#### Proposal for a new implementation report format under Article VIII, paragraph 7 (b)<sup>1</sup>

The following is a proposal for a new CITES implementation report format under Article VIII, paragraph 7 (b), of the Convention developed by the Standing Committee Working Group on Special Reporting Requirements to <u>replace</u> the existing biennial report. The proposed new format has been presented in the structure of the CITES Strategic Vision 2008-2020 (<u>Resolution Conf. 16.3</u>) to make the input of the report to the Strategic Vision indicators more obvious.

This document uses a revised set of the Strategic Vision indicators, as mandated in Decision 16.44, paragraph g). These revisions are to take account of changes to the context in which the indicators were proposed – for example, under Strategic Vision Objective 1.7 on enforcement, the development of the International Consortium on Combating Wildlife Crime Wildlife and Forest Crime Analytic Toolkit, or to make the indicators more focused. This format only includes those indicators for which it is the source of information.

N.B. The Strategic Vision Indicators will be implemented through a combination of the information gathered through this report format, and also through other processes (such as the National Legislation Project, and notification of quotas by Parties to the Secretariat).

If the report can be implemented as an online format, it may be possible for previous responses to be pre-populated into the form, thereby speeding up the completion of the report by Parties, which would then only need to check previous responses and make changes if circumstances have changed. Whichever way the report is implemented, further work on layout of the questions will be necessary to ensure the format is easy to read and complete, for example by ensuring that sufficient space is allowed for textual answers to be provided.

Guidance to give more support on how to respond to the questions may be worth considering. This could be provided through a stand-alone document, footnotes in this document, or, if an online report format is produced, via drop-down windows as required.

Note that green text has been used to distinguish the Strategic Vision Indicators from the questions being asked in the report format. Blue text has been used to denote links with the Aichi Targets within the Strategic Plan for Biodiversity 2011 - 2020 (http://www.cbd.int/sp/targets/).

The proposed format is currently 20 pages long, however many of the questions are in check box format and should be relatively easy for Parties to complete. In addition, some of the length is due to restating the CITES Strategic Vision: 2008-2020 goals, objectives and indicators. If Parties nevertheless feel the format is too long then they are invited to suggest which indicators or questions should be deleted.

<sup>&</sup>lt;sup>1</sup> Revised after SC65 (Jul 2014) & WG SRR meeting (Jan 2015).

### Draft Implementation Report structure

The format below follows the structure of the CITES Strategic Vision: 2008-2020 and aims to collect information to enable the Strategic Vision indicators to be implemented.

#### **CITES vision statement**

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties. The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: info@cites.org Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	
Period covered in this report	
Department or agency preparing this report	
Contributing departments, agencies and organizations	

### GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

**Objective 1.1** Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures. All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12, Target 17 and Target 18.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed since the last implementation report?		
		Yes 🗌	No 🗌
	If Yes, have you shared information with the Secretariat?	Yes 🗌	No 🗌
	If No, please provide details to the Secretariat with this report.		
1.1.1b	Does your legislation allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation		
	guidelines)?	Yes 🗌	No 🗌
	If No, please provide details of the constraints faced		

### **Objective 1.2** Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens. Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

1.2.1a	Do you have standard operating procedures for application for and issuance of permits?		
		Yes 🗌	No 🗌
	Are they publicly available?	Yes 🗌	No 🗌
1.2.1b	Do you have a computerised permit / certificate system?	Yes 🗌	No 🗌
	Do you currently have an electronic permitting <sup>2</sup> system?	Yes 🗌	No 🗌
	If yes, please provide information on challenges faced or issues overcome:		
	If no, do you have any plans to move towards e-permitting?	Yes 🗌	No 🗌
	If you are planning to move towards e-permitting, please explain what might help you to do so:		

<sup>&</sup>lt;sup>2</sup> e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

#### Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in <u>Resolution</u> <u>Conf. 12.3 (Rev. CoP16)</u>.

1.2.2a	Has your country developed simplified procedures for any of the following?			
		Tick all applicable		
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 of Resolution 12.3 are urgently required.			
	For the issuance of pre-Convention certificates in accordance with Article VII, paragraph 2.			
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5, or for the issuance of export permits or re- export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.			
	In other cases judged by a Management Authority to merit the use of simplified procedures.			

# **Objective 1.3** Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.

All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

1.3.1a	Has your country responded to all relevant special reporting requirements that are active since the last Conference of the Parties, including those outside the Resolutions and Decisions of the CoP (see [link to location on the CITES website where the reporting requirements are listed])? Responses provided to ALL relevant reporting requirements Responses provided to SOME of the relevant reporting requirements Responses provided to NONE of the relevant reporting requirements No special reporting requirements applicable	
1.3.1b	Were any difficulties encountered since the last implementation report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes No If Yes, which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?	]

#### **Objective 1.4** The Appendices correctly reflect the conservation needs of species. Aichi Target 1, Target 12, Target 14 and Target 19.

1.4a	Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices?	Yes 🗌 No 🗌
	If Yes, please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online)	

#### **Objective 1.5** Best available scientific information is the basis for non-detriment findings. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.

- Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:
  - a) the population status of Appendix-II species;
  - b) the trends and impact of trade upon Appendix-II species; and
  - c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses undertaken in your country in relation to:	been	Yes	No	If Yes, How many?
	- the population status of Appendix II species				
	- the trends and impact of trade on Appendix	II species			
	<ul> <li>the status of and trend in naturally-occurring species</li> </ul>	Appendix I			
	- the impact of any recovery plans on Append	lix I species			
	If Yes for any of the above, please provide				
	Species name (scientific)	A brief summary of or other analysis (e stable / increase, c links to published r	e.g. popu	lation sta	atus, decline / ), or provide
1.5.1b	How are the results of such surveys, studies findings (NDFs)?	or other analyses us	ed in ma	aking nor	n-detriment
		Rev	sed har	vest or ex	xport quotas? 🗌
				Bai	nning export?
					ic measures? 🗌
	5	•	-		the species?
	Disci	ussion with Scientific		-	t Authorities?
	Othe	er (please provide a			
1.5.1c	Do you have specific conservation measures				
	plans for Appendix-I listed species?	No			
		No	information	tion	
	If Yes, please provide a brief summary, inclui impact	ding, if possible, an	evaluatio	on of thei	ir
1.5.1d	Do you have examples of good practice in ma upon implementation of <u>Resolution Conf. 16.</u> If yes, please provide links or examples to the	that can be shared	l? -		Yes 🗌 No 🗌

1.5.1e	Which of the following (paragraph a) through paragraph f) of Resolution Conf. 16.7) do you use in making non- detriment findings?	Yes	No
	A. relevant scientific literature concerning species biology, life history, distribution and population trends.		
	B. details of any ecological risk assessments conducted.		
	C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.		
	D. relevant knowledge and expertise of local and indigenous communities.		
	E. consultations with relevant local, regional and international experts.		
	F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP- WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.		

## Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a	Do you have standard procedures for making non- detriment findings?	Yes No Partly	
1.5.2b	If Yes or Partly, please briefly describe your procedures for attach as an annex to this report, or provide a link to v on the internet:		
1.5.2c	How often do you review and/or change your non- detriment findings?	Case by case Annually Every two years Less frequently A mix of the above	
	Please describe the circumstances under which non- detriment findings would be changed		

### Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas?	Yes No	
	If Yes, How are these set?	Population Survey?	Other, please
	Species Name (scientific)		specify
1.5.3b	Have steps been taken to ensure sustainable production and consumption?	Yes No	
	If Yes, please describe how this fits into your non-detriment finding process.		

# **Objective 1.6**Parties cooperate in managing shared wildlife resources.<br/>Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.

Indicator 1.6.1: The number of bilateral and multilateral cooperative agreements that specifically provide for co-management of shared CITES listed species by range states.

1.6.1a	Is your country a signatory to any bilateral and/or multilateral cooperative agreements for co-management of shared species?	Yes 🗌 No 🗌
	If yes, please provide brief details :	

### Indicator 1.6.2: The number of cooperative management plans including recovery plans in place for shared populations of CITES-listed species.

1.6.2a	Do you have any cooperative in place for shared populatio	e management plans, including recovery plans, ns of CITES-listed species?	Yes 🗌 No 🗌
	If yes, please list the species a published plan for each spe	for which these plans are in place and provide a link ecies.	or reference to
	Species Name (scientific)	Link or reference to a published plan	

### Indicator 1.6.3: Mechanisms used to collaborate to address the conservation and management needs of shared species.

1.6.3a	In what ways do you collaborate with other CITES Parties?								
		Yes	No	Some instances	No information	Further detail / examples			
	Information exchange								
	Monitoring / survey								
	Habitat management								
	Species management								
	Law enforcement								
	Capacity building								
	Other (please provide details)	-							

Objective 1.7	Parties are enforcing the Convention to reduce illegal wildlife trade.
	Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.

### Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:

- international enforcement action plans or co-operation;
- international enforcement networks;
- national enforcement action plans; and
- national inter-agency enforcement coordination networks.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No
	– international enforcement action plans or co-operation?		
	– international enforcement networks?		
	– national or sub-national enforcement action plans?		
	– national or sub-national interagency enforcement coordination networks?		
	If Yes to any of the above, please specify level of engagement and provide additional details		

### Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and activities to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) or activities to implement your strategy(ies)?	Yes No Under consideration No information	
	If yes, what do you do?		
	If yes, or under consideration, which tools do you find of value?		
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit or equivalent tools?	Yes No Under consideration No information	
	If yes, please provide feedback on the parts of the toolkit used and how useful the toolkit or equivalent tools have been. Specify improvements that could be made.		
	If no, please provide feedback on why not or what is needed to make the toolkit or equivalent tools useful to you.		

# Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures and wildlife forensic capacity in place, and that use specialized investigation techniques, for investigating and penalizing CITES offences.

1.7.3a	Do you have law and procedures in place for investigating and penalizing CITES offences as a crime?	Yes No No information	
	If Yes, please provide the title of the legislation and a summary of the penalties available		
1.7.3b	Do you have forensic capacity to apply to the investigation of CITES offences?	Yes No No information	
	If yes, please provide a brief summary of specific species to which fore provide a brief summary of any wildlife forensic capacity used during the summary of any wildlife forensic capacity u		and

1.7.3c	Did your authorities participate in or initiate any major <sup>3</sup> wildlife law enforcement operation(s) in the past two years?			mation
	If yes, please provide a brief summary, including any lessons which might be helpful for other Parties.			
1.7.3d	Do you have:	Yes	No	If yes, how many times was this used since the last implementation report?
	Potential to use general crime laws to prosecute wildlife crime?			
	A standard operating procedure among relevant agencies for submitting information to INTERPOL or the World Customs Organization?			
	Legislation in place which can be used for financial investigations to combat money-laundering offences involving CITES listed specimens?			
	Institutional capacity to conduct anti-money laundering investigations for offences involving CITES listed specimens?			
	Legislative provisions for asset forfeiture? <sup>4</sup>			
	Legislative provisions against corruption?			
	Legislative provisions to facilitate international cooperation? (e.g. legislation through which a formal request for mutual legal assistance can be forwarded to another country)			
	Specific legislation to address organized crime?			
	If Yes, can legislation to address organized crime be used in the investigation and prosecution of wildlife crime?			
	Is there legal authority to use specialized investigation techniques <sup>5</sup> in the investigation of CITES offences?			
	If yes to any of the above, please explain - for each which apprelated offences? Please provide a brief summary, including a helpful for other Parties			

### Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

1.7.4a	Do you use risk assessment to direct / target CITES enforcement effort?	Always Sometimes Not at all No information	
1.7.4b	Do you use criminal intelligence to combat illegal trade in CITES- listed species?	Always Sometimes Not at all No information	

<sup>&</sup>lt;sup>3</sup> A major law enforcement effort should be interpreted as one where there has been a concentrated effort. It could be either national or international.

<sup>&</sup>lt;sup>4</sup> Forfeiture is: The seizure of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

<sup>&</sup>lt;sup>5</sup> Specialized investigation are techniques deployed when conventional law enforcement techniques fail to address the activities of crime groups adequately, e.g. controlled deliveries, covert operations, etc.

1.7.4c	Do you have capacity to analyse criminal intelligence gathered on suspected illegal trade in wildlife?	Yes No Sometimes No information	
1.7.4d	Have you devised and implemented demand-side strategies for addressing illegal trade in wildlife	Being implemented Under development Not developed	
	Have you devised and implemented supply-side strategies for addressing illegal trade in wildlife?	Being implemented Under development Not developed	

# Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related violations since the last Conference of the Parties.

Since the	last Conference of the Parties:	Yes	No	No Information
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?			
1.7.5b	If 'Yes', please indicate how many and for what types of violati details.	ons. If ava	ilable, pleas	se attach
1.7.5c	Have there been any criminal prosecutions of significant CITES-related violations?			
1.7.5d	If 'Yes', how many and for what types of violations? If available	e, please a	ttach details	S.
1.7.5e	Have there been any other court actions against CITES- related violations?			
1.7.5f	If 'Yes', what were the violations involved and what were the resu	lts? Please	e attach deta	iils.
1.7.5g	How were the confiscated specimens usually disposed of?		Tic	k if applicable
	<ul> <li>Return to country of export</li> </ul>			
	<ul> <li>Public zoos or botanical gardens</li> </ul>			
	<ul> <li>Designated rescue centres</li> </ul>			
	<ul> <li>Approved private facilities</li> </ul>			
	– Euthanasia			
	<ul> <li>Other (specify):</li> </ul>			
	Comments:			

Objectiv	e 1.8	Parties and the Secretariat have adequate capacity-building particular to the Aichi Target 1, Target 12 and Target 19.	rogrammes in p	lace.	
Indicator	1.8.1:	The number of Parties with national and regional training resources in place to implement CITES including the mak issuance of permits and enforcement.			
1.8.1a	The m Permit	a have resources or training in place to support: aking of non-detriment findings? officers? ement officers?		Yes	No
1.8.1b	work? What	CITES Virtual College used as part of your capacity building improvements could be made in using the Virtual College for ity building?	Yes No No informati	on	

# Indicator 1.8.2: The number of training and capacity-building programmes conducted, including those assisted by the Secretariat.

1.8.2a	you run since the last implementation report?					t assi: e ariat	stance	Conducted or assisted by the Secretariat
			Ν	lone				
				1				
				2-5				
				6-10 1-20				
		ľ	ı More tha	-				
1.8.2b	What sorts of capacity building activities							
1.8.2c	What capacity building needs do you ha							
1.0.20								
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	cal ance	cial ance	Ð	Other (specify)		
	Target group	Oral of advice	Technical assistance	Financial assistance	Training	Other		Details
	Staff of Management Authority							
	Staff of Scientific Authority							
	Staff of enforcement authorities							
	Traders / other user groups							
	NGOs							
	Public							
	Other (specify)							

# GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

**Objective 2.1** Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

**Objective 2.2** Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention. Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	How many full-time equivalent (FTE) staff work in your Management Authority Management Authorities together where appropriate)	(ies)?	(add all	
2.2.1b	Do you have an approved and publicly available service standard(s) <sup>6</sup> for your Management Authority(ies)? If Yes, what are your service standards?		Yes No	
	If Yes, do you have performance targets for these standards, <sup>7</sup> or are you expected to meet your standard 100% of the time? If Yes, what are your performance targets?		Yes No	
	If possible please provide your performance against service standards for the time period of this report			
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No
	<ul> <li>availability of funding?</li> </ul>			
	– number of staff?			
	– a shortage of skills?			
	If yes to a shortage of skills, which skills do you need more of?			
2.2.1c	How many full-time equivalent (FTE) staff work in your Scientific Authority(ies) (add all Scientific Authorities together where appropriate)	on Cl	TES iss	sues?
2.2.1d	Do you have an approved and publicly available service standard(s) <sup>5</sup> for your Scientific Authority(ies)? If Yes, what are your service standards?		Yes No	
	If Yes, do you have performance targets for these standards, <sup>6</sup> or are you expected to meet your standard 100% of the time? If Yes, what are your performance targets?		Yes No	
	If possible please provide your performance against service standards for the time period of this report			
	standards for the time period of this report			
	If you did not meet your performance targets then was this shortfall	Yes		No
	If you did not meet your performance targets then was this shortfall	Yes		No
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No
	If you did not meet your performance targets then was this shortfall a result of: — availability of funding?	Yes		No

<sup>&</sup>lt;sup>6</sup> For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

<sup>&</sup>lt;sup>7</sup> For example, 85% of all decisions will take place within the service standard.

2.2.1e	How many full-time equivalent (FTE) enforcement staff work on CITES issues (add staff from all enforcement agencies together where appropriate)	?		
2.2.1f	Do you have an approved and publicly available service standard(s) <sup>5</sup> for your enforcement authority(ies)? If Yes, what are your service standards?		Yes No	
	If Yes, do you have performance targets for these standards, <sup>6</sup> or are you expected to meet your standard 100% of the time? If Yes, what are your performance targets?		Yes No	
	If possible please provide your performance against service standards for the time period of this report			
	<ul> <li>If you did not meet your performance targets then was this shortfall a result of:</li> <li>availability of funding?</li> <li>number of staff?</li> <li>a shortage of skills?</li> <li>If yes to a shortage of skills, which skills do you need more of?</li> </ul>	Yes		No

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities since the last implementation report:

- changed the budget for activities;
- hiring more staff;
- development of implementation tools;
- improvement of national networks; and
- purchase of technical equipment for implementation, monitoring or enforcement.

2.2.2a	Have any of the following activities been undertaker implementation report to enhance the effectiveness implementation at the national level?		ast	Tick	if applicable
	Hiring of more staff Improvement of national ne		networks		
	· · · · · · · · · · · · · · · · · · ·			quipment for ing or enforcer	ment
	Other (specify):				
2.2.2b	Since the last implementation report, was the budge your authorities	t for Incr	eased	Stable	Decreased
	Management Authority(ies)				
	Scientific Authority(ies)				
	Enforcement authorities				
2.2.2c	Have you been able to use international developme funding assistance to increase the level of implementation of your		ſes	No	Not applicable
	Management Authority(ies)?				
	Scientific Authority(ies)?				
	Enforcement authorities?				

2.2.2d	What is the respective level of priority for enhancing the the national level through the following activities?	effectiveness	of CITES impleme	entation at
	Activity	High	Medium	Low
	Hiring of more staff			
	Development of implementation tools			
	Improvement of national networks			
	Purchase of new technical equipment for implementation, monitoring or enforcement			
	e-permitting			
	Other (specify):			
2.2.2e	Do you have a national system for managing	Yes	Under development	No
	species or trade information			
	Non-detriment findings			

# Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for permit issuance, registration or related CITES activities?	Tick if applical	ble
	<ul> <li>Administrative procedures</li> </ul>		
	<ul> <li>Issuance of CITES documents for import, exports, re-export, or introduction fro sea:</li> </ul>	m the	
	<ul> <li>Shipment clearance for the import, export, re-export, or introduction from the se CITES-listed species:</li> </ul>	a of	
	<ul> <li>Licensing or registration of operations that produce CITES species</li> </ul>		
	<ul> <li>Harvesting of CITES-listed species</li> </ul>		
	<ul> <li>Use of CITES-listed species</li> </ul>		
	<ul> <li>Assignment of quotas for CITES-listed species</li> </ul>		
	<ul> <li>Other (specify):</li> </ul>		
2.2.3b	Is a fee schedule publicly available?	Yes 🗌 N	o 🗌
	If Yes, please provide an internet link, or a copy of the schedule to the Secretariat.		
2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife co	nservation	?
		Entirely	
		Partly	
		Not at all	
	No	t relevant	
	Comments:		
2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees?		
	Do your fees recover the full economic cost of issuing permits?		
	Do you have case studies on charging or using fees? If yes, please provide detail in an annex to this form.	s 🗌	
	Do you use innovative financial mechanisms to raise funds for CITES implementation? If yes, please provide details in an annex to this report.		

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures to implement the Convention?	Yes 🗌	No 🗌
	If yes, please provide a summary or link to further information		
2.2.4b	Have incentives harmful to biodiversity been eliminated?	Yes 🗌	No 🗌

**Objective 2.3** Sufficient resources are secured at the national and international levels to implement capacity-building programmes. Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many capacity building activities have you run since the last implementation report which were mandated by specific Resolutions or Decisions?	
	None	
	1	
	2-5	
	6-10	
	11-20	
	More than 20	
	Please list the Resolutions or Decisions involved	

### GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

- **Objective 3.1** Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities. Aichi Target 2 and Target 20.
- Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes No Not applicable No information	
	If Yes, please provide details		
3.1.1b	In the last triennium, has funding for your country from international funding mechanisms and other related institutions	Increased Remained stable Decreased	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a		Have you provided or co-ordinated technical or financial assistance to another country or countries or projects in relation to CITES?			Yes No No i	information		
	If Yes, please tick boxes to indicate type of assistance provided Country(ies)	Species Management <sup>s</sup>	Habitat Management <sup>g</sup>	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)

<sup>&</sup>lt;sup>8</sup> Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

<sup>&</sup>lt;sup>9</sup> Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

#### **Objective 3.2** Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups?	Wider public	Relevant User Groups
	<ul> <li>Press releases/conferences</li> </ul>		
	<ul> <li>Newspaper articles, brochures, leaflets</li> </ul>		
	<ul> <li>Radio / television appearances</li> </ul>		
	- Presentations		
	<ul> <li>Public consultations / meetings</li> </ul>		
	<ul> <li>Market surveys</li> </ul>		
	– Displays		
	<ul> <li>Information at border crossing points</li> </ul>		
	<ul> <li>Telephone hotline</li> </ul>		
	<ul> <li>Website(s) – if so please provide link(s)</li> </ul>		
	<ul> <li>Other (specify):</li> </ul>		
	Please attach copies of any items or describe examples		

### Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the Cl	TES web	osite?			
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary). Target group	Daily	Weekly	Monthly	Less frequently	Not known
	Staff of Management Authority					
	Staff of Scientific Authority					
	Staff of enforcement authorities					
3.2.2b	What has been your experience with using (e.g. useful aspects, any difficulties encountered	3)?	Good Average Poor No information			
	Any further comments on the CITES Website? most useful, what is missing etc.)	e.g. whi	ch authoriti	es find wh	ich function	s/toois

Indicator 3.2.3 [The number of Parties with web pages on CITES and its requirements] This indicator is under question 3.2.1a.

Objective 3.3	Cooperation with relevant international environmental, trade and development organizations
	is enhanced.

Indicator 3.3.1 The number of Parties which have achieved synergies in their implementation of biodiversityrelated conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) <sup>10</sup> to which your country is party? If Yes, please give a brief description.	Yes No No information	
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### Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards?				
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?				
3.3.2c	Have there been any efforts at a national scale for your CITES Authorities to collaborate with:	Yes	No		
	Agencies for development?				
	Agencies for trade?				
	Provincial, state or territorial authorities?				
	Local authorities or communities?				
	Indigenous or local peoples?				
	Trade or other private sector associations?				
	NGOs?				
	Other (specify)				
3.3.2d	Are CITES requirements integrated into?	Yes	No		
	National and local development strategies?				
	National and local poverty reduction strategies?				
	Planning processes?				
	National accounting?				

<sup>&</sup>lt;sup>10</sup> CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

#### Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and nongovernmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?		
	Non-governmental organizations?		

**Objective 3.4** The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions. Aichi Target 1, Target 2, Target 3, Target 4, Target 5, Target 6, Target 7, Target 12, Target 14, Target 17, Target 18 and Target 19.

### Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved? Yes No					
	Арра	endix I				
	Арре	ndix II				
	Аррен	ndix III				
	If Yes, please provide details					
	Species name (scientific) Changes observed					
3.4.1b	Do you have examples of specific examples of success stories or	Yes				
	emerging problems with any of the listed species?	No				
		No info	rmation			
	If Yes, please provide details					

### Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes No No information	
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes No No information	

**Objective 3.5** Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.

Indicator 3.5.1: The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If yes, please provide details	Yes No No information	
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### Indicator 3.5.2: The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
	Management Authority(ies)						
	Scientific Authority(ies)						
	Enforcement Authority(ies)						

#### **General feedback**

Item			
Copy of full text of CITES-relevant legislation if change	d	Enclosed	
Web link(s)		Not available	
		Previously provided	
Fee schedule(s)	Enclosed		
	Not availab	ble	
	Previously	provided, no change	
	Not relevar	nt	
Details of nationally-produced brochures or leaflets on	CITES	Enclosed	
developed for educational or public awareness purpose	Not available		
Please provide web link(s)	Not relevant		
Have any constraints to implementation of the Conven	tion arisen ir	n Yes	
your country requiring attention or assistance?		No	
		No Information	
If Yes, please describe the constraint and the type of a	ttention or a	ssistance that is required.	
Are there examples of good practice you would like to	share with o	ther Yes	
Parties?		No	
		No Information	
If so please provide details / links:			
How could this report format be improved?			

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.