



NOTIFICATION TO THE PARTIES

No. 2014/053

Geneva, 18 November 2014

CONCERNING:

Trade in specimens of tree species included in CITES Appendices II and III

1. At its 65th meeting (Geneva, 2014), the Standing Committee re-established the intersessional Working Group on Annotations as called for in Decision 16.162 of the Conference of the Parties. The Committee was informed that a trade study, as called for in Decision 15.35 was being undertaken by a consultant contracted by the International Tropical Timber Organization (ITTO), in cooperation with the CITES Secretariat, to assist the working group in its review of annotations for tree species included in Appendices II and III. The Committee requested the Secretariat to disseminate a Notification to the Parties to seek information to assist in the preparation of the trade study and related work.
2. Parties are requested to provide information on trade in specimens of tree species that are included in CITES Appendices II and III and that are used in the manufacture of wood products. Parties are also requested to provide information on trade in wood products derived from listed tree species, where the products fall outside the scope of annotations for those species and are therefore not subject to CITES controls and not included in the CITES Trade Database. Information on trade in any listed tree species will be useful in the trade study as well as for reports to the Standing Committee and Plants Committee. However, information on trade in products manufactured using *Dalbergia cochinchinensis* (Siamese rosewood), *Swietenia macrophylla* (bigleaf mahogany), *Pericopsis elata* (afromosia) or *Cedrela odorata* (Spanish cedar) is of particular interest.
3. The Annex to the present Notification provides additional guidance on the information being sought for the study and related work by CITES bodies on annotations for tree species included in Appendices II and III, including: categories, descriptions and trade classifications of wood products; descriptions of annotations for tree species used for wood products; and examples of information that will assist in carrying out the trade study.
4. Parties are also requested to provide any examples of difficulty in implementing trade controls for existing annotations for tree species, in particular for further processed timber products.
5. To assist in the preparation of the timber trade study, Parties are requested to provide any relevant information by 30 November 2014. Any relevant information that is sent after 30 November 2014 will be used to inform related work of the Standing Committee's Working Group on Annotations, the Standing Committee and the Plants Committee under Decisions 16.162 and 14.148 (Rev. CoP16). All relevant information should be sent to the CITES Secretariat at penelope.benn@cites.org.

**Guidance on the submission of information on
trade in tree species listed in CITES Appendices II and III**

1. The objective of the trade study as called for in Decision 15.35 is to review trade in “timber species” (tree species used as timber to manufacture wood products) in order to identify the types of specimens that initially appear in international trade. This may include relatively unprocessed, or “primary” wood products, as well as “secondary processed” and further processed wood products, including finished products. In order to facilitate the provision of the information requested, **Table A-1** below summarizes the types of wood products in international trade. It includes reference to the codes used in the Harmonized Commodity Description and Coding System (HS codes) maintained by the World Customs Organization; this is the internationally standardized system used by more than 200 countries to classify traded products.
2. As noted in paragraph 2 of the present Notification, a particular focus of the trade study is the identification of exports of wood products that fall outside the scope of the annotation for the species. There are 10 different annotations used for tree species that are listed in Appendix II or III and used in manufacturing wood products. **Table A-2** lists these annotations. Of particular interest for the review of annotations are species with annotations that are not comprehensive with respect to wood-based products (annotations #5, #6, #7, #10, #11 and #12). Examples of these are provided in **Table A-3**.
3. To further illustrate the scope of annotations as compared to wood products in trade, **Table A-4** shows the correspondence between the primary wood products listed in **Table A-1** and the non-comprehensive annotations. As shown in **Table A-4**, some primary products and all secondary processed products fall outside the scope of annotations #5, #6, #7, #10, #11 and #12.
4. Because the Harmonized Commodity Description and Coding System of the World Customs Organization does not contain information on the species used to manufacture secondary processed wood products, Parties are requested to provide any relevant national Customs data that are more detailed than data reported at the internationally standardized level of detail (HS 6 digit). Parties are requested to provide either:
 - i) information on the availability of such detailed trade data;
 - ii) national trade data indicating exports from, or imports from range countries for products manufactured using species of interest; or
 - iii) contact information for experts with access to their national Customs data.
5. Parties are also requested to provide, if available, relevant information that may be collected by trade associations whose members export or import timber products manufactured from CITES-listed tree species.

Table A-1 – Wood product groups¹, descriptions and corresponding Harmonized System codes

Group	Description	Harmonized System Codes
Primary wood products		
Wood in the rough	Fuelwood, logs, pulp wood, chips, poles	4401 4402 4403 4404 4405
Sawn wood	Railway sleepers, lumber	4406 4407
Veneer and wood-based panels	Sliced and peeled veneers, plywood, waferboard, OSB, fiberboard, laminated wood	4408 4410 4411 4412
Secondary processed wood products		
Mouldings	Wood continuously shaped along any edge	4409
Builders' woodwork	Doors, windows, flooring, builders' joinery	4418
Packaging	Packing cases, boxes, pallets, cooperage	4415 4416
Other manufactured wood products	Wooden frames, wooden tableware, statuettes, cases, caskets, tool handles and other manufactured products	4413 4414 4417 4419 4420 4421
Wood furniture and furniture parts		
Wooden furniture	Seats with wooden frames, wooden furniture used in offices, bedrooms, kitchens	9401.61 9401.69 9403.30 9403.40 9403.50 9403.60
Furniture parts ²	Parts of wooden furniture	9401.90.* 9403.90.*

¹ Does not include wood pulp, (mechanically or chemically processed pulp wood), paper, paperboard and related products.

² Internationally standardized trade data (HS 6 digit) do not provide information on the material (wood, plastic or metal) in imported furniture parts; however, as indicated by the asterisks in the HS codes at the end of this section, some countries use supplemental codes (HS 8 digit or HS 10 digit) to identify imports of wooden furniture parts.

Table A-2 – Annotations applied to listings of tree species used for wood products

Annotation	Description
#1	All parts and derivatives, except: a) seeds, spores and pollen (including pollinia); b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers; c) cut flowers of artificially propagated plants; and d) fruits, and parts and derivatives thereof, of artificially propagated plants of the genus <i>Vanilla</i>
#2	All parts and derivatives except: a) seeds and pollen; and b) finished products for retail
#4	All parts and derivatives, except: a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from <i>Beccariophoenix madagascariensis</i> and <i>Neodypsis decaryi</i> exported from Madagascar; b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers; c) cut flowers of artificially propagated plants; d) fruits and parts and derivatives thereof of naturalized or artificially propagated plants of the genus <i>Vanilla</i> (Orchidaceae) and of the family Cactaceae; e) stems, flowers, and parts and derivatives thereof of naturalized or artificially propagated plants of the genera <i>Opuntia</i> subgenus <i>Opuntia</i> and <i>Selenicereus</i> (Cactaceae); and f) finished products of <i>Euphorbia antisyphilitica</i> packaged and ready for retail trade
#5	Designates logs, sawn wood and veneer sheets
#6	Designates logs, sawn wood, veneer sheets and plywood
#7	Logs, wood-chips, powder and extracts
#10	Logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments
#11	Logs, sawn wood, veneer sheets, plywood, powder and extracts
#12	Logs, sawn wood, veneer sheets, plywood and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation
#14	All parts and derivatives except: a) seeds and pollen; b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers; c) fruits; d) leaves; e) exhausted agarwood powder, including compressed powder in all shapes; and f) finished products packaged and ready for retail trade; this exemption does not apply to beads, prayer beads and carvings

Table A-3 – Examples of tree species listed in CITES Appendix II and III with annotations that are not comprehensive with respect to manufactured wood products

Species	Common name	Annotation
<i>Dalbergia cochinchinensis</i>	Siamese rosewood	#5
<i>Pericopsis elata</i>	Afromosia	#5
<i>Cedrela odorata</i>	Spanish cedar	#5
<i>Swietenia macrophylla</i>	Bigleaf mahogany	#6
<i>Pterocarpus santalinus</i>	Red sandalwood	#7
<i>Caesalpinia echinata</i>	Pernambuco	#10
<i>Bulnesia sarmientoi</i>	Palo Santo	#11
<i>Aniba rosaeodora</i>	Brazilian rosewood	#12

Table A-4 – Wood product groups with Harmonized System classifications and corresponding CITES listing annotations

Group	Description	Harmonized System Classification	Annotations ³
Primary wood products			
Wood in the rough	Fuelwood, charcoal, poles	4402 4404	See note to this table
	Chips	4401	#7
	Sawdust, powder	4405	#7, #11, #12
	Logs	4403	#5, #6, #7, #10, #11, #12
Sawn wood	Railway sleepers, lumber	4406 4407	#5, #6, #10, #11, #12
	Sliced and peeled veneers	4408	
Wood-based panels	Plywood	4412	#6, #11, #12
	Waferboard, OSB, fiberboard, laminated wood	4410 4411	See note to this table
All secondary processed wood products and wooden furniture			
See table A-1 for descriptions		See table A-1 for codes	See note to this table

³ Annotations #1, #2, #4 and #14 designate "all parts and derivatives" (with some exclusions); therefore these annotations include all primary wood products and all secondary processed wood products. Annotation #10 also includes articles for used for the fabrication of bows for stringed musical instruments; annotations #7, #11 and #12 also include extracts.