

Working group – Appendix I import NDF draft mandate:

The Working Group shall:

- 1) Consider Module 4 and make improvements and amendments based on discussions.
- 2) Consider the following specific matters:
 - a) Taking into consideration the pre-NDF checklist in Module 2, identify whether additional specific aspects should be included in Module 4 (Stage 1) or whether Stage 1 of the guidance should be incorporated in Module 2;
 - b) Risks to be assessed in Stage 2 and whether guidance in Module 1 and 2 could be referenced or whether the list in the table of the decision tree in Stage 2 should be retained;
 - c) The indicators of potential trade after import in Stage 2: discuss the indicators included and resolve iv) that include reference to restrictions in adjacent countries;
 - d) Consider whether trade / import and / or only the intended purpose of import should be addressed in the NDF made by the importing country in view of the text of the Convention;
 - e) Consider the questions and aspects addressed in Stage 3 and the level of detail to be included as part of the engagement with the exporting country and resolve the bracketed section to ensure alignment with the text of the Convention;
 - f) Discuss the bracketed text in Stage 4: the level of risk associated with each category of information; and overall risk related to harvest and export controls to be assessed? The text of the Convention specifies that the purpose of the import should be considered by the Scientific Authority of the importing country.
 - g) Additional considerations, e.g., impact on demand;
 - h) Share practices of Parties in the working group to further inform the guidance (see list of Parties from trade records that have reported more than 50 records of imports of App. I specimens between 2017 and 2021);
 - i) Consider the trade data information below and discuss further guidance relating to specific purpose of imports;
 - j) Develop a case study / application of the draft guidance that could serve as an example.
 - k) Submit revised draft guidance to the Secretariat and IUCN.

Trade information:

Purpose code	Number of records (importing Parties only): 2017 - 2021
B - Breeding in captivity or artificial propagation	69
E - Educational	110
H - Hunting trophy	2916
L - Law enforcement / judicial / forensic	1
M - Medical (including biomedical research)	17
N - Reintroduction or introduction into the wild	160
P - Personal	543
Q - Circus or travelling exhibition	37
S - Scientific	1444
Z - Zoo	55

Importing Party (>50 records over 5 years)

Importer	No of records	Importer	No of records	Importer	No of records	Importer	No of records
AT	88	CA	277	FR	227	RU	150
AU	57	CN	111	GB	156	US	1825
BE	77	DE	399	HU	85	ZA	418

BR	76	DK	125	JO	79		
BW	55	ES	170	MX	195		

- 2) Identify a list of definitions for any relevant specialist terms contained in Module 6 that are not yet contained in the glossary on the CITES website to assist users with applying the NDF guidance, and submit to IUCN.
- 3) Submit a list of relevant online tools in relation to Module 6 to IUCN, for inclusion to Module 12.
- 4) By Wednesday, 6 December, 3pm, submit a progress report to the Secretariat for plenary review (with 1-2 slides, as appropriate), and by Thursday evening (7 December), submit a presentation of revised draft guidance to the Secretariat for plenary discussion.
- 5) By Thursday, 7 December, 5pm, submit recommendations to the Secretariat for a feedback mechanism for Parties and the wider CITES community to share experiences with using NDF guidance, and to review and update the NDF materials as may be needed.