

## Guidelines for the preparation and submission of the CITES annual illegal trade report

### 1. Introduction

- a) At its 17th meeting (CoP17, Johannesburg, 2016), the Conference of the Parties to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) decided to introduce a new CITES illegal trade report by inserting paragraph 3 in [Resolution Conf. 11.17 \(Rev. CoP17\)](#) on *National reports*. The Conference of the Parties in paragraph 3:
3. *URGES all Parties, commencing in 2017, to submit an annual illegal trade report by 31 October each year covering actions in the preceding year and in accordance with the report format distributed by the Secretariat, as may be amended by the Secretariat from time to time with the concurrence of the Standing Committee.*
- b) The present guidelines for the preparation and submission of CITES annual illegal trade reports were prepared by the Secretariat and approved by the Standing Committee at its 69th meeting (SC69, Geneva, November 2017).
- c) The standard format for the CITES annual illegal trade report agreed by the Standing Committee is included at the end of this guidelines.
- d) The guidelines also include a sample report, titled *SAMPLE ILLEGAL TRADE REPORT (hypothetical examples)*, containing fictive examples prepared by the Secretariat to illustrate the guidance. This sample report containing the fictive examples can be found immediately after the standard format for the CITES annual illegal trade report at the end of this document.
- e) It is recalled that Resolution Conf. 11.17 (Rev. CoP17) directs the Secretariat to, unless otherwise specified by the reporting Party, share the data collected in the CITES annual illegal trade report with the members of the International Consortium on Combating Wildlife Crime (ICWC),<sup>1</sup> to allow data to be used in ICWC global research and analysis studies on wildlife and forest crime. Each Party should indicate in the annual illegal trade report it submit, whether the data provided in the report may be used in the ICWC-supported global research and analysis on wildlife and forest crime. This should be done by ticking the appropriate box provided in the format for reporting. In the event that no box is ticked, the Secretariat will consider that the report can be shared with the members of ICWC.
- f) Resolution Conf. 11.3 (Rev. CoP17) on *Compliance and Enforcement* in paragraph 14, DIRECTS the Secretariat to, subject to available resources:
- a) *analyse, in collaboration with ICWC partners, the annual reports on illegal wildlife trade;*
- b) *share with Parties information relating to the analysis to support further enforcement activities; and*
- c) *submit a report at each meeting of the Standing Committee and the Conference of Parties based on the analysis and other relevant information available through ICWC partners.*

### 2. General guidance

- a) The annual illegal trade report should be sent to the CITES Secretariat in Geneva, Switzerland, preferably by email. Only the following email address must be used for this purpose: [reporting@cites.org](mailto:reporting@cites.org)
- b) Each annual illegal trade report should cover the period 1 January to 31 December.
- c) The standard format for the CITES annual illegal trade report in Excel is available from the CITES website: <https://cites.org/sites/default/files/reports/E-AITR-format.xlsx>

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<sup>1</sup> <https://cites.org/eng/prog/icwc.php>

- d) The annual illegal trade report should, where possible, be submitted in electronic format (ideally in electronic tabular form, such as tables within Microsoft Excel or Microsoft Word documents). Submission of PDF files should preferably be avoided as these cannot be easily converted.
- e) The annual illegal trade report should be submitted to the Secretariat by **31 October** of the year following the year to which it relate, i.e. the first annual illegal trade report was due on 31 October 2017, covering data from 2016.
- f) The annual illegal trade report should be prepared in one of the three working languages of the Convention: English, French or Spanish.
- g) The annual illegal trade report should include information on all seizures for violations involving CITES-listed species, irrespective of whether the seizure was made at an international border, or at domestic level for example during the search of a private or business property or during inspections at domestic markets.
- h) The illegal trade report should, to the extent possible, only include incidents that involve a violation of CITES. If the reason for the seizure is for example exclusively the violation of a national health regulation restricting import of certain live animals and not related to CITES, it should preferably not be included in the report. In cases of doubt, please include the incident.

### 3. Specific instructions

The headings below refer to the column headings in the standard format for the CITES annual illegal trade report provided at the end of this guidance.

#### National reference number (if available)

It is suggested to include a national reference number or case number and no particular format for such a number is suggested. A national reference number could facilitate communication with Parties should further information or clarification be needed concerning a specific seizure or incident.

#### Date of seizure

The date of the incident as recorded in the official report on the seizure should be stated. The date should be indicated in the following format: DD/MM/YEAR or DD-MM-YEAR. Example: 9 July 2016 should be indicated as follows: 09/07/2016 or 09-07-2016. If it is not possible to establish a precise date, enter the month and year in which the seizure occurred, as follows: XX/07/2016 or XX-07-2016.

For seizures made in a previous year, which were not included in the report for that year, it is suggested that such seizures are included and consolidated at the bottom of the illegal trade report, immediately after the last entry relevant to the actual year of reporting. Parties are encouraged to make every effort to limit such reporting to exceptional cases.

#### Species

Enter the scientific name of the species or subspecies. The scientific names used should correspond to those recorded in the CITES Appendices or, for species included in the Appendices as part of a higher-taxon listing, those included in the standard lists of names approved by the Conference of the Parties (approved names can also be found in the [Checklist of CITES species](#) and in [Species+](#), on the CITES website<sup>2</sup>). Abbreviations (e.g. "*F. cherrug*") and common names should only be used if no other information is available.

If the specimens/species cannot be identified the name of the genus or a higher taxon should be indicated.

Only one species should be included per row of the illegal trade report. If more than one species and/or more than one type of specimen for a specific species were seized during the same incident, these should be recorded on separate rows, each with corresponding quantity and unit, method of concealment, etc.

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<sup>2</sup> <https://www.cites.org/>

### Description of specimen

Seized specimens should be described as precisely as possible. For this purpose, reporting Parties are invited to use the trade term codes, listed in section 6 a) below. If it is not clear which is the correct term to use, or if the specimens are not apparently covered by any of the terms in section 6 a), Parties should describe the seized specimens directly in this column.

### Quantity

Enter the numerical value for the quantity of items seized. Quantity and unit should be indicated in separate columns. See the next section for details on reporting units.

The use of thousand separators (e.g. comma, point or space/period) in the quantity field should be avoided, and the use of either a point or a comma as a decimal separator should be consistent throughout the report.

### Unit

Enter the appropriate unit of measurement for the description of the specimens that have been seized using the units provided in section 6 a).

As far as possible, units for weight, volume and length should be recorded in metric measures. Quantities should always be recorded in standard units of measure and never in non-standard units such as 'boxes', 'cartons,' 'containers' or 'bales'.

If no unit is specified, this will be assumed to mean "number" (e.g. number of live animals).

### Location of incident

The location where the seizure took place should be indicated. If possible, this should be specified by the name of a port of entry, the name of an airport, a city/town or a specific border crossing. The address of the location is not necessary.

If no information on the location of the seizure is available, the ISO2-code of the country in which the seizure took place should be indicated. Do not use any other country codes or country names than the ones listed in section 6 b) of this guidance document.

### Detecting agency

The agency that discovered the offence should be indicated. In the electronic format for reporting, the following options have been pre-selected: police, customs or wildlife agency. These three would include all agencies administratively linked to them. For instance, the customs authorities may have specific units in airports, in ports and harbours and at border crossings. However, as they are all part of the customs, that option should be selected. If none of the pre-selected authorities seized the specimen, please indicate the authority in question (this could for instance be the fisheries inspection or veterinary services).

### Method of detection (if available)

It is suggested to provide information on the method of detection and Parties are encouraged to provide the information if available. Understanding which methods of detection are used successfully could contribute to addressing illegal trafficking of wildlife. If the information is not available, or if the Party is not in a position to share the information for other reasons, the column should be left empty.

The following methods of detection have been pre-selected:

Scanning images

Risk assessment

Random check

Sniffer dog

Third-Party information

Physical inspection

Other (specify):

#### Reason for seizure

As noted above, the data should only include violations involving CITES-listed species. Seizures made for other reasons, e.g. violation of national hunting legislation, should preferably not be included in the report.

The pre-selected options for the legal reason for the seizure are: No CITES permit, mis-declared, illegal crossing, other (please specify).

No CITES permit: This means that the specimen seized should have been accompanied by a CITES permit or a CITES certificate but that no documents were provided upon request. It would also cover situations where the specimen was found unaccompanied.

Mis-declared: This covers cases of invalid permits (e.g. expired), fraudulent permits (e.g. fraudulent signature), inexact permits (wrong species, quantity, listing), insufficient permits (e.g. no import permit for App. I listed species), or more species than indicated on the permit.

Illegal crossing: Most Parties have designated points and ports of entry in their national CITES legislation in accordance with paragraph 3 of Article VIII of the Convention. Illegal crossing refers to passage outside those designated points, even with valid CITES documents.

Other (please specify): This could for instance cover incidents of trade involving a Party that is currently under a recommendation to suspend trade.

#### Mode of transport

This refers to the mode of transport that was used at the time of the seizure. The five possible modes of transport that have been pre-selected are:

air,

mail

maritime,

rail, and

road.

If several modes of transport were used in the transaction that led to the seizure, the latest mode should be selected. E.g. if a specimen had travelled from country A to country B by air but was seized as it crossed the border from country B to country C by road, "road" should be selected as the mode of transport.

If the seizure took place before any transport or after the transport of the illegal consignment and no information is available on the mode of transport, please leave the cell empty.

#### Method of concealment

Please describe in a concise manner the way the specimen was concealed. The method of concealment will often depend on the means of transport and on the type of specimens. There are unlimited ways of concealing illegally traded specimens and therefore no pre-selected options are proposed in the format. If useful and available, add information on the mode of transport. Please see sample report at the end of this guidance for examples.

#### Alleged country of origin

If available, the name of the country of origin should be indicated by the use of two-letter ISO codes for the representation of names of countries, in accordance with the list in section 6 b) below. If the country of origin is unknown, the cell should be left empty. Any country codes or country names other than the ones listed in section 6 b) should not be used.

#### Country(ies) of transit

All known countries of transit should be indicated, and multiple transit countries should as far as possible be listed in the actual trade order separated by a comma (.). This is critically important information to better understand illegal trade routes. Please use the two-letter ISO codes for the representation of names of countries, in accordance with the list in section 6 b) below. If more detailed information is available concerning the trade route (e.g. name of port or airport, or border crossing), this may be provided in the column for additional information. If the countries of transit are unknown or not possible to credibly establish, leave the cell empty.

#### Alleged final destination

If available, the country of final destination should be indicated by the use of two-letter ISO codes for the representation of names of countries, in accordance with the list in section 6 b) below. If additional information about the final destination is available (e.g. region or city), this may be provided in the column for additional information. If the final destination is unknown or not possible to credibly establish, leave the cell empty.

#### Estimated value in country (if available)

The estimated value in the country of seizure should be indicated, if possible. If different from a declared value, it is estimated value that should be indicated. The value of the entire consignment should be indicated. E.g., if a shipment of 90 specimens each worth approximately USD 200 has been seized, the estimated value to indicate would be USD 18000. If several species were seized in one shipment (see above), they should be reported on separate lines and information about their value should be provided separately as well.

If an estimated value of the seized shipment is not available, provide where possible a small range, e.g. USD 500-600 (keep the range as small as possible). Even an estimated range will be helpful to assess the total value of global illegal trade in specimens of CITES-listed species. It is preferable to provide the value in a globally recognized currency, such as USD or EUR, but if not possible, indicate in the currency in which the value has been provided. Please indicate the currency in the column to the left and the amount in the column to the right.

The use of thousand separators (e.g. comma, point or space/period) in the value field should be avoided.

#### Nationality of offenders (if available)

Where possible and if available, provide the nationality of each offender against whom administrative measures, criminal prosecutions or other court actions associated with the seizure have been initiated or imposed. If an offender has more than one nationality, please indicate all of them. Please see sample report at the end of this guidance for examples.

If no offender was identified, please indicate "N/A".

Only one offender should be included per row of the illegal trade report. If more than one offender were convicted or fined, this information should be provided on separate rows, each with corresponding law and sanction.

Please do NOT include the names of any offenders in the report. Parties who wish to bring the names of offenders to the attention of the CITES Secretariat should do so through separate correspondence.

## Information on the offence related to the seizure

The last three columns relate to a subsequent case under which the offenders are brought to trial and sentenced as well as the final disposal of the confiscated specimens. These are marked as desirable because the information requested may only become available much later in time than the actual seizure. If information is not available because the case has not yet been finalized in court, please indicate this. If the case has been withdrawn, please indicate this, including the reasons for the withdrawal if possible.

Please note that information that becomes available subsequently to the submission of the annual illegal trade report should be reported in the Implementation report. See Notification No. 2016/006, and in particular section 1.7.5 on *inter alia* criminal prosecutions and other court actions for CITES-related offenses. The same seizure should not be reported again in the year the court actions take place, in cases where proceedings span more than one calendar year.

If a sanction, e.g. a fine or confiscation, has been imposed without a court case, please indicate the sanction.

### Law under which the charges were brought (desirable)

If no charges have been brought, please indicate this.

If charges have been brought but the case not yet heard or concluded, information on the law under which the charges were brought can be provided. If several laws have been invoked in the charges, please indicate all of them.

### Sanction (desirable)

If the case has been heard and a sentence pronounced, please indicate the sanction(s) (imprisonment, fine, confiscation, etc.) If there are more elements in the sanction, please include all of them. If an appeal is pending, please note this as well, but indicate the sanction pronounced in the first instance. If a sanction, such as a fine or confiscation, has been imposed without a court case, please indicate the sanction.

If the case has not (yet) been heard, please indicate this, using the term 'pending'.

### Disposal of confiscated specimens (desirable)

Information in this column should include disposal of seized specimens in the instances where the seizure is final. In cases where disposal of the seized specimens can only take place after a court decision on confiscation, the information should only be provided when the final court decision has occurred. In such cases please indicate "Pending court decision".

Reference is made to [Resolution Conf. 17.8 on Disposal of illegally traded and confiscated specimens of CITES-listed species](#) and the provisions set out therein. The following pre-selected options are available to indicate the final disposal of the confiscated/seized specimens:

Returned to the country of export

Public zoos or botanical gardens

Designated rescue centres

Approved, private facilities

Euthanasia/destruction

Storage/Safekeeping

Sale/transformation

Educational purposes

Other (please specify):

Note that Resolution Conf. 17.8 contains guidance on disposal of confiscated specimens.

#### Additional information (if available)

If more information pertaining to the seizure is available and relevant in understanding and preventing illegal trade in wildlife, this could be included here. This could be further details regarding the methods of concealment or of detection or further details on the mode of transport, e.g. transported by air cargo. This section could also include further details on the exact location of the incident.

## 4. Terminology

### a) Description of specimens and units of quantity

| Description     | Code | Preferred unit | Alternative unit | Explanation                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------|------|----------------|------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| baleen          | BAL  | kg             | no.              | Whalebone                                                                                                                                                                                                                                                                                                                                                                                                       |
| bark            | BAR  | kg             |                  | tree bark (raw, dried or powdered; unprocessed)                                                                                                                                                                                                                                                                                                                                                                 |
| body            | BOD  | no.            | kg               | substantially whole dead animals, including fresh or processed fish, stuffed turtles, preserved butterflies, reptiles in alcohol, whole stuffed hunting trophies, etc.                                                                                                                                                                                                                                          |
| bone            | BON  | kg             | no.              | bones, including jaws                                                                                                                                                                                                                                                                                                                                                                                           |
| calipee         | CAL  | kg             |                  | calipee or calipash (turtle cartilage for soup)                                                                                                                                                                                                                                                                                                                                                                 |
| carapace        | CAP  | no.            | kg               | raw or unworked whole shells of Testudines species                                                                                                                                                                                                                                                                                                                                                              |
| carving         | CAR  | kg             | no.              | carved products other than ivory, bone or horn – for example coral and wood (including handicrafts).<br>N.B: Ivory carvings should be specified as such (see below – “IVC”). Also, for species from which more than one type of product may be carved (e.g. horn and bone), the trade term code should indicate the type of product in trade (e.g. bone carving “BOC” or horn carving – “HOC”), where possible. |
| carving - bone  | BOC  | kg             | no.              | bone carving                                                                                                                                                                                                                                                                                                                                                                                                    |
| carving - horn  | HOC  | kg             | no.              | horn carving                                                                                                                                                                                                                                                                                                                                                                                                    |
| carving - ivory | IVC  | kg             | no.              | ivory carvings, including e.g. smaller worked pieces of ivory (knife handles, chess sets, marjoram sets etc). N.B. Whole carved tusk should be reported as tusks (see “TUS” below). Jewellery made from carved ivory should be reported as ‘jewellery – ivory’ (see IJW below).                                                                                                                                 |
| caviar          | CAV  | kg             |                  | unfertilized dead processed eggs from all species of Acipenseriformes; also known as roe                                                                                                                                                                                                                                                                                                                        |
| chips           | CHP  | kg             |                  | chips of timber, especially <i>Aquilaria</i> spp., <i>Gyrinops</i> spp. and <i>Pterocarpus santalinus</i>                                                                                                                                                                                                                                                                                                       |
| claw            | CLA  | no.            | kg               | claws – e.g. of Felidae, Ursidae or Crocodylia (NB: ‘turtle claws’ are usually scales and not real claws)                                                                                                                                                                                                                                                                                                       |

| Description | Code | Preferred unit      | Alternative unit | Explanation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|-------------|------|---------------------|------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| cloth       | CLO  | m <sup>2</sup>      | kg               | cloth – if the cloth is not made entirely from the hair of a CITES species, the weight of hair of the species concerned should instead, if possible, be recorded under 'HAI'                                                                                                                                                                                                                                                                                                                                                   |
| coral (raw) | COR  | no.                 | kg               | raw or unworked coral and coral rock (also live rock and substrate) [as defined in Resolution Conf. 11.10 (Rev. CoP15)]. Coral rock should be recorded as 'Scleractinia spp.'<br><br>NB: the trade should be recorded by number of pieces only if the coral specimens are transported in water.<br><br>Live rock (transported moist in boxes) should be reported in kg; coral substrate should be reported as number of pieces (since these are transported in water as the substrate to which non-CITES corals are attached). |
| cosmetics   | COS  | g                   | ml               | cosmetics which include extracts of CITES-listed species. The quantity should reflect the amount of CITES-listed species present.                                                                                                                                                                                                                                                                                                                                                                                              |
| culture     | CUL  | no. of flasks, etc. |                  | cultures of artificially propagated plants                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| derivatives | DER  | kg                  | l                | derivatives (other than those included elsewhere in this table)                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| dried plant | DPL  | no.                 |                  | dried plants – e.g. herbarium specimens                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| ear         | EAR  | no.                 |                  | ears – usually elephant                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| egg         | EGG  | no.                 | kg               | whole dead or blown eggs (see also 'caviar')                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| egg (live)  | EGL  | no.                 | kg               | live fertilized eggs – usually birds and reptiles but includes fish and invertebrates                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| eggshell    | ESH  | g/kg                |                  | raw or unworked eggshell except whole eggs                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| extract     | EXT  | kg                  | l                | extract – usually plant extracts                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| feather     | FEA  | kg/<br>no. of wings | no.              | feathers – in the case of objects (e.g. pictures) made of feathers, record the number of objects                                                                                                                                                                                                                                                                                                                                                                                                                               |
| fibre       | FIB  | kg                  | m                | fibres – e.g. plant fibre but includes strings of tennis rackets                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| fin         | FIN  | kg                  | no.              | fresh, frozen or dried fins and parts of fins (including flippers)                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| fingerlings | FIG  | kg                  | no.              | juvenile fish of one or two years of age for the aquarium trade, hatcheries or for release operations                                                                                                                                                                                                                                                                                                                                                                                                                          |
| flower      | FLO  | kg                  |                  | Flowers                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| flower pot  | FPT  | no.                 |                  | flower pots made from parts of a plant – e.g. treefern fibres (NB: live plants traded in so-called 'community pots' should be recorded as 'live plants', not as flower pots)                                                                                                                                                                                                                                                                                                                                                   |
| frog legs   | LEG  | kg                  | no.              | frog legs                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| fruit       | FRU  | kg                  |                  | Fruit                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |



| Description             | Code | Preferred unit | Alternative unit | Explanation                                                                                                                                                                                                                                                                                        |
|-------------------------|------|----------------|------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| foot                    | FOO  | no.            |                  | feet – e.g. of elephant, rhinoceros, hippopotamus, lion, crocodile, etc.                                                                                                                                                                                                                           |
| fur products (large)    | FPL  | no.            |                  | large manufactured products of fur – e.g. bear or lynx fur blankets or other fur products of a substantial size.                                                                                                                                                                                   |
| fur product (small)     | FPS  | no.            |                  | small manufactured products of fur– including handbags, key fobs, purses, pillows, trim, etc.                                                                                                                                                                                                      |
| gall                    | GAL  | kg             | no.              | Gall                                                                                                                                                                                                                                                                                               |
| gall bladder            | GAB  | no.            | kg               | gall bladder                                                                                                                                                                                                                                                                                       |
| garment                 | GAR  | no.            |                  | garments – including gloves and hats but not shoes. Includes trimming or decoration on garments                                                                                                                                                                                                    |
| genitalia               | GEN  | kg             | no.              | castrates and dried penes                                                                                                                                                                                                                                                                          |
| gill plates             | GIL  | no.            |                  | gill plates (e.g. for sharks)                                                                                                                                                                                                                                                                      |
| graft rootstock         | GRS  | no.            |                  | graft rootstocks (without the grafts)                                                                                                                                                                                                                                                              |
| hair                    | HAI  | kg             | g                | hair – includes all animal hair, e.g. of elephant, yak, vicuña, guanaco                                                                                                                                                                                                                            |
| hair products           | HAP  | no.            | g                | products made of hair (e.g. elephant hair bracelets)                                                                                                                                                                                                                                               |
| horn                    | HOR  | no.            | kg               | horns – includes antlers                                                                                                                                                                                                                                                                           |
| jewellery               | JWL  | no.            | g                | jewellery – including bracelets, necklaces, and other items of jewellery from products other than ivory (e.g. wood, coral, etc.)                                                                                                                                                                   |
| jewellery - ivory       | IJW  | no.            | g                | jewellery made of ivory                                                                                                                                                                                                                                                                            |
| leather product (large) | LPL  | no.            |                  | large manufactured products of leather – e.g. briefcases, furniture, suitcases, travel trunks                                                                                                                                                                                                      |
| leather product (small) | LPS  | no.            |                  | small manufactured products of leather – e.g. belts, braces, bicycle saddles, cheque book or credit card holders, handbags, key fobs, notebooks, purses, shoes, tobacco pouches, wallets, watch-straps and trim                                                                                    |
| live                    | LIV  | no.            | kg               | live animals and plants                                                                                                                                                                                                                                                                            |
| leaf                    | LVS  | kg             | no.              | Leaves                                                                                                                                                                                                                                                                                             |
| logs                    | LOG  | m <sup>3</sup> |                  | all wood in the rough, whether or not stripped of bark or sapwood, or roughly squared, for processing notably into sawn wood, pulpwood or veneer sheets. NB: trade in logs of special purpose timbers traded by weight (e.g. <i>lignum vitae</i> , <i>Guaiaicum</i> spp.) should be recorded in kg |
| meat                    | MEA  | kg             |                  | meat, including flesh of fish if not whole (see 'body'), fresh or unprocessed meat as well as processed meat (e.g. smoked, raw, dried, frozen or tinned)                                                                                                                                           |
| medicine                | MED  | kg             | l                | Medicine                                                                                                                                                                                                                                                                                           |
| musk                    | MUS  | g              |                  | Musk                                                                                                                                                                                                                                                                                               |
| oil                     | OIL  | kg             | l                | oil – e.g. from turtles, seals, whales, fish, various plants                                                                                                                                                                                                                                       |

| Description           | Code | Preferred unit  | Alternative unit | Explanation                                                                                                                                                                                                                                              |
|-----------------------|------|-----------------|------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| pearl                 | PRL  | no.             |                  | pearl (e.g. for <i>Strombus gigas</i> )                                                                                                                                                                                                                  |
| piano keys            | KEY  | no.             |                  | ivory piano keys (e.g. one standard piano would be 52 ivory piano keys)                                                                                                                                                                                  |
| piece – bone          | BOP  | kg              |                  | pieces of bone, not manufactured                                                                                                                                                                                                                         |
| piece – horn          | HOP  | kg              |                  | pieces of horn, not manufactured – includes scrap                                                                                                                                                                                                        |
| piece – ivory         | IVP  | kg              |                  | ivory pieces, not manufactured – includes scrap                                                                                                                                                                                                          |
| plate                 | PLA  | m <sup>2</sup>  |                  | plates of fur skins – includes rugs if made of several skins                                                                                                                                                                                             |
| plywood               | PLY  | m <sup>2</sup>  | m <sup>3</sup>   | material consisting of three or more sheets of wood glued and pressed one on the other and generally disposed so that the grains of successive layers are at an angle                                                                                    |
| powder                | POW  | kg              |                  | Powder                                                                                                                                                                                                                                                   |
| pupae                 | PUP  | no.             |                  | butterfly pupae                                                                                                                                                                                                                                          |
| root                  | ROO  | no.             | kg               | roots, bulbs, corms or tubers<br>NB: For the agarwood-producing taxa <i>Aquilaria</i> spp. and <i>Gyrinops</i> spp., the preferred unit is 'kilograms'. The alternative unit is 'number'.                                                                |
| rug                   | RUG  | no.             |                  | Rugs                                                                                                                                                                                                                                                     |
| sawfish rostrum       | ROS  | no.             | kg               | sawfish rostrum                                                                                                                                                                                                                                          |
| sawn wood             | SAW  | m <sup>3</sup>  |                  | wood simply sawn lengthwise or produced by a profile-chipping process; normally exceeds 6mm in thickness. NB: trade in sawn wood of special purpose timbers traded by weight (e.g. <i>lignum vitae</i> , <i>Guaiaacum</i> spp.) should be recorded in kg |
| scale                 | SCA  | kg              |                  | scales – e.g. of turtle, other reptiles, fish, pangolin                                                                                                                                                                                                  |
| seed                  | SEE  | kg              | no.              | Seeds                                                                                                                                                                                                                                                    |
| shell                 | SHE  | no.             | kg               | raw or unworked shell of molluscs                                                                                                                                                                                                                        |
| side                  | SID  | no.             |                  | sides or flanks of skins; does not include crocodilian Tinga frames (see under 'skin')                                                                                                                                                                   |
| skeleton              | SKE  | no.             |                  | substantially whole skeletons                                                                                                                                                                                                                            |
| skin                  | SKI  | no.             |                  | substantially whole skins, raw or tanned, including crocodilian Tinga frames, external body lining, with or without scales                                                                                                                               |
| skin piece            | SKP  | kg              |                  | skin pieces – including scraps, raw or tanned                                                                                                                                                                                                            |
| skull                 | SKU  | no.             |                  | Skulls                                                                                                                                                                                                                                                   |
| soup                  | SOU  | kg              | l                | soup – e.g. of turtle                                                                                                                                                                                                                                    |
| specimen (scientific) | SPE  | kg/l/ml/<br>no. |                  | scientific specimens – includes blood, tissue (e.g. kidney, spleen, etc.), histological preparations, preserved museum specimens, etc.                                                                                                                   |

| Description                                         | Code       | Preferred unit                   | Alternative unit | Explanation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|-----------------------------------------------------|------------|----------------------------------|------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| stem                                                | STE        | no.                              | kg               | plant stems<br>NB: For the agarwood-producing taxa <i>Aquilaria</i> spp. and <i>Gyrinops</i> spp., the preferred unit is 'kilograms'. The alternative unit is 'number'.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| swim bladder                                        | SWI        | kg                               |                  | hydrostatic organ, including isinglass / sturgeon glue                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| tail                                                | TAI        | no.                              | kg               | tails – e.g. of caiman (for leather) or fox (for garment trimming, collars, boas, etc.), also includes flukes of cetaceans.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| tooth                                               | TEE        | no.                              | kg               | teeth – e.g. of whale, lion, hippopotamus, crocodile, etc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| timber                                              | TIM        | m <sup>3</sup>                   | kg               | raw timber except saw-logs and sawn wood                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| trophy                                              | TRO        | no.                              |                  | trophy – all the trophy parts of one animal if they are exported together: e.g. horns (2), skull, cape, backskin, tail and feet (i.e. ten specimens) constitute one trophy. But if, for example, the skull and horns are the only specimens of an animal that are exported, then these items together should be recorded as one trophy. Otherwise the items should be recorded separately. A whole stuffed body is recorded under 'BOD'. A skin alone is recorded under 'SKI'. Trade in 'full mount', 'shoulder mount' and 'half mount', along with any corresponding parts of the same animal exported together on the same permit, should be reported as '1 TRO' |
| trunk                                               | TRU        | no.                              | kg               | elephant trunk. N.B.: An elephant trunk exported with other trophy items from the same animal on the same permit as part of a hunting trophy should be reported as 'TRO'.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| tusk                                                | TUS        | no.                              | kg               | substantially whole tusks, whether or not worked. Includes tusks of elephant, hippopotamus, walrus, narwhal, but not other teeth                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| veneer sheets<br>– rotary veneer<br>– sliced veneer | VEN<br>VEN | m <sup>3</sup><br>m <sup>2</sup> | kg<br>kg         | thin layers or sheets of wood of uniform thickness, usually 6mm or less in thickness, usually peeled (rotary veneer) or sliced (sliced veneer), for use in making plywood, for veneering furniture, veneer containers, etc.                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| wax                                                 | WAX        | kg                               |                  | Wax                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| wood product                                        | WPR        | no.                              | kg               | manufactured wood products, including finished wood products such as furniture and musical instruments.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |

#### Key to units of measure

| Unit of Measure | Unit Code |
|-----------------|-----------|
| Grams (g)       | GRM       |
| Kilograms (kg)  | KIL       |
| Litres          | LTR       |

|                                      |                         |
|--------------------------------------|-------------------------|
| Millilitres (ml)                     | MLT                     |
| Metres (m)                           | MTR                     |
| Cubic centimetres (cm <sup>3</sup> ) | CCM                     |
| Square metres (m <sup>2</sup> )      | SQM                     |
| Cubic metres (m <sup>3</sup> )       | CUM                     |
| Number of specimens                  | "Number" or leave blank |

NB. If no unit is specified, this will be assumed to mean "number" (e.g. number of live animals).

b) Names of countries and territories

The countries and entities listed below are designated in accordance with the *English country names and code elements* published by the International Organization for Standardization (ISO). Out-of-date names of countries and territories are retained for purposes of recording re-exports of specimens originating in those places.

The ISO list is based on the list included in the *United Nations Standard Country or Area Code for Statistical Use* established by the Statistical Office of the United Nations. The names of countries, territories or other areas correspond to those given in the United Nations *Terminology Bulletin*, issued by the Department of Conference Services. Some additional entities are included in the [ISO International Standard<sup>3</sup>](#) with a view to providing more complete global coverage.

However, the designations do not imply the expression of any opinion whatsoever on the part of the CITES Secretariat or the Secretariat of the United Nations concerning the legal status of any country, territory, city or area, or of its authorities, or concerning the delimitation of its frontiers or boundaries.

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<sup>3</sup> See <https://www.iso.org/obp/ui/#search>

| Code | Name                              |
|------|-----------------------------------|
| AF   | Afghanistan                       |
| AX   | Åland Islands                     |
| AL   | Albania                           |
| DZ   | Algeria                           |
| AS   | American Samoa                    |
| AD   | Andorra                           |
| AO   | Angola                            |
| AI   | Anguilla                          |
| AQ   | Antarctica                        |
| AG   | Antigua and Barbuda               |
| AR   | Argentina                         |
| AM   | Armenia                           |
| AW   | Aruba                             |
| AU   | Australia                         |
| AT   | Austria                           |
| AZ   | Azerbaijan                        |
| BS   | Bahamas                           |
| BH   | Bahrain                           |
| BD   | Bangladesh                        |
| BB   | Barbados                          |
| BY   | Belarus                           |
| BE   | Belgium                           |
| BZ   | Belize                            |
| BJ   | Benin                             |
| BM   | Bermuda                           |
| BT   | Bhutan                            |
| BO   | Bolivia, Plurinational State of   |
| BQ   | Bonaire, Saint Eustatius and Saba |
| BA   | Bosnia and Herzegovina            |
| BW   | Botswana                          |
| BV   | Bouvet Island                     |
| BR   | Brazil                            |
| IO   | British Indian Ocean Territory    |
| BN   | Brunei Darussalam                 |
| BG   | Bulgaria                          |
| BF   | Burkina Faso                      |
| BI   | Burundi                           |
| CV   | Cabo Verde                        |

| Code | Name                                  |
|------|---------------------------------------|
| KH   | Cambodia                              |
| CM   | Cameroon                              |
| CA   | Canada                                |
| KY   | Cayman Islands                        |
| CF   | Central African Republic              |
| TD   | Chad                                  |
| CL   | Chile                                 |
| CN   | China                                 |
| CX   | Christmas Island                      |
| CC   | Cocos (Keeling) Islands               |
| CO   | Colombia                              |
| KM   | Comoros                               |
| CG   | Congo                                 |
| CK   | Cook Islands                          |
| CR   | Costa Rica                            |
| CI   | Côte d'Ivoire                         |
| HR   | Croatia                               |
| CU   | Cuba                                  |
| CW   | Curaçao                               |
| CY   | Cyprus                                |
| CZ   | Czech Republic                        |
| KP   | Democratic People's Republic of Korea |
| CD   | Democratic Republic of the Congo      |
| DK   | Denmark                               |
| DJ   | Djibouti                              |
| DM   | Dominica                              |
| DO   | Dominican Republic                    |
| EC   | Ecuador                               |
| EG   | Egypt                                 |
| SV   | El Salvador                           |
| GQ   | Equatorial Guinea                     |
| ER   | Eritrea                               |
| EE   | Estonia                               |
| ET   | Ethiopia                              |

| Code | Name                              |
|------|-----------------------------------|
| FK   | Falkland Islands (Malvinas)*      |
| FO   | Faroe Islands                     |
| FJ   | Fiji                              |
| FI   | Finland                           |
| FR   | France                            |
| GF   | French Guiana                     |
| PF   | French Polynesia                  |
| TF   | French Southern Territories       |
| GA   | Gabon                             |
| GM   | Gambia                            |
| GE   | Georgia                           |
| DE   | Germany                           |
| GH   | Ghana                             |
| GI   | Gibraltar                         |
| GR   | Greece                            |
| GL   | Greenland                         |
| GD   | Grenada                           |
| GP   | Guadeloupe                        |
| GU   | Guam                              |
| GT   | Guatemala                         |
| GG   | Guernsey                          |
| GN   | Guinea                            |
| GW   | Guinea-Bissau                     |
| GY   | Guyana                            |
| HT   | Haiti                             |
| HM   | Heard Island and McDonald Islands |
| VA   | Holy See                          |
| HN   | Honduras                          |
| HK   | Hong Kong SAR                     |
| HU   | Hungary                           |
| IS   | Iceland                           |
| IN   | India                             |
| ID   | Indonesia                         |
| IR   | Iran, Islamic Republic of         |
| IQ   | Iraq                              |
| IE   | Ireland                           |

| Code | Name                             |
|------|----------------------------------|
| IM   | Isle of Man                      |
| IL   | Israel                           |
| IT   | Italy                            |
| JM   | Jamaica                          |
| JP   | Japan                            |
| JE   | Jersey                           |
| JO   | Jordan                           |
| KZ   | Kazakhstan                       |
| KE   | Kenya                            |
| KI   | Kiribati                         |
| KW   | Kuwait                           |
| KG   | Kyrgyzstan                       |
| LA   | Lao People's Democratic Republic |
| LV   | Latvia                           |
| LB   | Lebanon                          |
| LS   | Lesotho                          |
| LR   | Liberia                          |
| LY   | Libya                            |
| LI   | Liechtenstein                    |
| LT   | Lithuania                        |
| LU   | Luxembourg                       |
| MO   | Macao                            |
| MG   | Madagascar                       |
| MW   | Malawi                           |
| MY   | Malaysia                         |
| MV   | Maldives                         |
| ML   | Mali                             |
| MT   | Malta                            |
| MH   | Marshall Islands                 |
| MQ   | Martinique                       |
| MR   | Mauritania                       |
| MU   | Mauritius                        |
| YT   | Mayotte                          |
| MX   | Mexico                           |
| FM   | Micronesia, Federated States of  |
| MC   | Monaco                           |

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\* A dispute exists between the Governments of Argentina and the United Kingdom of Great Britain and Northern Ireland

concerning sovereignty over the Falkland Islands (Islas Malvinas).

| Code | Name                     |
|------|--------------------------|
| MN   | Mongolia                 |
| ME   | Montenegro               |
| MS   | Montserrat               |
| MA   | Morocco                  |
| MZ   | Mozambique               |
| MM   | Myanmar                  |
| NA   | Namibia                  |
| NR   | Nauru                    |
| NP   | Nepal                    |
| NL   | Netherlands              |
| NC   | New Caledonia            |
| NZ   | New Zealand              |
| NI   | Nicaragua                |
| NE   | Niger                    |
| NG   | Nigeria                  |
| NU   | Niue                     |
| NF   | Norfolk Island           |
| MP   | Northern Mariana Islands |
| NO   | Norway                   |
| OM   | Oman                     |
| PK   | Pakistan                 |
| PW   | Palau                    |
| PA   | Panama                   |
| PG   | Papua New Guinea         |
| PY   | Paraguay                 |
| PE   | Peru                     |
| PH   | Philippines              |
| PN   | Pitcairn                 |
| PL   | Poland                   |
| PT   | Portugal                 |
| PR   | Puerto Rico              |
| QA   | Qatar                    |
| KR   | Republic of Korea        |
| MD   | Republic of Moldova      |
| RE   | Réunion                  |
| RO   | Romania                  |

| Code | Name                                          |
|------|-----------------------------------------------|
| RU   | Russian Federation                            |
| RW   | Rwanda                                        |
| BL   | Saint Barthelemy                              |
| SH   | Saint Helena, Ascension and Tristan da Cunha  |
| KN   | Saint Kitts and Nevis                         |
| LC   | Saint Lucia                                   |
| MF   | Saint Martin                                  |
| PM   | Saint Pierre and Miquelon                     |
| VC   | Saint Vincent and the Grenadines              |
| WS   | Samoa                                         |
| SM   | San Marino                                    |
| ST   | Sao Tome and Principe                         |
| SA   | Saudi Arabia                                  |
| SN   | Senegal                                       |
| RS   | Serbia                                        |
| SC   | Seychelles                                    |
| SL   | Sierra Leone                                  |
| SG   | Singapore                                     |
| SX   | Sint Maarten                                  |
| SK   | Slovakia                                      |
| SI   | Slovenia                                      |
| SB   | Solomon Islands                               |
| SO   | Somalia                                       |
| ZA   | South Africa                                  |
| GS   | South Georgia and the South Sandwich Islands* |
| SS   | South Sudan                                   |
| ES   | Spain                                         |
| LK   | Sri Lanka                                     |
| SD   | Sudan                                         |
| SR   | Suriname                                      |
| SJ   | Svalbard and Jan Mayen                        |
| SZ   | Swaziland                                     |
| SE   | Sweden                                        |
| CH   | Switzerland                                   |
| SY   | Syrian Arab Republic                          |

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\* A dispute exists between the Governments of Argentina and the United Kingdom of Great Britain and Northern Ireland

concerning sovereignty over the Falkland Islands (Islas Malvinas).

| Code | Name                                      |
|------|-------------------------------------------|
| TW   | Taiwan, Province of China                 |
| TJ   | Tajikistan                                |
| TH   | Thailand                                  |
| MK   | The former Yugoslav Republic of Macedonia |
| TL   | Timor-Leste                               |
| TG   | Togo                                      |
| TK   | Tokelau                                   |
| TO   | Tonga                                     |
| TT   | Trinidad and Tobago                       |
| TN   | Tunisia                                   |
| TR   | Turkey                                    |
| TM   | Turkmenistan                              |
| TC   | Turks and Caicos Islands                  |
| TV   | Tuvalu                                    |
| UG   | Uganda                                    |
| UA   | Ukraine                                   |
| AE   | United Arab Emirates                      |

| Code | Name                                                 |
|------|------------------------------------------------------|
| GB   | United Kingdom of Great Britain and Northern Ireland |
| TZ   | United Republic of Tanzania                          |
| UM   | United States Minor Outlying Islands                 |
| US   | United States of America                             |
| UY   | Uruguay                                              |
| UZ   | Uzbekistan                                           |
| VU   | Vanuatu                                              |
| VE   | Venezuela, Bolivarian Republic of                    |
| VN   | Viet Nam                                             |
| VG   | Virgin Islands, British                              |
| VI   | Virgin Islands, United States                        |
| WF   | Wallis and Futuna Islands                            |
| EH   | Western Sahara                                       |
| YE   | Yemen                                                |
| ZM   | Zambia                                               |
| ZW   | Zimbabwe                                             |



FORMAT FOR THE CITES ANNUAL ILLEGAL TRADE REPORT

Select option:  
Police; Customs; Wildlife  
Agency; Other (please specify):

Select option: Scanning images;  
Risk assessment; Random check;  
Sniffer dog; Third-Party  
information, Physical inspection,  
Other (specify):

Select option: No CITES  
Permit; Mis-declared;  
Illegal crossing; Other  
(please specify):

Select option: Maritime  
(1); Rail (2); Road (3); Air  
(4); Mail (5)

Select option:  
Returned to country of export; Public zoos or botanical gardens;  
Designated rescue centres; Approved, private facilities;  
Euthanasia;/destruction; storage/safekeeping; sale/transformation;  
educational purposes; Other (please specify):

Reporting Party:

| National<br>reference<br>number* | Date of seizure | Species | Description of<br>specimen | Quantity | Unit | Location of incident | Detecting<br>agency <sup>1</sup> | Method of<br>detection <sup>2*</sup> | Reason for<br>seizure <sup>3</sup> | Mode of<br>transport <sup>4</sup> | Method of concealment | Alleged<br>country of<br>origin | Country(ies) of<br>transit | Alleged final<br>destination | Estimated value in<br>country* (amount and<br>currency) |  | Nationality of<br>offenders* | Law under which<br>charges were<br>brought<br>(desirable) | Sanction<br>(desirable) | Disposal of confiscated<br>specimens <sup>5</sup> (desirable) | Additional<br>information* |
|----------------------------------|-----------------|---------|----------------------------|----------|------|----------------------|----------------------------------|--------------------------------------|------------------------------------|-----------------------------------|-----------------------|---------------------------------|----------------------------|------------------------------|---------------------------------------------------------|--|------------------------------|-----------------------------------------------------------|-------------------------|---------------------------------------------------------------|----------------------------|
|                                  |                 |         |                            |          |      |                      |                                  |                                      |                                    |                                   |                       |                                 |                            |                              |                                                         |  |                              |                                                           |                         |                                                               |                            |
|                                  |                 |         |                            |          |      |                      |                                  |                                      |                                    |                                   |                       |                                 |                            |                              |                                                         |  |                              |                                                           |                         |                                                               |                            |
|                                  |                 |         |                            |          |      |                      |                                  |                                      |                                    |                                   |                       |                                 |                            |                              |                                                         |  |                              |                                                           |                         |                                                               |                            |
|                                  |                 |         |                            |          |      |                      |                                  |                                      |                                    |                                   |                       |                                 |                            |                              |                                                         |  |                              |                                                           |                         |                                                               |                            |
|                                  |                 |         |                            |          |      |                      |                                  |                                      |                                    |                                   |                       |                                 |                            |                              |                                                         |  |                              |                                                           |                         |                                                               |                            |
|                                  |                 |         |                            |          |      |                      |                                  |                                      |                                    |                                   |                       |                                 |                            |                              |                                                         |  |                              |                                                           |                         |                                                               |                            |

<sup>1</sup> Select option: Police; Customs; Wildlife Agency; Other (please specify)  
<sup>2</sup> Select option: Scanning images; Risk assessment; Random check; Sniffer dog; Third-Party information, Physical inspection, Other (specify):  
<sup>3</sup> Select option: No CITES Permit; Mis-declared; Illegal crossing; Other (please specify)  
<sup>4</sup> Select option: Maritime (1); Rail (2); Road (3); Air (4); Mail (5)  
<sup>5</sup> Select option: Returned to country of export; Public zoos or botanical gardens; Designated rescue centres; Approved, private facilities; Euthanasia/destruction; Storage; Safekeeping; Educational purposes; Other (please specify):

\*means that it is optional to provide the information, even if it is available

Please select one of the following options:

Yes,

the information provided in this report may be used in the ICCWC-supported global research and analysis on wildlife and forest crime.

☐

No,

the information provided in this report may not be used in the ICCWC-supported global research and analysis on wildlife and forest crime.

☐

SAMPLE ILLEGAL TRADE REPORT (hypothetical examples)

Select option:  
Police; Customs; Wildlife Agency; Other (please specify):

Select option: Scanning images; Risk assessment; Random check; Sniffer dog; Third-Party information, Physical inspection, Other (specify):

Select option: No CITES Permit; Mis-declared; Illegal crossing; Other (please specify):

Select option: Maritime (1); Rail (2); Road (3); Air (4); Mail (5)

Select option:  
Returned to country of export; Public zoos or botanical gardens; Designated rescue centres; Approved, private facilities; Euthanasia;/destruction; storage/safekeeping; sale/transformation; educational purposes; Other (please specify):

Reporting Party: XX (In this example where XX is used it indicates the reporting Party)

| National reference number* | Date of seizure | Species                   | Description of specimen | Quantity | Unit | Location of incident | Detecting agency <sup>1</sup> | Method of detection <sup>2*</sup> | Reason for seizure <sup>3</sup> | Mode of transport <sup>4</sup> | Method of concealment        | Alleged country of origin | Country(ies) of transit | Alleged final destination | Estimated value in country* (amount and currency) |          | Nationality of offenders* | Law under which charges were brought (desirable) | Sanction (desirable) | Disposal of confiscated specimens <sup>5</sup> (desirable) | Additional information*                                 |
|----------------------------|-----------------|---------------------------|-------------------------|----------|------|----------------------|-------------------------------|-----------------------------------|---------------------------------|--------------------------------|------------------------------|---------------------------|-------------------------|---------------------------|---------------------------------------------------|----------|---------------------------|--------------------------------------------------|----------------------|------------------------------------------------------------|---------------------------------------------------------|
| CAS 01/01/16               | 01/01/2016      | Pan troglodytes           | LIV                     | 1        | no.  | Airport name         | Customs                       | Risk assessment                   | Mis-declared                    | Air                            | Not concealed                | CD                        | JO, TR, IQ              | XX                        | USD                                               | 2000     | AF, AL                    | Name of Law, No.                                 | N/A                  | Designated rescue centre                                   | Air cargo, incorrect permit (wrong species)             |
| CAS 01/01/16               | 01/01/2016      | Psittacus erithacus       | LIV                     | 90       | no.  | Airport name         | Customs                       | Risk assessment                   | Mis-declared                    | Air                            | Not concealed                | CD                        | JO, TR, IQ              | XX                        | USD                                               | 18000    | AF, AL                    | Name of Law, No.                                 | N/A                  | Public zoo                                                 | Same shipments: Ref no. CAS 01/01/16                    |
| CAS 05/01/16               | 02/01/2016      | Manis spp.                | SCA                     | 1500     | kg   | Border crossing name | Customs                       | Physical inspection               | Mis-declared                    | Air                            | Not concealed                | IN                        | NP                      | XX                        | USD                                               | 700000   | DZ                        | Name of Law, No.                                 | X year imprisonment  | Destruction                                                | Fraudulent permit. Known smuggling route                |
| CAS 05/01/16               | 02/01/2016      |                           |                         |          |      |                      |                               |                                   |                                 |                                |                              |                           |                         |                           |                                                   |          | AD                        | Name of Law, No.                                 | X year imprisonment  |                                                            | Offender number 2; Same case: Ref. no. CAS 05/01/16     |
| CAS 05/01/16               | 02/01/2016      |                           |                         |          |      |                      |                               |                                   |                                 |                                |                              |                           |                         |                           |                                                   |          | AS                        | Name of Law, No.                                 | X month imprisonment |                                                            | Offender number 3; Same case: Ref. no. CAS 05/01/17     |
| CAS 03/02/16               | 05/02/2016      | Manis tricuspis           | SCA                     | 40       | kg   | City/town name       | Police                        | Sniffer dog                       | No CITES permit                 | Mail                           | Postal parcel                | NL                        |                         | XX                        | USD                                               | 18-20000 | N/A                       | Name of Law, No.                                 | N/A                  | Educational purposes                                       | Insufficient evidence                                   |
| CAS 07/03/16               | 04/03/2016      | Loxodonta africana        | IJW                     | 5        | no.  | Town name            | Wildlife Agency               | Third Party information           | No CITES permit                 | Road                           | Under traveller's clothing   |                           | TH                      | XX                        | USD                                               | 100      | AI                        | Name of Law, No.                                 | Fine: USD XXX        | Safekeeping                                                | Ivory wrapped in foil. Confiscation without court case. |
| CAS 44/05/16               | 14/05/2016      | Dalbergia cochinchinensis | LOG                     | 1000     | m3   | Seaport name         | Customs                       | Random check                      | No CITES permit                 | Maritime                       | Hidden in fuel tanker truck  |                           |                         | XX                        | USD                                               | 40-50000 | AG                        | Name of Law, No.                                 | Appeal is pending    | Sale                                                       | X year imprisonment in first instance.                  |
| CAS 29/07/16               | 11/07/2016      | Diceros bicornis          | HOR                     | 20       | no.  | Airport name         | Customs                       | Scanning images                   | No CITES permit                 | Air                            | Under cashew nuts            |                           |                         | XX                        | USD                                               | 500000   | AR                        | Name of Law, No.                                 | N/A                  | Destruction                                                | Final destination: insert name of province/town.        |
| CAS 11/09/16               | XX/09/2016      | Hylobates agilis          | LIV                     | 2        | no.  | Border crossing name | Customs                       | Physical inspection               | Illegal crossing                | Road                           | Hidden in a shipment of dogs | TH                        | LA, DE                  | XX                        | USD                                               | 2000     | AM                        | Name of Law, No.                                 | Withdrawn            | Euthanasia                                                 | Insufficient evidence                                   |
| CAS 19/09/16               | XX/09/2016      | Ursus arctos              | SKI                     | 1        | no.  | Airport name         | Customs                       | Random check                      | No CITES permit                 | Air                            | Hidden in check-in luggage   | RU                        |                         | XX                        |                                                   |          | AU                        | Name of Law, No.                                 | Pending              |                                                            |                                                         |
| CAS 33/10/16               | XX/10/2016      | Falco cherrug             | LIV                     | 1        | no.  | Town name            | Police                        | Third Party information           | No CITES permit                 |                                | Not concealed                | XX                        |                         | CN                        |                                                   |          | AZ                        | Name of Law, No.                                 | X year imprisonment  | Returned to country of export                              | The seizure was made at a hotel.                        |
| CAS 55/12/16               | 07/12/2016      | Callithrix pygmaea        | LIV                     | 1        | no.  | Airport name         | Wildlife Agency               | Third Party information           | No CITES permit                 | Air                            | Smuggling vest               | CZ                        |                         | XX                        |                                                   |          | BS                        | Name of Law, No.                                 | Fine: USD XXX        | Approved private facility                                  | A fine has been imposed without a court case.           |
| CAS 79/12/16               | 08/12/2016      | Panthera tigris           | MED                     | 0.2      | kg   | Airport name         | Customs                       | Random check                      | No CITES permit                 | Air                            | Hidden in hand luggage       | AR                        |                         | XX                        |                                                   |          | BH                        | Name of Law, No.                                 | Pending              |                                                            | Repeated offender                                       |

<sup>1</sup> Select option: Police; Customs; Wildlife Agency; Other (please specify)  
<sup>2</sup> Select option: Scanning images; Risk assessment; Random check; Sniffer dog; Third-Party information, Physical inspection, Other (specify):  
<sup>3</sup> Select option: No CITES Permit; Mis-declared; Illegal crossing; Other (please specify)  
<sup>4</sup> Select option: Maritime (1); Rail (2); Road (3); Air (4); Mail (5)  
<sup>5</sup> Select option: Returned to country of export; Public zoos or botanical gardens; Designated rescue centres; Approved, private facilities; Euthanasia/destruction; Storage; Safekeeping; Educational purposes; Other (please specify):

\*means that it is optional to provide the information, even if it is available

Please select one of the following options:

Yes, the information provided in this report may be used in the ICCWC-supported global research and analysis on wildlife and forest crime. ☒

No, the information provided in this report may not be used in the ICCWC-supported global research and analysis on wildlife and forest crime. ☐