



NOTIFICATION TO THE PARTIES

No. 2024/066

Geneva, 24 May 2024

CONCERNING:

Questionnaire on potential gaps to be addressed in the content of
Resolution Conf. 11.3 (Rev. CoP19) on *Compliance and enforcement*

1. Pursuant to the mandate given by the Standing Committee, the cochairs of the working group on the review of Resolution Conf. 11.3 (Rev. CoP19) on *Compliance and enforcement* have developed a short questionnaire (annexed to this Notification), primarily addressed to the Management Authorities of each Party responsible for implementing the Convention.
2. The knowledge and experience of any person or organization involved in the daily implementation of CITES (law enforcement agents, private sector, certification bodies, non-governmental organizations, etc.) are very welcome and they are invited to respond to this questionnaire. Additional information can be provided along with the responses to the questionnaire.
3. This questionnaire intends to collect information on the six topics identified by the Standing Committee as potential gaps to be addressed in the context of Resolution Conf. 11.3 (Rev. CoP19):
 - a) the role of professional bodies and whether they should be held to higher standards with regard to violations/compliance;
 - b) whether to consider additional guidance in the Resolution relating to compliance and enforcement issues unique to trade in CITES-listed marine species, including issues pertaining to introduction from the sea;
 - c) whether to consider adding guidance in the Resolution relating to stockpile management;
 - d) whether to consider adding guidance in the Resolution relating to the One Health approach – a collaborative and transdisciplinary approach to achieving optimal health outcomes for people, animals, plants, and their shared environment;
 - e) whether to consider adding guidance in the Resolution relating to possible outcomes from currently ongoing discussions in Standing Committee working group on Electronic systems and information technologies; and
 - f) whether to update timeframes for providing information in response to requests for information from the Secretariat on a potential compliance matter.

Secretariat of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)

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[cites.org](https://www.cites.org)

4. **If you have already responded to the questionnaire as a member of the working group, your earlier response will be taken into account, and you do not need to respond again.**
5. Please provide your responses at your earliest convenience and **no later than 14 June 2024**. Any questions on the survey can be addressed to the CITES Secretariat at penelope.benn@un.org.

QUESTIONNAIRE ON POTENTIAL GAPS TO BE ADDRESSED IN THE CONTENT OF
RESOLUTION CONF. 11.3 (REV. COP19) ON *COMPLIANCE AND ENFORCEMENT*

Questionnaire:

- i) **Professional bodies:** Please provide information on the sort of professional bodies that are associated with trade in wildlife in your country and whether you consider necessary to include a definition of professional bodies in Resolution Conf. 11.3 (Rev. CoP19). In an affirmative case, is there any specific provision in your national legislation defining professional bodies and requiring higher standards for those involved in wildlife trade? If so, please provide the detailed references and explain the procedures in place and practical tools available.
- ii) **Marine species:** Please indicate if you consider that additional guidance is required in the Resolution relating to compliance and enforcement issues unique to trade in CITES-listed marine species, including issues pertaining to introduction from the sea. If so, please indicate the topics that have to be included that are not already covered in other existing Resolutions relevant to that matter;
- iii) **Stockpile management:** are there issues related to the handling and disposal of seized stockpiles of CITES-listed species that would benefit for additional guidance in the Resolution, e.g. control measures to secure storage, etc.
- iv) **One health:** noting that there is a working group on zoonotic diseases discussing the matter, please explain whether you consider that there is need for adding guidance in the Resolution relating to the One Health approach – a collaborative and transdisciplinary approach to achieving optimal health outcomes for people, animals, plants, and their shared environment;
- v) **Electronic systems:** bearing in mind that there is a SC working group on electronic systems, kindly provide practical examples where additional guidance in the Resolution Conf. 11.3 (Rev. CoP19) will fill potential existing gaps with regard to Electronic systems and information technologies; and
- vi) **Timeframes:** What is your experience with the timeframes for providing response to requests on possible compliance issues? Would you recommend changes and if so what?

Please **identify any further potential gaps** relating to compliance and enforcement that that could be addressed in Resolution Conf. 11.3 (Rev. CoP19) and areas where there is potential duplication with other relevant ongoing work of the Standing Committee: