Annex 2

Error! Bookmark not defined.QUESTIONNAIRE:
GUIDANCE FOR MAKING LEGAL ACQUISITION FINDINGS
AND VERIFYING LEGALITY

A) **Assistance:** Has your country made any offer, upon request, of assistance to developing countries, for the improvement of their capacity to verify legal acquisition? What were the identified needs? What did the assistance consist in? Please provide details.

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B) **Guidance in Annex 1 to Resolution Conf. 18.7:** How is this Guidance used in your country? Please provide any relevant information, examples of how the Guidance is used and details of any best practices or difficulties encountered by Management Authorities in this regard.

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C) **Guidance in Annex 1 (1.b) to Resolution Conf. 18.7:** In order to ensure due process and assist applicants in providing information demonstrating legal acquisition, has your country prepared general written instructions regarding the information required of an applicant? Are these instructions publicly available?

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D) **Guidance in Annex 1 (1.c) to Resolution Conf. 18.7:** Does the Management Authority of your country verify legal acquisition based on a risk assessment approach? What risk assessment methodologies are used? Please, provide details.

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E) **Applicability of the guidance in Annex 1 to the additional circumstances in Annex 2 to Resolution Conf. 18.7:** Has the Management Authority of your country verified legal acquisition and other legal findings in any of the circumstances\* set out in Annex 2? Please specify the additional circumstances in question and share any relevant information, including best practices or difficulties encountered by Management Authorities in applying the guidance in Annex 1 to the additional circumstances set out in Annex 2.

\* Breeding/ parental stock of specimens bred in captivity or artificially propagated; ‘Pre-Convention’ specimens; Specimens of Appendix I and II species taken in the marine environment not under the jurisdiction of any State; Other exemptions and special provisions

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F) **Due diligence** **[Resolution Conf. 11.3 (Rev. CoP 18) on *Compliance and enforcement*]:** Has your country been confronted with a situation of doubt regarding the legality of the import or re-export of a CITES specimen? What circumstances led the Management Authority to this conclusion? Which measures did the Management Authority adopt? Please, provide relevant information and examples.

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G) **Useful materials:** What workshops, capacity-building activities and training materials would you find useful in aiding the verification of the legal acquisition of CITES specimens?

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H) **Other information:** Please share any other relevant information or comments on the implementation of Resolution Conf. 18.7 in your country.

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