

**Reprint  
as at 26 March 2015**



**Trade in Endangered Species Act  
1989**

Public Act    1989 No 18  
Date of assent    28 April 1989  
Commencement    see section 1(2)

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**Note**

Changes authorised by subpart 2 of Part 2 of the Legislation Act 2012 have been made in this official reprint.

Note 4 at the end of this reprint provides a list of the amendments incorporated.

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**Schedule 1**

**Species endangered by trade**

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**An Act to further the protection and conservation of endangered species of wild fauna and flora by regulating the export and import of such species and any product derived from those species**

**1 Short Title and commencement**

- (1) This Act may be cited as the Trade in Endangered Species Act 1989.
- (2) This Act shall come into force on 1 June 1989.

**2 Object of Act**

The object of this Act is to enable New Zealand to fulfil its obligations under the Convention on International Trade in Endangered Species of Wild Fauna and Flora and to promote the management, conservation, and protection of endangered, threatened, and exploited species to further enhance the survival of those species.

**3 Interpretation**

- (1) In this Act, unless the context otherwise requires,—

**aerodrome** means any defined area of land or water intended or designed to be used either wholly or partly for the landing, departure, movement, and servicing of aircraft, and includes any buildings, installations, and equipment on or adjacent to any such area used in connection with the aerodrome or its administration

**aircraft** means any machine that can derive support in the atmosphere from the reaction of the air

**animal** means any member of the animal kingdom, including without limitation any mammal, fish, bird, amphibian, reptile, mollusc, arthropod, or other invertebrate, but does not include human beings

**Convention** means the Convention on International Trade in Endangered Species of Wild Fauna and Flora signed at Washington, DC, on 3 March 1973

**cruel treatment**, in relation to any animal, means the infliction upon the animal of pain or suffering that in its kind or degree,

or in its object, or in the circumstances in which it is inflicted, is unreasonable or unnecessary

**Department** means the Department of Conservation

**Director-General** means the Director-General of Conservation

**dwellinghouse** means any building or part of a building that is suitable for residential accommodation of any kind; and includes every garage, shed, and other building used in connection therewith, but does not include the land appurtenant to a dwellinghouse

**endangered species** means any species endangered by trade, being the species specified in Schedule 1

**exploited species** means any species exploited by trade, being the species specified in Schedule 3

**export** means the shipment of any specimen in any vehicle to a country outside New Zealand; but does not include the re-export of any specimen or the shipment in transit of any specimen outside New Zealand

**import** means the shipment of any specimen in any vehicle into the territorial limits of New Zealand; but does not include the introduction from the sea of any specimen or the shipment in transit of any specimen into the territorial limits of New Zealand

**introduce from the sea** means introduce into New Zealand a specimen from any marine environment not under the jurisdiction of any country

**management authority** means,—

- (a) in relation to New Zealand, the Director-General; and
- (b) in relation to any other country, the management authority appointed by that country for the purposes of the Convention

**Minister** means the Minister of Conservation

**officer** means an Endangered Species Officer declared or appointed as such under section 35

**personal or household effect** means any article of household or personal use or ornament

**plant** means any angiosperm, gymnosperm, fern, or fern ally; and includes any moss, liverwort, alga, including cyanophyte, lichen, fungus, or related organism

**port** means any defined area of land and water intended or designed to be used either wholly or partly for the berthing, departure, movement, and servicing of ships; and includes any buildings, installations, and equipment on or adjacent to any such area used in connection with the port or its administration

**re-export** means the export of any specimen that has previously been imported, whether or not in the same form as at the time of its importation

**scientific authority** means a scientific authority specified in section 7

**ship** means any kind of vessel used in navigation, not propelled by oars only

**species** means any species, subspecies, variety, form, or geographically separate population thereof

**specimen** means—

- (a) any animal or plant, whether alive or dead; or
- (b) any recognisable part or derivative thereof

**threatened species** means any species threatened by trade, being the species specified in Schedule 2

**trade** means export, import, re-export, or introduce from the sea

**vehicle** means any means of transport, whether or not self-propelled, that may travel by land, sea, or air; and includes any aircraft or ship.

- (2) Every specimen of an endangered species,—
  - (a) in the case of an animal, bred in captivity; or
  - (b) in the case of a plant, artificially propagated—shall, for the purposes of this Act, be deemed a specimen of a threatened species.
- (3) Any reference to the appropriate management or scientific authority shall mean the management or scientific authority determined by the Director-General to have statutory responsibility for or the scientific competence to comment on the species under consideration.

- (4) Any reference to the relevant authority of a country shall be read as a reference,—
- (a) where the country is a party to the Convention, to the appropriate management authority of that country; or
  - (b) where the country is not a party to the Convention, to the competent authorities of that country within the meaning of Article X of the Convention.
- (5) For the avoidance of doubt, it is declared that sections 17 to 20 apply to specimens of endangered species that are deemed, by virtue of subsection (2), to be specimens of threatened species.
- Section 3(5): added, on 14 May 1998, by section 2 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

**4 Act to bind the Crown**  
This Act shall bind the Crown.

**5 No derogation from other enactments**  
The provisions of this Act are in addition to and not in substitution for the provisions of any other Acts in relation to the export or import of, or trade in, any goods, and do not affect the exercise of any power in those Acts in relation to any item of goods.

*Administration*

**6 Administration of Act**  
Subject to the control of the Minister, the Director-General shall be responsible for the general administration of this Act.

**7 Scientific authorities**

(1) For the purposes of this Act the Minister shall appoint a committee, to be known as the Scientific Authorities Committee, which shall consist of representatives of—

- (a) the Department of Conservation;
- (b) such Crown Research Institute (within the meaning of the Crown Research Institutes Act 1992) as the Minister from time to time determines;
- (c) the Ministry of Agriculture and Forestry:

- (d) the Ministry of Fisheries or the National Institute of Water and Atmospheric Research, or both, as the Minister determines:
  - (e) such other person or body that the Minister determines,—  
and shall be a scientific authority for the purposes of this Act.
- (2) The Scientific Authorities Committee may co-opt additional members and may delegate its function as a scientific authority to any subcommittee consisting of 1 or more members of the Committee, including co-opted members.
- (3) Subject to this Act, the Committee may regulate its procedure in such manner as it thinks fit.

Section 7(1)(b): substituted, on 1 July 1992, by section 46(1) of the Crown Research Institutes Act 1992 (1992 No 47).

Section 7(1)(c): substituted, on 7 May 1999, by section 2 of the Trade in Endangered Species Amendment Act 1999 (1999 No 50).

Section 7(1)(d): substituted, on 7 May 1999, by section 2 of the Trade in Endangered Species Amendment Act 1999 (1999 No 50).

Section 7(1)(e): substituted, on 7 May 1999, by section 2 of the Trade in Endangered Species Amendment Act 1999 (1999 No 50).

## **8 Minister's general powers**

In addition to any other powers in this Act, the Minister shall have the following powers:

- (a) to conduct research and investigations into and surveys of species in New Zealand—
  - (i) that are, or are likely to become, threatened with extinction; or
  - (ii) the existence of which is likely to be affected,—  
by trade in specimens of those species:
- (b) to disseminate information relating to the import and export of endangered, threatened, and exploited species.

**Part 1**  
**Trade in endangered, threatened, and  
exploited species**

- 9 Trade in endangered, threatened, or exploited species**  
Subject to Part 2, no person shall trade in any specimen of an endangered, threatened, or exploited species into or from New Zealand, except pursuant to the appropriate permit or certificate granted under this Part.
- 10 Application for permit or certificate**
- (1) Every person who proposes to trade in any specimen of an endangered, threatened, or exploited species shall apply in writing to the Director-General for the appropriate permit or certificate that, if granted, would authorise that trade.
  - (2) Every application shall specify—
    - (a) the full name and address of the applicant;
    - (b) the type of trade to which the application relates;
    - (c) the species and the number of specimens of that species to be traded;
    - (d) the country to or from which the specimens are to be conveyed.
  - (3) Except as the Director-General may decide, a separate application shall be required for each consignment of specimens of an endangered, threatened, or exploited species.
  - (4) No person shall make an application under this section to trade in any specimen of an endangered, threatened, or exploited species where trade in that specimen is subject to controls under any other Act or regulations, unless authorisation in respect of such trade has first been obtained under that Act or those regulations.
  - (5) Every applicant for a permit or certificate shall furnish to the Director-General, in addition to the particulars required under subsection (2), such further information as the Director-General may require.
  - (5A) Without limiting the generality of subsection (5), every applicant to whom that subsection applies must include, with every application for the export or re-export of a specimen in respect of which application the Director-General has required

any analysis to be carried out pursuant to section 43A, the results of such analysis.

- (6) Every applicant for a permit or certificate shall pay the prescribed fee.

Section 10(5A): inserted, on 14 May 1998, by section 3 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

## **11 Grant of permits and certificates**

- (1) Every permit or certificate granted under sections 13 to 24 shall relate to a specific application.
- (2) The Director-General may, at the Director-General's discretion, having regard to sections 13 to 24,—
- (a) grant such permit or certificate in respect of all or any of the specimens included in any application; or
  - (b) decline to grant any such application.
- (3) Where the Director-General is of the opinion that a permit or certificate should be declined or should be issued subject to conditions, the Director-General shall inform the applicant accordingly, giving the grounds on which the opinion is based, and invite the applicant to make submissions on the matter before the application is formally dealt with.
- (4) The Director-General may grant a permit or certificate either unconditionally or subject to such conditions as the Director-General may specify.
- (5) Every such permit or certificate shall be in the form issued by the Department.
- (6) Every such permit or certificate may be revoked or varied at any time by the Director-General in any case where the Director-General is satisfied that the conditions in the permit or certificate have not been complied with, or can only be met by varying the terms of the permit or certificate.
- (7) Any person granted a permit or certificate may at any time surrender it by forwarding a written note to that effect, together with the permit or certificate, to the Director-General.

## **12 Appeals to District Court on question of law**

- (1) Any applicant for a permit or certificate who is dissatisfied with any decision of the Director-General under section 11 on

a question of law may, within 1 month after notice of that decision has been received by the applicant, appeal to the District Court against that decision.

- (2) Every appeal under this section shall be heard and determined in accordance with rules of court and this section.
- (3) The court shall, as soon as practicable, hear the appeal, and may confirm, reverse, or modify the decision of the Director-General, or may refer the matter back to the Director-General in accordance with rules of court, and may give any decision that the Director-General could have given in respect of the matter.
- (4) Nothing in this section shall give the court power to review any part of the Director-General's decision other than the part against which the appellant has appealed.
- (5) Subject to any order of the court, every decision of the Director-General against which an appeal is lodged shall continue in force and have effect according to its tenor pending the determination of the appeal.
- (6) On any appeal under this section, the court may make an order for the payment by the Director-General, or by the appellant, of the costs incurred in respect of the appeal by the other party to the appeal.

*Authority to trade in endangered species*

**13 Permit to export endangered species**

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a permit to export any specimen of an endangered species to an applicant, if those authorities are satisfied that—

- (a) the export of that specimen is not detrimental to the survival of that species; and
- (b) that specimen was not obtained in contravention of any Act; and
- (c) that specimen is to be prepared and shipped so that the risk of—
  - (i) injury to the specimen; and
  - (ii) adverse effect on the health of the specimen; and

- (iii) in the case of an animal, cruel treatment of the specimen,—  
is minimised; and
- (d) permission to import that specimen has been granted by the relevant authority of the country of import.

#### **14 Permit to import endangered species**

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a permit to import any specimen of an endangered species to an applicant, if those authorities are satisfied that—

- (a) the import of that specimen is for purposes that are not detrimental to the survival of that species; and
- (b) the proposed recipient of that specimen is suitably equipped to house and care for it; and
- (c) that specimen is not to be used primarily for commercial purposes; and
- (d) permission to export or re-export that specimen will be granted by the relevant authority of the country of export or re-export.

Section 14(d): amended, on 14 May 1998, by section 4 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

#### **15 Certificate to re-export endangered species**

The Director-General may, after consultation with the appropriate other management authorities grant a certificate to re-export any specimen of an endangered species to an applicant, if those authorities are satisfied that,—

- (a) in the case of that specimen being imported—
  - (i) before the commencement of this Act, that specimen was not imported in contravention of any Act; or
  - (ii) after the commencement of this Act, that specimen was imported in accordance with this Act; and
- (b) that specimen is to be prepared and shipped so that the risk of—
  - (i) injury to the specimen; and
  - (ii) adverse effect on the health of the specimen; and

- (iii) in the case of an animal, cruel treatment of the specimen—  
is minimised; and
- (c) permission to import that specimen has been granted by the relevant authority of the country of import.

**16 Certificate to introduce from the sea endangered species**

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a certificate to introduce into New Zealand from the sea any specimen of an endangered species to an applicant, if those authorities are satisfied that—

- (a) the introduction of that specimen is not detrimental to the survival of that species; and
- (b) that specimen is to be handled so that the risk of—
  - (i) injury to the specimen; and
  - (ii) adverse effect on the health of the specimen; and
  - (iii) in the case of an animal, cruel treatment of the specimen,—  
is minimised; and
- (c) the proposed recipient of that specimen is suitably equipped to house and care for it; and
- (d) that specimen is not to be used primarily for commercial purposes; and
- (e) that specimen was not obtained in contravention of any Act.

*Authority to trade in threatened species*

**17 Permit to export threatened species**

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a permit to export any specimen of a threatened species to an applicant, if those authorities are satisfied that—

- (a) the export of that specimen is not detrimental to the survival of that species; and
- (b) that specimen was not obtained in contravention of any Act; and
- (c) that specimen will be prepared and shipped so that the risk of—

- (i) injury to the specimen; and
  - (ii) adverse effect on the health of the specimen; and
  - (iii) in the case of an animal, cruel treatment of the specimen,—
- is minimised.

### **18 Permit to import threatened species**

Any person who proposes to import any specimen of a threatened species must present, before or at the time of import of the specimen, a permit to export or a certificate to re-export issued by the relevant authority of the country of export or re-export.

Section 18: substituted, on 14 May 1998, by section 5 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

### **19 Certificate to re-export threatened species**

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a certificate to re-export any specimen of a threatened species to an applicant, if those authorities are satisfied that,—

- (a) in the case of that specimen being imported,—
  - (i) before the commencement of this Act, that specimen was not imported in contravention of any Act; or
  - (ii) after the commencement of this Act, that specimen was imported in accordance with this Act; and
- (b) that specimen will be prepared and shipped so that the risk of—
  - (i) injury to the specimen; and
  - (ii) adverse effect on the health of the specimen; and
  - (iii) in the case of an animal, cruel treatment of the specimen,—is minimised.

### **20 Certificate to introduce from the sea threatened species**

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a certificate to introduce from the sea any specimen of a

threatened species to an applicant, if those authorities are satisfied that—

- (a) the introduction of that specimen is not detrimental to the survival of that species; and
- (b) that specimen is to be handled so that the risk of—
  - (i) injury to the specimen; and
  - (ii) adverse effect on the health of the specimen; and
  - (iii) in the case of an animal, cruel treatment of the specimen,—is minimised; and
- (c) that specimen was not obtained in contravention of any Act.

*Authority to trade in exploited species*

**21 Permit to export exploited species**

- (1) Where an application relates to any exploited species specified in any Order in Council made pursuant to subsection (2), the Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a permit to export any specimen of that exploited species to the applicant, if those authorities are satisfied that—
  - (a) that specimen was not obtained in contravention of any Act; and
  - (b) that specimen is to be prepared and shipped so that the risk of—
    - (i) injury to the specimen; and
    - (ii) adverse effect on the health of the specimen; and
    - (iii) in the case of an animal, cruel treatment of the specimen—is minimised.
- (2) The Governor-General may, from time to time, by Order in Council, specify any exploited species that have been included in Appendix III of the Convention at the request of the New Zealand Government.
- (3) In the case of any exploited species to which subsection (1) does not apply, the Director-General may, after consultation with the appropriate management and scientific authorities,

grant a certificate of origin in respect of any specimen of that exploited species to an applicant.

**22 Permit to import exploited species**

Any person who proposes to import any specimen of an exploited species must present, before or at the time of import of the specimen, a permit to export or a certificate to re-export issued by the relevant authority of the country of export or re-export.

Section 22: substituted, on 14 May 1998, by section 6 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

**23 Certificate to re-export exploited species**

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a certificate to re-export any specimen of an exploited species to an applicant.

**24 Certificate to introduce from the sea exploited species**

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a certificate to introduce from the sea any specimen of an exploited species to an applicant.

*Permits and certificates*

**25 Effect of permits and certificates**

- (1) Except as the Director-General may determine, a permit or certificate shall authorise the holder to undertake on 1 occasion the type of trade to which the permit or certificate relates in only the specimen or specimens of endangered, threatened, or exploited species specified in that permit or certificate.
- (2) Every permit or certificate granted under any of sections 13 to 24 shall come into force on the date on which it was granted.
- (3) Notwithstanding subsection (2), every permit or certificate shall remain in force for a period of 6 months, or such lesser period as may be specified, unless it is sooner revoked or surrendered.

- (4) A permit or certificate shall be personal to the holder, and shall not be transferable to or vest by operation of law in any person other than the holder.

**26 Permit or certificate to be produced**

For the purposes of this Act, where the holder of a permit or certificate undertakes the trade in accordance with that permit or certificate, the holder shall—

- (a) before, in the case of exporting or re-exporting; or  
(b) before or at the time of importation or introduction, in the case of importing or introducing from the sea—

produce the permit or certificate, or cause the permit or certificate to be produced, to an Endangered Species Officer, being an officer of Customs or, if no officer of Customs is available, to any other Endangered Species Officer.

**27 Control of arrivals from overseas**

- (1) Every person shall, on arriving in New Zealand, permit an Customs officer or any other Endangered Species Officer to inspect and examine any specimen of an endangered, threatened, or exploited species in that person's possession as part of that person's personal effects or baggage, and shall afford to that officer all reasonable facilities and assistance in carrying out any such inspection and examination, and shall produce any permit or certificate granted under this Part or Part 2 in respect of that specimen.
- (2) Subject to section 30, where any person is found to be in possession of any specimen of an endangered, threatened, or exploited species, or a specimen that an Endangered Species Officer has reasonable cause to believe or suspect may be of an endangered, threatened, or exploited species, and has not been granted a permit or certificate in respect of that specimen under this Part or Part 2, that person shall—
- (a) surrender the specimen to an officer; and  
(b) state to the officer his or her full name; and  
(c) in the case of—  
(i) New Zealand citizens and permanent residents, state his or her address:

- (ii) visitors to this country, state an address at which he or she may be contacted and his or her permanent address outside New Zealand—

where that person has voluntarily disclosed the presence of the specimen to the officer as soon as practicable after the specimen arrives in New Zealand, for the purposes of this Act, he or she shall be taken not to have imported that specimen.

- (3) Any specimen surrendered to an officer under subsection (2) shall be conveyed to any institution or place considered by the Director-General appropriate for the holding of that specimen.
- (4) If any specimen surrendered to an officer under subsection (2) is subsequently ascertained not to be a specimen of an endangered, threatened, or exploited species, it shall be released to the person who surrendered the specimen.

Section 27(1): amended, on 1 October 1996, by section 289(1) of the Customs and Excise Act 1996 (1996 No 27).

Section 27(1): amended, on 12 August 1991, by section 2 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

## **28 Disposal and release of specimens seized from arrivals from overseas**

- (1) Where any New Zealand citizen, person resident in New Zealand, or person intending to reside in New Zealand surrenders a specimen of an endangered, threatened, or exploited species under section 27(2), that specimen shall be forfeited to the Crown and shall be disposed of in accordance with section 42.
- (2) Any visitor to New Zealand who surrenders a specimen under section 27(2) may apply to the Director-General for the specimen to be returned to the visitor when the visitor leaves New Zealand.
- (3) Subject to subsection (4), the Director-General shall consider any application under subsection (2) as expeditiously as possible and the specimen shall be dealt with as follows:
- (a) if the Director-General grants the application, the Department shall take all reasonable steps to enable the applicant to take the specimen from the aerodrome or port at which the visitor surrendered the specimen, if and when the visitor leaves New Zealand:

- (b) if the Director-General declines the application, that specimen shall be forfeited to the Crown and shall be disposed of in accordance with section 42.
- (4) Any specimen that has been surrendered in accordance with this section shall not be released under subsection (2) or subsection (3)(a) to the person who had possession of it at the time of surrender, until that person has paid all costs and expenses incurred by the Crown in relation to the custody of the specimen, including any costs of transporting the specimen.

Section 28: substituted, on 12 August 1991, by section 3 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 28(1): amended, at 2 am on 29 November 2010, by section 406(1) of the Immigration Act 2009 (2009 No 51).

## **Part 2 Exemptions**

### **29 Certificate of acquisition**

- (1) Every person seeking to export or re-export a specimen of an endangered, threatened, or exploited species that was legally acquired before the provisions of this Act applied to that specimen shall apply in writing to the Director-General for a certificate of acquisition.
- (2) The Director-General may, after (if necessary) consultation with the appropriate other management authority, issue a certificate of acquisition to any applicant if the management authority is satisfied that the specimen to which the application relates was legally acquired before the provisions of this Act applied to that specimen.
- (3) Part 1 shall not apply to any person who has been issued with a certificate of acquisition under subsection (2).
- (4) Notwithstanding subsection (3), sections 9, 10, and 25 shall, with all necessary modifications, apply to a certificate of acquisition.

### **30 Endangered species as personal or household effects**

- (1) Except as provided in subsection (2), Part 1 shall not apply to any specimen of an endangered, threatened, or exploited species that is a personal or household effect.

- (2) Part 1 shall apply to any specimen that is a personal or household effect being imported into New Zealand where—
- (a) the specimen is of an endangered or threatened species acquired by the owner outside New Zealand; or
  - (b) the specimen is of an endangered, threatened, or exploited species that is imported for primarily commercial reasons,—
- unless that specimen is accompanied by a certificate of acquisition or a certificate of exemption issued by the appropriate other management authority.

Section 30(2): amended, on 14 May 1998, by section 7(a) of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 30(2): amended, on 14 May 1998, by section 7(b) of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

### **31 Certificate for specimens bred in captivity or artificially propagated**

- (1) Every person seeking to export or re-export a specimen of any species specified in Schedule 2 or Schedule 3 that—
- (a) in the case of an animal, was bred in captivity; or
  - (b) in the case of a plant, was artificially propagated; or
  - (c) is a recognisable part or derivative of such a specimen—
- shall apply in writing to the Director-General for a certificate for specimens bred in captivity or artificially propagated.
- (2) The Director-General may, after (if necessary) consultation with the appropriate other management authority, issue a certificate for specimens bred in captivity or artificially propagated to an applicant if the Director-General is satisfied that the specimen to which the application relates was bred in captivity, or as the case may be, was artificially propagated, or is a recognisable part or derivative of such a specimen.
- (3) For the purposes of trade, a certificate for specimens bred in captivity or artificially propagated shall be accepted in lieu of any of the permits or certificates granted under any of sections 17 to 24.
- (4) For the purpose of this section, sections 9, 10, and 25 shall, with all necessary modifications, apply to a certificate for specimens bred in captivity or artificially propagated.

Section 31(1): amended, on 12 August 1991, by section 4(1) of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 31(2): amended, on 14 May 1998, by section 8 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 31(3): amended, on 12 August 1991, by section 4(2) of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

### **32 Scientific transfer**

Part 1 shall not apply to the non-commercial loan, donation, or exchange between scientists or scientific institutions in New Zealand recognised by the Director-General and scientists or scientific institutions in other States recognised by the relevant authorities of those States, of—

- (a) herbarium and other preserved, dried, or embedded plants;
- (b) live plants;
- (c) preserved animals, and skins of animals;
- (d) live animals,—

that are specimens of an endangered, threatened, or exploited species and which carry a label issued or approved by the Director-General.

### **33 Travelling circus or exhibition**

The Director-General may, after (if necessary) consultation with the appropriate other management authority, waive the requirement of holding a permit or certificate granted under Part 1 in respect of any specimens of an endangered, threatened, or exploited species that form part of a travelling zoo, circus, menagerie, plant exhibition, or other travelling exhibition where—

- (a) the exporter or importer registers full details of such specimens with the Director-General; and
- (b) the specimens were—
  - (i) acquired before the provisions of the Convention applied to those specimens; or
  - (ii) in the case of animals, bred in captivity, or in the case of plants, artificially propagated; and
- (c) that management authority is satisfied that any living specimen will be transported and cared for so that the risk of—

- (i) injury to the specimen; and
  - (ii) adverse effect on the health of the specimen; and
  - (iii) in the case of an animal, cruel treatment of the specimen,—
- is minimised.

### **34 Certificate of capture in accordance with other treaties**

- (1) Subject to subsection (2), Part 1 shall not apply to trade in any marine specimen of a threatened species that is taken—
  - (a) by any ship registered in New Zealand or by any foreign fishing craft licensed under the Territorial Sea, Contiguous Zone, and Exclusive Economic Zone Act 1977; and
  - (b) in accordance with any international agreement to which New Zealand was a party before the commencement of this Act.
- (2) Every person who introduces any such marine specimen into New Zealand and wants to subsequently export that specimen shall apply in writing to the Director-General for a certificate of capture in accordance with other treaties.
- (3) The Director-General may, after (if necessary) consultation with the appropriate other management authority, issue a certificate of capture in accordance with other treaties to an applicant if the other management authority is satisfied that the specimen, to which the application relates, was taken—
  - (a) by any ship registered in New Zealand or by any foreign fishing craft licensed under the Territorial Sea, Contiguous Zone, and Exclusive Economic Zone Act 1977; and
  - (b) in accordance with any international agreement to which New Zealand was a party before the commencement of this Act.
- (4) For the purposes of this section, sections 9, 10, and 25 shall, with all necessary modifications, apply to a certificate of capture in accordance with other treaties.

Section 34(1)(a): amended, on 1 August 1996, pursuant to section 5(4) of the Territorial Sea and Exclusive Economic Zone Amendment Act 1996 (1996 No 74).

Section 34(3)(a): amended, on 1 August 1996, pursuant to section 5(4) of the Territorial Sea, and Exclusive Economic Zone Amendment Act 1996 (1996 No 74).

### **Part 3**

#### **Endangered Species Officers**

#### **35 Endangered Species Officers**

- (1) Every inspector appointed under the Ministry of Agriculture and Fisheries Act 1953 or the Biosecurity Act 1993 and every Customs officer shall be an Endangered Species Officer for the purposes of this Act.
- (2) Notwithstanding subsection (1), there may from time to time be appointed pursuant to the State Sector Act 1988 such other persons to be Endangered Species Officers as may be required for the purposes of this Act.

Section 35(1): substituted, on 1 October 1993, by section 168(1) of the Biosecurity Act 1993 (1993 No 95).

Section 35(1): amended, on 1 October 1996, by section 289(1) of the Customs and Excise Act 1996 (1996 No 27).

#### **36 Authority to act as officer**

- (1) The Director-General shall issue to any officer appointed under section 35(2) a warrant authorising the officer to exercise the powers conferred on officers under this Part.
- (2) Every such warrant shall contain—
  - (a) a reference to this section; and
  - (b) the full name of the officer; and
  - (c) a reference to the powers set out in sections 37 to 39.
- (3) The production by an officer of—
  - (a) a warrant issued under this section; or
  - (b) due evidence of the appointment of the officer as an inspector under the Animals Act 1967, or the Ministry of Agriculture and Fisheries Act 1953, or the Plants Act 1970; or
  - (c) any evidence that the officer is a Customs officer appointed under the Customs and Excise Act 1996—shall, until the contrary is proved, be sufficient authority for any such officer to do anything authorised by this Part.

- (4) Every person appointed under section 35(2) who ceases to hold office as an officer shall surrender to the Director-General the warrant issued to the person under subsection (1).
- (5) Every person commits an offence against this Act who fails to comply with subsection (4).

Section 36(1): amended, on 12 August 1991, by section 6 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 36(3)(c): amended, on 1 October 1996, pursuant to section 294(2) of the Customs and Excise Act 1996 (1996 No 27).

### **36A Power of arrest**

- (1) Any officer or any constable may arrest and take into custody without a warrant any person whom he or she has good cause to suspect of having committed an offence against this Act.
- (2) Where any officer arrests any person under the power conferred by subsection (1), he or she shall as soon as practicable call a constable to his or her aid and deliver the arrested person into the custody of that constable.
- (3) Any person called upon to do so by any person referred to in subsection (1) is justified in assisting him or her in good faith to arrest any person.

Section 36A: inserted, on 12 August 1991, by section 5 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 36A(1): amended, on 1 October 2008, pursuant to section 116(a)(ii) of the Policing Act 2008 (2008 No 72).

Section 36A(2): amended, on 1 October 2008, pursuant to section 116(a)(ii) of the Policing Act 2008 (2008 No 72).

### **37 Rights of entry**

- (1) Subject to section 38(2) and notwithstanding any other Act, any officer who has reasonable grounds to believe that a breach of this Act or of any regulation made under it has been or is being committed, may at any time—
  - (a) stop and enter or board any vehicle:
  - (b) enter, pass across, or remain on any land or premises (including a dwellinghouse and a marae and a building associated with a marae):
  - (c) demand any information relating to that breach from any person in or on that vehicle, land, or premises and require such persons to produce—

- (i) any permit or certificate granted under this Act; and
    - (ii) any other documents relating to trade in endangered species:
  - (d) for the purpose of such analysis as the Director-General considers necessary to determine whether a breach of this Act or of any regulation made under it has occurred, take samples, subject to subsection (7), from any specimen in any vehicle or on any land or premises (including a dwellinghouse or a marae or any building associated with a marae) where the officer has reasonable grounds to believe that such specimen is evidence that a breach of this Act or of any regulation made under it has been or is being committed.
- (2) Notwithstanding subsection (1), no officer shall enter any dwellinghouse or a marae or a building associated with a marae or, in the case of the exercise of the power under subsection 1(d), any other land or premises unless the officer is authorised in that behalf by a search warrant obtained under section 38(2).
- (3) *[Repealed]*
- (4) *[Repealed]*
- (5) No person shall be required to answer any question by an officer if the answer would or could tend to incriminate that person.
- (6) Any officer lawfully exercising his or her powers under this section may make or take copies of any document, and for this purpose may take possession of and remove from the place where it is kept, for such period of time as is reasonable in the circumstances, any such document.
- (7) Every officer proposing to take a sample under subsection (1)(d) from any live animal—
- (a) must do so either personally or, where requested by the owner or person in charge of the animal, by instructing a veterinary surgeon to do so; and
  - (b) must make every effort to avoid unnecessary disturbance of the animal, including, with respect to an animal which is secured within an enclosure, exercising his

or her powers only during the hours of daylight except where the officer judges that there will be less disturbance to the animal if the powers are exercised outside those hours.

- (8) The provisions of Part 4 of the Search and Surveillance Act 2012 (except subpart 3) apply.

Section 37(1)(d): added, on 14 May 1998, by section 9(a) of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 37(2): amended, on 14 May 1998, by section 9(b) of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 37(3): repealed, on 1 October 2012, by section 303(2) of the Search and Surveillance Act 2012 (2012 No 24).

Section 37(4): repealed, on 1 October 2012, by section 303(2) of the Search and Surveillance Act 2012 (2012 No 24).

Section 37(7): added, on 14 May 1998, by section 9(c) of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 37(8): inserted, on 1 October 2012, by section 303(3) of the Search and Surveillance Act 2012 (2012 No 24).

### **38 Powers of search**

- (1) Subject to subsection (2), any officer who has entered any vehicle, land, or premises (including a dwellinghouse and a marae and a building associated with a marae) under section 37(1) may search that vehicle, land, or premises and open (by force if necessary) any bulk cargo container, packing case, crate, box, or any other form of receptacle on that vehicle, land, or premises.
- (2) Any issuing officer (within the meaning of section 3 of the Search and Surveillance Act 2012) who, on application made by an officer in the manner provided in subpart 3 of Part 4 of that Act, is satisfied that there is in any dwellinghouse or any marae or a building associated with a marae—
- (a) any specimen of an endangered, threatened, or exploited species that there is reasonable ground to believe—
- (i) has been traded otherwise than in accordance with this Act; or
- (ii) is intended to be traded otherwise than in accordance with this Act; or

(b) any thing which there is reasonable ground to believe may be evidence of the commission of any offence against this Act; or

(c) any thing which there is reasonable ground to believe is intended to be used for the purpose of committing any such offence—

may issue a search warrant to every officer named in the warrant.

(3) A search warrant is also required pursuant to subsection (2) in the case of entry to any other land or premises for the purpose of the exercise of the power under section 37(1)(d).

(4) The provisions of Part 4 of the Search and Surveillance Act 2012 apply.

Section 38(2): amended, on 26 March 2015, by section 4(1) of the Trade in Endangered Species Amendment Act 2015 (2015 No 38).

Section 38(2): amended, on 26 March 2015, by section 4(2) of the Trade in Endangered Species Amendment Act 2015 (2015 No 38).

Section 38(2): amended, on 1 October 2012, by section 303(4)(a) of the Search and Surveillance Act 2012 (2012 No 24).

Section 38(2): amended, on 1 October 2012, by section 303(4)(b) of the Search and Surveillance Act 2012 (2012 No 24).

Section 38(3): added, on 14 May 1998, by section 10 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 38(4): inserted, on 1 October 2012, by section 303(5) of the Search and Surveillance Act 2012 (2012 No 24).

### **38A Application of Customs and Excise Act 1996**

Sections 149A, 149B, 149C(1) to (3), 160, and 161 of the Customs and Excise Act 1996 shall apply in relation to the importation or exportation of any endangered, threatened, or exploited species as if such endangered, threatened, or exploited species were a prohibited import or prohibited export within the meaning of that Act.

Section 38A: inserted, on 1 October 1996, by section 289(1) of the Customs and Excise Act 1996 (1996 No 27).

Section 38A: amended, on 1 October 2012, by section 303(6) of the Search and Surveillance Act 2012 (2012 No 24).

Section 38A: amended (with effect on 9 October 2002), on 2 July 2004, by section 47(4)(b) of the Customs and Excise Amendment Act 2004 (2004 No 55).

**39 Seizure of endangered species traded in contravention of Act**

- (1) Where an officer finds, in or on any ship or aircraft or at any port, aerodrome, transitional facility, or Customs controlled area, any specimen of an endangered, threatened, or exploited species that—
- (a) is being traded otherwise than in accordance with this Act; or
  - (b) is not listed on the inward or outward report in respect of that ship or aircraft,—
- that specimen shall be forfeit to the Crown, and shall be seized by the officer who shall dispose of it in accordance with section 42.
- (2) Any officer who has reasonable cause to believe that any specimen of an endangered, threatened, or exploited species has been imported or introduced from the sea into New Zealand otherwise than in accordance with this Act, may seize that specimen and,—
- (a) in the case of an animal, any descendant of that specimen; or
  - (b) in the case of a plant, any propagation of that specimen,—
- and shall deliver it into the custody of the Director-General.
- (3) Any officer who has reasonable cause to believe that any specimen of an endangered, threatened, or exploited species is intended to be exported or re-exported from New Zealand otherwise than in accordance with this Act, may seize that specimen and shall deliver it into the custody of the Director-General.
- (4) Any officer seizing any specimen under this section may also seize—
- (a) any container, packing case, crate, box, or any other form of receptacle (not being a bulk cargo container or a pallet) holding that specimen; and
  - (b) any thing which the officer has reason to believe will be evidence of a breach of the provisions of this Act or of any regulation made under it,—
- and shall deliver it into the custody of the Director-General: provided that the owner or the person entitled to the possession of the items seized under this subsection may apply to the Dis-

strict Court at any time for the return of those items, not being items that are required for evidential purposes in a hearing of any court, and the court may order the retention of those items or their return on such conditions as the court thinks fit.

- (5) No compensation shall be payable in respect of any specimen seized under subsection (1).
- (6) For the purposes of subsection (1),—

**Customs controlled area** has the meaning given to it in section 2(1) of the Customs and Excise Act 1996

**transitional facility** has the meaning given to it in section 2(1) of the Biosecurity Act 1993.

Section 39(1): amended, on 20 September 2007, by section 4(1) of the Trade in Endangered Species Amendment Act 2007 (2007 No 85).

Section 39(6): added, on 20 September 2007, by section 4(2) of the Trade in Endangered Species Amendment Act 2007 (2007 No 85).

### **39A Power to seize vehicles and containers**

Any officer may stop and seize any vehicle on the ground or in water, or stop in transit and seize any parcel, package, case, bag, luggage, or other container, if the officer reasonably believes either—

- (a) that the vehicle or container contains any endangered, threatened, or exploited species; or
- (b) that the vehicle or container—
- (i) is in the possession of a person who has committed an offence against this Act; and
  - (ii) has been used in connection with the commission of an offence against this Act.

Section 39A: inserted, on 12 August 1991, by section 7 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

### **39B Director-General to retain seized vehicles and containers**

- (1) Subject to section 39E, where any vehicle or container is seized under section 39A, it shall, pending any criminal proceedings under this Act against the owner or any person in possession of the vehicle or container, be retained by the Director-General.
- (2) If at any time following the seizure of any vehicle or container under section 39A (not being a vehicle or container that has

been forfeited to the Crown) it appears to the Director-General that the officer who seized the vehicle or container is unable to establish clearly that he or she had the reasonable belief necessary to exercise that power, the Director-General shall immediately release the vehicle or container from his or her custody.

- (3) The Director-General shall exercise reasonable care of any property while it is retained in his or her custody under subsection (1).

Section 39B: inserted, on 12 August 1991, by section 7 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

### **39C Proceedings to be prosecuted promptly and diligently**

- (1) Proceedings in respect of an offence against this Act shall be commenced promptly and prosecuted with due diligence if they arise in connection with the use of any vehicle or container seized under section 39A.
- (2) If no proceedings are taken in respect of the offence concerned within 6 months of the seizure under section 39A of any vehicle or container, or if the proceedings concerned are dismissed, the vehicle or container shall be released from the custody of the Director-General.

Section 39C: inserted, on 12 August 1991, by section 7 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 39C(2): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

### **39D Forfeiture of seized vehicles and containers**

- (1) On the conviction of any person for any offence against this Act, any vehicle or container retained in respect of that offence under section 39B(1) may, on the order of the court, be forfeited to the Crown; and, in that case, the vehicle or container shall be disposed of as the Minister thinks fit.
- (2) Any person whose property has been forfeited to the Crown under this section or any person having a legal or equitable interest in any such property (not being, in either case, a person convicted of an offence out of which the forfeiture arose) may apply to the Minister, within 30 days of the conviction concerned, for the release of the property forfeited; and the

Minister may order the release of the property on payment to the Crown of any amount the Minister thinks appropriate, being an amount not exceeding the amount the items forfeited are estimated by the Director-General to be likely to realise if sold by public auction in New Zealand.

- (3) In considering whether to order the release of any property on payment of an amount under subsection (2), the Minister shall have regard to—
  - (a) the relationship between the person applying for release of the property and the person convicted of the offence; and
  - (b) the extent to which it was foreseeable that the property would be used in connection with the commission of an offence against this Act when it was placed in the possession of the offender.
- (4) Any forfeiture ordered under this section shall be in addition to, and not in substitution for, any other penalty that may be imposed.

Section 39D: inserted, on 12 August 1991, by section 7 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

### **39E Power of court to order return of seized vehicle or container**

- (1) Where any vehicle or container is seized under section 39A, any person claiming to be entitled to possession of the vehicle or container may at any time apply to a District Court Judge for an order that it be delivered to that person.
- (2) On an application under subsection (1), a District Court Judge may—
  - (a) adjourn the application, on such terms and conditions as he or she thinks fit, to enable proceedings to be brought or completed; or
  - (b) make an order for the return of the vehicle or container to the applicant, on such terms and conditions as he or she thinks fit.
- (3) Nothing in this section applies to any vehicle or container if a court has ordered under section 39D(1) that it be forfeited to the Crown.

Section 39E: inserted, on 12 August 1991, by section 7 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

### **39F Effect of order for release of seized vehicle or container**

Where the Minister makes an order under section 39D(2) in respect of a vehicle or container that has been forfeited to the Crown, the forfeiture order made in respect of that vehicle or container shall cease to have effect.

Section 39F: inserted, on 12 August 1991, by section 7 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

### **40 Custody of seized specimens**

- (1) Where, in the opinion of the Director-General, any specimen seized pursuant to subsection (2) or subsection (3) of section 39 may die, rot, spoil, or otherwise perish, the Director-General may dispose of it in accordance with section 42(1) as if that specimen was forfeit to the Crown.
- (2) Where the ownership of—
  - (a) any specimen seized pursuant to subsection (2) or subsection (3) of section 39; or
  - (b) any receptacle or thing seized pursuant to section 39(4),—
 

cannot, at the time of seizure or within 90 days from the date of seizure, be ascertained it shall be forfeit to the Crown, and—
  - (c) in the case of a specimen, be disposed of in accordance with section 42; or
  - (d) in the case of a receptacle or thing, be disposed of as directed by the Director-General, subject to any conditions imposed by the court pursuant to the proviso to subsection (4) of section 39.
- (3) Except as provided in subsections (1) and (2) of this section,—
  - (a) every specimen seized pursuant to subsection (2) or subsection (3) of section 39; and
  - (b) every receptacle or thing seized pursuant to section 39(4)—
 

shall be held in the custody of the Crown until—
  - (c) a decision is made not to file any charging document in respect of the alleged offence for which the specimen, receptacle, or thing was seized; or

- (d) where such a charging document is filed, upon the completion of proceedings in respect of the alleged offence for which the specimen, receptacle, or thing was seized, or such sooner time as the court may determine.
- (4) The decision whether or not to file any charging document in respect of an alleged offence for which any specimen, receptacle, or thing is seized under section 39 shall be made as soon as reasonably practicable after that specimen, receptacle, or thing is seized, taken possession of, or detained.

Section 40(2)(b): amended, on 17 May 2005, by section 3(1) of the Trade in Endangered Species Amendment Act 2005 (2005 No 71).

Section 40(3)(b): amended, on 17 May 2005, by section 3(2) of the Trade in Endangered Species Amendment Act 2005 (2005 No 71).

Section 40(3)(c): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 40(3)(d): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 40(4): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 40(4): amended, on 17 May 2005, by section 3(3) of the Trade in Endangered Species Amendment Act 2005 (2005 No 71).

#### **41 Release of seized specimens**

Subject to subsection (1) of section 39 and subsections (1) and (2) of section 40, where any specimen, receptacle, or thing has been seized under section 39 and not previously returned by the court pursuant to the proviso to subsection (4) of section 39, then—

- (a) on a decision being made not to file a charging document; or
- (b) on the acquittal of any person charged with an offence for which the specimen, receptacle, or thing is subject to forfeiture—

such specimen, receptacle, or thing shall forthwith be released from the custody of the Crown to the owner or person who had possession of the specimen, receptacle, or thing at the time of seizure.

Section 41: amended, on 17 May 2005, by section 4 of the Trade in Endangered Species Amendment Act 2005 (2005 No 71).

Section 41: amended, on 12 August 1991, by section 8 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 41(a): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

#### **42 Disposal of seized specimens**

- (1) Any specimen of an endangered, threatened, or exploited species forfeited to the Crown shall be disposed of in such manner as the Director-General may direct, after consultation with the relevant scientific and management authorities.
- (2) The Director-General may negotiate the return, and the payment of any costs associated with that return, of any specimen imported into New Zealand, otherwise than in accordance with this Act, with the management authority of the country from where that specimen originated.
- (3) All costs and expenses of and attendant upon any disposal of a specimen forfeited to the Crown and seized under section 39(1) shall be borne by the owner or the person who had possession thereof, and shall be recoverable from him or her as a debt due to the Crown, and no compensation shall be payable in respect thereof.
- (4) Notwithstanding sections 39(1), 40(1) and (2) and section 51(1), any specimen of an endangered, threatened, or exploited species seized under section 39 that is deemed to be the property of the Crown under section 57 of the Wildlife Act 1953 shall be disposed of in accordance with that Act.

Section 42(3): amended, on 17 May 2005, by section 5 of the Trade in Endangered Species Amendment Act 2005 (2005 No 71).

#### **43 Duty of officers and employees of postal operators**

- (1) It is the duty of all officers and employees of every postal operator within the meaning of the Postal Services Act 1998 to assist in carrying out the provisions of this Part and to prevent the import into and export from New Zealand of any specimen of an endangered, threatened, or exploited species otherwise than in accordance with this Act.
- (2) Where any postal article (within the meaning of the Postal Services Act 1998) is delivered, pursuant to section 10(2)(c) of that Act, to the Director-General or to such other person as the Director-General has directed, the provisions of this Act, so far as applicable and with all necessary modifications, apply as if

the postal article had been seized, pursuant to subsection (2) or subsection (3) of section 39 of this Act (whichever subsection is applicable), on the date on that delivery.

Section 43: substituted, on 1 April 1998, by section 62(1) of the Postal Services Act 1998 (1998 No 2).

## **Part 4**

### **Miscellaneous provisions**

#### **43A Taking and analysis of samples of specimens**

- (1) Where, in any application made under this Act, a question arises as to whether a specimen of any animal has been bred in captivity and the Director-General has reasonable cause to suspect that the specimen has not been bred in captivity, the Director-General may, prior to making a decision on the application, require that any specimen be subject to the taking of such samples for the purpose of such analysis as the Director-General considers necessary to determine whether the specimen concerned has been bred in captivity.
- (2) Subject to subsection (3), such samples are to be taken by and under the supervision of such person or persons as the Director-General specifies.
- (3) Every such sample from a live animal must be taken by a veterinary surgeon where requested by the owner or person in charge of the animal.
- (4) The Director-General may require an applicant to bear the cost of taking and analysis of samples required pursuant to this section.

Section 43A: inserted, on 14 May 1998, by section 11 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

#### **44 Trading in endangered, threatened, or exploited species without permit or certificate**

- (1) Every person commits an offence who—
  - (a) trades in any specimen of an endangered species without the appropriate permit or certificate granted under any of sections 13 to 16; or

- (b) trades in any specimen of a threatened species without the appropriate permit or certificate granted under any of sections 17 to 20; or
  - (c) trades in any specimen of an exploited species without the appropriate permit or certificate granted under any of sections 21 to 24.
- (2) Every person who commits an offence against subsection (1)(a) is liable on conviction,—
- (a) in the case of a natural person, to imprisonment for a term not exceeding 5 years or to a fine not exceeding \$100,000, or to both:
  - (b) in the case of a body corporate, to a fine not exceeding \$200,000.
- (3) Every person who commits an offence against subsection (1)(b) is liable on conviction,—
- (a) in the case of a natural person, to imprisonment for a term not exceeding 3 years or to a fine not exceeding \$50,000, or to both:
  - (b) in the case of a body corporate, to a fine not exceeding \$100,000.
- (4) Every person who commits an offence against subsection (1)(c) is liable on conviction,—
- (a) in the case of a natural person, to a fine not exceeding \$37,500:
  - (b) in the case of a body corporate, to a fine not exceeding \$75,000.

Section 44: substituted, on 12 August 1991, by section 9 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 44(2): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 44(3): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 44(4): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

#### **45 Possession of specimens of endangered, threatened, or exploited species**

- (1) Every person commits an offence who has in his or her possession, or has under his or her control though under the custody of another, any specimen of an endangered, threatened, or ex-

- exploited species that he or she knows or has reasonable grounds for suspecting—
- (a) has been imported or introduced from the sea into New Zealand otherwise than in accordance with this Act; or
  - (b) is intended to be exported or re-exported from New Zealand otherwise than in accordance with this Act.
- (2) Every person who commits an offence against subsection (1) in respect of a specimen of an endangered species is liable on conviction,—
- (a) in the case of a natural person, to imprisonment for a term not exceeding 5 years or to a fine not exceeding \$100,000, or to both;
  - (b) in the case of a body corporate, to a fine not exceeding \$200,000.
- (3) Every person who commits an offence against subsection (1) in respect of a specimen of a threatened species is liable on conviction,—
- (a) in the case of a natural person, to imprisonment for a term not exceeding 3 years or to a fine not exceeding \$50,000, or to both;
  - (b) in the case of a body corporate, to a fine not exceeding \$100,000.
- (4) Every person who commits an offence against subsection (1) in respect of a specimen of an exploited species is liable on conviction,—
- (a) in the case of a natural person, to a fine not exceeding \$37,500;
  - (b) in the case of a body corporate, to a fine not exceeding \$75,000.

Section 45: substituted, on 12 August 1991, by section 9 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 45(1): amended, on 14 May 1998, by section 12 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 45(2): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 45(3): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 45(4): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

**46 Failure to comply with conditions of permit or certificate**

- (1) Every person commits an offence who fails to comply with any of the conditions specified by the Director-General in respect of any permit or certificate granted to him or her under Part 1.
- (2) Every person who commits an offence against subsection (1) is liable on conviction,—
  - (a) in the case of a natural person, to a fine not exceeding \$10,000;
  - (b) in the case of a body corporate, to a fine not exceeding \$20,000.

Section 46: substituted, on 12 August 1991, by section 9 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 46(2): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

**47 Making false statements**

- (1) Every person commits an offence who, for the purpose of procuring anything to be done or not to be done under Part 1 or Part 2 or Part 3, whether for his or her own benefit or for the benefit of any other person,—
  - (a) makes a statement that he or she knows to be false in a material particular; or
  - (b) recklessly makes a statement that is false in a material particular.
- (2) Every person who commits an offence against subsection (1) is liable on conviction to imprisonment for a term not exceeding 2 years or to a fine not exceeding \$5,000.

Section 47: substituted, on 12 August 1991, by section 9 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 47(2): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

**48 Obstructing or hindering officer**

Every person commits an offence against this Act who intentionally obstructs or hinders any officer in the performance of his or her duty under this Act.

**49 General offence**

Every person who commits an offence against this Act for which no penalty is provided otherwise than in this section is liable, on conviction, to a fine not exceeding \$2,000.

Section 49: amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

**50 Onus of proof in respect of certain offences**

Where any person is charged with an offence against this Act arising out of his or her doing, without a permit or certificate, any action for which a permit or certificate is required, the onus shall be on that person to prove that at the relevant time he or she held the required permit or certificate.

Section 50: amended, on 12 August 1991, by section 10 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

**51 Forfeiture of property on conviction**

(1) Subject to subsection (2), on the conviction of any person for any offence against this Act,—

- (a) any specimen of an endangered, threatened, or exploited species in respect of which the offence has been committed; and
- (b) any container, packing case, crate, box, or any other form of receptacle (not being a bulk cargo container or a pallet) used in relation to the commission of the offence,—

whether or not they have been seized, taken possession of, or detained under section 39, shall be forfeit to the Crown, and disposed of,—

- (c) in the case of a specimen, in accordance with section 42;
- (d) in the case of a receptacle, as the Director-General directs.

(2) Notwithstanding the conviction of any person for any offence against this Act, no specimen shall be forfeited or disposed of until proceedings are finally determined.

(3) Any person whose receptacle has been forfeit to the Crown under subsection (1) or any person having a legal or equitable interest in such property may apply to the Minister within 30 days of conviction for the release of the property so for-

feited; and the Minister may order the release of such property on payment to the Crown of such amount as the Minister thinks appropriate, being an amount not exceeding the amount the items otherwise forfeited are estimated by the Director-General to realise if sold by public auction in New Zealand.

- (4) Any forfeiture directed or redemption payment imposed pursuant to this section shall be in addition to, and not in substitution for, any other penalty that may be imposed by the court or by this Act.

Section 51(1): amended, on 12 August 1991, by section 11 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 51(2): amended, on 12 August 1991, by section 11 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

## 52 Protection of officers and others

A person who does any act in pursuance or intended pursuance of any of the functions conferred on that person by or under this Act shall not be under any civil or criminal liability in respect thereof, whether on the ground of want of jurisdiction, or mistake of law or fact, or on any other ground, unless the person has acted, or omitted to act, in bad faith or without reasonable cause.

## 53 Schedules

The Governor-General may from time to time, by Order in Council,—

- (a) add any item to, omit any item from, or amend any item in Schedule 1 or Schedule 2 or Schedule 3:
- (b) add any Part to, or omit any Part from, Schedule 1 or Schedule 2 or Schedule 3:
- (c) revoke any such schedule and substitute a new schedule—

in order that those schedules may conform with the Convention as amended from time to time.

Section 53: substituted, on 2 September 1996, by section 2 of the Trade in Endangered Species Amendment Act 1996 (1996 No 149).

#### **54 Regulations**

The Governor-General may from time to time, by Order in Council, make regulations for all or any of the following purposes:

- (a) prescribing the fees to be paid in respect of any permit or certificate granted under this Act:
- (b) prescribing the recording of trade in endangered, threatened, or exploited species:
- (c) regulating the non-commercial loan, donation, and exchange between scientists and scientific institutions in New Zealand and those in other countries:
- (d) prescribing the registration of scientists and scientific institutions in New Zealand involved in such exchanges:
- (e) regulating the breeding in captivity, in the case of an animal, and the artificial propagation, in the case of a plant, of any specimen of endangered, threatened, or exploited species:
- (ea) specifying requirements with respect to taking and analysis of samples of specimens where such taking and analysis is authorised for any purpose under this Act:
- (f) prescribing offences in respect of the contravention of, or non-compliance with, any regulations made under this Act; and prescribing fines not exceeding \$2,000 in respect of any such offence:
- (g) providing for such matters as are contemplated by or necessary for giving full effect to the provisions of this Act and for its due administration.

Section 54(ea): inserted, on 14 May 1998, by section 13 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 54(f): substituted, on 12 August 1991, by section 12 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

#### **55 Consequential amendment**

*Amendment(s) incorporated in the Act(s).*

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## Schedule 1

### Species endangered by trade

s 3(1)

Schedule 1: replaced, on 14 September 2014, by clause 3 of the Trade in Endangered Species Order 2014 (LI 2014/259).

The classes, families, and species listed in this schedule are arranged in descending order by class then in alphabetical order by order, family, and species. This order of arrangement is adopted in the Convention.

The entries in the column headed “**Exceptions/limitations**” have the effect of—

- (a) excluding nominated populations in the listed species; or
- (b) limiting the applications of the listed species to only those populations nominated; or
- (c) excluding certain species from a generic listing; or
- (d) excluding nominated varieties of specimens of the listed species.

Part 1  
Fauna (animals)  
Phylum—Chordata

**Class—Mammalia (mammals)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Artiodactyla—Even-toed ungulates	Antilocapridae—Pronghorn	<i>Antilocapra americana</i>	Pronghorn	Only population of Mexico. No other population is included in the schedules
	Bovidae—Antelopes, cattle, duikers, gazelles, goats, sheep, etc	<i>Addax nasomaculatus</i>	Addax	
		<i>Bos gaurus</i>	Gaur	Excludes domesticated form, referenced as <i>Bos frontalis</i>
		<i>Bos mutus</i>	Wild Yak	Excludes domesticated form, referenced as <i>Bos grunniens</i>
		<i>Bos sauveli</i>	Kouprey	
		<i>Bubalus depressicornis</i>	Lowland Anoa	
		<i>Bubalus mindorensis</i>	Tamarou	
		<i>Bubalus quarlesi</i>	Mountain Anoa	
		<i>Capra falconeri</i>	Markhor	
		<i>Capricornis milneedwardsii</i>	Chinese Serow	
		<i>Capricornis rubidus</i>	Red Serow	
		<i>Capricornis sumatraensis</i>	Mainland Serow	

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Schedule 1

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Capricornis thar</i>	Himalayan Serow	
		<i>Cephalophus jentinki</i>	Jentink's Duiker	
		<i>Gazella cuvieri</i>	Cuvier's Gazelle, Edmi Gazelle	
		<i>Gazella leptoceros</i>	Slender-horned Gazelle	
		<i>Hippotragus niger variani</i>	Giant Sable Antelope	
		<i>Naemorhedus baileyi</i>	Red Goral	
		<i>Naemorhedus caudatus</i>	Long-tailed Goral	
		<i>Naemorhedus goral</i>	Goral	
		<i>Naemorhedus griseus</i>	Chinese Goral	
		<i>Nanger dama</i>	Dama Gazelle	
		<i>Oryx dammah</i>	Scimitar-horned Oryx	
		<i>Oryx leucoryx</i>	Arabian Oryx	
		<i>Ovis ammon hodgsonii</i>	Great Tibetan Sheep	
		<i>Ovis ammon nigrimontana</i>	Kara Tau Argali	
		<i>Ovis orientalis ophion</i>	Mouflon	
		<i>Ovis vignei vignei</i>	Red Sheep	
		<i>Pantholops hodgsonii</i>	Tibetan Antelope	

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Pseudoryx nghetinhensis</i>	Vu Quang Ox	
	Camelidae—Guanaco, vicuna	<i>Vicugna vicugna</i>	Vicugna	Except populations of Argentina (populations of provinces of Jujuy and Catamarca and semi-captive populations of provinces of Jujuy, Salta, Catamarca, La Rioja, and San Juan), Chile (population of Primera Región), Ecuador (whole population), Peru (whole population), and the Plurinational State of Bolivia (whole population), which are included in Schedule 2
	Cervidae—Deer, guemals, muntjacs, pudus	<i>Axis calamianensis</i>	Calamian Hog Deer	
		<i>Axis kuhlii</i>	Bawean Hog Deer	
		<i>Axis porcinus annamiticus</i>	Ganges Hog Deer, Indo-Chinese Hog Deer	
		<i>Blastocerus dichotomus</i>	Marsh Deer	
		<i>Cervus elaphus hanglu</i>	Kashmir Red Deer	
		<i>Dama dama mesopotamica</i>	Persian Fallow Deer	

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Schedule 1

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations		
Carnivora— Carnivores		<i>Hippocamelus</i> spp	Guemals, Huemuls	Only populations of Afghanistan, Bhutan, India, Myanmar, Nepal, and Pakistan. All other populations are included in Schedule 2		
		<i>Muntiacus crinifrons</i>	Black Muntjac			
		<i>Muntiacus vuquangensis</i>	Giant Muntjac			
		<i>Ozotoceros bezoarticus</i>	Pampas Deer			
		<i>Pudu puda</i>	Chilean Pudu			
		<i>Rucervus duvaucelii</i>	Swamp Deer			
		<i>Rucervus eldii</i>	Eld's Deer			
		Moschidae—Musk deer	<i>Moschus</i> spp		Musk Deer	
		Suidae—Babirusa, pygmy hog			<i>Babyrousa babyrussa</i>	Golden Babirusa
					<i>Babyrousa bolabatuensis</i>	Bola Batu Babirusa
					<i>Babyrousa celebensis</i>	Sulawesi Babirusa
					<i>Babyrousa togeanensis</i>	Togian Babirusa
					<i>Sus salvanius</i>	Pygmy Hog
		Tayassuidae—Peccaries	<i>Catagonus wagneri</i>		Chacco Peccary	
		Ailuridae—Red panda	<i>Ailurus fulgens</i>		Red Panda	

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Canidae—Bush dog, foxes, wolves	<i>Canis lupus</i>	Grey Wolf	Only populations of Bhutan, India, Nepal, and Pakistan. All other populations are included in Schedule 2. Excludes domesticated form and dingo, which are referenced as <i>Canis lupus familiaris</i> and <i>Canis lupus dingo</i>
	Felidae—Cats	<i>Speothos venaticus</i>	Bush Dog	
		<i>Acinonyx jubatus</i>	Cheetah	Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana (5), Namibia (150), and Zimbabwe (50). Trade in such specimens is subject to provisions of Article III of Convention. Consult Department of Conservation for details
		<i>Caracal caracal</i>	Caracal	Only population of Asia. All other populations are included in Schedule 2
		<i>Catopuma temminckii</i>	Temminck's Golden Cat	
		<i>Felis nigripes</i>	Black-footed Cat	

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Schedule 1

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Leopardus geoffroyi</i>	Geoffroy's Cat	
		<i>Leopardus jacobitus</i>	Andean Mountain Cat	
		<i>Leopardus pardalis</i>	Ocelot	
		<i>Leopardus tigrinus</i>	Little Spotted Cat	
		<i>Leopardus wiedii</i>	Margay	
		<i>Lynx pardinus</i>	Spanish Lynx	
		<i>Neofelis nebulosa</i>	Clouded Leopard	
		<i>Panthera leo persica</i>	Asiatic Lion	
		<i>Panthera onca</i>	Jaguar	
		<i>Panthera pardus</i>	Leopard	
		<i>Panthera tigris</i>	Tiger	
		<i>Pardofelis marmorata</i>	Marbled Cat	
		<i>Prionailurus bengalensis bengalensis</i>	Leopard Cat	Only populations of Bangladesh, India, and Thailand. All other populations are included in Schedule 2
		<i>Prionailurus planiceps</i>	Flat-headed Cat	
		<i>Prionailurus rubiginosus</i>	Rusty-spotted Cat	Only population of India. All other populations are included in Schedule 2

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Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Puma concolor coryi</i>	Florida Cougar	
		<i>Puma concolor costaricensis</i>	Costa Rica Cougar	
		<i>Puma concolor cougar</i>	Eastern Puma	
		<i>Puma yagouaroundi</i>	Jaguarundi	Only populations of Central and North America. All other populations are included in Schedule 2
		<i>Uncia uncia</i>	Snow Leopard	
	Mustelidae—Badgers, martens, weasels, etc	<i>Aonyx capensis microdon</i>	African Clawless Otter	Only populations of Cameroon and Nigeria. All other populations are included in Schedule 2
		<i>Enhydra lutris nereis</i>	Southern Sea Otter	
		<i>Lontra felina</i>	Marine Otter	
		<i>Lontra longicaudis</i>	Long-tailed Otter	
		<i>Lontra provocax</i>	Southern River Otter	
		<i>Lutra lutra</i>	Eurasian Otter	
		<i>Lutra nippon</i>	Japanese River Otter	
		<i>Pteronura brasiliensis</i>	Giant Otter	

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Schedule 1

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Mustelinae—Grisons, honey badger, martens, tayra, weasels	<i>Mustela nigripes</i>	Black-footed Ferret	
	Otariidae—Fur seals, sea lions	<i>Arctocephalus townsendi</i>	Guadalupe Fur Seal	
	Phocidae—Seals	<i>Monachus</i> spp	Monk Seals	
	Ursidae—Bears, giant panda	<i>Ailuropoda melanoleuca</i>	Giant Panda	
		<i>Helarctos malayanus</i>	Sun Bear	
		<i>Melursus ursinus</i>	Indian Sloth Bear	
		<i>Tremarctos ornatus</i>	Spectacled Bear	
		<i>Ursus arctos</i>	Brown Bear	Only populations of Bhutan, China, Mexico, and Mongolia. All other populations are included in Schedule 2
		<i>Ursus arctos isabellinus</i>	Red or Himalayan Brown Bear	
		<i>Ursus thibetanus</i>	Asiatic Black Bear	
	Viverridae—Binturong, civets, linsangs, otter-civet, palm civets	<i>Prionodon pardicolor</i>	Spotted Linsang	
Cetacea—Dolphins, porpoises, whales	Balaenidae—Bowhead whale, right whales	<i>Balaena mysticetus</i>	Bowhead Whale	
		<i>Eubalaena</i> spp	Right Whales	

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Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations	
	Balaenopteridae—Humpback whale, rorquals	<i>Balaenoptera acutorostrata</i>	Northern Minke Whale	Except population of West Greenland, which is included in Schedule 2	
		<i>Balaenoptera bonaerensis</i>	Southern Minke Whale		
		<i>Balaenoptera borealis</i>	Sei Whale		
		<i>Balaenoptera edeni</i>	Bryde's Whale		
		<i>Balaenoptera musculus</i>	Blue Whale		
		<i>Balaenoptera omurai</i>	Omura's Whale		
		<i>Balaenoptera physalus</i>	Fin Whale		
		<i>Megaptera novaeangliae</i>	Humpback Whale		
		Delphinidae—Dolphins	<i>Orcaella brevirostris</i>		Irrawaddy Dolphin
			<i>Orcaella heinsohni</i>		Australian Snubfin Dolphin
	<i>Sotalia</i> spp		River Dolphins, Tucuxi		
	<i>Sousa</i> spp		Humpback Dolphins		
	Eschrichtiidae—Grey whale	<i>Eschrichtius robustus</i>	Grey Whale		
	Iniidae—River dolphins	<i>Lipotes vexillifer</i>	Baiji, Yangtse River Dolphin		
	Neobalaenidae—Pygmy right whale	<i>Caperea marginata</i>	Pygmy Right Whale		

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Schedule 1

## Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Phocoenidae—Porpoises	<i>Neophocaena phocaenoides</i> <i>Phocoena sinus</i>	Black Finless Porpoise Pacific Harbour Porpoise	
	Physteridae—Sperm whales	<i>Physeter macrocephalus</i>	Sperm Whale	
	Platanistidae—River dolphins	<i>Platanista</i> spp	Ganges and Indus dolphins	
	Ziphiidae—Beaked whales, bottle-nosed whales	<i>Berardius</i> spp <i>Hyperoodon</i> spp	Beaked Whales Bottle-nose Whales	
Chiroptera—Bats	Pteropodidae—Fruit bats, flying foxes	<i>Acerodon jubatus</i> <i>Pteropus insularis</i> <i>Pteropus loochoensis</i> <i>Pteropus mariannus</i> <i>Pteropus molossinus</i> <i>Pteropus pelewensis</i> <i>Pteropus pilosus</i> <i>Pteropus samoensis</i> <i>Pteropus tonganus</i> <i>Pteropus ualanus</i>	Golden-capped Fruit Bat Truk Fruit Bat Okinawa Flying Fox Marianas Flying Fox Pohnpei Fruit Bat Palau Fruit Bat Large Palau Fruit Bat Samoan Fruit Bat Insular Flying Fox Kosrae Flying Fox	

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Pteropus yapensis</i>	Yap Flying Fox	
Cingulata—Armadillos	Dasypodidae—Armadillos	<i>Priodontes maximus</i>	Giant Armadillo	
Dasyuromorphia—Carniverous marsupials	Dasyuridae—Dunnarts	<i>Sminthopsis longicaudata</i> <i>Sminthopsis psammophila</i>	Long-tailed Dunnart Large Desert Marsupial-mouse	
Diprotodontia—Kangaroos, wallabies, possums, koala, wombats, and relatives	Macropodidae—Kangaroos, Wallabies	<i>Lagorchestes hirsutus</i> <i>Lagostrophus fasciatus</i> <i>Onychogalea fraenata</i>	Western Hare Wallaby Banded Hare Wallaby Bridled Nailtail Wallaby	
	Potoroidae—Rat-kangaroos	<i>Bettongia</i> spp	Rat-Kangaroos	
	Vombatidae—Northern Hairy-nosed Wombat	<i>Lasiiorhinus krefftii</i>	Northern Hairy-nosed Wombat	
Lagomorpha—Hares, pikas, rabbits	Leporidae—Hispid hare, volcano rabbit	<i>Caprolagus hispidus</i> <i>Romerolagus diazi</i>	Assam Rabbit, Hispid Hare Volcano Rabbit	
Peramelemorphia—Bandicoots, bilbies	Peramelidae—Bandicoots	<i>Perameles bougainville</i>	Barred Bandicoot	
	Thylacomyidae—Bilbies	<i>Macrotis lagotis</i>	Bilby	

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Schedule 1

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations	
Perissodactyla— Horses, rhinoceroses, tapirs	Equidae—Horses, wild asses, zebras	<i>Equus africanus</i>	African Wild Ass	Excludes domesticated form, referenced as <i>Equus asinus</i>	
		<i>Equus grevyi</i>	Grevy's Zebra		
		<i>Equus hemionus hemionus</i>	Mongolian Wild Ass		
		<i>Equus hemionus khur</i>	Indian Wild Ass		
		<i>Equus przewalskii</i>	Przewalski's Wild Horse		
		<i>Equus zebra zebra</i>	Cape Mountain Zebra		
	Rhinocerotidae— Rhinoceroses	Rhinocerotidae spp	Rhinoceroses	Except subspecies included in Schedule 2	
		Tapiridae—Tapirs	Tapiridae spp	Tapirs	Except species included in Schedule 2
	Primates—Apes, monkeys	Atelidae—Howler and prehensile-tailed monkeys	<i>Alouatta coibensis</i>	Coiba Island Howler Monkey	
			<i>Alouatta palliata</i>	Mantled Howler Monkey	
<i>Alouatta pigra</i>			Guatemalan Mantled Howler Monkey		
<i>Ateles geoffroyi frontatus</i>			Black-browed Spider Monkey		
<i>Ateles geoffroyi panamensis</i>			Red Spider Monkey		

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Brachyteles arachnoides</i>	Woolly Spider Monkey	
		<i>Brachyteles hypoxanthus</i>	Northern Muriqui	
		<i>Oreonax flavicauda</i>	Woolly Monkey	
	Cebidae—New World monkeys	<i>Callimico goeldii</i>	Goeldi's Marmoset	
		<i>Callithrix aurita</i>	White-eared Marmoset	
		<i>Callithrix flaviceps</i>	Buff-headed Marmoset	
		<i>Leontopithecus</i> spp	Lion Tamarins	
		<i>Saguinus bicolor</i>	Brazilian Bare-faced Tamarin	
		<i>Saguinus geoffroyi</i>	Geoffroy's Tamarin	
		<i>Saguinus leucopus</i>	White-footed Tamarin	
		<i>Saguinus martinsi</i>	Martin's Tamarin	
		<i>Saguinus oedipus</i>	Cotton-headed Tamarin	
		<i>Saimiri oerstedii</i>	Red-backed Squirrel Monkey	
	Cercopithecidae—Old World monkeys	<i>Cercocebus galeritus</i>	Tana River Mangabey	
		<i>Cercopithecus diana</i>	Diana Monkey	
		<i>Cercopithecus roloway</i>	Roloway Monkey	
		<i>Macaca silenus</i>	Wanderoo	

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Schedule 1

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Mandrillus leucophaeus</i>	Drill	
		<i>Mandrillus sphinx</i>	Mandrill	
		<i>Nasalis larvatus</i>	Proboscis Monkey	
		<i>Ptilocolobus kirkii</i>	Kirk's Colobus	
		<i>Ptilocolobus rufomitratu</i>	Tana River Colobus	
		<i>Presbytis potenziani</i>	Mentawi Leaf-monkey	
		<i>Pygathrix</i> spp	Langurs	
		<i>Rhinopithecus</i> spp	Snub-nosed Monkeys	
		<i>Semnopithecus ajax</i>	Kashmir Grey Langur	
		<i>Semnopithecus dussumieri</i>	Southern Plains Grey Langur	
		<i>Semnopithecus entellus</i>	Hanuman Langur	
		<i>Semnopithecus hector</i>	Tarai Grey Langur	
		<i>Semnopithecus hypoleucos</i>	Black-footed Grey Langur	
		<i>Semnopithecus priam</i>	Tufted Grey Langur	
		<i>Semnopithecus schistaceus</i>	Nepal Grey Langur	
		<i>Simias concolor</i>	Pig-tailed Langur	
		<i>Trachypithecus geei</i>	Golden Langur	

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Trachypithecus pileatus</i>	Capped Langur	
		<i>Trachypithecus shortridgei</i>	Shortridge's Langur	
	Cheirogaleidae—Dwarf lemurs	Cheirogaleidae spp	Dwarf Lemurs, Mouse Lemurs	
	Daubentoniidae—Aye-aye	<i>Daubentonia madagascariensis</i>	Aye-aye	
	Hominidae—Chimpanzees, gorilla, orang-utan	<i>Gorilla beringei</i>	Mountain Gorilla	
		<i>Gorilla gorilla</i>	Gorilla	
		<i>Pan</i> spp	Chimpanzees	
		<i>Pongo abelii</i>	Sumatran Orang-utan	
		<i>Pongo pygmaeus</i>	Orang-utan	
	Hylobatidae—Gibbons	Hylobatidae spp	Gibbons	
	Indriidae—Avahi, indris, sifakas, woolly lemurs	Indriidae spp	Sifakas, Indris	
	Lemuridae—Large lemurs	Lemuridae spp	Lemurs	
	Lepilemuridae—Sportive lemurs	Lepilemuridae spp	Sportive Lemurs	
	Lorisidae—Lorises	<i>Nycticebus</i> spp	Slow Lorises	
	Pitheciidae—Sakis, uakaris	<i>Cacajao</i> spp	Uakaris	
		<i>Chiropotes albinasus</i>	White-nosed Saki	

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Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Proboscidea— Elephants	Elephantidae—Elephants	<i>Elephas maximus</i>	Asiatic Elephant	Except populations of Botswana, Namibia, South Africa, and Zimbabwe, which are included in Schedule 2
		<i>Loxodonta africana</i>	African Elephant	
Rodentia—Rodents	Chinchillidae—Chinchillas	<i>Chinchilla</i> spp	Chinchillas	Except all specimens of domesticated form
	Muridae—Mice, rats	<i>Leporillus conditor</i>	Stick Nest Rat	
		<i>Pseudomys fieldi praeconis</i>	Shark Bay Mouse	
		<i>Xeromys myoides</i>	False Water Rat	
		<i>Zyomys pedunculatus</i>	Central Rock Rat	
Sciuridae—Ground squirrels, tree squirrels	<i>Cynomys mexicanus</i>	Mexican Prairie Dog		
Sirenia—Sirenians (sea cows)	Dugongidae—Dugong	<i>Dugong dugon</i>	Dugong	
	Trichechidae—Manatees	<i>Trichechus inunguis</i>	South American Manatee	
		<i>Trichechus manatus</i>	West Indian Manatee	
		<i>Trichechus senegalensis</i>	West African Manatee	

Part 1—continued

**Class—Aves (birds)**

<b>Order</b>	<b>Family</b>	<b>Genus, species, or subspecies</b>	<b>Common name</b>	<b>Exceptions/limitations</b>
Anseriformes— Waterfowl	Anatidae—Ducks, geese, swans, etc	<i>Anas aucklandica</i>	Auckland Island Teal	
		<i>Anas chlorotis</i>	Brown Teal	
		<i>Anas laysanensis</i>	Laysan Duck	
		<i>Anas nesiotis</i>	Campbell Island Teal	
		<i>Asarcornis scutulata</i>	White-winged Wood Duck	
		<i>Branta canadensis leucopareia</i>	Aleutian Canada Goose	
		<i>Branta sandvicensis</i>	Hawaiian Goose, Nene	
		<i>Rhodonessa caryophyllacea</i>	Pink-headed Duck	Possibly extinct
Apodiformes— Swifts, hummingbirds	Trochilidae—Hummingbirds	<i>Glaucis dohrnii</i>	Hook-billed Hermit	
Charadriiformes— Shorebirds and relatives	Laridae—Gull	<i>Larus relictus</i>	Relict Gull	
	Scolopacidae—Curlews, greenshanks	<i>Numenius borealis</i>	Eskimo Curlew	
		<i>Numenius tenuirostris</i>	Slender-billed Curlew	
		<i>Tringa guttifer</i>	Spotted Greenshank	

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## Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Ciconiiformes— Storks, herons, bitterns, etc	Ciconiidae—Storks	<i>Ciconia boyciana</i>	Oriental White Stork	
		<i>Jabiru mycteria</i>	Jabiru Stork	
		<i>Mycteria cinerea</i>	Milky Stork	
	Threskiornithidae—Ibises, spoonbills	<i>Geronticus eremita</i>	Waldrapp Ibis	
<i>Nipponia nippon</i>		Japanese Crested Ibis		
Columbiformes— Doves, pigeons	Columbidae—Doves, pigeons	<i>Caloenas nicobarica</i>	Nicobar Pigeon	
		<i>Ducula mindorensis</i>	Mindoro Imperial Pigeon	
Coraciiformes— Kingfishers and relatives	Bucerotidae—Hornbills	<i>Aceros nipalensis</i>	Rufous-necked Hornbill	
		<i>Buceros bicornis</i>	Great Indian Hornbill	
		<i>Rhinoplax vigil</i>	Helmeted Hornbill	
		<i>Rhyticeros subruficollis</i>	Plain-pouched Hornbill	
Falconiformes— Eagles, falcons, hawks, vultures	Accipitridae—Hawks, eagles	<i>Aquila adalberti</i>	Spanish Imperial Eagle	
		<i>Aquila heliaca</i>	Imperial Eagle	
		<i>Chondrohierax uncinatus wilsonii</i>	Cuban Hook-billed Kite	
		<i>Haliaeetus albicilla</i>	White-tailed Sea Eagle	
		<i>Harpia harpyja</i>	Harpy Eagle	

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Pithecophaga jefferyi</i>	Monkey-eating Eagle	
	Cathartidae—New World vultures	<i>Gymnogyps californianus</i>	California Condor	
		<i>Vultur gryphus</i>	Andean Condor	
	Falconidae—Falcons	<i>Falco araeus</i>	Seychelles Kestrel	
		<i>Falco jugger</i>	Laggar Falcon	
		<i>Falco newtoni</i>	Aldabra Kestrel	Only population of Seychelles
		<i>Falco pelegrinoides</i>	Barbary Falcon	
		<i>Falco peregrinus</i>	Peregrine Falcon	
		<i>Falco punctatus</i>	Mauritius Kestrel	
		<i>Falco rusticolus</i>	Gyrfalcon	
Galliformes— Megapodes, curassows, pheasants, quails, and relatives	Cracidae—Chachalacas, curassows, guans	<i>Crax blumenbachii</i>	Red-billed Curassow	
		<i>Mitu mitu</i>	Razor-billed Curassow, Mitu	
		<i>Oreophasis derbianus</i>	Horned Guan	
		<i>Penelope albipennis</i>	White-winged Guan	
		<i>Pipile jacutinga</i>	Black-fronted Piping Guan	
		<i>Pipile pipile</i>	Trinidad Piping Guan	

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Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Megapodiidae—Megapodes, scrubfowl	<i>Macrocephalon maleo</i>	Maleo (Fowl)	
	Phasianidae—Grouse, guineafowl, partridges, pheasants, tragopans	<i>Catreus wallichii</i>	Cheer Pheasant	
		<i>Colinus virginianus ridgwayi</i>	Masked Bobwhite Quail	
		<i>Crossoptilon crossoptilon</i>	White-eared Pheasant	
		<i>Crossoptilon mantchuricum</i>	Brown-eared Pheasant	
		<i>Lophophorus impejanus</i>	Himalayan Impeyan Pheasant	
		<i>Lophophorus lhuyssii</i>	Chinese Monal Pheasant	
		<i>Lophophorus sclateri</i>	Sclater's Monal Pheasant	
		<i>Lophura edwardsi</i>	Edward's Pheasant	
		<i>Lophura swinhoii</i>	Swinhoes's Pheasant	
		<i>Polyplectron napoleonis</i>	Palawan Peacock Pheasant	
		<i>Rheinardia ocellata</i>	Crested Argus	
		<i>Syrmaticus ellioti</i>	Elliot's Pheasant	
		<i>Syrmaticus humiae</i>	Bar-tailed Pheasant	
	<i>Syrmaticus mikado</i>	Mikado Pheasant		

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Tetraogallus caspius</i>	Caspian Snowcock	
		<i>Tetraogallus tibetanus</i>	Tibetan Snowcock	
		<i>Tragopan blythii</i>	Blyth's Tragopan, Grey-bellied Tragopan	
		<i>Tragopan caboti</i>	Cabot's Tragopan, Yellow-billed Tragopan	
		<i>Tragopan melanocephalus</i>	Western Tragopan, Western Horned Pheasant	
Gruiformes—Coots, cranes, rails	Gruidae—Cranes	<i>Grus americana</i>	Whooping Crane	
		<i>Grus canadensis nesiotus</i>	Cuban Sandhill Crane	
		<i>Grus canadensis pulla</i>	Mississippi Sandhill Crane	
		<i>Grus japonensis</i>	Manchurian Crane	
		<i>Grus leucogeranus</i>	Siberian White Crane	
		<i>Grus monacha</i>	Hooded Crane	
		<i>Grus nigricollis</i>	Black-necked Crane	
		<i>Grus vipio</i>	White-naped Crane	
	Otididae—Bustards	<i>Ardeotis nigriceps</i>	Great Indian Bustard	

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## Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations	
Passeriformes— Passerines (perching birds)		<i>Chlamydotis macqueenii</i>	Macqueen's Bustard		
		<i>Chlamydotis undulata</i>	Houbara Bustard		
		<i>Houbaropsis bengalensis</i>	Bengal Bustard		
		Rallidae—Rail	<i>Gallirallus sylvestris</i>	Lord Howe Woodrail	
		Rhynochetidae—Kagu	<i>Rhynochetos jubatus</i>	Kagu	
		Atrichornithidae—Scrub-bird	<i>Atrichornis clamosus</i>	Noisy Scrub-bird	
		Cotingidae—Cotingas	<i>Cotinga maculata</i>	Banded Cotinga	
			<i>Xipholena atropurpurea</i>	White-winged Cotinga	
		Fringillidae—Finches	<i>Carduelis cucullata</i>	Red Siskin	
		Hirundinidae—Martin	<i>Pseudochelidon sirintarae</i>	White-eyed River Martin	
		Icteridae—Blackbird	<i>Xanthopsar flavus</i>	Saffron-cowled Blackbird	
		Meliphagidae—Honeyeater	<i>Lichenostomus melanops cassidix</i>	Helmeted Honeyeater	
		Muscicapidae—Old World flycatchers	<i>Dasyornis broadbenti litoralis</i>	Western Rufous Bristlebird	Possibly extinct
			<i>Dasyornis longirostris</i>	Western Bristlebird	
		<i>Picathartes gymnocephalus</i>	Bare-headed Rockfowl		
		<i>Picathartes oreas</i>	Grey-necked Rockfowl		

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Pittidae—Pittas	<i>Pitta gurneyi</i>	Guerney's Pitta	
		<i>Pitta kochi</i>	Koch's Pitta	
	Sturnidae—Mynahs (Starlings)	<i>Leucopsar rothschildi</i>	Bali Starling, Rothschild's Mynah	
	Zosteropidae—White-eye	<i>Zosterops albogularis</i>	Norfolk White-throated White-eye	
Pelecaniformes— Cormorants	Fregatidae—Frigatebird	<i>Fregata andrewsi</i>	Christmas Island Frigatebird	
	Pelecanidae—Pelican	<i>Pelecanus crispus</i>	Dalmatian Pelican	
	Sulidae—Booby	<i>Papasula abbotti</i>	Abbott's Booby	
Piciformes—Wood- peckers and relatives	Picidae—Woodpeckers	<i>Dryocopus javensis richardsi</i>	Tristram's Woodpecker	
Podicipediformes— Grebes	Podicipedidae—Grebe	<i>Podilymbus gigas</i>	Atitlan Grebe	
Procellariiformes— Tube-nosed seabirds	Diomedeidae—Albatross	<i>Phoebastria albatrus</i>	Short-tailed Albatross	
Psittaciformes— Parrots	Cacatuidae—Cockatoos	<i>Cacatua goffiniana</i>	Goffin's Cockatoo	
		<i>Cacatua haematuropygia</i>	Red-vented Cockatoo	
		<i>Cacatua moluccensis</i>	Moluccan Cockatoo, Salmon-crested Cockatoo	

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Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Cacatua sulphurea</i>	Lesser Sulphur-crested Cockatoo, Yellow-crested Cockatoo	
		<i>Probosciger aterrimus</i>	Palm Cockatoo	
	Loriidae—Lories, lorikeets	<i>Eos histrio</i>	Red-and-blue Lory	
		<i>Vini ultramarina</i>	Ultramarine Lory	
	Psittacidae—Amazons, macaws, parakeets, parrots	<i>Amazona arausiaca</i>	Red-necked Amazon Parrot	
		<i>Amazona auropalliata</i>	Yellow-naped Amazon, Yellow-naped Parrot	
		<i>Amazona barbadensis</i>	Yellow-shouldered Amazon Parrot	
		<i>Amazona brasiliensis</i>	Red-tailed Amazon Parrot	
		<i>Amazona finschi</i>	Lilac-crowned Amazon	
		<i>Amazona guildingii</i>	St. Vincent Amazon, St. Vincent Parrot	
		<i>Amazona imperialis</i>	Imperial Amazon, Imperial Parrot	
		<i>Amazona leucocephala</i>	Cuban Amazon, Cuban Parrot	

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Amazona oratrix</i>	Yellow-headed Amazon, Yellow-headed Parrot	
		<i>Amazona pretrei</i>	Red-spectacled Amazon, Red-spectacled Parrot	
		<i>Amazona rhodocorytha</i>	Red-browed Amazon, Red-topped Parrot	
		<i>Amazona tucumana</i>	Tucuman Amazon, Alder Parrot	
		<i>Amazona versicolor</i>	St. Lucia Amazon, St. Lucia Parrot	
		<i>Amazona vinacea</i>	Vinaceous Amazon, Vinaceous Parrot	
		<i>Amazona viridigenalis</i>	Green-cheeked Amazon, Green-cheeked Parrot	
		<i>Amazona vittata</i>	Puerto Rican Amazon, Puerto Rican Parrot	
		<i>Anodorhynchus</i> spp	Glaucous, Hyacinth, and Lear's Macaws	
		<i>Ara ambiguus</i>	Great Green Macaw	
		<i>Ara glaucogularis</i>	Caninde Macaw	

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Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Ara macao</i>	Scarlet Macaw	
		<i>Ara militaris</i>	Military Macaw	
		<i>Ara rubrogenys</i>	Red-fronted Macaw	
		<i>Cyanopsitta spixii</i>	Spix's Macaw	
		<i>Cyanoramphus cookii</i>	Norfolk Island Green Parrot, Norfolk Island Parakeet	
		<i>Cyanoramphus forbesi</i>	Forbes's Yellow-fronted Parakeet, Chatham Island Yellow-fronted Parakeet	
		<i>Cyanoramphus novaezelandiae</i>	Red-crowned Parakeet	
		<i>Cyanoramphus saisseti</i>	Red-crowned Parakeet	
		<i>Cyclopsitta diophthalma coxeni</i>	Coxen's Double-eyed Fig Parrot	
		<i>Eunymphicus cornutus</i>	Horned Parakeet	
		<i>Guarouba guarouba</i>	Golden Conure, Golden Parakeet	
		<i>Neophema chrysogaster</i>	Orange-bellied Parakeet	

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Ognorhynchus icterotis</i>	Yellow-eared Conure	
		<i>Pezoporus occidentalis</i>	Night Parrot	Possibly extinct
		<i>Pezoporus wallicus</i>	Ground Parrot	
		<i>Pionopsitta pileata</i>	Pileated Parrot	
		<i>Primolius couloni</i>	Blue-headed Macaw	
		<i>Primolius maracana</i>	Blue-winged Macaw	
		<i>Psephotus chrysopterygius</i>	Golden-shouldered Parrot	
		<i>Psephotus dissimilis</i>	Hooded Parrot	
		<i>Psephotus pulcherrimus</i>	Paradise Parrot	Possibly extinct
		<i>Psittacula echo</i>	Mauritius Parakeet	
		<i>Pyrrhura cruentata</i>	Blue-throated Conure	
		<i>Rhynchopsitta</i> spp	Thick-billed Parrot, Maroon-fronted Parrot	
		<i>Strigops habroptilus</i>	Kakapo	
Rheiformes—Rheas	Rheidae—Rheas	<i>Pterocnemia pennata</i>	Darwin's Rhea	Except <i>Pterocnemia pennata pennata</i> , which is included in Schedule 2
Sphenisciformes—Penguins	Spheniscidae—Penguins	<i>Spheniscus humboldti</i>	Humboldt Penguin	

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Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Strigiformes—Owls	Strigidae—Owls	<i>Heteroglaux blewitti</i>	Forest Spotted Owl	
		<i>Mimizuku gurneyi</i>	Giant Scops Owl	
		<i>Ninox natalis</i>	Christmas Island Hawk-owl	
		<i>Ninox novaeseelandiae undulata</i>	Norfolk Island Boobook Owl	
Struthioniformes— Ostriches, emus, cassowaries, kiwis	Tytonidae—Barn owls	<i>Tyto soumagnei</i>	Madagascar Owl	
	Struthionidae—Ostrich	<i>Struthio camelus</i>	Ostrich	Only populations of Algeria, Burkina Faso, Cameroon, Central African Republic, Chad, Mali, Mauritania, Morocco, Niger, Nigeria, Senegal, and Sudan. No other populations are included in the schedules
Tinamiformes— Paleognath birds	Tinamidae—Tinamous	<i>Tinamus solitarius</i>	Solitary Tinamou	
Trogoniformes— Trogons	Trogonidae—Quetzals	<i>Pharomachrus mocinno</i>	Resplendent Quetzal	

Part 1—*continued*

**Class—Reptilia (reptiles)**

<b>Order</b>	<b>Family</b>	<b>Genus, species, or subspecies</b>	<b>Common name</b>	<b>Exceptions/limitations</b>
Crocodylia— Alligators, caimans, crocodiles	Alligatoridae—Alligators, caimans	<i>Alligator sinensis</i>	Chinese Alligator	
		<i>Caiman crocodilus apaporiensis</i>	Rio Apaporis Spectacled Caiman	
		<i>Caiman latirostris</i>	Broad-snouted Caiman	Except population of Argentina, which is included in Schedule 2
		<i>Melanosuchus niger</i>	Black Caiman	Except population of Brazil, which is included in Schedule 2, and population of Ecuador, which is included in Schedule 2 and is subject to zero annual export quota until annual export quota has been approved by CITES Secretariat and IUCN/SSC Crocodile Specialist Group
	Crocodylidae—Crocodiles	<i>Crocodylus acutus</i>	American Crocodile	Except population of Cuba, which is included in Schedule 2
		<i>Crocodylus cataphractus</i>	African Slender- snouted Crocodile	
		<i>Crocodylus intermedius</i>	Orinoco Crocodile	

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Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Crocodylus mindorensis</i>	Mindoro Crocodile	
		<i>Crocodylus moreletii</i>	Belize Crocodile	Except populations of Belize and Mexico, which are included in Schedule 2 with zero quota for wild specimens traded for commercial purposes
		<i>Crocodylus niloticus</i>	Nile Crocodile	Except populations of Botswana, Egypt (subject to zero quota for wild specimens traded for commercial purposes), Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, United Republic of Tanzania (subject to annual export quota of no more than 1 600 wild specimens, including hunting trophies, in addition to ranched specimens), Zambia, and Zimbabwe, which are included in Schedule 2
		<i>Crocodylus palustris</i>	Marsh Crocodile	

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Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Crocodylus porosus</i>	Saltwater Crocodile	Except populations of Australia, Indonesia, and Papua New Guinea, which are included in Schedule 2
		<i>Crocodylus rhombifer</i>	Cuban Crocodile	
		<i>Crocodylus siamensis</i>	Siamese Crocodile	
		<i>Osteolaemus tetraspis</i>	Dwarf Crocodile	
		<i>Tomistoma schlegelii</i>	False Gaviel	
	Gavialidae—Gaviel	<i>Gavialis gangeticus</i>	Gharial	
Rhynchocephalia— Tuatara	Sphenodontidae—Tuatara	<i>Sphenodon</i> spp	Tuatara	
Sauria—Lizards	Chamaeleonidae—Chameleons	<i>Brookesia perarmata</i>	Antsingy Leaf Chameleon	
	Helodermatidae—Beaded lizards, gila monster	<i>Heloderma horridum charlesbogerti</i>	Guatemalan Beaded Lizard, Blue Beaded Lizard	
	Iguanidae—Iguanas	<i>Brachylophus</i> spp	Fiji Iguanas	
		<i>Cyclura</i> spp	Ground Iguanas	
		<i>Sauromalus varius</i>	Piebald Chuckwalla	
	Lacertidae—Lizards	<i>Gallotia simonyi</i>	Hierro Giant Lizard	

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## Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations	
	Varanidae—Monitor lizards	<i>Varanus bengalensis</i>	Indian Monitor		
		<i>Varanus flavescens</i>	Yellow Monitor		
		<i>Varanus griseus</i>	Desert Monitor		
		<i>Varanus komodoensis</i>	Komodo Dragon		
		<i>Varanus nebulosus</i>	Bengal Monitor		
Serpentes—Snakes	Boidae—Boas	<i>Acrantophis</i> spp	Madagascar Boas		
		<i>Boa constrictor occidentalis</i>	Argentine Boa Constrictor		
		<i>Epicrates inornatus</i>	Puerto Rican Boa		
		<i>Epicrates monensis</i>	Mona Island Boa, Virgin Islands Tree Boa		
		<i>Epicrates subflavus</i>	Jamaican Boa, Yellow Snake		
		<i>Sanzinia madagascariensis</i>	Madagascar Tree Boa		
		Bolyeriidae—Round Island boas	<i>Bolyeria multocarinata</i>	Round Island Burrowing Boa	
			<i>Casarea dussumieri</i>	Round Island Keel-scaled Boa	
	Pythonidae—Pythons	<i>Python molurus molurus</i>	Indian Python, Rock Python		

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Viperidae—Vipers	<i>Vipera ursinii</i>	Orsini's Viper	Only population of Europe, except area that formerly constituted USSR; populations in that area are not included in the schedules
Testudines—Turtles, tortoises	Chelidae—Austro-American side-necked turtles	<i>Pseudemadura umbrina</i>	Western Swamp Turtle	
	Cheloniidae—Marine turtles	Cheloniidae spp	Sea Turtles	
	Dermochelyidae—Leatherback turtle	<i>Dermochelys coriacea</i>	Leatherback Turtle	
	Emydidae—Box turtles, freshwater turtles	<i>Glyptemis muhlenbergii</i>	Muhlenberg's Turtle, Bog Turtle	
		<i>Terrapene coahuila</i>	Aquatic Box Turtle	
	Geoemydidae—Box turtles, freshwater turtles	<i>Batagur affinis</i>	Southern River Terrapin	
		<i>Batagur baska</i>	Batagur, Four-toed Terrapin, River Terrapin	
		<i>Geoclemys hamiltonii</i>	Black Pond Turtle	
	<i>Melanochelys tricarinata</i>	Three-keeled Land Tortoise		
	<i>Morenia ocellata</i>	Bengal Eyed Terrapin		

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Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Pangshura tecta</i>	Indian Sawback Turtle, Dura Turtle, Indian Pond Turtle	
	Platysternidae—Big-headed Turtles	<i>Platysternidae</i> spp	Big-headed Turtle	
	Testudinidae—Tortoises	<i>Astrochelys radiata</i>	Radiated Tortoise	
		<i>Astrochelys yniphora</i>	Madagascar Tortoise	
		<i>Chelonoidis nigra</i>	Galapagos Giant Tortoise	
		<i>Geochelone platynota</i>	Burmese Star Tortoise	
		<i>Gopherus flavomarginatus</i>	Yellow-bordered Tortoise	
		<i>Psammobates geometricus</i>	Geometric Tortoise	
		<i>Pyxis arachnoides</i>	Spider Tortoise	
		<i>Pyxis planicauda</i>	Flat-backed Spider Tortoise	
		<i>Testudo kleinmanni</i>	Egyptian Tortoise	

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Trionychidae—Softshell turtles, terrapins	<i>Apalone spinifera atra</i>	Cuatro Cienegas Softshell Turtle	
		<i>Chitra chitra</i>	Southeast Asian Narrow-headed Softshell Turtle	
		<i>Chitra Vandijki</i>	Burmese Narrow-headed Softshell Turtle	
		<i>Nilssonia gangetica</i>	Indian Softshell Turtle	
		<i>Nilssonia hurum</i>	Indian Peacock Softshell Turtle	
		<i>Nilssonia nigricans</i>	Dark Softshell Turtle	

**Class—Amphibia (amphibians)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Anura—Frogs, toads	Bufo[n]idae—Toads	<i>Amietophrynus superciliaris</i>	Cameroon Toad, Zaire Toad	
		<i>Altiphrynoides</i> spp	Ethiopian Toads	
		<i>Atelopus zeteki</i>	Golden Arrow Poison Frog	
		<i>Incilius periglenes</i>	Golden Toad	

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Schedule 1

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Nectophrynoides</i> spp	Viviparous Toads	
		<i>Nimbaphrynoides</i> spp	Nimba Toads	
	Microhylidae—Red rain frog, tomato frog	<i>Dyscophus antongilii</i>	Tomato Frog	
Caudata—Salamanders	Cryptobranchidae—Hellbender and giant salamanders	<i>Andrias</i> spp	Giant Salamanders	
	Salamandridae—Newts, salamanders	<i>Neurergus kaiseri</i>	Kaiser's Spotted Newt	

**Class—Elasmobranchii (sharks)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Pristiformes—Skates	Pristidae—Sawfishes	<i>Pristidae</i> spp	Sawfishes	

**Class—Actinopterygii (fish)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Acipenseriformes—Paddlefish, sturgeons	Acipenseridae—Sturgeons	<i>Acipenser brevirostrum</i>	Shortnose Sturgeon	
		<i>Acipenser sturio</i>	Common Sturgeon	
Cypriniformes—Minnows, suckers	Catostomidae—Cui-ui	<i>Chasmistes cujus</i>	Cui-ui	

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Cyprinidae—Blind carps, Plaeesok	<i>Probarbus jullieni</i>	Ikan Temoleh	
Osteoglossiformes— Bonytongues	Osteoglossidae—Bonytongue	<i>Scleropages formosus</i>	Asian Arowana	Includes taxon <i>Scleropages inscriptus</i>
Perciformes— Perch-like fishes	Sciaenidae—Totoaba	<i>Totoaba macdonaldi</i>	Macdonald's Weakfish, Totoaba	
Siluriformes—Cat- fish	Pangasiidae—Pangasid catfish	<i>Pangasianodon gigas</i>	Giant Catfish	

**Class—Sarcopterygii (lungfishes)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Coelacanthiformes— Coelacanth	Latimeriidae—Coelacanth	<i>Latimeria</i> spp	Coelacanth	

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Schedule 1

Part 1—*continued*

## Phylum—Arthropoda

## Class—Insecta (insects)

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Lepidoptera—Butterflies, moths	Papilionidae—Birdwing butterflies, swallowtail butterflies	<i>Ornithoptera alexandrae</i>	Queen Alexandra's Birdwing	
		<i>Papilio chikae</i>	Luzon Peacock Swallowtail	
		<i>Papilio homerus</i>	Homerus Swallowtail	

## Phylum—Mollusca

## Class—Bivalvia (clams and mussels)

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Unionida—Freshwater mussels	Unionidae—Freshwater mussels, pearly mussels	<i>Conradilla caelata</i>	Birdwing Pearl Mussel	
		<i>Dromus dromas</i>	Dromedary Pearly Mussel	
		<i>Epioblasma curtisi</i>	Curtis Pearly Mussel	
		<i>Epioblasma florentina</i>	Yellow Blossom Pearly Mussel	

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Epioblasma sampsonii</i>	Sampson's Pearly Mussel	
		<i>Epioblasma sulcata perobliqua</i>	White Catspaw Mussel	
		<i>Epioblasma torulosa gubernaculum</i>	Green-blossom Pearly Mussel	
		<i>Epioblasma torulosa torulosa</i>	Tuberculed-blossom Pearly Mussel	
		<i>Epioblasma turgidula</i>	Turgid Blossom Pearly Mussel	
		<i>Epioblasma walkeri</i>	Brown Blossom Pearly Mussel	
		<i>Fusconaia cuneolus</i>	Fine-rayed Pigtoe Pearly Mussel	
		<i>Fusconaia edgariana</i>	Shiny Pigtoe Pearly Mussel	
		<i>Lampsilis higginsii</i>	Higgin's Eye Pearly Mussel	
		<i>Lampsilis orbiculata orbiculata</i>	Pink Mucket Pearly Mussel	
		<i>Lampsilis satur</i>	Plain Pocketbook Pearly Mussel	
		<i>Lampsilis virescens</i>	Alabama Lamp Pearly Mussel	

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Schedule 1

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Plethobasus cicatricosus</i>	White Warty-back Pearly Mussel	
		<i>Plethobasus cooperianus</i>	Orange-footed Pimpleback Mussel, Cumberland Pigtoe Pearly Mussel	
		<i>Pleurobema plenum</i>	Rough Pigtoe Pearly Mussel	
		<i>Potamilus capax</i>	Fat Pocketbook Pearly Mussel	
		<i>Quadrula intermedia</i>	Cumberland Monkey-face Pearly Mussel	
		<i>Quadrula sparsa</i>	Appalachian Monkey-face Pearly Mussel	
		<i>Toxolasma cylindrella</i>	Pale Lilliput Pearly Mussel	
		<i>Unio nickliniana</i>	Nicklin's Pearly Mussel	
		<i>Unio tampicoensis tecomatensis</i>	Tampico Pearly Mussel	
		<i>Villosa trabalis</i>	Cumberland Bean Pearly Mussel	

Part 1—*continued*

**Class—Gastropoda (snails and conches)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Stylommatophora— Terrestrial snails and slugs	Achatinellidae—Agate snails, Oahu tree snails	<i>Achatinella</i> spp	Agate Shells, Tree Snails	

Part 2  
Flora (plants)

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Agavaceae—Agaves	<i>Agave parviflora</i>	Santa Cruz Striped Agave	
Apocynaceae— Elephant trunks, hoodias	<i>Pachypodium ambongense</i>		
	<i>Pachypodium baronii</i>		
	<i>Pachypodium decaryi</i>		
Araucariaceae— Monkey-puzzle tree	<i>Araucaria araucana</i>	Monkey-puzzle Tree	
Cactaceae—Cacti	<i>Ariocarpus</i> spp	Living Rock Cactus	
	<i>Astrophytum asterias</i>	Star Cactus	
	<i>Aztekium ritteri</i>	Aztec Cactus	
	<i>Coryphantha werdermannii</i>	Jabali Pincushion Cactus	

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Schedule 1

Part 2—*continued*

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	<i>Discocactus</i> spp	Discocacti	
	<i>Echinocereus ferreirianus</i> ssp <i>lindsayi</i>	Lindsay's Cactus	
	<i>Echinocereus schmollii</i>	Lamb's-tail Cactus	
	<i>Escobaria minima</i>	Nellie's Cory Cactus	
	<i>Escobaria sneedii</i>	Lee Pincushion Cactus, Sneed's Cory Cactus	
	<i>Mammillaria pectinifera</i>		
	<i>Mammillaria solisioides</i>		
	<i>Melocactus conoideus</i>	Conelike Turk's-cap Cactus	
	<i>Melocactus deinacanthus</i>	Wonderfully-bristled Turk's-cap Cactus	
	<i>Melocactus glaucescens</i>	Woolly Waxy-stemmed Turk's-cap Cactus	
	<i>Melocactus paucispinus</i>	Few-spined Turk's-cap Cactus	
	<i>Obregonia denegrii</i>	Artichoke Cactus	
	<i>Pachycereus militaris</i>	Grenadier's Cap, Teddy-bear Cactus	
	<i>Pediocactus bradyi</i>	Brady's Pincushion Cactus	
	<i>Pediocactus knowltonii</i>	Knowlton's Cactus	

Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	<i>Pediocactus paradinei</i>	Park Pincushion Cactus, Houserock Valley Cactus	
	<i>Pediocactus peeblesianus</i>	Fickeisen Hedgehog Cactus	
	<i>Pediocactus sileri</i>	Siler's Pincushion Cactus	
	<i>Pelecyphora</i> spp	Hatchet Cacti	
	<i>Sclerocactus brevihamatus</i> ssp <i>tobuschii</i>		
	<i>Sclerocactus erectocentrus</i>	Acuna Cactus	
	<i>Sclerocactus glaucus</i>	Vinta Basin Hookless Cactus	
	<i>Sclerocactus mariposensis</i>	Lloyd's Mariposa Cactus	
	<i>Sclerocactus mesae-verdae</i>	Mesa-verde Cactus	
	<i>Sclerocactus nyensis</i>	Tonopah Fishhook Cactus	
	<i>Sclerocactus papyracanthus</i>	Paper-spine Pincushion Cactus	
	<i>Sclerocactus pubispinus</i>		
	<i>Sclerocactus wrightiae</i>	Wright's Fishhook Cactus	
	<i>Strombocactus</i> spp		
	<i>Turbincarpus</i> spp	Turbincarpus	
	<i>Uebelmannia</i> spp		

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Schedule 1

## Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Compositae— (Asteraceae) kuth	<i>Saussurea costus</i>	Costus Root	
Cupressaceae— Alerce, cyresses	<i>Fitzroya cupressoides</i>	Alerce, Chilean False Larch	
	<i>Pilgerodendron uviferum</i>	Pilgerodendron, Cipres de las Guaitecas	
Cycadaceae—Cycads	<i>Cycas beddomei</i>		
Euphorbiaceae— Euphorbias	<i>Euphorbia ambovombensis</i>		
	<i>Euphorbia capsaintemariensis</i>		
	<i>Euphorbia cremersii</i>		Includes <i>forma viridifolia</i> and var <i>rakotozafyi</i>
	<i>Euphorbia cylindrifolia</i>		Includes <i>Euphorbia cylindrifolia</i> ssp <i>tuberifera</i>
	<i>Euphorbia decaryi</i>		Includes <i>Euphorbia decaryi</i> vars <i>ampanihyensis</i> , <i>robinsonii</i> , and <i>spirosticha</i>
	<i>Euphorbia francoisii</i>		
	<i>Euphorbia moratii</i>		Includes <i>Euphorbia moratii</i> vars <i>antsingiensis</i> , <i>bemarahensis</i> , and <i>multiflora</i>
	<i>Euphorbia parvicyathophora</i>		

Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Fouquieriaceae— Ocotillos	<i>Euphorbia quartziticola</i>		
	<i>Euphorbia tulearensis</i>		
	<i>Fouquieria fasciculata</i>		
Leguminosae (Fabaceae)— Afromosia, cristobal, rosewood, sandalwood	<i>Fouquieria purpusii</i>		
	<i>Dalbergia nigra</i>	Brazilian Rosewood	
Liliaceae—Aloes	<i>Aloe albida</i>		
	<i>Aloe albiflora</i>		
	<i>Aloe alfredii</i>		
	<i>Aloe bakeri</i>		
	<i>Aloe bellatula</i>		
	<i>Aloe calcairophila</i>		
	<i>Aloe compressa</i>		Includes vars <i>paucituberculata</i> , <i>rugosquamosa</i> , and <i>schistophila</i>
	<i>Aloe delphinensis</i>		
	<i>Aloe descoingsii</i>		
	<i>Aloe fragilis</i>		

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Part 2—*continued*

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	<i>Aloe haworthioides</i>		Includes <i>Aloe haworthioides</i> var <i>aurantiaca</i>
	<i>Aloe helenae</i>		
	<i>Aloe laeta</i>		Includes <i>Aloe laeta</i> var <i>maniaensis</i>
	<i>Aloe parallelifolia</i>		
	<i>Aloe parvula</i>		
	<i>Aloe pillansii</i>		
	<i>Aloe polyphylla</i>	Spiral Aloe	
	<i>Aloe rauhii</i>		
	<i>Aloe suzannae</i>		
	<i>Aloe versicolor</i>		
	<i>Aloe vossii</i>		
Nepenthaceae—Pitcher plants (Old World)	<i>Nepenthes khasiana</i>	Indian Pitcher Plant	
	<i>Nepenthes rajah</i>	Giant Tropical Pitcher Plant	

Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Orchidaceae—Orchids	<i>Aerangis ellisii</i>		Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to provisions of this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties
	<i>Dendrobium cruentum</i>		Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to provisions of this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties
	<i>Laelia jongheana</i>		Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to provisions of this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties

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Part 2—*continued*

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	<i>Laelia lobata</i>		Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to provisions of this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties
	<i>Paphiopedilum</i> spp	Slipper Orchids, Asian Slipper Orchids	Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to provisions of this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties
	<i>Peristeria elata</i>	Dove Orchid or Holy Ghost Orchid	Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to provisions of this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties

Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	<i>Phragmipedium</i> spp	Slipper Orchids, South American Slipper Orchids	Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to provisions of this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties
	<i>Renanthera imschootiana</i>	Red Vanda	Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to provisions of this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties
Palmae (Arecaceae) —Palms	<i>Chrysalidocarpus decipiens</i>	Butterfly Palm	
Pinaceae—Firs and pines	<i>Abies guatemalensis</i>	Guatemala Fir or Pinabete	
Podocarpaceae— Podocarps	<i>Podocarpus parlatoresi</i>	Parlatore's Podocarp	
Rubiaceae—Ayuque	<i>Balmea stormiae</i>	Ayuque	
Sarraceniaceae— Pitcher plants (New World)	<i>Sarracenia oreophila</i>	Green Pitcher Plant	
	<i>Sarracenia rubra</i> ssp <i>alabamensis</i>	Alabama Canebrake Pitcher Plant	

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Schedule 1

Part 2—*continued*

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	<i>Sarracenia rubra</i> ssp <i>jonesii</i>	Jones' Pitcher Plant, Mountain Sweet Pitcher Plant	
Stangeriaceae— Stangerias	<i>Stangeria eriopus</i>	Hottentot's Head	
Zamiaceae—Cycads	<i>Ceratozamia</i> spp		
	<i>Chigua</i> spp		
	<i>Encephalartos</i> spp	Bread Trees or Bread Palms	
	<i>Microcycas calocoma</i>		

**Schedule 2**  
**Species threatened by trade**

s 3(1)

Schedule 2: replaced, on 14 September 2014, by clause 3 of the Trade in Endangered Species Order 2014 (LI 2014/259).

The classes, families, and species listed in this schedule are arranged in descending order by class then in alphabetical order by order, family, and species. This order of arrangement is adopted in the Convention.

The entries in the column headed “**Exceptions/limitations**” have the effect of—

- (a) excluding nominated populations in the listed species; or
- (b) limiting the applications of the listed species to only those populations nominated; or
- (c) excluding certain species from a generic listing; or
- (d) excluding nominated varieties of specimens of the listed species; or
- (e) limiting the application of the listed species to only those categories of specimens nominated.

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Schedule 2

Part 1  
Fauna (animals)  
Phylum—Chordata

**Class—Mammalia (mammals)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Artiodactyla— Even-toed ungulates	Bovidae—Antelopes, cattle, duikers, gazelles, goats, sheep, etc	<i>Ammotragus lervia</i>	Barbary Sheep	
		<i>Bison bison athabascae</i>	Wood Bison	
		<i>Budorcas taxicolor</i>	Takin	
		<i>Cephalophus brookei</i>	Brook's Duiker	
		<i>Cephalophus dorsalis</i>	Bay Duiker	
		<i>Cephalophus ogilbyi</i>	Ogilby's Duiker	
		<i>Cephalophus silvicultor</i>	Yellow-backed Duiker	
		<i>Cephalophus zebra</i>	Banded Duiker	
		<i>Damaliscus pygargus pygargus</i>	Bontebok	
		<i>Kobus leche</i>	Lechwe	
		<i>Ovis ammon</i>	Argali	Except subspecies included in Schedule 1

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Ovis canadensis</i>	Desert Bighorn	Only population of Mexico. No other population is included in the schedules
		<i>Ovis vignei</i>	Urial, Red Sheep	Except subspecies included in Schedule 1
		<i>Philantomba monticola</i>	Blue Duiker	
		<i>Rupicapra pyrenaica ornata</i>	Abruzzo Chamois	
		<i>Saiga borealis</i>	Mongolian Saiga	
		<i>Saiga tatarica</i>	Saiga Antelope	
	Camelidae—Guanaco, vicuna	<i>Lama guanicoe</i>	Guanaco	
		<i>Vicugna vicugna</i>	Vicugna	Only populations of Argentina (populations of provinces of Jujuy and Catamarca and semi-captive populations of provinces of Jujuy, Salta, Catamarca, La Rioja, and San Juan), Chile (population of Primera Región), Ecuador (whole population), Peru (whole population), and Plurinational State of Bolivia (whole population). All other populations are included in Schedule 1. Consult Department of Conservation about labelling conditions

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Schedule 2

## Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Cervidae—Deer, guemals, muntjacs, pudus	<i>Cervus elaphus bactrianus</i> <i>Pudu mephistophiles</i>	Bactrian Wapiti Pudu	
	Hippopotamidae— Hippotamuses	<i>Hexaprotodon liberiensis</i> <i>Hippopotamus amphibius</i>	Pygmy Hippopotamus Hippopotamus	
	Moschidae—Musk deer	<i>Moschus</i> spp	Musk Deer	Except populations of Afghanistan, Bhutan, India, Myanmar, Nepal, and Pakistan, which are included in Schedule 1
	Tayassuidae—Peccaries	Tayassuidae spp	Peccaries	Except species included in Schedule 1 and populations of <i>Pecari tajacu</i> of Mexico and United States of America, which are not included in the schedules
Carnivora— Carnivores	Canidae—Bush dog, foxes, wolves	<i>Canis lupus</i>  <i>Cerdocyon thous</i> <i>Chrysocyon brachyurus</i> <i>Cuon alpinus</i>	Grey Wolf  Crab-eating Fox Maned Wolf Asiatic Wild Dog	Except populations of Bhutan, India, Nepal, and Pakistan, which are included in Schedule 1. Excludes domesticated form and dingo, which are referenced as <i>Canus lupus familiaris</i> and <i>Canus lupus dingo</i>

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Lycalopex culpaeus</i>	Culpeo Fox	
		<i>Lycalopex fulvipes</i>	Darwin's Fox	
		<i>Lycalopex griseus</i>	Argentine Grey Fox	
		<i>Lycalopex gymnocercus</i>	Azara's Fox	
		<i>Vulpes cana</i>	Blanford's Fox	
		<i>Vulpes zerda</i>	Fennec Fox	
	Eupleridae—Fossa, falanouc, Malagasy Civet	<i>Cryptoprocta ferox</i>	Fossa	
		<i>Eupleres goudotii</i>	Falanouc	
		<i>Fossa fossana</i>	Malagasy Civet	
	Felidae—Cats	Felidae spp	All cat species	Except species included in Schedule 1. Specimens of domesticated form are not subject to provisions of this Act
	Mephitidae—Hog-nosed skunk	<i>Conepatus humboldtii</i>	Humboldt's and Patagonian Hog-nosed Skunk	
	Mustelidae—Badgers, martens, weasels, etc	Lutrinae spp	Otters	Except species included in Schedule 1
	Otariidae—Fur seals, sea lions	<i>Arctocephalus</i> spp	Fur Seals	Except species included in Schedule 1

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Schedule 2

## Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Phocidae—Seals	<i>Mirounga leonina</i>	Southern Elephant Seal	
	Ursidae—Bears, giant panda	Ursidae spp	Bears	Except species included in Schedule 1
	Viverridae—Binturong, civets, linsangs, otter-civet, palm civets	<i>Cynogale bennettii</i>	Otter-civet	
		<i>Hemigalus derbyanus</i>	Banded Palm Civet	
		<i>Prionodon linsang</i>	Banded Linsang	
Cetacea—Dolphins, porpoises, whales		Cetacea spp	Whales, Dolphins, Porpoises	Except species included in Schedule 1. Zero annual export quota for live specimens from Black Sea population of <i>Tursiops truncatus</i> removed from the wild and traded for primarily commercial purposes
Chiroptera—Bats	Pteropodidae—Fruit bats, flying foxes	<i>Acerodon</i> spp	Flying Foxes	Except species included in Schedule 1
		<i>Pteropus</i> spp	Flying Foxes	Except <i>Pteropus brunneus</i> and species included in Schedule 1
Cingulata—Armadillos	Dasypodidae—Armadillos	<i>Chaetophractus nationi</i>	Andean Hairy Armadillo	Zero annual export quota. All specimens are deemed to be specimens of species included in Schedule 1, and trade in them is regulated accordingly

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Diprotodontia— Kangaroos, wallabies, possums, koala, wombats, and relatives	Macropodidae—Kanga- roos, wallabies	<i>Dendrolagus inustus</i>	Grizzled Grey Tree Kangaroo	
		<i>Dendrolagus ursinus</i>	Black Tree Kangaroo	
	Phalangeridae—Cuscuses	<i>Phalanger intercastellanus</i>	Eastern Common Cuscus	
		<i>Phalanger mimicus</i>	Southern Common Cuscus	
		<i>Phalanger orientalis</i>	Grey Cuscus	
		<i>Spilocuscus kraemeri</i>	Admiralty Island Cuscus	
		<i>Spilocuscus maculatus</i>	Common Spotted Cuscus	
	<i>Spilocuscus papuensis</i>	Black Spotted Cuscus, Waigeao Cuscus		
Monotremata— Monotremes (egg-laying mammals)	Tachyglossidae—Echidnas, spiny anteaters	<i>Zaglossus</i> spp	New Guinea Long-nosed Echidnas	

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## Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Perissodactyla— Horses, rhinoceroses, tapirs	Equidae—Horses, wild asses, zebras	<i>Equus hemionus</i>	Asiatic Wild Ass	Except subspecies included in Schedule 1
		<i>Equus kiang</i>	Kiang	
		<i>Equus zebra hartmannae</i>	Hartmann's Mountain Zebra	
	Rhinocerotidae— Rhinoceroses	<i>Ceratotherium simum simum</i>	White Rhinoceros	Only populations of South Africa and Swaziland. All other populations are included in Schedule 1. For exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and hunting trophies. All other specimens are deemed to be specimens of species included in Schedule 1 and trade in them is regulated accordingly
	Tapiridae—Tapirs	<i>Tapirus terrestris</i>	Brazilian Tapir	
Pholidota— Pangolins	Manidae—Pangolins	<i>Manis</i> spp	Pangolins	Zero annual export quota for <i>Manis crassicaudata</i> , <i>Manis culionensis</i> , <i>Manis javanica</i> , and <i>Manis pentadactyla</i> for specimens removed from the wild and traded for primarily commercial purposes
Pilosa—Anteaters, Sloths	Bradypodidae—Three-toed sloth	<i>Bradypus pygmaeus</i>	Pygmy Three-toed Sloth	

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Bradypus variegatus</i>	Bolivian Three-toed Sloth	
	Myrmecophagidae—American anteaters	<i>Myrmecophaga tridactyla</i>	Giant Anteater	
Primates—Apes, monkeys		Primates spp	All Monkeys, Lemurs, etc	Except species listed in Schedule 1
Proboscidea—Elephants	Elephantidae—elephants	<i>Loxodonta africana</i>	African Elephant	Populations of Botswana, Namibia, South Africa, and Zimbabwe only (all other populations are included in Schedule 1), for the exclusive purpose of allowing— (a) trade in hunting trophies for non-commercial purposes: (b) trade in live animals to appropriate and acceptable destinations as defined in Resolution Conf. 11.20, for Botswana and Zimbabwe and for <i>in situ</i> conservation programmes for Namibia and South Africa: (c) trade in hides: (d) trade in hair:

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Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
				<p>(e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia, and South Africa and for non-commercial purposes for Zimbabwe:</p> <p>(f) trade in individually marked and certified ekipas incorporated in finished jewellery for non-commercial purposes for Namibia, and ivory carvings for non-commercial purposes for Zimbabwe:</p> <p>(g) trade in registered raw ivory (for Botswana, Namibia, South Africa, and Zimbabwe, whole tusks and pieces) subject to certain conditions (consult Department of Conservation for details):</p>

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Rodentia—Rodents	Sciuridae—Ground squirrels, tree squirrels	<i>Ratufa</i> spp	Giant Squirrels	<p>(h) no further proposals to allow trade in elephant ivory from populations included in Schedule 2 may be submitted for a specified period of time (consult Department of Conservation for details)</p> <p>On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens are deemed to be specimens of species included in Schedule 1 and the trade in them is regulated accordingly</p>
Scandentia—Tree shrews		Scandentia spp	Tree Shrews	

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Part 1—*continued***Class—Aves (birds)**

<b>Order</b>	<b>Family</b>	<b>Genus, species, or subspecies</b>	<b>Common name</b>	<b>Exceptions/limitations</b>
Anseriformes— Waterfowl	Anatidae—Ducks, geese, swans, etc	<i>Anas bernieri</i>	Madagascar Teal	
		<i>Anas formosa</i>	Baikal Teal	
		<i>Branta ruficollis</i>	Red-breasted Goose	
		<i>Coscoroba coscoroba</i>	Coscoroba Swan	
		<i>Cygnus melancoryphus</i>	Black-necked Swan	
		<i>Dendrocygna arborea</i>	Cuban Whistling Duck	
		<i>Oxyura leucocephala</i>	White-headed Duck	
		<i>Sarkidiornis melanotos</i>	Comb Duck, Knob-billed Goose	
		Apodiformes— Swifts, hummingbirds	Trochilidae—Hum- mingbirds	Trochilidae spp

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Ciconiiformes— Storks, herons, bitterns, etc	Balaenicipitidae—Shoebill, whale-headed stork	<i>Balaeniceps rex</i>	Shoebill, Whale-headed Stork	
		<i>Ciconia nigra</i>	Black Stork	
	Phoenicopteridae—Flamingos	Phoenicopteridae spp	Flamingos	
		Threskiornithidae—Ibises, spoon-bills	<i>Eudocimus ruber</i>	Scarlet Ibis
	<i>Geronticus calvus</i>		Bald Ibis	
	<i>Platalea leucorodia</i>		Eurasian Spoonbill, White Spoonbill	
Columbiformes— Doves, pigeons	Columbidae—Doves, pigeons	<i>Gallicolumba luzonica</i>	Bleeding Heart Pigeon	
		<i>Goura</i> spp	Crowned or Goura Pigeons	
Coraciiformes— Kingfishers and relatives	Bucerotida—Horn-bills	<i>Aceros</i> spp	Hornbills	Except species included in Schedule 1
		<i>Anorrhinus</i> spp	Hornbills	
		<i>Anthracoceros</i> spp	Hornbills	
		<i>Berenicornis</i> spp	Hornbills	

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Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Buceros</i> spp	Hornbills	Except species included in Schedule 1
		<i>Penelopides</i> spp	Hornbills	
		<i>Rhyticeros</i> spp	Hornbills	Except species included in Schedule 1
Cuculiformes— Cuckoos and relatives	Musophagidae— Turacos	<i>Tauraco</i> spp	All species of Turaco	
Falconiformes— Eagles, falcons, hawks, vultures		Falconiformes spp	All birds of prey	Except <i>Caracara lutosa</i> and the species of the family Cathartidae, which are not included in the schedules, and the species included in Schedules 1 and 3
Galliformes— Megapodes, curassows, pheasants, quails, and relatives	Phasianidae—Grouse, guineafowl, partridges, pheasants, tragopans	<i>Argusianus argus</i> <i>Gallus sonneratii</i> <i>Ithaginis cruentus</i>	Great Argus Grey Junglefowl Blood Pheasant	
		<i>Pavo muticus</i>	Green Peafowl	
		<i>Polyplectron bicalcaratum</i>	Grey Peacock Pheasant	
		<i>Polyplectron germaini</i>	Germain's Peacock Pheasant	

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Polyplectron malacense</i>	Malay Peacock Pheasant	
		<i>Polyplectron schleiermachersi</i>	Bornean Peacock Pheasant	
		<i>Tympanuchus cupido attwateri</i>	Attwater's Prairie Chicken	
Gruiformes—Coots, cranes, rails	Gruidae—Cranes	Gruidae spp	Cranes	Except species included in Schedule 1
	Otididae—Bustards	Otididae spp	Bustards	Except species included in Schedule 1
Passeriformes—Passerines (perching birds)	Cotingidae—Cotingas	<i>Rupicola</i> spp	Cocks-of-the-rock	
	Emberizidae—Cardinals, tanagers	<i>Gubernatrix cristata</i>	Yellow Cardinal	
		<i>Paroaria capitata</i>	Yellow-billed Cardinal	
		<i>Paroaria coronata</i>	Red-crested Cardinal	
		<i>Tangara fastuosa</i>	Seven-coloured Tanager	

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Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Estrildidae—Mannikins, waxbills	<i>Amandava formosa</i>	Green Munia	
		<i>Lonchura oryzivora</i>	Java Sparrow	
		<i>Poephila cincta cincta</i>	Black-throated Finch	
	Fringillidae—Finches	<i>Carduelis yarrellii</i>	Yellow-faced Siskin	
	Muscicapidae—Old World flycatchers	<i>Cyornis ruckii</i>	Rueck's Blue Flycatcher, Rueck's Niltava	
		<i>Garrulax canorus</i>	Hwamei, Melodious Laughing Thrush	
		<i>Garrulax taewanus</i>	Taiwan Hwamei	
		<i>Leiothrix argentauris</i>	Silver-eared Mesia	
		<i>Leiothrix lutea</i>	Pekin Robin	
		<i>Liocichla omeiensis</i>	Mount Omei Liocichla	
	Paradisaeidae—Birds of paradise	Paradisaeidae spp	Birds of Paradise	
	Pittidae—Pittas	<i>Pitta guajana</i>	Blue-tailed Pitta	

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Pitta nympha</i>	Fairy Pitta	
	Pycnonotidae— Bulbul	<i>Pycnonotus zeylanicus</i>	Straw-crowned Bulbul	
	Sturnidae—Mynahs (starlings)	<i>Gracula religiosa</i>	Hill Myna	
Piciformes— Woodpeckers and relatives	Ramphastidae— Toucans	<i>Pteroglossus aracari</i>	Black-necked Aracari	
		<i>Pteroglossus viridis</i>	Green Aracari	
		<i>Ramphastos sulfuratus</i>	Keel-billed Toucan	
		<i>Ramphastos toco</i>	Toco Toucan	
		<i>Ramphastos tucanus</i>	Red-billed Toucan	
		<i>Ramphastos vitellinus</i>	Channel-billed Toucan	
Psittaci- formes— Parrots		Psittaciformes spp	All parrots and allies	Except species included in Schedule 1, and <i>Agapornis roseicollis</i> (Peach-faced or Rosy-faced Lovebird), <i>Melopsittacus undulatus</i> (Budgerigar), <i>Nymphicus hollandicus</i> (Cockatiel), and <i>Psittacula krameri</i> (Ring-necked Parakeet), which are not included in the schedules

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## Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Rheiformes— Rheas	Rheidae—Rheas	<i>Pterocnemia pennata</i> <i>pennata</i> <i>Rhea americana</i>	Southern Lesser Rhea Greater Rhea	
Sphenisciformes— Penguins	Spheniscidae—Penguins	<i>Spheniscus demersus</i>	Jackass Penguin	
Strigiformes—Owls		Strigiformes spp	Owls	Except <i>Sceloglaux albifacies</i> and species included in Schedule 1

**Class—Reptilia (reptiles)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Crocodylia— Alligators, caimans, crocodiles		Crocodylia spp	Crocodiles, Alligators, Caimans, Gharials	Except species included in Schedule 1
Sauria— Lizards	Agamidae—Agamas, mastigures	<i>Saara</i> spp <i>Uromastyx</i> spp	Spiny-tailed Lizards Spiny-tailed Lizards	
	Chamaeleonidae— Chameleons	<i>Archaius</i> spp	Seychelles Tiger Chameleon	

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Bradypodion</i> spp	Dwarf Chameleons	
		<i>Brookesia</i> spp	Leaf Chameleons	Except species included in Schedule 1
		<i>Calumma</i> spp	Chameleons	
		<i>Chamaeleo</i> spp	Chameleons	
		<i>Furcifer</i> spp	Chameleons	
		<i>Kinyongia</i> spp	Chameleons	
		<i>Nadzikambia</i> spp	Chameleons	
		<i>Trioceros</i> spp	Chameleons	
	Cordylidae—Spiny-tailed lizards	<i>Cordylus</i> spp	Crag Lizards, Girdled Lizards, Spiny-tailed Lizards	
	Gekkonidae—Geckos	<i>Nactus serpensinsula</i>	Serpent Island Gecko	
		<i>Naultinus</i> spp	New Zealand Tree Geckos	
		<i>Phelsuma</i> spp	Day Geckos	
		<i>Uroplatus</i> spp	Leaf-tailed Geckos	

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Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Helodermati- dae—Beaded lizards, gila monster	<i>Heloderma</i> spp	Poisonous Lizards	Except subspecies included in Schedule 1
	Iguanidae—Iguanas	<i>Amblyrhynchus cristatus</i>	Galapagos Marine Iguana	
		<i>Conolophus</i> spp	Galapagos Land Iguanas	
		<i>Ctenosaura bakeri</i>	Utila Spiny-tailed Iguana	
		<i>Ctenosaura melanosterna</i>	Rio Aguá Spiny-tailed Iguana	
		<i>Ctenosaura oedirhina</i>	Roatan Spiny- tailed Iguana	
		<i>Ctenosaura palearis</i>	Guatemalan Black Iguana, Spiny-tailed Iguana	
		<i>Iguana</i> spp	Iguanas	

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Phrynosoma blainvillii</i>	Blainville's Horned Lizard, San Diego Horned Lizard	
		<i>Phrynosoma cerroense</i>	Cedros Island Horned Lizard	
		<i>Phrynosoma coronatum</i>	Coast Horned Lizard	
		<i>Phrynosoma wigginsi</i>	Gulf Coast Horned Lizard, Concepcion Horned Lizard	
	Lacertidae—Lizards	<i>Podarcis lilfordi</i>	Lilford's Wall Lizard	
		<i>Podarcis pityusensis</i>	Ibiza Wall Lizard	
	Scincidae—Skinks	<i>Corucia zebrata</i>	Prehensile-tailed Skink	
	Teiidae—Caiman lizards, tegu lizards	<i>Crocodilurus amazonicus</i>	Crocodile Tegu	
		<i>Dracaena</i> spp	Caiman lizards	
		<i>Tupinambis</i> spp	Tegus	

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Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Varanidae—Monitor lizards	<i>Varanus</i> spp	Monitors	Except species included in Schedule 1
	Xenosauridae—Chinese crocodile lizards	<i>Shinisaurus crocodilurus</i>	Chinese Crocodile Lizard	
Serpentes—Snakes	Boidae—Boas	Boidae spp	All Boas, Pythons, Anacondas	Except species included in Schedule 1
	Bolyeriidae—Round Island boas	Bolyeriidae spp	Round Island Boas	Except species included in Schedule 1
	Colubridae—Typical snakes, water snakes, whipsnakes	<i>Clelia clelia</i>	Mussurana	
		<i>Cyclagras gigas</i>	False Water Cobra	
		<i>Elachistodon westermanni</i>	Indian Egg-eating Snake	
		<i>Ptyas mucosus</i>	Oriental Rat Snake	
	Elapidae—Cobras, coral snakes	<i>Hoplocephalus bungaroides</i>	Broad-headed Snake	
		<i>Naja atra</i>	Chinese Cobra, Taiwan Cobra	
		<i>Naja kaouthia</i>	Monocled Cobra	

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Naja mandalayensis</i>	Burmese Spitting Cobra	
		<i>Naja naja</i>	Asiatic Cobra	
		<i>Naja oxiana</i>	Central Asian Cobra	
		<i>Naja philippinensis</i>	Northern Philippine Cobra	
		<i>Naja sagittifera</i>	Andaman Cobra	
		<i>Naja samarensis</i>	Southeastern Philippine Cobra	
		<i>Naja siamensis</i>	Indochinese Spitting Cobra	
		<i>Naja sputatrix</i>	Southern Indonesian Spitting Cobra	
		<i>Naja sumatrana</i>	Sumatran Cobra, Equatorial Spitting Cobra	
		<i>Ophiophagus hannah</i>	King Cobra	
	Loxocemidae—Mexican dwarf boa	Loxocemidae spp	New World pythons	
	Pythonidae—Pythons	Pythonidae spp	True Pythons	Except subspecies included in Schedule 1

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Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Tropidophiidae—Wood boas	Tropidophiidae spp	Wood Boas	
	Viperidae—Vipers	<i>Trimeresurus mangshanensis</i>	Mangshan Pit Viper	
		<i>Vipera wagneri</i>	Iranian Viper	
Testudines— Turtles, tortoises	Carettochelyidae—Pig-nosed turtles	<i>Carettochelys insculpta</i>	Pig-nosed Turtle	
	Chelidae—Austro-American side-necked turtles	<i>Chelodina mccordi</i>	Roti Snake-necked Turtle	Zero export quota from the wild
	Dermatemydidae—Central American river turtle	<i>Dermatemys mawii</i>	Central American River Turtle	
	Emydidae—Box turtles, freshwater turtles	<i>Clemmys guttata</i>	Spotted Turtle	
		<i>Emydoidea blandingii</i>	Blanding's Turtle	
		<i>Glyptemys insculpta</i>	Wood Turtle	
		<i>Malaclemys terrapin</i>	Diamondback Terrapin	
		<i>Terrapene</i> spp	American Box Turtles	Except species included in Schedule 1

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations	
	Geoemydidae—Box turtles, freshwater turtles	<i>Batagur borneoensis</i>	Painted Batagur, Painted Terrapin, Saw-jawed Turtle, Three-striped Batagur	Zero quota for wild specimens for commercial purposes	
		<i>Batagur dhongoka</i>	Three-striped Roof Turtle		
		<i>Batagur kachuga</i>	Bengal Roof Turtle, Red-crowned Roofed Turtle, Sail Terrapin		
			<i>Batagur trivittata</i>	Burmese Roofed Turtle	Zero quota for wild specimens for commercial purposes
			<i>Cuora</i> spp	Asian Box Turtles	Zero quota for wild specimens for commercial purposes for <i>Cuora aurocapitata</i> , <i>Cuora flavomarginata</i> , <i>Cuora galbinifrons</i> , <i>Cuora mccordi</i> , <i>Cuora mouhotii</i> , <i>Cuora pani</i> , <i>Cuora trifasciata</i> , <i>Cuora yunnanensis</i> , and <i>Cuora zhoui</i>
			<i>Cyclemys</i> spp	Asian Leaf Turtles	
			<i>Geoemyda japonica</i>	Ryukyu Black-breasted Leaf Turtle	

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Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Geoemyda spengleri</i>	Black-breasted Leaf Turtle	
		<i>Hardella thurjii</i>	Brahminy River Turtle	
		<i>Heosemys annandalii</i>	Yellow-headed Temple Turtle	Zero quota for wild specimens for commercial purposes
		<i>Heosemys depressa</i>	Arakan Forest Turtle	Zero quota for wild specimens for commercial purposes
		<i>Heosemys grandis</i>	Giant Asian Pond Turtle	
		<i>Heosemys spinosa</i>	Spiny Terrapin, Spiny Turtle, Sunburst Turtle	
		<i>Leucocephalon yuwonoi</i>	Sulawesi Forest Turtle	
		<i>Malayemys macrocephala</i>	Malayan Snail-eating Turtle	
		<i>Malayemys subtrijuga</i>	Malayan Snail-eating Turtle	

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Mauremys annamensis</i>	Annam Leaf Turtle, Annam Pond Turtle	Zero quota for wild specimens for commercial purposes
		<i>Mauremys japonica</i>	Japanese Pond Turtle	
		<i>Mauremys mutica</i>	Yellow Pond Turtle	
		<i>Mauremys nigricans</i>	Red-necked Pond turtle, Kwangchung River Turtle	
		<i>Melanochelys trijuga</i>	Indian Black Turtle	
		<i>Morenia petersi</i>	Indian Eyed Turtle	
		<i>Notochelys platynota</i>	Malayan Flat-shelled Turtle	
		<i>Orlitia borneensis</i>	Bornean River Turtle, Malaysian Giant Turtle	Zero quota for wild specimens for commercial purposes
		<i>Pangshura</i> spp	Indian Roofed Turtles	Except species included in Schedule 1

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Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Sacalia bealei</i>	Beal's Eyed Turtle, Eye-spotted Turtle	
		<i>Sacalia quadriocellata</i>	Four-eyed Turtle	
		<i>Siebenrockiella crassicollis</i>	Black Marsh Turtle	
		<i>Siebenrockiella leytensis</i>	Leyte Pond Turtle	
		<i>Vijayachelys silvatica</i>	Cane Turtle, Kavalai Forest Turtle	
	Podocnemididae—Afro-American side-necked turtles	<i>Erymnochelys madagascariensis</i>	Madagascar Big-headed Side-neck Turtle	
		<i>Peltocephalus dumerilianus</i>	Big-headed Amazon River Turtle	
		<i>Podocnemis</i> spp	South American River Turtles	

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Testudinidae—Tortoises	Testudinidae spp	Tortoises	Except species included in Schedule 1. Zero annual export quota has been established for <i>Geochelone sulcata</i> for specimens removed from the wild and traded for primarily commercial purposes
	Trionychidae—Soft-shell turtles, terrapins	<i>Amyda cartilaginea</i>	South-East Asian Softshell Turtle	Except species included in Schedule 1
		<i>Chitra</i> spp	Narrow-headed Softshell Turtles	
		<i>Dogania subplana</i>	Malayan Softshell Turtle	
		<i>Lissemys celonensis</i>	Sri Lankan Flapshell Turtle	
		<i>Lissemys punctata</i>	Indian Flapshell Turtle	
		<i>Lissemys scutata</i>	Burmese Flapshell Turtle	
		<i>Nilssonina formosa</i>	Burmese Peacock Softshell	
		<i>Nilssonina leithii</i>	Leith's Softshell Turtle	
		<i>Palea steindachneri</i>	Wattle-necked Softshell Turtle	

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Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Pelochelys</i> spp	Giant Softshell Turtles	
		<i>Pelodiscus axenaria</i>	Chinese Softshell Turtle	
		<i>Pelodiscus maackii</i>	Chinese Softshell Turtle	
		<i>Pelodiscus parviformis</i>	Chinese Softshell Turtle	
		<i>Rafetus swinhoei</i>	Yangtze Softshell Turtle	

**Class—Amphibia (amphibians)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Anura—Frogs, toads	Aromobatidae—Fragrant frogs	<i>Allobates femoralis</i>	Brilliant-thighed Poison Frog	
		<i>Allobates hodli</i>	Poison Frog	
		<i>Allobates myersi</i>	Myers's Poison Frog	

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Allobates rufulus</i>	Chimantá Poison Frog, Gorzula's Poison Frog, Tepui Poison Frog	
		<i>Allobates zaparo</i>	Sanguine Poison Frog, Zaparo's Poison Frog	
	Dendrobatidae— Poison frogs	<i>Adelphobates</i> spp	Poison Dart Frogs	
		<i>Ameerega</i> spp	Poison Dart Frogs	
		<i>Andinobates</i> spp	Poison Dart Frogs	
		<i>Dendrobates</i> spp	Poison Dart Frogs	
		<i>Epipedobates</i> spp	Poison Dart Frogs	
		<i>Excidobates</i> spp	Poison Dart Frogs	
		<i>Hyloxalus azureiventris</i>	Sky-blue Poison Frog	
		<i>Minyobates</i> spp	Poison Dart Frogs	
		<i>Oophaga</i> spp	Poison Dart Frogs	
		<i>Phyllobates</i> spp	Poison Dart Frogs	
		<i>Ranitomeya</i> spp	Poison Dart Frogs	

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Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	Dicroglossidae—Frogs	<i>Euphyctis hexadactylus</i>	Six-fingered Frog	
		<i>Hoplobatrachus tigerinus</i>	Indian Bullfrog	
	Hylidae—Tree frogs	<i>Agalychnis</i> spp	Central American Tree Frogs	
	Mantellidae—Mantellas	<i>Mantella</i> spp	Mantellas	
	Microhylidae—Red rain frog, tomato frog	<i>Scaphiophryne gottlebei</i>	Rainbow Burrowing Frog	
	Rheobatrachidae—Gastric-brooding frogs	<i>Rheobatrachus</i> spp	Gastric-brooding Frogs	Except <i>Rheobatrachus silus</i> and <i>Rheobatrachus vitellinus</i>
Caudata—Salamanders	Ambystomidae—Axolotls	<i>Ambystoma dumerilii</i>	Lake Patzcuaro Salamander	
		<i>Ambystoma mexicanum</i>	Axolotl	

Part 1—continued

**Class—Elasmobranchii (sharks)**

<b>Order</b>	<b>Family</b>	<b>Genus, species, or subspecies</b>	<b>Common name</b>	<b>Exceptions/limitations</b>
Carcharhini- formes—Ground sharks	Carcharhinidae— Requim sharks	<i>Carcharhinus longimanus</i>	Oceanic Whitetip Shark	
	Sphyrnidae— Hammerhead sharks	<i>Sphyrna lewini</i>	Scalloped Hammerhead	
		<i>Sphyrna mokarran</i>	Great Hammerhead	
Lamniformes— Mackerel sharks	Cetorhinidae—Bask- ing shark	<i>Sphyrna zygaena</i>	Smooth Hammerhead	
		<i>Cetorhinus maximus</i>	Basking Shark	
	Lamnidae—Mackerel sharks	<i>Carcharodon carcharias</i>	Great White Shark	
Orectolobi- formes— Carpet sharks	Rhincodonti- dae—Whale shark	<i>Lamna nasus</i>	Porbeagle	
		<i>Rhincodon typus</i>	Whale Shark	
Raji- formes—Rays, skates	Mobulidae—Mobulid rays	<i>Manta</i> spp	Manta Ray	

Part 1—*continued***Class—Actinopterygii (fish)**

<b>Order</b>	<b>Family</b>	<b>Genus, species, or subspecies</b>	<b>Common name</b>	<b>Exceptions/limitations</b>
Acipenseri- formes— Paddlefish, sturgeons		Acipenseriformes spp	Sturgeons	Except species included in Schedule 1
Anguilli- formes— Eels	Anguillidae—Fresh- water eels	<i>Anguilla anguilla</i>	European Eel	
Cyprini- formes— Minnows, suckers	Cyprinidae—Blind carps, plaecesok	<i>Caecobarbus geertsi</i>	African Blind Barb Fish	
Osteoglossi- formes— Bonytongues	Arapaimidae—Ara- paimas	<i>Arapaima gigas</i>	Arapaima	
Perciformes— Perch-like fishes	Labridae—Wrasses	<i>Cheilinus undulatus</i>	Humphead Wrasse	
Syngnathi- formes— Pipefishes, seahorses	Syngnathi- dae—Pipefishes, sea- horses	<i>Hippocampus</i> spp	Seahorses	

Part 1—*continued*

**Class—Sarcopterygii (lungfishes)**

<b>Order</b>	<b>Family</b>	<b>Genus, species, or subspecies</b>	<b>Common name</b>	<b>Exceptions/limitations</b>
Ceratodontiformes— Australian Lungfish	Ceratodontidae—Australian lungfish	<i>Neoceratodus forsteri</i>	Australian Lungfish	

**Phylum—Arthropoda**

**Class—Arachnida (scorpions and spiders)**

<b>Order</b>	<b>Family</b>	<b>Genus, species, or subspecies</b>	<b>Common name</b>	<b>Exceptions/limitations</b>
Araneae— Spiders	Therapsidae—Red-kneed tarantulas, tarantulas	<i>Aphonopelma albiceps</i>	Curly-hair Tarantula	
		<i>Aphonopelma pallidum</i>	Mexican Grey Tarantula	
		<i>Brachypelma</i> spp	Mexican and Central American Tarantulas	

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Scorpiones— Scorpions		<i>Pandinus dictator</i>	Scorpion	
		<i>Pandinus gambiensis</i>	Giant Senegalese Scorpion	
		<i>Pandinus imperator</i>	Emperor Scorpion	
<b>Class—Insecta (insects)</b>				
Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Coleoptera— Beetles	Scarabaeidae—Scarab beetles	<i>Dynastes satanas</i>	Satanas Beetle	
Lepidoptera— Butterflies, moths	Papilionidae—Bird- wing butterflies, swal- lowtail butterflies	<i>Atrophaneura jophon</i>	Sri Lankan Rose, Ceylon Rose	
		<i>Atrophaneura pandiyana</i>		
		<i>Bhutanitis</i> spp	Swallowtail Butterflies	
		<i>Ornithoptera</i> spp	Birdwing Butterflies	Except species included in Schedule 1
		<i>Papilio hospiton</i>	Corsican Swallowtail	

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
		<i>Parnassius apollo</i>	Apollo Butterfly	
		<i>Teinopalpus</i> spp	Kaiserihinds	
		<i>Trogonoptera</i> spp	Birdwing Butterflies	
		<i>Troides</i> spp	Birdwing Butterflies	

Phylum—Annelida

**Class—Hirudinoidea (leeches)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Arhynchobdellida—Leeches	Hirudinidae—Medicinal leech	<i>Hirudo medicinalis</i>	Medicinal Leech	
		<i>Hirudo verbana</i>	Southern Medicinal Leech	

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## Phylum—Mollusca

**Class—Bivalvia (clams and mussels)**

<b>Order</b>	<b>Family</b>	<b>Genus, species, or subspecies</b>	<b>Common name</b>	<b>Exceptions/limitations</b>
Mytiloida— Common mussels	Mytilidae—Marine mussels	<i>Lithophaga lithophaga</i>	Mediterranean Date Mussel	
Unionida— Freshwater mussels	Unionidae—Fresh- water mussels, pearly mussels	<i>Cyprogenia aberti</i>	Edible Pearly Mussel	
		<i>Epioblasma torulosa</i> <i>rangiana</i>	Tan-blossomed Pearly Mussel	
		<i>Pleurobema clava</i>	Clubshell Pearly Mussel	
Veneroida— Clams, cockles, zebra mussels	Tridacnidae—Giant Clams	Tridacnidae spp	Giant Clams	

Part 1—*continued*

**Class—Gastropoda (snails and conches)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Mesogastropoda— Prosobranchs	Strombidae—Queen Conch	<i>Strombus gigas</i>	Queen Conch	
Stylomatophora— Terrestrial snails and slugs	Camaenidae—Green tree snail	<i>Papustyla pulcherrima</i>	Manus Green Tree Snail	

**Phylum—Cnidaria**

**Class—Anthozoa (corals and sea anemones)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Antipatharia— Black corals		Antipatharia spp	All Black Corals	
Helioporacea— Blue corals	Helioporidae—Blue corals	Helioporidae spp	Blue Corals, Blue Ridge Corals	Excluding fossils; includes only species <i>Heliopora coerulea</i>

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<b>Order</b>	<b>Family</b>	<b>Genus, species, or subspecies</b>	<b>Common name</b>	<b>Exceptions/limitations</b>
Scleractinia— Stony corals		Scleractinia spp	All Brown Stem Cluster Corals, Brush Corals, Rose Tree Corals, White Lace Corals, Cauliflower Corals	Excluding fossils
Stolonifera— Organ-pipe corals	Tubiporidae—Organ- pipe corals	Tubiporidae spp	Organpipe Corals	Excluding fossils

**Class—Hydrozoa (sea ferns, fire corals, and stinging medusae)**

<b>Order</b>	<b>Family</b>	<b>Genus, species, or subspecies</b>	<b>Common name</b>	<b>Exceptions/limitations</b>
Milleporina—Fire corals	Milleporidae—Fire corals	Milleporidae spp	Fire Corals, Yellow Fire Corals, Stinging Corals	Excluding fossils
Stylasterina— Lace corals	Stylasteridae—Lace corals	Stylasteridae spp	Lace Corals	Excluding fossils

Part 2  
Flora (plants)

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Agavaceae—Agaves	<i>Agave victoriae-reginae</i>	Queen Agave	#4
	<i>Nolina interrata</i>	Dehesa Bear-grass, San Diego Bear Grass	
	<i>Yucca queretaroensis</i>	Queretaro Yucca	
Amaryllidaceae—Snowdrops, sternbergias	<i>Galanthus</i> spp	Snowdrops	#4
	<i>Sternbergia</i> spp	Sternbergias	#4
Anacardiaceae—Cashews	<i>Operculicarya decaryi</i>	Jabily, Elephant Tree	
	<i>Operculicarya hyphaenoides</i>	Jabihy	
	<i>Operculicarya pachypus</i>	Tabily	
Apocynaceae—Elephant trunks, hoodias	<i>Hoodia</i> spp	Hoodia	#9
	<i>Pachypodium</i> spp	Elephant Trunks	#4; except species included in Schedule 1
	<i>Rauvolfia serpentina</i>	Snake-root, Devil-pepper	#2
Araliaceae—Ginseng	<i>Panax ginseng</i>	Red Ginseng	#3; only population of Russian Federation. No other population is included in the schedules
	<i>Panax quinquefolius</i>	American Ginseng	#3
Berberidaceae—May-apple	<i>Podophyllum hexandrum</i>	Himalayan May-apple	#2

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## Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Bromeliaceae—Air plants, bromelias	<i>Tillandsia harrisii</i>	Harris' Tillandsia	#4
	<i>Tillandsia kammii</i>	Kamm's Tillandsia	#4
	<i>Tillandsia mauryana</i>	Maury's Tillandsia	#4
	<i>Tillandsia xerographica</i>	Xerographic Tillandsia	#4
Cactaceae—Cacti	Cactaceae spp	Cactus Plants	#4; except species included in Schedule 1 and except <i>Pereskia</i> spp, <i>Peresklopsis</i> spp, and <i>Quiabentia</i> spp. Artificially propagated specimens of the following hybrids and cultivars are not subject to provisions of this Act: <i>Hatiora x graeseri</i> ; <i>Schlumbergera x buckleyi</i> ; <i>Schlumbergera russelliana x Schlumbergera truncata</i> ; <i>Schlumbergera orssichiana x Schlumbergera truncata</i> ; <i>Schlumbergera opuntioides x Schlumbergera truncata</i> ; <i>Schlumbergera truncata</i> (cultivars); Cactaceae spp colour mutants grafted on the following grafting stocks: <i>Harrisia</i> "Jusbertii", <i>Hylocereus trigonus</i> or <i>Hylocereus undatus</i> ; <i>Opuntia microdasys</i> (cultivars)
Caryocaraceae—Ajo	<i>Caryocar costaricense</i>	Costus	#4
Cucurbitaceae—melons, gourds, cucurbits	<i>Zygosityos pubescens</i>	Tobory	

Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	<i>Zygosityos tripartitus</i>	Betoboky	
Cyatheaceae—Tree ferns	<i>Cyathea</i> spp	Tree Ferns	#4
Cycadaceae—Cycads	Cycadaceae spp	Cycads	#4; except species included in Schedule 1
Dicksoniaceae—Tree ferns	<i>Cibotium barometz</i>		#4
	<i>Dicksonia</i> spp	Tree Ferns	#4; only population of Americas. No other population is included in the schedules
Didiereaceae—Allaudias, didiereas	Didiereaceae spp	Allaudias, Didiereas	#4
Dioscoreaceae—elephant's foot, kniss	<i>Dioscorea deltoidea</i>	Elephant's Foot	#4
Droseraceae—Venus flytrap	<i>Dionaea muscipula</i>	Venus Flytrap	#4
Ebenaceae—Ebony	<i>Diospyros</i> spp	Ebonies, Persimmon Trees	#5. Populations of Madagascar

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## Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Euphorbiaceae—Euphorbias	<i>Euphorbia</i> spp	Euphorbias	#4; succulent species only, except <i>Euphorbia misera</i> and the species included in Schedule 1. The following are not subject to provisions of this Act: artificially propagated specimens of cultivars of <i>Euphorbia trigona</i> , artificially propagated specimens of crested, fan-shaped, or colour mutants of <i>Euphorbia lactea</i> , when grafted on artificially propagated root stock of <i>Euphorbia neriifolia</i> , and artificially propagated specimens of cultivars of <i>Euphorbia</i> “Miliï”, when they are traded in shipments of 100 or more plants and readily recognisable as artificially propagated
Fouquieriaceae—Ocotillos	<i>Fouquieria columnaris</i>	Boojum Tree	#4
Juglandaceae—Gavilan	<i>Oreomunnea pterocarpa</i>	Gavilan	#4
Lauraceae—Laurels	<i>Aniba rosaeodora</i>	Brazilian Rosewood	#12
Leguminosae (Fabaceae)— Afromosia, cristobal, rosewood, sandalwood	<i>Caesalpinia echinata</i>	Brazil Wood	#10
	<i>Dalbergia</i> spp		#5. Populations of Madagascar
	<i>Dalbergia cochinchinensis</i>	Thai Rosewood	#5

Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	<i>Dalbergia granadillo</i>	Granadillo	#6
	<i>Dalbergia retusa</i>	Cocobolo	#6
	<i>Dalbergia stevensonii</i>	Honduras Rosewood	#6
	<i>Pericopsis elata</i>	African Teak	#5
	<i>Platymiscium pleiostachyum</i>	Quira, Macawood	#4
	<i>Pterocarpus santalinus</i>	Red Sanders	#7
	<i>Senna meridionalis</i>		
Liliaceae—Aloes	<i>Aloe</i> spp	Aloes	#4; except species included in Schedule 1; also excludes <i>Aloe vera</i> , also referenced as <i>Aloe barbadensis</i> , which is not included in the schedules
Meliaceae—Mahoganies, West Indian cedar	<i>Swietenia humilis</i>	Mexican Mahogany, Honduras Mahogany	#4
	<i>Swietenia macrophylla</i>	Big-leaf Mahogany	#6; populations of Neotropics
	<i>Swietenia mahagani</i>	American Mahogany	#5
Nepenthaceae—Pitcher plants (Old World)	<i>Nepenthes</i> spp	Pitcher Plants	#4; except species included in Schedule 1.

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Part 2—*continued*

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Orchidaceae—Orchids	Orchidaceae spp	Orchids	<p>#4; except species included in Schedule 1. Artificially propagated hybrids of the genera <i>Cymbidium</i>, <i>Dendrobium</i>, <i>Phalaenopsis</i>, and <i>Vanda</i> are not subject to provisions of this Act when—</p> <p>(a) specimens are readily recognisable as artificially propagated and do not show any signs of having been collected in the wild, such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and</p> <p>(b) (i) when shipped in non-flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates, or individual shelves of CC-containers), each containing 20 or more plants of</p>

Part 2—*continued*

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
139	Orobanchaceae—Broom-rape	<i>Cistanche deserticola</i>	Desert Cistanche

the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, that clearly states the number of plants of each hybrid; or

(b) (ii) when shipped in flowering state, with at least 1 fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, eg, labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification.

Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents

#4

## Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Palmae (Are- caceae)—Palms	<i>Beccariophoenix madagascariensis</i>	Manarano Palm, Maruala Palm	#4
	<i>Lemurophoenix halleuxii</i>	Red Lemur Palm	
	<i>Marojejya darianii</i>	Big Leaf Palm, Madagascar Palm	
	<i>Neodypsis decaryi</i>	Triangle Palm	#4
	<i>Ravenea louvelii</i>		
	<i>Ravenea rivularis</i>	Majesty Palm	
	<i>Satranala decussilvae</i>	Satranabe Palm	
	<i>Voanioala gerardii</i>	Forest Coconut	
Passifloraceae—Passion- flowers	<i>Adenia fringalavensis</i>		
	<i>Adenia olaboensis</i>	Adenia	
	<i>Adenia subsessilifolia</i>		
Pedaliaceae—Sesames	<i>Uncarina grandidieri</i>		
	<i>Uncarina stellulifera</i>		
Portulacaceae—Lewisias, portulacas, purslanes	<i>Anacampseros</i> spp	Purselanes	#4
	<i>Avonia</i> spp		#4
	<i>Lewisia serrata</i>	Saw-toothed Lewisia	#4

Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Primulaceae—Cyclamens	<i>Cyclamen</i> spp	Cyclamens	#4; except artificially propagated cultivars of <i>Cyclamen persicum</i> (cultivars). Exemption does not apply to specimens traded as dormant tubers
Ranunculaceae—Golden seals, yellow adonis, yellow root	<i>Adonis vernalis</i>	Yellow Adonis, Spring Adonis	#2
	<i>Hydrastis canadensis</i>	Goldenseal	#8
Rosaceae—African cherry, stinkwood	<i>Prunus africana</i>	African Stinkwood	#4
Santalaceae—Sandalwoods	<i>Osyris lanceolata</i>	African Sandalwood	#2; Populations of Burundi, Ethiopia, Kenya, Rwanda, Uganda, and United Republic of Tanzania
Sarracenaceae—Pitcher plants (New World)	<i>Sarracenia</i> spp	Pitcher Plants	#4; except species included in Schedule 1
Scrophulariaceae—Kutki	<i>Picrorhiza kurrooa</i>		#2; excludes <i>Picrorhiza scrophulariiflora</i>
Stangeriaceae—Stangerias	<i>Bowenia</i> spp		#4
Taxaceae—Himalayan yew	<i>Taxus chinensis</i> and infraspecific taxa of this species		#2

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## Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
	<i>Taxus cuspidata</i> and infraspecific taxa of this species		#2. The following are not subject to provisions of this Act: artificially propagated hybrids and cultivars of <i>Taxus cuspidata</i> , live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text “artificially propagated”
	<i>Taxus fuana</i> and infraspecific taxa of this species		#2
	<i>Taxus sumatrana</i> and infraspecific taxa of this species		#2
	<i>Taxus wallichiana</i>	Himalayan Yew	#2
Thymelaeaceae (Aquilaraceae)—Agarwood, ramin	<i>Aquilaria</i> spp	Agarwood	#14
	<i>Gonystylus</i> spp	Ramin	#4
	<i>Gyrinops</i> spp	Agarwood	#14
Valeranciaceae—Himalayan spikenard	<i>Nardostachys grandiflora</i>	Himalayan Spikenard	#2
Vitaceae—Grapes	<i>Cyphostemma elephantopus</i>	Elephant Foot Grape Tree	
	<i>Cyphostemma laza</i>	Laza	
	<i>Cyphostemma montagnacii</i>	Lazambohitra	

Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations
Welwitschiaceae— Welwitschia	<i>Welwitschia mirabilis</i>	Welwitschia	#4
Zamiaceae—Cycads	Zamiaceae spp	Cycads	#4; except species included in Schedule 1
Zingiberaceae—Ginger lily	<i>Hedychium philippinense</i>	Philippine Garland-flower	#4
Zygophyllaceae— Lignum-vitae	<i>Bulnesia sarmientoi</i>	Palo Santo, Verawood	#11
	<i>Guaiacum</i> spp	Lignum-vitae	#2

**Notes**

When a species is included in this schedule, all parts and derivatives of the species are also included in the schedule unless the species is annotated to indicate that only specific parts and derivatives are included. The symbol # followed by a number placed against the name of a species or higher taxon included in this schedule refers to a footnote that indicates the parts or derivatives of plants that are designated as specimens subject to the provisions of this Act.

- 1 All parts and derivatives except—
  - (a) seeds, spores, and pollen (including pollinia); and
  - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers; and
  - (c) cut flowers of artificially propagated plants; and
  - (d) fruits, and parts and derivatives thereof, of artificially propagated plants of the genus *Vanilla*.
- 2 All parts and derivatives except—
  - (a) seeds and pollen; and
  - (b) finished products packaged and ready for the retail trade.

## Part 2—continued

- 3 Whole and sliced roots and parts of roots, excluding manufactured parts or derivatives, such as powders, pills, extracts, tonics, teas, and confectionery.
- 4 All parts and derivatives except—
  - (a) seeds (including seedpods of Orchidaceae), spores, and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp exported from Mexico, or to seeds from *Beccariophoenix madagascarensis* and *Neodypsis decaryi* exported from Madagascar; and
  - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers; and
  - (c) cut flowers of artificially propagated plants; and
  - (d) fruits, and parts and derivatives thereof, of naturalised or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family Cactaceae; and
  - (e) stems, flowers, and parts and derivatives thereof of naturalised or artificially propagated plants of the genera *Opuntia*, subgenus *Opuntia* and *Selenicereus* (Cactaceae); and
  - (f) finished products of *Euphorbia antisyphilitica* packaged and ready for retail trade.
- 5 Logs, sawn wood, and veneer sheets.
- 6 Logs, sawn wood, veneer sheets, and plywood.
- 7 Logs, wood chips, powder, and extracts.
- 8 Underground parts (ie, roots, rhizomes): whole, parts, and powdered.
- 9 All parts and derivatives except those bearing a label “Produced from *Hoodia* spp material obtained through controlled harvesting and production under the terms of an agreement with the relevant CITES Management Authority of [Botswana under agreement No. BW/xxxxxx] [Namibia under agreement No. NA/xxxxxx] [South Africa under agreement No. ZA/xxxxxx]”.
- 10 Logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
- 11 Logs, sawn wood, veneer sheets, plywood, powder, and extracts.

Part 2—*continued*

- 12 Logs, sawn wood, veneer sheets, plywood, and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
  - 13 The kernel (also known as endosperm, pulp, or copra) and any derivative thereof.
  - 14 All parts and derivatives except—
    - (a) seeds and pollen; and
    - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers; and
    - (c) fruits; and
    - (d) leaves; and
    - (e) exhausted agarwood powder, including compressed powder in all shapes; and
    - (f) finished products packaged and ready for retail trade, this exemption does not apply to beads, prayer beads, and carvings.
-

### Schedule 3

#### Species exploited by trade

s 3(1)

Schedule 3: replaced, on 14 September 2014, by clause 3 of the Trade in Endangered Species Order 2014 (LI 2014/259).

The classes, families, and species listed in this schedule are arranged in descending order by class then in alphabetical order by order, family, and species. This order of arrangement is adopted in the Convention.

The countries listed in the column headed “**Exceptions/limitations and Countries requiring export permit**” are parties to the Convention on International Trade in Endangered Species of Wild Fauna and Flora that require an export permit to authorise trade in the species listed in this schedule. Trade in those species in relation to other countries that are party to the Convention requires a certificate of origin.

Part 1  
Fauna (animals)  
Phylum—Chordata

**Class—Mammalia (mammals)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
Artiodactyla—Even-toed ungulates	Bovidae—Antelopes, cattle, duikers, gazelles, goats, sheep, etc	<i>Antilope cervicapra</i>	Blackbuck	Nepal, Pakistan
		<i>Boselaphus tragocamelus</i>	Nilgai	Pakistan
		<i>Bubalus arnee</i>	Asiatic Buffalo	Nepal; excludes domesticated form, referenced as <i>Bubalus bubalis</i>
		<i>Capra hircus aegagrus</i>	Domestic Goat	Pakistan
		<i>Capra sibirica</i>	Siberian Ibex	Pakistan
		<i>Gazella bennettii</i>	Chinkara, Indian Gazelle	Pakistan
		<i>Gazella dorcas</i>	Dorcas Gazelle	Algeria, Tunisia
		<i>Pseudois nayaur</i>	Bharal, Himalayan Blue Sheep, Naur	Pakistan
		<i>Tetracerus quadricornis</i>	Four-horned Antelope	Nepal

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## Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit	
Carnivora— Carnivores	Cervidae—Deer, guemals, muntjacs, pudus	<i>Axis porcinus</i>	Hog Deer	Pakistan; except subspecies included in Schedule 1	
		<i>Cervus elaphus barbarus</i>	Tunisian Deer	Algeria, Tunisia	
		<i>Mazama temama cerasina</i>	Red Brocket Deer	Guatemala	
		<i>Odocoileus virginianus mayensis</i>	White-tailed Deer	Guatemala	
	Canidae—Bush dog, foxes, wolves	<i>Canis aureus</i>	<i>Canis aureus</i>	Golden Jackal	India
			<i>Vulpes bengalensis</i>	Bengal Fox	India
			<i>Vulpes vulpes griffithi</i>	Red Fox	India
			<i>Vulpes vulpes montana</i>	Red Fox	India
			<i>Vulpes vulpes pusilla</i>	Red Fox	India
			Herpestidae—Mongooses	<i>Herpestes edwardsii</i>	Indian Grey Mongoose
		<i>Herpestes fuscus</i>		Indian Brown Mongoose	India
		<i>Herpestes javanicus</i>		Small Asian Mongoose	Pakistan
		<i>Herpestes javanicus auropunctatus</i>		Small Indian Mongoose	India
				<i>Herpestes smithii</i>	Ruddy Mongoose

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
		<i>Herpestes urva</i>	Crab-eating Mongoose	India
		<i>Herpestes vitticollis</i>	Stripe-necked Mongoose	India
	Hyaenidae—Aardwolf	<i>Hyaena hyaena</i>	Striped Hyena	Pakistan
		<i>Proteles cristata</i>	Aardwolf	Botswana
	Mustelinae—Grisons, honey badger, martens, tayra, weasels	<i>Eira barbara</i>	Tayra	Honduras
		<i>Galictis vittata</i>	Greater Grison	Costa Rica
		<i>Martes flavigula</i>	Yellow-throated Marten	India
		<i>Martes foina intermedia</i>	Central Asian Stone Marten	India
		<i>Martes gwatkinsii</i>	Nilgiri Marten	India
		<i>Mellivora capensis</i>	Honey Badger	Botswana
		<i>Mustela altaica</i>	Alpine Weasel	India
		<i>Mustela erminea ferghanae</i>	Stoat	India
		<i>Mustela kathiah</i>	Yellow-bellied Weasel	India
		<i>Mustela sibirica</i>	Siberian Weasel	India
	Odobenidae—Walrus	<i>Odobenus rosmarus</i>	Walrus	Canada

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## Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit	
	Procyonidae—Coatis, kinkajou, olingos	<i>Bassaricyon gabbii</i>	Bushy-tailed Olingo	Costa Rica	
		<i>Bassariscus sumichrasti</i>	Central American Cacomistle	Costa Rica	
		<i>Nasua narica</i>	Northern Coati	Honduras	
		<i>Nasua nasua solitaria</i>	South Brazilian Coati	Uruguay	
		Viverridae—Binturong, civets, linsangs, otter-civet, palm civets	<i>Potos flavus</i>	Kinkajou	Honduras
			<i>Arctictis binturong</i>	Binturong	India
			<i>Civettictis civetta</i>	African Civet	Botswana
			<i>Paguma larvata</i>	Masked Palm Civet	India
			<i>Paradoxurus hermaphroditus</i>	Common Palm Civet	India
			<i>Paradoxurus jerdoni</i>	Palm Civet	India
			<i>Viverra civettina</i>	Large Spotted Civet	India
		<i>Viverra zibetha</i>	Large Indian Civet	India	
		<i>Viverricula indica</i>	Small Indian Civet	India	
Chiroptera—Bats		Phyllostomidae—Broad-nosed bat	<i>Platyrrhinus lineatus</i>	White-lined Bat	Uruguay
Cingulata—Armadillos	Dasypodidae—Armadillos	<i>Cabassous centralis</i>	Naked-tailed Armadillo	Costa Rica	

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
		<i>Cabassous tatouay</i>	Greater Naked-tailed Armadillo	Uruguay
Pilosa—Anteaters, sloths	Megalonychidae—Two-toed sloth	<i>Choloepus hoffmanni</i>	Hoffman’s Sloth	Costa Rica
	Myrmecophagidae—American anteaters	<i>Tamandua mexicana</i>	Northern Tamandua	Guatemala
Rodentia—Rodents	Cuniculidae—Paca	<i>Cuniculus paca</i>	Paca	Honduras
	Dasyproctidae—Agouti	<i>Dasyprocta punctata</i>	Central American Agouti	Honduras
	Erethizontidae—New World porcupines	<i>Sphiggurus mexicanus</i> <i>Sphiggurus spinosus</i>	Mexican Porcupine Spiny Tree Porcupine	Honduras Uruguay
	Sciuridae—Ground squirrels, tree squirrels	<i>Marmota caudata</i> <i>Marmota himalayana</i> <i>Sciurus deppei</i>	Longtailed Marmot Himalayan Marmot Deppe’s Squirrel	India India Costa Rica

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Schedule 3

Part 1—*continued***Class—Aves (birds)**

<b>Order</b>	<b>Family</b>	<b>Genus, species, or subspecies</b>	<b>Common name</b>	<b>Exceptions/limitations and Countries requiring export permit</b>
Anseriformes— Waterfowl	Anatidae—Ducks, geese, swans, etc	<i>Cairina moschata</i>	Muscovy Duck	Honduras
		<i>Dendrocygna autumnalis</i>	Red-billed Whistling Duck	Honduras
		<i>Dendrocygna bicolor</i>	Fulvous Tree Duck	Honduras
Charadriiformes— Shorebirds and relatives	Burhinidae—Thick-knee	<i>Burhinus bistriatus</i>	Double-striped Thick-knee	Guatemala
Columbiformes— Doves, pigeons	Columbidae—Doves, pigeons	<i>Nesoenas mayeri</i>	Mauritius Pink Pigeon	Mauritius
Falconiformes— Eagles, falcons, hawks, vultures	Cathartidae—New World vultures	<i>Sarcoramphus papa</i>	King Vulture	Honduras
Galliformes— Megapodes, curassows, pheasants, quails, and relatives	Cracidae—Chachalacas, curassows, guans	<i>Crax alberti</i>	Blue-knobbed Curassow	Colombia
		<i>Crax daubentoni</i>	Yellow-knobbed Curassow	Colombia
		<i>Crax globulosa</i>	Wattled Curassow	Colombia
		<i>Crax rubra</i>	Great Curassow	Colombia, Costa Rica, Guatemala, Honduras

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
		<i>Ortalis vetula</i>	Plain Chachalaca	Guatemala, Honduras
		<i>Pauxi pauxi</i>	Northern Helmeted Curassow	Colombia
		<i>Penelope purpurascens</i>	Crested Guan	Honduras
		<i>Penelopina nigra</i>	Highland Guan	Guatemala
	Phasianidae—Grouse, guineafowl, partridges, pheasants, tragopans	<i>Lophura leucomelanos</i>	Kalij Pheasant	Pakistan
		<i>Meleagris ocellata</i>	Ocellated Turkey	Guatemala
		<i>Pavo cristatus</i>	Indian Peafowl, Blue Peafowl	Pakistan
		<i>Pucrasia macrolopha</i>	Koklass Pheasant	Pakistan
		<i>Tragopan satyra</i>	Satyr Tragopan, Indian Tragopan, Crimson Horned Pheasant	Nepal
Passeriformes— Passerines (Perching birds)	Cotingidae—Cotingas	<i>Cephalopterus ornatus</i>	Amazonian Umbrellabird, Ornate Umbrellabird	Colombia
		<i>Cephalopterus penduliger</i>	Long-wattled Umbrellabird	Colombia

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## Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
	Muscicapidae—Old World flycatchers	<i>Acrocephalus rodericanus</i>	Rodrigues Warbler	Mauritius
		<i>Terpsiphone bourbonensis</i>	Mascarene Paradise-flycatcher	Mauritius
Piciformes—Woodpeckers and relatives	Capitonidae—Barbet	<i>Semnornis ramphastinus</i>	Toucan Barbet	Colombia
	Ramphastidae—Toucans	<i>Baillonius bailloni</i>	Saffron Toucanet	Argentina
		<i>Pteroglossus castanotis</i>	Chestnut-eared Aracari	Argentina
		<i>Ramphastos dicolorus</i>	Red-breasted Toucan	Argentina
		<i>Selenidera maculirostris</i>	Spot-billed Toucanet	Argentina

**Class—Reptilia (reptiles)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
Sauria—Lizards	Gekkonidae—Geckos	<i>Hoplodactylus</i> spp	New Zealand Brown Geckos, Sticky-toed Geckos	New Zealand
Serpentes—Snakes	Colubridae—Typical snakes, water snakes, whipsnakes	<i>Atretium schistosum</i>	Olive Keel-back Snake	India
		<i>Cerberus rynchops</i>	Dog-faced Water Snake	India

Part 1—continued

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
		<i>Xenochrophis piscator</i>	Checkered Keelback Water Snake	India
	Elapidae—Cobras, coral snakes	<i>Micrurus diastema</i>	Atlantic Coral Snake	Honduras
		<i>Micrurus nigrocinctus</i>	Black-banded Coral Snake	Honduras
	Viperidae—Vipers	<i>Crotalus durissus</i>	Tropical Rattlesnake	Honduras
		<i>Daboia russelii</i>	Russell's Viper	India
Testudines—Turtles, tortoises	Chelydridae—Snapping turtles	<i>Macrochelys temminckii</i>	Alligator Snapping Turtle	United States of America
	Emydidae—Box turtles, freshwater turtles	<i>Graptemys</i> spp	Map Turtles	United States of America
	Geoemydidae—Box turtles, freshwater turtles	<i>Mauremys iversoni</i>	Iverson's Pond Turtle, Fujian Pond Turtle	China
		<i>Mauremys megaloccephala</i>	Chinese Broad-headed Pond Turtle	China
		<i>Mauremys pritchardi</i>	Pritchard's Pond Turtle, Lashio Pond Turtle	China
<i>Mauremys reevesii</i>	Reeve's Turtle, Chinese Three-keeled Pond Turtle	China		

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Schedule 3

Part 1—*continued*

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
		<i>Mauremys sinensis</i>	Chinese Stripe-necked Turtle	China
		<i>Ocadia glyphistoma</i>	Guanxi Stripe-necked Turtle	China
		<i>Ocadia philippeni</i>	Philippen's Stripe-necked Turtle	China
		<i>Sacalia pseudocellata</i>	Chinese False-eyed Turtle	China

**Class—Amphibia (amphibians)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
Anura—Frogs, toads	Calyptocephalellidae—Chilean toads	<i>Calyptocephalella gayi</i>	Helmeted Water Toad, Chilean Helmeted Bull Frog, Wide Mouth Toad	Chile
Caudata—Salamanders	Cryptobranchidae—Giant salamanders	<i>Cryptobranchus alleganiensis</i>	Hellbender Salamander	United States of America
	Hynobiidae—Asiatic salamanders	<i>Hynobius amjiensis</i>	Amji's Salamander	China

Part 1—*continued*

Phylum—Echinodermata

**Class—Holothuroidea (sea cucumbers)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
Aspidochirotida— Sea cucumbers	Stichopodidae—Sea cucumbers	<i>Isostichopus fuscus</i>		Ecuador

Phylum—Arthropoda

**Class—Insecta (insects)**

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
Coleoptera—Beetles	Lucanidae—Cape stag beetles	<i>Colophon</i> spp	Cape Stag Beetles	South Africa
Lepidoptera—Butterflies, Moths	Nymphalidae—Brush-footed butterflies	<i>Agrias amydon boliviensis</i> <i>Morpho godartii lachaumei</i> <i>Prepona praeneste buckleyana</i>		Plurinational State of Bolivia Plurinational State of Bolivia Plurinational State of Bolivia

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Schedule 3

Part 1—*continued*

## Phylum—Cnidaria

## Class—Anthozoa (corals and sea anemones)

Order	Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
Gorgonaceae—Sea feathers, sea fans	Coralliidae—Red corals	<i>Corallium elatius</i>		China
		<i>Corallium japonicum</i>		China
		<i>Corallium konjoi</i>	White Coral	China
		<i>Corallium secundum</i>	Angelskin Coral, Formosa Pink Coral, Pink Coral	China

Part 2  
Flora (plants)

Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
Fagaceae—Beech, oak	<i>Quercus mongolica</i>	Mongolian oak	#5; Russian Federation
Gnetaceae—Gnetums	<i>Gnetum montanum</i>		#1; Nepal

Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
Leguminosae (Fabaceae)—Afromosia, cristobal, rosewood, sandalwood	<i>Dalbergia darienensis</i>		#2; Population of Panama (Panama).
	<i>Dalbergia tucurensis</i>		#6; Nicaragua
	<i>Dipteryx panamensis</i>		Costa Rica, Nicaragua
Magnoliaceae—Magnolias	<i>Magnolia liliiflora</i> var <i>obovata</i>		#1; Nepal
Meliaceae—Mahoganies, West Indian cedar	<i>Cedrela fissilis</i>		#5; Plurinational State of Bolivia
	<i>Cedrela lilloi</i>		#5; Plurinational State of Bolivia
	<i>Cedrela odorata</i>	Red Cedar, Cigar-box Wood, Spanish Cedar	#5; Brazil and Plurinational State of Bolivia; Colombia, population of Colombia; Guatemala, population of Guatemala; Peru, population of Peru.
Oleaceae—Ash, olive, jasmine, lilac	<i>Fraxinus mandshurica</i>	Manchurian Ash	#5; Russian Federation
Palmae (Arecaceae)—Palms	<i>Lodoicea maldivica</i>	Coco de Mer	#13; Seychelles
Papaveraceae—Poppies	<i>Meconopsis regia</i>	Himalayan Poppy	#1; Nepal
Pinaceae—Pines	<i>Pinus koraiensis</i>	Korean Pine	#5; Russian Federation

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## Part 2—continued

Family	Genus, species, or subspecies	Common name	Exceptions/limitations and Countries requiring export permit
Podocarpaceae— Podocarps	<i>Podocarpus neriifolius</i>	Yellow Wood	#1; Nepal
Trochodendraceae (Tetracentraceae)— Tetracentron	<i>Tetracentron sinense</i>		#1; Nepal

**Notes**

When a species is included in this schedule, all parts and derivatives of the species are also included in the schedule unless the species is annotated to indicate that only specific parts and derivatives are included. The symbol # followed by a number placed against the name of a species or higher taxon included in this schedule refers to a footnote that indicates the parts or derivatives of plants that are designated as specimens subject to the provisions of this Act.

- 1 All parts and derivatives except—
  - (a) seeds, spores, and pollen (including pollinia); and
  - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers; and
  - (c) cut flowers of artificially propagated plants; and
  - (d) fruits, and parts and derivatives thereof, of artificially propagated plants of the genus *Vanilla*.
- 2 All parts and derivatives except—
  - (a) seeds and pollen; and
  - (b) finished products packaged and ready for the retail trade.
- 3 Whole and sliced roots and parts of roots, excluding manufactured parts or derivatives, such as powders, pills, extracts, tonics, teas, and confectionery.

Part 2—continued

- 4 All parts and derivatives except—
  - (a) seeds (including seedpods of Orchidaceae), spores, and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp exported from Mexico, or to seeds from *Beccariophoenix madagascarensis* and *Neodypsis decaryi* exported from Madagascar; and
  - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers; and
  - (c) cut flowers of artificially propagated plants; and
  - (d) fruits, and parts and derivatives thereof, of naturalised or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family Cactaceae; and
  - (e) stems, flowers, and parts and derivatives thereof of naturalised or artificially propagated plants of the genera *Opuntia*, subgenus *Opuntia* and *Selenicereus* (Cactaceae); and
  - (f) finished products of *Euphorbia antisyphilitica* packaged and ready for retail trade.
- 5 Logs, sawn wood, and veneer sheets.
- 6 Logs, sawn wood, veneer sheets, and plywood.
- 7 Logs, wood chips, powder, and extracts.
- 8 Underground parts (ie, roots, rhizomes): whole, parts, and powdered.
- 9 All parts and derivatives except those bearing a label “Produced from *Hoodia* spp material obtained through controlled harvesting and production under the terms of an agreement with the relevant CITES Management Authority of [Botswana under agreement No. BW/xxxxxx] [Namibia under agreement No. NA/xxxxxx] [South Africa under agreement No. ZA/xxxxxx]”.
- 10 Logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
- 11 Logs, sawn wood, veneer sheets, plywood, powder, and extracts.
- 12 Logs, sawn wood, veneer sheets, plywood, and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.

Part 2—*continued*

- 13 The kernel (also known as endosperm, pulp, or copra) and any derivative thereof.
- 14 All parts and derivatives except—
- (a) seeds and pollen; and
  - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers; and
  - (c) fruits; and
  - (d) leaves; and
  - (e) exhausted agarwood powder, including compressed powder in all shapes; and
  - (f) finished products packaged and ready for retail trade, this exemption does not apply to beads, prayer beads, and carvings.
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## **Reprints notes**

### **1 General**

This is a reprint of the Trade in Endangered Species Act 1989 that incorporates all the amendments to that Act as at the date of the last amendment to it.

### **2 Legal status**

Reprints are presumed to correctly state, as at the date of the reprint, the law enacted by the principal enactment and by any amendments to that enactment. Section 18 of the Legislation Act 2012 provides that this reprint, published in electronic form, has the status of an official version under section 17 of that Act. A printed version of the reprint produced directly from this official electronic version also has official status.

### **3 Editorial and format changes**

Editorial and format changes to reprints are made using the powers under sections 24 to 26 of the Legislation Act 2012. See also <http://www.pco.parliament.govt.nz/editorial-conventions/>.

### **4 Amendments incorporated in this reprint**

Trade in Endangered Species Amendment Act 2015 (2015 No 38)  
Trade in Endangered Species Order 2014 (LI 2014/259)  
Search and Surveillance Act 2012 (2012 No 24): section 303  
Criminal Procedure Act 2011 (2011 No 81): section 413  
Immigration Act 2009 (2009 No 51): section 406(1)  
Policing Act 2008 (2008 No 72): section 116(a)(ii)  
Trade in Endangered Species Amendment Act 2007 (2007 No 85)  
Trade in Endangered Species Amendment Act 2005 (2005 No 71)  
Customs and Excise Amendment Act 2004 (2004 No 55): section 47(4)(b)  
Trade in Endangered Species Amendment Act 1999 (1999 No 50)  
District Courts Amendment Act 1998 (1998 No 76): section 7  
Trade in Endangered Species Amendment Act 1998 (1998 No 17)  
Postal Services Act 1998 (1998 No 2): section 62(1)  
Trade in Endangered Species Amendment Act 1996 (1996 No 149)  
Territorial Sea and Exclusive Economic Zone Amendment Act 1996 (1996 No 74): section 5(4)  
Customs and Excise Act 1996 (1996 No 27): sections 289(1), 294(2)

Biosecurity Act 1993 (1993 No 95): section 168(1)

Crown Research Institutes Act 1992 (1992 No 47): section 46(1)

Trade in Endangered Species Amendment Act 1991 (1991 No 100)

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