



NOTIFICATION TO THE PARTIES

No. 2017/032

Geneva, 13 April 2017

CONCERNING:

Bread palms (Encephalartos spp.)

- 1. At its 17th meeting (CoP17, Johannesburg, 2016), the Conference of the Parties adopted Decisions 17.220 paragraphs b) and c), and 17.221 on *Bread palms* (Encephalartos *spp.*), as follows:
 - 17.220 The Secretariat shall:
 - [...]
 - b) prepare a questionnaire to assist Parties in compiling information on legal and illegal trade in Encephalartos spp. specimens, drawing upon the template for species-specific reporting adopted by the Standing Committee, and make this questionnaire available to Parties through a Notification to the Parties; and
 - c) drawing upon the reports received from Parties in response to the Notification to the Parties mentioned in Decision 17.220 paragraph b), prepare a report on legal and illegal trade in Encephalartos spp. specimens, including recommendations, for consideration by the Standing Committee.
 - 17.221 The Standing Committee shall at its 69th meeting, consider the report of the CITES Secretariat and determine further actions to be implemented by Parties in relation to the international trade in Encephalartos species.
- 2. The Secretariat in accordance with the provisions of Decision 17.220, paragraph b), prepared a questionnaire to assist Parties in compiling information on legal and illegal trade in *Encephalartos* spp. The questionnaire is contained in the Annex to the present Notification.
- 3. Parties are invited to complete the attached questionnaire, and to submit it to the Secretariat by **31 July 2017**.
- 4. Management Authorities that are unable to provide all the information requested in the questionnaire independently are encouraged to consult all relevant national authorities to obtain the required information. Parties are further encouraged to engage with national and international organizations, if necessary, to ensure that all relevant information is compiled.

Questionnaire on the conservation of, and legal and illegal trade in Bread palms (*Encephalartos* spp.)

Please provide as much information as possible in answer to the questions below – the information should cover the period 1 January 2010 to 31 March 2017¹

All Parties to CITES are invited to respond to this questionnaire, not just range States of Encephalartos spp.

The term 'specimen' as used in this questionnaire is as defined in Article I, paragraph b) i) and ii) of the Convention on International Trade in Endangered Species of Wild Fauna and Flora²

The genus *Encephalartos* spp. was listed on Appendix I on 4 February 1977. It encompasses 75 species, according to the Species+ database. Range States are Angola, Benin, Central African Republic, the Democratic Republic of the Congo, Ghana, Kenya, Malawi, Mozambique, Nigeria, South Africa, South Sudan, Swaziland, the United Republic of Tanzania, Togo, Uganda, Zambia and Zimbabwe.

In a number of places in this questionnaire, questions have the same format as the annual trade report, the illegal trade report, or the implementation report. This is with the intention of streamlining between those reports and this questionnaire. Information which has already been provided in response to the CITES annual or implementation reports, or other notifications, should not need to be repeated in the completion of this questionnaire, which is intended to gather information that is more recent than the last of each of those reports which have been submitted. New information provided here should be included in the next annual trade report, illegal trade report, or implementation report.

Country	
Period covered in this report	
Agency submitting this questionnaire	
Contact details of agency/agencies completing this questionnaire	
Contact person (name, email, job title, function)	

PREVIOUSLY PROVIDED INFORMATION

If you have previously provided information to the CITES Secretariat with respect to Encephalartos spp., pleas	е
indicate how and when that was done:	

last annual trade report

date submitted:

date submitted:

last illegal trade report

last implementation report date submitted:

In response to previous Notifications or otherwise – please list:

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¹ Please use additional sheets for any question, if needed.

² (b) "Specimen" means:

⁽i) any animal or plant, whether alive or dead;

⁽ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof; and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species

A.1	Is your country a range State of <i>Encephalartos</i> spp.? Yes No							
	If 'Yes', please list the species most prevalent in your country. ³							
	lf 'No', please pro	oceed to Section	В.					
A.2	Based on the be since 1 January 2		rmation, are wild	populations of E	Encephal	<i>artos</i> sp	p. in your country	
	Increasing	5	Stable	Decreasing		ι	Jnknown	
	Please indicate t	he source(s) of ir	nformation your a	answer is based o	on:			
A.3	What are the ma	jor conservation	threats to <i>Encep</i>	<i>halartos</i> spp. in y	our coun	try?	[]	
		Low Threat	Medium Threat	Major Threat	Unknov	vn	Not applicable	
	Habitat destruction]		
	Removal by collectors]		
	(Usually only for national level use)							
	Removal by collectors]		
	(Usually associated with international trade)							
	Harvesting for traditional use]		
	(Usually only at national level)							

A. POPULATION STATUS

³ Add or delete rows as necessary.

	Harvesting for traditional use (Usually associated with international trade)							
	Other (please specify)							
A.4.1	If available, please provide data or information on the impact of (international and domestic) <u>illegal</u> trade, on the wild population of <i>Encephalartos</i> spp. in your country. Please indicate the source(s) of information your answer is based on:							
A4.2	If available, please provide data or information on the impact of (international and domestic) <u>legal</u> trade, on the wild population of <i>Encephalartos</i> spp. in your country. Please indicate the source(s) of information your answer is based on:							
A.5	Is your country a signatory to any bilateral and/or multilateral agreements for co-management of Encephalartos spp.? Yes No I If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:							
A.6	Do you have any management plans, conservation measures or recovery plans in place for wild populations of <i>Encephalartos</i> spp.? Yes No If 'Yes', please list the species for which these conservation measures are in place and provide a link or reference to information regarding the measures: Species Link or reference to information on the conservation measures							

B. LEGISLATION / REGULATORY FRAMEWORK

B.1	Has your country adopted legislation to regulate international trade in native and non-native species of specimens of <i>Encephalartos</i> spp.?					
	For native species	Yes 🗌 No 🗌				
	For non-native species	Yes 🗌 No 🗌				
	If 'Yes' please go to question B.1.1, i	f 'No', please go to question B1.2.				
B.1.1	If your answer to B.1 is 'Yes', please between species, please provide det	e specify the titles and provisions of such legislation; (if they differ ails for separate species ⁴):				
	Species	Title, date of enactment, and relevant provisions of this legislation				
B.1.2	If your answer to B.1 is 'No', please e specimens of <i>Encephalartos</i> spp. is	explain how international trade in native and non-native species of regulated:				
В.3		established bilateral or multilateral agreements other than CITES being unsustainably exploited through international trade?				
B.4	Is domestic use of specimens of <i>End</i> Yes No I If 'Yes' please go to question B.2.1.	<i>ephalartos</i> spp. regulated in your country?				
B.4.1	Please provide information on how d use are permitted or forbidden in you	omestic use of <i>Encephalartos</i> spp. is regulated and what forms of ir country.				

⁴ Please add rows if necessary.

C.1	Have any spe submitted?	ecimens of <i>Encephalar</i>	<i>tos</i> spp. been le	gally imported in	nto your coun	try since 1 J	anuary 2010 that are	e not reflected in th	e CITES annual reports⁵
	Yes 🗌	No 🗌							
	Please indica	ate information about e	ach import sepa	arately and refe	to CITES co	des for sou	rce and purpose ⁶ : (p	please add rows if	necessary)
	Species	Specimen (please specify type ⁷)	Quantity	Unit of measure	Date	Origin	Country of origin/re-export	Source	Purpose

C. INTERNATIONAL TRADE

⁵ Data already provided in CITES annual reports do not need to be included.

⁶ See Resolution Conf. 12.3 (Rev. CoP17) <u>https://cites.org/sites/default/files/document/E-Res-12-03-R17.pdf</u>

⁷ For example, live, dried plants, trunks, roots, leaves, seeds, timber pieces, etc.

C.1.1	If your answer to question C.1 is 'Yes', did you in any way consult with the country of origin or country of re-export about the nature of the trade or source of the specimens prior to issuing any permits or certificates, including pre-Convention certificates, authorizing the import?
	Yes 🗌 No 🗌
	If 'Yes' please provide details:

C.2	Have any spec annual reports	imens of <i>Encephalarto</i> submitted? ⁸	<i>s</i> spp. been lega	Illy exported or I	re-exported fr	rom your co	untry since 1 Januar	y 2010 that are no	t reflected in the CITES
	Yes 🗌 🛛 🛛	1o 🗌							
	Please indicate	e information about eac	ch export or re-e	xport separatel	y ⁹ : (please ad	dd rows if ne	ecessary)		
	Species	Specimen (please specify type ¹⁰)	Quantity	Unit of measure	Date	Origin	Destination	Source	Purpose

⁸ Data already provided in CITES annual reports do not need to be included.

⁹ Refer to CITES codes for Source and Purpose in Resolution Conf. 12.3 (Rev. CoP17) <u>https://cites.org/sites/default/files/document/E-Res-12-03-R17.pdf</u>

¹⁰ For example, live, dried plants, trunks, roots, leaves, seeds, timber pieces, etc.

C.2.1	If your answer to question C.2 is 'Yes', did you in the case of re-exports in any way consult with the country of origin about the nature of the trade or source of the specimens prior to issuing any permits or certificates, including pre-Convention certificates, authorizing any re-export?
	If 'Yes' please provide details:
	n res please provide details.

D. ENFORCEMENT

D.1	Have any seizures of specimens of <i>Encephalartos</i> spp. been made in your country since 1 January 2010?				
	Yes 🗌 No 🗌				
	If 'Yes' please provide details in the spreadsheet format at Annex 1 ¹¹ .				
D.1.1	If your answer to question D1 is 'Yes', was any action taken to brin <i>Encephalartos</i> species specimens made within your country to the attent States, countries of origin, transit or destination?				
	If 'Yes' please provide details:				
D.1.2	If your answer to question D1 is 'Yes', have any samples from specimens of <i>Encephalartos</i> species seized and/or subject to criminal investigation, been collected and sent for forensic analysis? Yes No I If 'Yes' please provide details and where possible, include information on the laboratory where the analyses were conducted:				
	-				
D.1.3	Please indicate, if possible, how seized live specimens referred to in sect Please tick all that apply	tion D.1 were disposed of:			
	Return to country of export				
	Given to botanical gardens				
	Given to approved private facilities				
	Destroyed				
	Other (please specify)				
D.1.4	Have you encountered any challenges in disposing of confiscated spe details:	ecimens? Please provide			
D.1.5	Do you have good practice with respect to seizures or disposal of confise would like to share with other Parties?	cated specimens that you			
D.2	Has your country implemented any marking system for specimens of <i>Er</i> to be imported, exported or re-exported? Yes No	ncephalartos spp. that are			
	If 'Yes', please provide details of the marking system that is used:				

¹¹ Data already provided in CITES annual reports do not need to be included.

D.3	Do you experience in your country any enforcement challenges with regard to illegal harvesting, illegal trade and other illegal activities concerning <i>Encephalartos</i> spp. that you would like to highlight? Yes No
D.4	Are there any enforcement best practices in your country with regard to illegal harvesting, illegal trade and other illegal activities concerning <i>Encephalartos</i> spp. that you would like to highlight? Yes No
D.5	Has your country implemented any national enforcement actions aimed at combating illegal harvesting, illegal trade and other illegal activities concerning <i>Encephalartos</i> spp.? Yes No No IIII If 'Yes', please describe these actions:
D.6	Has your country implemented any measures to strengthen border controls to combat illegal import / export of <i>Encephalartos</i> spp.? Yes No I If 'Yes', please describe these actions:
D.7	Has your country collaborated with other countries and/or participated in international operations e.g. under INTERPOL, World Customs Organisation, etc., aimed at combating illegal harvesting, illegal trade and other illegal activities concerning <i>Encephalartos</i> spp.? Yes No I If 'Yes', please describe these activities:
D.8	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences concerning <i>Encephalartos</i> spp.? Yes No No information I
D.9	Have there been any criminal prosecutions of CITES-related offences concerning <i>Encephalartos</i> spp.? Yes No No information I If 'Yes', how many and for what types of offences? If available, please provide details:
D.10	Have there been any other court actions against CITES-related offences concerning <i>Encephalartos</i> spp.? Yes No No information I If 'Yes', what were the offences involved and what were the results? Please provide details:

E.1 Are Encephalartos spp. artificially propagated in your country? Yes 🗌 No 🗌 If 'Yes', please go to question E.1.1 E.1.1 If your answer to E.1 is 'Yes', please complete the table below: (please add rows if necessary) Number of facilities Are these facilities Purpose of artificial Species Have the facilities Production per exporting abroad? been registered with propagation¹³ year CITES?¹² E.2 If your answer to E.1 is 'Yes', please provide information on the management practices and controls that have been put in place to prevent wild specimens of Encephalartos spp. from being laundered into legal trade through these facilities:

E. ARTIFICIAL PROPAGATION

¹² as per Resolution Conf. 9.19 (Rev. CoP15)

¹³ e.g. for traditional medicine, as collector's items, etc.

F. SUPPLY AND DEMAND MANAGEMENT

F.1	Have you implemented any supply-side activities to address illegal trade in <i>Encephalartos</i> spp.?					
	Yes No No No, but activities are No information					
	If 'Yes', please provide a short summary:					
F.2	Have you implemented any demand-side activities to address illegal trade in <i>Encephalartos</i> spp.?					
	Yes No No No, but activities are under development No information					
	If 'Yes', please provide a short summary:					

G. EDUCATION AND AWARENESS RAISING

G.1	Have any of the following activities to bring about better awareness of the Convention's requirements for <i>Encephalartos</i> spp. by the wider public and relevant user groups taken place?										
		Public	User Groups								
	Press conferences										
	Press releases										
	Newspaper articles, brochures, leaflets										
	Television appearances										
	Radio appearances										
	Presentations										
	Public consultations / meetings										
	Market surveys										
	Displays										
	Information at border crossing points										
	Telephone hotline										
	Website(s) – if so please provide link(s)										
	Other (please specify)										
G.2	Have any measures been taken in your country to engage local communities in the conservation of <i>Encephalartos</i> spp.? Yes No No II										
G.3	Have any strategies to encourage the general public to report illegal trade in <i>Encephalartos</i> spp. to appropriate authorities for further investigation been implemented in your country? Yes No										

Annex 1¹⁴: Illegal trade report format¹⁵

Date of seizure	<u>Species</u>	Description of specimen ¹⁶	<u>Quantity</u>	<u>Unit</u>	Location of incident	Detecting agency ¹⁷	<u>Reason for</u> seizure ¹⁸	Means of transport ¹⁹	<u>Method of</u> concealment	<u>Alleged</u> <u>country of</u> <u>origin</u>	<u>Country(ies)</u> of transit	<u>Alleged</u> <u>final</u> <u>destination</u>	Estimated value in country (optional)	<u>Nationality</u> <u>off</u> <u>offenders</u> (optional)	Law under which charges were brought (desirable)	<u>Sanction</u> (desirable)	Disposal of confiscated specimens (desirable) ²⁰

- ¹⁷ Select option: Police, Customs, Wildlife Agency, Other (please specify)
- ¹⁸ Select option: No CITES Permit, Mis-declared, Illegal crossing, Other (please specify)
- ¹⁹ Select option: Air, Sea, Land, Post
- ²⁰ Select option: Returned to country of export, Public botanical garden; Other (please specify)

¹⁴ See question D1 of this questionnaire

¹⁵ Add or delete rows as necessary

¹⁶ Select option: live, dried plants, trunks, roots, leaves, seeds, timber pieces, other (please specify)