
Yes	<input checked="" type="checkbox"/>						
No	<input type="checkbox"/>						
No information	<input type="checkbox"/>						
7	If Yes, please give a brief description. Clarification of the definition of artificial propagation and the orchid exemptions						
8	Please provide details of any additional measures taken:						

### E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

**Comments:** The check-box format was useful but the numbering format was difficult to follow (continuous numbering would have been more helpful). Many of the questions are beyond the scope of CITES, with the issues raised being more a matter of national jurisdiction and not within the bounds of CITES decision making (for example 'number of staff employed'). Question D8 relating to areas for future work would be more useful if asking Parties to identify areas in which they require assistance or advice.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C7	Details of violations and results of prosecutions (Contained in the body of the report)	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions (Contained in the body of the report)	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4(10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Comments		