

CONVENCIÓN SOBRE EL COMERCIO INTERNACIONAL DE ESPECIES
AMENAZADAS DE FAUNA Y FLORA SILVESTRES



Decimosexta reunión de la Conferencia de las Partes
Bangkok (Tailandia), 3-14 de marzo de 2013

Cuestiones estratégicas

Informes y recomendaciones de los Comités

INFORME DE LA PRESIDENCIA*

Introducción

1. Noruega fue elegida por los miembros del Comité Permanente en su 60ª reunión para la Presidencia, tras la 15ª reunión de la Conferencia de las Partes (CoP15, Doha, 2010). Los Estados Unidos de América fueron elegidos para ocupar la Vicepresidencia, y Kuwait la Vicepresidencia suplente. Desde la CoP15 se han celebrado dos reuniones del Comité: la 61ª en agosto de 2011, y la 62ª en julio de 2012 (ambas en Ginebra). La 63ª reunión se celebrará en marzo de 2013 (Bangkok), inmediatamente antes de la CoP16.
2. Desde la CoP15 se ha adherido a la Convención una nueva Parte: Bahrein, con lo que el número total de Partes asciende a 176.
3. El actual Secretario General, John Scanlon, asumió su cargo el 3 de mayo de 2010.
4. Al Comité Permanente se le asignó una considerable carga de trabajo en la CoP15 (véase el Anexo), así como a los dos comités científicos. Debido a este creciente volumen de trabajo y al nivel de interés en la labor del Comité, nuestras reuniones se han convertido, como señaló el Secretario General en la 62ª reunión, en mini Conferencias de las Partes. En razón de este gran volumen de trabajo, al Comité Permanente no le ha sido posible terminar toda su labor en la 62ª reunión, transfiriéndose algunas materias a grupos de trabajo. En varias ocasiones, el Comité Permanente acordó que el grupo de trabajo sometiera su informe y sus recomendaciones directamente a la CoP, pero con el visto bueno del Presidente. Esta ha sido una de las consecuencias directas de la pesada carga de trabajo del Comité.
5. En el período transcurrido desde la CoP15, el Comité se ha centrado en tareas esenciales que le ha confiado la CoP (véase el Anexo). [NB: En vista de la extensión del documento, el Anexo se proporciona en inglés solamente.] Estas tareas comprenden, entre otras, la actualización del Memorando de Entendimiento entre la CITES y el PNUMA y entre la CITES y la FAO; el Reglamento de los Comités de Fauna y de Flora respecto a conflictos de intereses; los objetivos de la diversidad biológica después de 2010; la Plataforma intergubernamental científico-normativa sobre diversidad biológica y servicios de los ecosistemas (IPBES); el Colegio Virtual de la CITES; criterios para la inclusión de especies en los Apéndices I y II; definición del término "introducción procedente del mar", conservación y comercio de rinocerontes africanos y asiáticos; conservación del elefante; gestión del comercio y la conservación de serpientes, y examen del uso de las votaciones secretas. Estas actividades se describen en detalle en informes de las reuniones del Comité Permanente y en informes de los grupos de trabajo, que se deben consultar para tener una idea completa de cada actividad. Por lo tanto, lo que sigue es un resumen de las actividades del Comité sobre estas y otras cuestiones.

* Las denominaciones geográficas empleadas en este documento no implican juicio alguno por parte de la Secretaría CITES o del Programa de las Naciones Unidas para el Medio Ambiente sobre la condición jurídica de ninguno de los países, zonas o territorios citados, ni respecto de la delimitación de sus fronteras o límites. La responsabilidad sobre el contenido del documento incumbe exclusivamente a su autor.

6. Como Presidente, estoy agradecido a todos los miembros del Comité Permanente por su dedicación, su ardua labor y ayuda. También estoy agradecido a los observadores Parte y a los observadores que no son Parte por su importante contribución a la labor del Comité.
7. El Comité está igualmente agradecido al Secretario General y al personal de la Secretaría por su valiosa y excelente ayuda a las actividades del Comité.
8. El Comité también está en deuda con los Comités de Fauna y de Flora y con los numerosos grupos de trabajo por su entrega y su incansable aportación a las tareas.
9. El Presidente del Comité Permanente ha tenido la oportunidad de participar en todas las reuniones de los Comités de Fauna y de Flora en el período transcurrido desde la CoP15 y de visitar la Secretaría en Suiza en varias ocasiones. El Secretario General también visitó al Presidente en Noruega antes de la 61ª reunión del Comité.

Colaboración con otras organizaciones

Memorando de Entendimiento con el Director Ejecutivo del Programa de las Naciones Unidas para el Medio Ambiente (PNUMA)

10. Un grupo de trabajo presentó en la 61ª reunión del Comité Permanente un proyecto de Acuerdo revisado entre el Comité Permanente de la CITES y el Director Ejecutivo del PNUMA. El Comité adoptó el proyecto revisado y autorizó al Presidente del Comité Permanente a firmarlo en nombre del Comité y a transmitirlo al PNUMA. Subsiguientemente, fue firmado por el Director Ejecutivo del PNUMA el 1º de septiembre de 2011, fecha en que entró en vigor. Este nuevo Memorando de Entendimiento representa un importante hito en cuanto a certidumbre y transparencia en las relaciones administrativas entre el PNUMA y la CITES.

Memorando de entendimiento con la Organización de las Naciones Unidas para la Alimentación y la Agricultura (FAO)

11. El Comité Permanente consideró este asunto en sus 61ª y 62ª reuniones. En esta última el Comité estableció un grupo de trabajo para preparar un proyecto de memorando de cooperación revisado, sobre la base del documento SC62 Doc. 14.4, Anexo 1, para examinarlo en la 63ª reunión.

Programa de trabajo conjunto con la Convención sobre la Conservación de las Especies Migratorias de Animales Silvestres (CMS)

12. En 2004 se firmó un Memorando de Entendimiento con la CMS. El Comité discutió un programa de trabajo conjunto en su 61ª reunión, y transmitió un proyecto revisado al Comité Permanente de la CMS. El programa de trabajo conjunto fue respaldado posteriormente por el Comité en su 62ª reunión, y figura como anexo al documento SC62 Doc. 14.3. Este programa de trabajo conjunto es un documento muy concreto y pragmático que refleja fielmente ambas Convenciones.

Directrices para la cooperación con la Comisión Internacional para la Conservación del Atún del Atlántico (CICAA)

13. Después de la CoP15, la CICAA envió a la Secretaría un proyecto de directrices para la cooperación con la CITES. En su 62ª reunión, el Comité apoyó las directrices, que figuran como anexo al documento SC62 Doc. 14.6.

Plataforma intergubernamental científico-normativa sobre diversidad biológica y servicios de los ecosistemas (IPBES)

14. En la Decisión 15.12 se encarga a la Secretaría de la CITES y a los Presidentes de los Comités de Fauna y de Flora que sigan los debates de una posible IPBES y proporcionen aportaciones al proceso. Los Presidentes debían informar al Comité Permanente y recabar orientaciones adicionales. El Comité de Fauna y el Comité de Flora y la Secretaría han participado en el Comité de Organismos Consultivos Científicos (CSAB) de Acuerdos Ambientales Multilaterales y han seguido las reuniones y el desarrollo de la IPBES. En su 61ª reunión, el Comité acordó mantener el seguimiento del desarrollo de la IPBES y que el Comité participara como observador en el marco del Grupo de Expertos Multidisciplinario de la IPBES. El Comité creó un nuevo grupo de trabajo en su 62ª reunión para actuar de enlace con el CSAB e

informar a la IPBES en los plazos fijados sobre solicitudes de servicios de la IPBES. En su 62ª reunión, el Comité sancionó las recomendaciones del grupo de trabajo para presentarlas como proyectos de decisiones en la CoP16. Ha habido muy buena colaboración con la Secretaría, otras convenciones relativas a la diversidad biológica y el PNUMA sobre esta cuestión.

Observador en la Organización Mundial del Comercio (OMC)

15. El Presidente del Comité Permanente ha escrito a la OMC para solicitar la condición de observador en el Comité de Medidas Sanitarias y Fitosanitarias y en el Comité de Obstáculos Técnicos al Comercio de la OMC. Todavía no se ha tomado una decisión favorable sobre estas solicitudes.

Conservación del elefante

16. En la Decisión 14.77 se pide al Comité Permanente que establezca un mecanismo de adopción de decisiones para un proceso de comercio de marfil bajo los auspicios de la Conferencia de las Partes para presentarlo en la CoP16 a más tardar. En su 62ª reunión, el Comité Permanente no pudo llegar a un acuerdo sobre el proyecto de propuesta que se presentó, y decidió recabar nuevas observaciones a fin de que la Secretaría pudiera preparar un documento para la CoP16, en nombre del Comité. Se someterá a la CoP16 un documento separado sobre esta cuestión.
17. En su 61ª reunión, el Comité Permanente acordó crear un grupo de trabajo para revisar la Resolución Conf. 10.10 (Rev. CoP15) conforme se le encarga en la Decisión 15.74. El Subgrupo MIKE-ETIS debía revisar las secciones pertinentes de la Resolución. En la 62ª reunión se presentó un informe preliminar, y el Comité acordó que el grupo de trabajo continuaría su labor y que la propuesta definitiva se sometería a la aprobación del Presidente del Comité Permanente antes de presentarla a la CoP16. Después de recibir los resultados de los debates del grupo de trabajo, considerando que todavía existían muchos puntos de desacuerdo, solicitó la asistencia de la Secretaría para preparar un proyecto refundido (versión modificada de la Resolución) basado en las observaciones y en los resultados del grupo de trabajo, y eliminando los corchetes en la medida de lo posible. Los resultados se presentan en un documento separado para esta reunión.
18. En la Decisión 14.78 (Rev. CoP15) se encarga a la Secretaría que, en espera de la financiación externa, prepare un análisis actualizado de los datos MIKE; invite a TRAFFIC a presentar un análisis actualizado de los datos ETIS; invite a los Grupos de especialistas en elefantes africanos y asiáticos de la CSE/UICN a actualizar el estado de conservación del elefante y sobre acciones de conservación y estrategias de conservación pertinentes, e invite a los Estados del área de distribución del elefante africano a facilitar información sobre los progresos alcanzados en la aplicación del *plan de acción sobre el elefante africano*. Además, en la Decisión 14.79 (Rev. CoP15), entre otras cosas, se pide a la Secretaría que establezca un Fondo para el elefante africano que se dedicará a aplicar el *plan de acción sobre el elefante africano*. Ese plan de acción fue aprobado por los Estados del área de distribución en 2010, y la Secretaría ha dado pasos importantes en el establecimiento del Fondo para su aplicación, que se creó en 2011 bajo los auspicios del PNUMA. En la 62ª reunión del Comité Permanente se presentó un informe refundido de MIKE y ETIS que reflejaba un aumento de las importantes confiscaciones de marfil, y el Comité pidió a los países consumidores que intensificaran sus esfuerzos para reducir el comercio ilícito. Este asunto se discutirá más a fondo en la presente reunión.

Conservación y el comercio de rinocerontes

19. En la Decisión 15.71, sobre la aplicación de la Resolución Conf. 9.14 (Rev. CoP15), se encargó a la Secretaría que informara al Comité Permanente. En la Decisión 15.72 se pide a la Secretaría que contribuya a mejorar los esfuerzos de cooperación y que trate de obtener fondos para convocar un Grupo especial mixto de la CITES para la observancia sobre los rinocerontes y el marfil. El comité Permanente estableció un grupo entre reuniones presidido por el Reino Unido para identificar, entre otras cosas, medidas concretas que deban adoptar las Partes y elaborar recomendaciones para la CoP16. El Comité apoyó las propuestas del grupo de trabajo para avanzar sobre esta cuestión.

Grandes felinos asiáticos

20. En la Decisión 15.70 se encarga al Comité que examine y actualice el formulario y las orientaciones que figuran en los Anexos 1 a 3 de la Resolución Conf. 12.5 (Rev. CoP15) e informe a la CoP16. Esto incluye la revisión del formulario de información sobre incidentes de delitos contra la vida silvestre, comercio ilícito, caza furtiva e información significativa. El Comité acordó asignar al Grupo de Expertos Principales

del ICCWC la tarea de realizar el examen y presentar la actualización a la CoP16. El Comité acogió con satisfacción la información en su 62ª reunión sobre los progresos realizados en la Iniciativa Mundial para la Conservación del Tigre, presentada por el representante del Banco Mundial.

Caoba de hoja ancha y otras especies maderables neotropicales

21. La caoba de hoja ancha es una de las especies incluidas en los Apéndices de la CITES que figuran en el programa OIMT-CITES. “Garantizar que el comercio internacional de especies de madera incluidas en los Apéndices de la CITES es coherente con su ordenación sostenible y conservación”, que abarcó el período 2006-2010. La colaboración con la OIMT ha dado como resultado un compendio sobre tecnologías de seguimiento de la madera y la sugerencia de continuar con una segunda fase de la cooperación OIMT-CITES. Esto puede incluir un estudio comparativo sobre las lecciones extraídas hasta ahora en relación con la caoba de hoja ancha y cómo pueden utilizarse para ayudar a los Estados del área de distribución de cualesquiera especies de madera incluidas en los apéndices de la CITES. En su 61ª reunión, el Comité convino en que Perú había satisfecho los tres indicadores de cumplimiento adoptados en la 59ª reunión del Comité Permanente. Sin embargo, Perú aceptó enviar un informe a la Secretaría sobre sus continuos esfuerzos para mejorar la gestión del comercio de caoba de hoja ancha.

La CITES y los medios de subsistencia

22. En la Decisión 15.5 se pide al Comité que encargue a un grupo de trabajo que revise el proyecto de resolución contenido en el Anexo 1 al documento CoP15 Doc. 14, para, entre otras cosas, finalizar un juego de herramientas para la rápida evaluación de los efectos de la aplicación de decisiones de inclusión en los Apéndices de la CITES sobre los medios de subsistencia de los pobres (véase la Resolución Conf. 8.3 (Rev. CoP13). En su 62ª reunión, el Comité sancionó un proyecto de resolución y encargó al grupo de trabajo que terminara las tareas y presentara los resultados a la CoP16. A finales de septiembre de 2012 se celebró en Perú un taller sobre Medios de subsistencia.

Cambio climático

23. En la Decisión 15.15 se encarga a los Comités de Fauna y de Flora que identifiquen los aspectos científicos de las disposiciones de la Convención y de las Resoluciones que se ven, de hecho o probablemente, afectadas por el cambio climático. Esto incluye propuestas de inclusión, dictámenes de extracción no perjudicial, examen periódico de inclusiones, examen del comercio significativo, establecimientos de cupos y comercio de especies invasoras. El Comité Permanente apoyó la conclusión (documento SC62 Doc. 18) de que las actuales disposiciones de la Convención y de las Resoluciones son suficientemente amplias y flexibles para tener en cuenta los efectos del cambio climático para la adopción de decisiones con base científica.

Introducción procedente del mar

24. En la Decisión 14.48 se encarga al Comité que amplíe el mandato del grupo de trabajo sobre la introducción procedente del mar, que se estableció en su 57ª reunión. En la 62ª reunión, el Comité Permanente apoyó el proyecto de revisión de la Resolución Conf. 14.6 (Rev. CoP15) y pidió al grupo de trabajo que continuara su labor sobre la aplicación, y en particular la cuestión del fletamento. En su 62ª reunión, el Comité acordó transmitir los resultados del grupo de trabajo a la CoP16 como cuatro anexos al proyecto de revisión de la Resolución Conf. 14.6 (Rev. CoP15): 1) Texto sobre fletamento que debe incluirse en el proyecto de revisión de la resolución; 2) Notas explicativas del proyecto de revisión de la resolución; 3) proyecto de revisión de la Resolución Conf. 12.3 (Rev. CoP15) sobre nuevos códigos fuente para especímenes procedentes del medio marino no sometido a la jurisdicción de ningún Estado; 4) dos proyectos de decisión sobre fletamento y creación de capacidad, respectivamente. El Comité Permanente está en deuda con los copresidentes y la Secretaría y con todos los miembros del grupo de trabajo por su labor, sumamente ardua, con el fin de concluir este proyecto para la CoP16.

Leyes nacionales para la aplicación de la Convención

25. El Comité Permanente ha instado a las Partes cuya legislación se encuentra en la Categoría 2 o 3 en el Proyecto de legislación nacional a que intensifiquen su impulso legislativo. Además, el Comité ha considerado la utilización de medidas de cumplimiento apropiadas para las Partes que requieran atención prioritaria. En sus 61ª y 62ª reuniones se presentó al Comité un gráfico de situación actualizado. Se ha contactado a los países identificados y se les ha solicitado información sobre sus actividades para corregir la situación. El Comité ha aprobado suspensiones, a menos que se reciban respuestas adecuadas.

Cuestiones financieras y administrativas

26. En la CoP15, la Conferencia de las Partes apoyó un aumento del 6% del presupuesto para el bienio 2012-2013 y recurrir a las reservas del Fondo Fiduciario por una cuantía de 450.000 USD anuales. El Subcomité de Finanzas y Presupuesto se reunió dos veces con ocasión de la 61ª y de la 62ª reuniones del Comité Permanente para facilitar los debates del Comité sobre prioridades y cambios propuestos en las líneas presupuestarias.
27. En su 61ª reunión, el Comité fue informado por la Secretaría de la situación financiera, y de un déficit de 480.000 USD para el año 2011, debido principalmente a aumentos de los costos relacionados con los sueldos y de las fluctuaciones de los tipos de cambio monetarios. Se señaló que el presupuesto de la CITES se establece en dólares estadounidenses, pero que la inmensa mayoría de los gastos se realizan en francos suizos. No obstante, este déficit se ha reducido mediante economías de 250.000 USD en las actividades programáticas y los gastos de funcionamiento de la Secretaría. Como consecuencia de las restricciones financieras mundiales, varias Partes están atrasadas en el pago de sus contribuciones al Fondo Fiduciario. A finales de 2011, las reservas ascendían a 2.298.000 USD.
28. En la 62ª reunión del Comité Permanente, la Secretaría presentó sugerencias sobre la escala de contribuciones para el próximo trienio, que deberán aprobarse en la CoP16. A causa del nivel de aumentos adoptado en las reuniones anteriores de la Conferencia de las Partes ha sido necesario reducir el personal a fin de compensar la disminución de los presupuestos en los últimos diez años. En el período transcurrido entre 2000 y 2011 se han dejado sin cubrir siete vacantes, reduciéndose el personal de 26 a 19 funcionarios en 2012. La Secretaría presentó al Comité una propuesta de aumento de las contribuciones en el próximo trienio, necesario simplemente para mantener el statu quo, y advirtió que de no ser así tal vez hubiera que reducir más el número de funcionarios e introducir otros cambios en la organización de la Secretaría. Si se aplicaran más recortes al presupuesto de la Secretaría ello tendría inevitablemente un impacto negativo en el número de funcionarios, con lo que disminuiría la capacidad de la Secretaría para prestar servicios a las Partes. El Comité reflexionó sobre la difícil situación financiera de muchas Partes e instó a la Secretaría a considerar también toda posible economía y fuentes alternativas de financiación, además de posibles aumentos de las contribuciones de las Partes.
29. En la 62ª reunión del Comité Permanente, el Subcomité de Finanzas y Presupuesto propuso que el Programa de trabajo desglosado por costos para el siguiente trienio reflejara sólo las tareas que se acometerán. Esto deberá ajustarse a la *Visión Estratégica de la CITES 2008-2013*. Además, habrá un presupuesto que se financiará con contribuciones voluntarias adicionales. El Comité apoyó la adopción del nuevo formato del Programa de trabajo desglosado por costos, y presupuestos separados para las contribuciones asignadas y voluntarias adicionales, así como escenarios de presupuestos de crecimiento nominal cero y crecimiento real cero para considerarlos en la CoP16. También acordó recabar el acuerdo de la Conferencia de las Partes para convertir al Subcomité de Finanzas y Presupuesto en comité permanente.

Acceso a mecanismos financieros

30. En la 62ª reunión del Comité Permanente, la Secretaría informó al Comité de mecanismos innovadores para financiar actividades relacionadas con la CITES, pues actualmente hay sólo dos fuentes principales de financiación (contribuciones de las Partes y contribuciones voluntarias). En su 61ª reunión, el Comité pidió al Subcomité de Finanzas y Presupuesto que creara un grupo de trabajo entre reuniones sobre "acceso a la financiación", incluida la financiación del FMAM. El FMAM ha aprobado un proyecto por valor de 3 millones USD que reforzará las capacidades médico-legales para las especies silvestres en Sudáfrica, a fin de combatir los delitos contra la vida silvestre. El Comité Permanente tomó nota de que la Secretaría presentaría a la CoP16 una propuesta para que el FMAM actúe de mecanismo financiero de la CITES y sobre otras medidas para mejorar la financiación de actividades de la CITES. Además, el Secretario General de la CITES y el Presidente de la Fundación de Financiación Innovadora anunciaron el 9 de febrero de 2012 el inicio de una colaboración para desarrollar una cartera de actividades de financiación innovadoras con la participación de asociados de la industria y el sector financiero.

La aprobación del primer proyecto del FMAM en relación con la CITES es un hito para la CITES.

Mejorar la transparencia de las votaciones durante las reuniones de la Conferencia de las Partes

31. En su 61ª reunión, el Comité debatió y apoyó una propuesta de la Unión Europea para modificar el Artículo 25 de la Conferencia de las Partes a fin de garantizar que los resultados de las votaciones

electrónicas abiertas en la CoP16 y reuniones futuras se muestren en una pantalla, para poder verificar inmediatamente los votos emitidos. Además, el Comité discutió el uso de la votación secreta, y pidió a la Secretaría que presentara en la 62ª reunión un análisis del uso de las votaciones secretas. De conformidad con el Artículo 25.2, las Partes pueden pedir que se proceda a votación secreta en asuntos distintos de las elecciones de candidatos, aunque no será utilizada normalmente. Sin embargo, el análisis documentó que la votación secreta se ha utilizado 69 veces entre la CoP10 y la CoP15, y sólo una vez para la elección de candidatos. Según el Artículo 25.2, toda moción para tomar una decisión de fondo por votación secreta ha de ser apoyada por 10 representantes. El Comité discutió la necesidad de aumentar el número requerido de Partes que apoyen la moción, habida cuenta del mayor número de Partes en la Convención desde que se aprobó la disposición. En su 62ª reunión, el Comité tomó nota del análisis de la Secretaría.

Otras cuestiones planteadas por la Secretaría

El Consorcio Internacional para Combatir los Delitos contra la Vida Silvestre (ICCWC)

32. La nueva iniciativa, ICCWC, se lanzó en 2011 y es una colaboración entre la CITES, OIPC-Interpol, la Organización Mundial de Aduanas, la Oficina de las Naciones Unidas contra la Droga y el Delito (ONUDD) y el Banco Mundial. La finalidad es reforzar la capacidad entre administraciones de aduanas, policía y autoridades CITES, además de mejorar el perfil de la CITES entre quienes determinan las políticas y el poder judicial nacional. Este consorcio es muy prometedor en cuanto a una respuesta más coordinada y formidable para combatir los delitos contra la vida silvestre.

Fomento de capacidad

33. En cumplimiento de la *Visión Estratégica de la CITES: 2008-2013*, contenida en la Resolución Conf. 14.2, el objetivo es lograr el cumplimiento, la aplicación y la observancia de la Convención. Con este fin se han establecido programas de creación de capacidad. La Secretaría ha informado de diversas actividades relacionadas con la creación de capacidad nacional e internacional. La Secretaría se ha centrado en un marco de asociaciones para producir resultados mensurables y acordes con los objetivos de la Resolución Conf. 14.2. Las actividades importantes en el último período han sido: 1) un proyecto financiado por la Comisión Europea sobre el fortalecimiento de la capacidad de países en desarrollo para aplicar la CITES (proyecto plurianual iniciado en 2010), la financiación conjunta de proyectos específicos por países, la colaboración con la ONUDD, OIPC-Interpol, el Banco Mundial, la OMC y países de Asia (que ha dado como resultado un *Juego de herramientas analítico de delitos contra la vida silvestre y forestales*), y la formación en el uso de documentación de comercio electrónico (sistemas de emisión electrónica de permisos CITES); 2) el establecimiento del Colegio Virtual de la CITES como plataforma basada en la web (acogido por la Universidad de Andalucía) para proporcionar acceso a cursos de formación; 3) creación de capacidad y ciencia en relación, entre otras cosas, con dictámenes de extracción no perjudicial, y 4) creación de capacidad y observancia en relación, entre otras cosas, con la Iniciativa Aduanas Verdes para mejorar la capacidad del personal pertinente.

Observaciones finales

34. El volumen de las tareas asignadas al Comité por la CoP ha aumentado mucho en el último decenio. Esto somete también a presión a los grupos de trabajo del Comité y a los Comités de Fauna y de Flora. A pesar de esta carga de trabajo, en mi opinión este ha sido un período exitoso desde la CoP15. Se han abordado y resuelto satisfactoriamente muchas cuestiones importantes. Espero que la labor del Comité ayude a la CoP en sus deliberaciones sobre cuestiones de gran significado, como la "Introducción procedente del mar". Estoy agradecido por las contribuciones voluntarias que se han hecho en el período, que han permitido realizar una importante labor sobre la promoción y aplicación de los requisitos de la CITES. Sin esas contribuciones la situación no hubiera sido buena para las iniciativas relacionadas con la CITES. La CITES está firmemente situada como AAM importante mundialmente que contribuye a la utilización sostenible de la diversidad biológica. Muchas Partes siguen teniendo en todo el mundo grandes dificultades para cumplir las exigencias de la Convención. Recomendando, pues, que se intensifiquen los esfuerzos en la aplicación nacional mediante iniciativas selectivas y concretas. La colaboración entre Partes es un mecanismo recomendado. La Secretaría de la CITES cuenta también con inapreciables conocimientos de la Convención y se le debe permitir que contribuya más proactivamente al fortalecimiento de la aplicación a nivel nacional. Sin embargo, corresponde a la CoP decidir cómo puede contribuir la CITES a este fin. El debate sobre el presupuesto de la Secretaría de la CITES y un mecanismo para financiar las actividades requeridas en el ámbito nacional deben ser, pues, cuestiones cruciales en la CoP16.

CITES STANDING COMMITTEE

TASKS TO BE ACCOMPLISHED BEFORE COP16

This document contains the following sections:	Page
1. TERMS OF REFERENCE and DUTIES OF REGIONAL REPRESENTATIVES	8
2. TASKS PENDING FROM PREVIOUS MEETINGS	9
3. RESOLUTIONS CONTAINING TASKS FOR THE STANDING COMMITTEE	
TASKS TO BE INITIATED BY THE STANDING COMMITTEE	11
TASKS REQUIRING REPORTS TO THE STANDING COMMITTEE	12
4. DECISIONS DIRECTED TO THE STANDING COMMITTEE OR REQUIRING ITS INVOLVEMENT	18

TERMS OF REFERENCE and DUTIES OF REGIONAL REPRESENTATIVES

Terms of Reference

The general terms of reference of the Standing Committee are specified in Resolution Conf. 11.1 (Rev. CoP15) on *Establishment of Committees* Annex 1, as follows:

within the policy agreed to by the Conference of the Parties, the Standing Committee shall:

- a) provide general policy and general operational direction to the Secretariat concerning the implementation of the Convention;*
- b) provide guidance and advice to the Secretariat on the preparation of agendas and other requirements of meetings, and on any other matters brought to it by the Secretariat in the exercise of its function;*
- c) oversee, on behalf of the Parties, the development and execution of the Secretariat's budget as derived from the Trust Fund and other sources, and also all aspects of fund raising undertaken by the Secretariat in order to carry out specific functions authorized by the Conference of the Parties, and to oversee expenditures of such fund-raising activities;*
- d) provide coordination and advice as required to other committees and provide direction and coordination of working groups established by either itself or the Conference of the Parties;*
- e) carry out, between one meeting of the Conference of the Parties and the next, such interim activities on behalf of the Conference as may be necessary;*
- f) draft resolutions for consideration by the Conference of the Parties;*
- g) report to the Conference of the Parties on the activities it has carried out between meetings of the Conference;*
- h) act as the Bureau at meetings of the Conference of the Parties, until such time as the Rules of Procedure are adopted; and*
- i) perform any other functions as may be entrusted to it by the Conference of the Parties;*

Duties of regional representatives

The duties of the regional representatives in the Standing Committee are also laid down in Resolution Conf. 11.1 (Rev. CoP15), as follows:

- a) regional representatives should maintain a fluid and permanent communication with the Parties of their region and the Secretariat;*
- b) before the meetings of the Standing Committee, the representatives should communicate to the Parties of their region the items on the agenda, to request their opinions, preferably on matters specifically relevant to the countries or the region. They should also inform them of the results of the meeting. At least two regional meetings should take place between meetings of the Conference of the Parties, one of which should be specifically to deal with proposals to be submitted to the Conference at its next meeting. The regional representatives should convene these meetings; and*
- c) the regional representatives should report in detail on their activities, initiatives and achievements at the regional sessions that are taking place during meetings of the Conference of the Parties. The Parties may make comments on these reports, which should be included in the proceedings.*

TASKS PENDING FROM PREVIOUS MEETINGS

<u>Subject</u>	<u>Task</u>	<u>Source</u>
Relationship with UNEP	<p>Consider report from UNEP on its provision of, and support to, the Secretariat.</p> <p>NB. Members of the working group are Australia, Colombia, Egypt, Japan, Switzerland (as Depositary), United Kingdom and United States of America.</p> <p style="color: red;">Completed. Signed by both Chair of Standing Committee and Executive Director of UNEP. See also document SC61 Summary record item 9.2.</p>	Memorandum of Agreement between the Standing Committee and the Executive Director of UNEP
Cooperation with the Convention on the Conservation of Migratory Species of Wild Animals	<p>At SC61, consider a revised programme of joint CITES/CMS activities for the next following triennium.</p> <p>[Relates to Resolution Conf. 13.3]</p> <p style="color: red;">Completed. See MoU and joint CMS-CITES work programme 2012-2014. See Annex to document SC62 Doc. 14.3.</p>	SC59
Cooperation with the World Trade Organization	<p>Explore the possibility of organizing with WTO an informal discussion on issues of mutual interest in the margins of the Committee's 61st meeting.</p> <p style="color: red;">Pending. Request for observer status still pending. See also document SC61 Summary record item 15.8.</p>	SC58
Enforcement matters	<p>Decide on the withdrawal of the recommendation to suspend trade with Nigeria through the postal procedure following a report from the Secretariat that all required measures had been taken by Nigeria.</p> <p style="color: red;">Completed. Withdrawal of trade suspension accepted. See document SC61 Summary record item 30.</p>	SC59
Review of Significant Trade in Appendix-II species	<p>At SC61, consider a report from the Secretariat on the trade in <i>Cuora amboinensis</i> from Viet Nam and the trade in <i>Cuora galbinifrons</i> from the Lao People's Democratic Republic and Viet Nam.</p> <p>Consider a report from the Secretariat on trade in <i>Poicephalus senegalus</i> from Mali.</p> <p>[Relates also to Resolution Conf. 12.8 (Rev. CoP13)]</p> <p style="color: red;">Completed. See documents SC62 Doc. 27.2 (Rev. 1) and SC61 Doc. 28 respectively.</p>	SC58
E-commerce of specimens of CITES-listed species	<p>Consider a report from the working group regarding guidelines and other issues related to e-commerce.</p> <p style="color: red;">Completed. Mandate of working group extended to continue. See document SC61 Summary record item 29.</p>	SC58

Ranching operations	<p>Review of recommended suspension of Nile crocodile trade with Madagascar's by postal procedure, after 30 September 2010, if the Secretariat is satisfied that agreed actions were implemented.</p> <p>Completed. See document SC62 Sum 5 and Sum 10. (Rev. 1)</p>	SC60
Bigleaf mahogany	<p>At SC61, consider range States responses to the letter sent on behalf of the Standing Committee.</p> <p>Completed. See document SC61 Doc. 50.1.</p> <p>Regarding Peru, if by 30 September 2010 it has not made sufficient progress, the Secretariat, in consultation with the Chair, will initiate a postal procedure on a proposal to recommend suspension of trade in the bigleaf mahogany from Peru.</p> <p>Completed. The Committee agreed that Peru had fulfilled the three compliance indicators. See document SC61 Doc. 50.2.</p>	SC59
Ramin	<p>At SC61, consider reports from Indonesia and Malaysia should report on the outcomes of the implementation of the ITTO/CITES project.</p> <p>Completed. See document SC61 Sum 9 (Rev. 1) item 51.</p>	SC59
Regional reports	<p>Consider reports of regional representatives. Reports to be submitted in accordance with agreed format.</p> <p>Completed. Draft revised format to be submitted CoP16.</p>	SC59

RESOLUTIONS CONTAINING TASKS FOR THE STANDING COMMITTEE

TASKS TO BE INITIATED BY THE STANDING COMMITTEE

<u>Resolution</u>	<u>Instruction</u>
<p>Conf. 9.14 (Rev. CoP15)</p> <p>Conservation of and trade in African and Asian rhinoceroses</p>	<p><i>DIRECTS the Standing Committee to continue to pursue actions aimed at ending illegal trade in rhinoceros parts and derivatives, ensuring that:</i></p> <p style="margin-left: 40px;">a) <i>all such actions are accompanied by evaluations of their effectiveness and appropriate recommendations; and</i></p> <p style="margin-left: 40px;">b) <i>the policies that guide interventions are responsive and adaptive to the outcome of the evaluations;</i></p> <p style="color: red;">Underway. Intersessional working group established at SC61 to identify measures. See document SC61 Summary record item 45. Recommendations endorsed at SC62.</p>
<p>Conf. 10.10 (Rev.CoP15)</p> <p>Trade in elephant specimens</p>	<p><i>Regarding control of internal ivory trade</i></p> <p><i>DIRECTS the Standing Committee to undertake a regular review of actions taken by consumer States to improve legislation and enforcement measures and to report the results at each meeting of the Conference of the Parties;</i></p> <p><i>Regarding compliance with control of internal trade</i></p> <p><i>DIRECTS the Secretariat</i></p> <p style="margin-left: 40px;">c) <i>to report its findings, recommendations or progress to the Standing Committee, which shall consider appropriate measures, including restrictions on the commercial trade in specimens of CITES-listed species to or from such Parties;</i></p> <p style="color: red;">Completed. Revision of Resolution Conf. 10.10 provided to CoP16.</p>
<p>Conf. 11.8 (Rev. CoP13)</p> <p>Conservation of and control of trade in the Tibetan antelope</p>	<p><i>DIRECTS</i></p> <p style="margin-left: 40px;">b) <i>the Standing Committee to undertake a regular review of the enforcement measures taken by the Parties in eliminating the illicit trade in Tibetan antelope products on the basis of the Secretariat's report, and to report the results at each meeting of the Conference of the Parties;</i></p> <p style="color: red;">Completed. See document SC61 Summary record item 43. SC62 endorsed a proposal to propose at CoP16 that the reporting requirement be deleted.</p>
<p>Conf. 13.3</p> <p>Cooperation and synergy with the Convention on the Conservation of Migratory Species of Wild Animals (CMS)</p>	<p><i>DIRECTS the Standing Committee to keep under regular review the Memorandum of Understanding concluded between the Secretariats of CITES and CMS on 18 September 2002, in particular with a view to:</i></p> <p style="margin-left: 40px;">a) <i>seeking reports from the CITES Secretariat on steps taken to implement a more detailed work programme to be developed jointly with CMS; and</i></p> <p style="margin-left: 40px;">b) <i>ensuring that CITES initiatives in respect of the following species or taxonomic groups complement, reinforce and, as far as possible, benefit from the regional collaboration already being undertaken or envisaged in the framework of CMS:</i></p> <p style="margin-left: 80px;">i) <i>the saiga antelope (Saiga tatarica), the snow leopard (Uncia uncia)</i></p>

	<p>and the west and central African populations of the African elephant (<i>Loxodonta africana</i>);</p> <p>ii) marine turtles of the Atlantic coast of Africa, the Indian Ocean, Southeast Asia and the Pacific Ocean;</p> <p>iii) the whale shark (<i>Rhincodon typus</i>) of south and Southeast Asia, as well as the great white shark (<i>Carcharodon carcharias</i>); and</p> <p>iv) sturgeons (<i>Acipenseriformes</i>)</p> <p>Completed. See MoU and joint CMS-CITES work programme 2012-2014. See Annex to document SC62 Doc. 14.3.</p>
<p>Conf. 14.2</p> <p>CITES Strategic Vision: 2008-2013</p>	<p><i>INSTRUCTS the Standing Committee to review the progress in implementation of the CITES Strategic Vision: 2008-2013, and in achievement of the Objectives, at each of its ordinary meetings during the term of the Vision, and to report at the 15th and 16th meetings of the Conference of the Parties</i></p> <p>Completed. See document SC61 Summary record para 14. SC62 requested the Secretariat to forward a revised Annex to document SC62 Doc. 13 to CoP16.</p>
<p>Conf. 15.1</p> <p>Financing and the costed programme of work for the Secretariat for the biennium 2012-2013</p>	<p><i>INSTRUCTS the Standing Committee, in close cooperation with the Secretariat, to explore options to mitigate the potential impact on the core budget for the triennium 2014-2016</i></p> <p><i>INSTRUCTS the Standing Committee to review the Terms of Reference for the Administration of the Trust Fund for any necessary updates</i></p> <p><i>INSTRUCTS the Finance and Budget Subcommittee of the Standing Committee to report at meetings of the Standing Committee and of the Conference of the Parties on recommendations on all financial and budgetary documents and proposals developed by its members</i></p> <p>Completed. See document SC61 Summary record item 10.1 and document SC62 Sum. 9 item 9.</p>

TASKS REQUIRING REPORTS TO THE STANDING COMMITTEE

<u>Resolution</u>	<u>Instruction</u>
<p>Conf. 8.4 (Rev. CoP15)</p> <p>National laws for implementation of the Convention</p>	<p>On the basis of reports from the Secretariat ...</p> <p><i>INSTRUCTS the Standing Committee to determine which Parties have not adopted appropriate measures for effective implementation of the Convention and to consider appropriate compliance measures, which may include recommendations to suspend trade, in accordance with Resolution Conf. 14.3;</i></p> <p>[NB linked to Decisions 15.38 to 15.41]</p> <p>Completed. See document SC61 Summary record item 23 and document SC62 Sum. 5 item 23.</p>
<p>Conf. 9.24 (Rev. CoP15)</p> <p>Criteria for amendment of Appendices I and II</p>	<p>In cases where a species is transferred from Appendix I to Appendix II subject to an export quota or special measure approved by the CoP, and where problems have arisen and the Secretariat has been unable to resolve them:</p> <p>1. <i>Where the Plants Committee, the Animals Committee or a Party becomes aware of problems in compliance with the management measures and export quotas of</i></p>

	<p><i>another Party, the Secretariat shall be informed and, if the Secretariat fails to resolve the matter satisfactorily, it shall inform the Standing Committee which may, after consultation with the Party concerned, recommend to all Parties that they suspend trade with that Party in specimens of CITES-listed species, and/or request the Depository Government to prepare a proposal to transfer the population back to Appendix I.</i></p> <p>2. <i>If, on review of a quota and its supporting management measures, the Animals or Plants Committee encounters any problems with compliance or potential detriment to a species, the relevant Committee shall request the Depository Government to prepare a proposal for appropriate remedial action.</i></p> <p>No cases required action by the Standing Committee.</p>
<p>Conf. 10.9 Consideration of proposals for the transfer of African elephant populations from Appendix I to Appendix II</p>	<p>c) <i>the Standing Committee, after consultation as appropriate with UNEP, IUCN, TRAFFIC International, the affected range State and the region concerned, shall nominate the members of the Panel of Experts, which should not exceed six in number;</i></p> <p>d) <i>the selection should take into account the need for appropriate geographical representation;</i></p> <p>e) <i>the proponent State should appoint a representative to facilitate the work of the Panel and to act as an adviser;</i></p> <p>f) <i>the Standing Committee shall direct the CITES Secretariat to convene the Panel of Experts;</i></p> <p>Pending. See document SC61 Summary record item 44.6.</p>
<p>Conf. 10.21 (Rev. CoP14) Transport of live specimens</p>	<p>c) <i>the regular communication of the CITES Secretariat and the Standing Committee with IATA's Live Animals and Perishables Board and with the board of directors of the Animals Transportation Association (ATA) be continued and that a relationship with the International Animal Health Organisation (OIE) and the International Plants Protection Convention (IPPC) be developed;</i></p> <p>d) <i>for as long as the CITES Secretariat and the Standing Committee agree, the Live Animals Regulations (for animals) and the Perishable Cargo Regulations (for plants) be deemed to meet CITES air transport requirements;</i></p> <p>Completed. AC/PC joint working group and cooperation with other organizations. Draft amendments to Resolution 10.21 presented to Cop16.</p>
<p>Conf. 11.3 (Rev. CoP15) Compliance and enforcement</p>	<p><i>Regarding application of Article XIII</i></p> <p>d) <i>if it does not appear a solution can be readily achieved, the Secretariat bring the matter to the attention of the Standing Committee, which may pursue the matter in direct contact with the Party concerned with a view to helping to find a solution; and</i></p> <p>Problems of implementation of the Convention in Nigeria had previously been discussed by the Committee. Nigeria had meanwhile made excellent progress, which was reported at SC61, where the Committee withdrew its recommendation that Parties suspend trade with Nigeria (see SC61 Doc. 30.)</p> <p>Problems of implementation of the Convention in Guinea were identified and brought to the attention of the Standing Committee (see SC61 Doc. 30, Notification to the Parties 2011/040, and SC62 Doc. 29). A further report is requested for SC63.</p> <p><i>Regarding communication of information and coordination</i></p> <p>g) <i>the Secretariat, in consultation with the Standing Committee, establish ad hoc CITES enforcement task forces as needed focusing initially on species included in Appendix I;</i></p> <p>The CITES Ivory and Rhino Enforcement Task Force met, and the results were reported at SC61. See documents SC61 Doc. 44.1 and SC61 Doc. 45.1.</p> <p>Regarding additional actions to promote enforcement</p>

	<p><i>INSTRUCTS the Secretariat to:</i></p> <p>b) <i>submit a report on enforcement matters at each Standing Committee meeting and each regular meeting of the Conference of the Parties</i></p> <p>Completed. See documents SC61 Doc. 30 and SC62 Doc. 29 and the summary records.</p>
<p>Conf. 11.4 (Rev. CoP12)</p> <p>Conservation of cetaceans, trade in cetacean specimens and the relationship with the International Whaling Commission</p>	<p><i>Regarding illegal trade in whale meat</i></p> <p><i>ENCOURAGES the IWC to keep CITES Parties fully informed through the CITES Secretariat and the Standing Committee between meetings of the Conference of the Parties on all related developments regarding the illegal trade in whale products;</i></p> <p>Nothing reported.</p>
<p>Conf. 11.17 (Rev. CoP14)</p> <p>National reports</p>	<p><i>DECIDES that:</i></p> <p>a) <i>failure to submit an annual report by 31 October of the year following the year for which the report was due constitutes a major problem with the implementation of the Convention, which the Secretariat shall refer to the Standing Committee for a solution in accordance with Resolution Conf. 11.3 (Rev. CoP15); and</i></p> <p>b) <i>the Secretariat may approve a valid request from a Party for a reasonable extension of time to the 31 October deadline for the submission of annual or biennial reports provided the Party submits to the Secretariat a written request, containing adequate justification, before that deadline;</i></p> <p><i>INSTRUCTS the Standing Committee to determine, on the basis of reports presented by the Secretariat, which Parties have failed, for three consecutive years and without having provided adequate justification, to provide the annual reports required under Article VIII, paragraph 7 (a), of the Convention within the deadline (or any extended deadline) provided in the present Resolution</i></p> <p>Completed. See document SC61 Summary record item 24-25, and document SC62 Sum. 5 item 24.</p>
<p>Conf. 11.19</p> <p>Identification Manual</p>	<p><i>DIRECTS the Secretariat to:</i></p> <p>g) <i>inform each meeting of the Standing, Animals and Plants Committees on the progress made</i></p> <p>Completed. See document SC61 Summary record item 37 and document SC62 Sum. 6 item 38.</p>
<p>Conf. 11.21 (Rev. CoP15)</p> <p>Use of annotations in Appendices I and II</p>	<p><i>DIRECTS:</i></p> <p>a) <i>the Secretariat to report to the Standing Committee, for at least four years following the adoption of a proposal to transfer species from Appendix I to Appendix II subject to a substantive annotation, any credible information it receives indicating a significant increase in the illegal trade in or poaching of such species; and</i></p> <p>b) <i>the Standing Committee to investigate any such reports of illegal trade and to take appropriate action to remedy the situation, which may include calling on the Parties to suspend commercial trade in the affected species, or inviting the Depository Government to submit a proposal to amend the annotation or to retransfer the species to Appendix I;</i></p> <p>Pending. Will be completed to CoP17.</p>
<p>Conf. 12.5 (Rev. CoP15)</p> <p>Conservation of and trade in tigers and</p>	<p><i>INSTRUCTS the Secretariat to report to the Standing Committee and the Conference of the Parties on the status of Asian big cats in the wild, their conservation, and trade controls in place in Parties, using information provided by the range States on measures taken to comply with this Resolution and related relevant Decisions and</i></p>

<p>other Appendix-I Asian big cat species</p>	<p><i>any relevant additional information provided by relevant countries;</i></p> <p>Completed. See document SC61 Summary record item 41 and document SC62 Sum. 6 item 43.</p>
<p>Conf. 12.7 (Rev. CoP14)</p> <p>Conservation of and trade in sturgeons and paddlefish</p>	<p><i>RECOMMENDS, with regard to regulating trade in sturgeon products, that:</i></p> <p><i>k) the Secretariat shall submit a written progress report at each meeting of the Standing Committee on the operation of the UNEP-WCMC caviar trade database;</i></p> <p><i>DIRECTS the Animals Committee to provide to the Standing Committee its recommendations on actions to be taken based upon the above-mentioned monitoring of progress and three-year cycle evaluation;</i></p> <p>Completed. See document SC61 Summary record para 48 and document SC62 Sum. 9 para 50. The Secretariat shall submit to CoP16 a draft revision of Resolution Conf. 12.7 (Rev. CoP14).</p>
<p>Conf. 12.8 (Rev. CoP13)</p> <p>Review of Significant Trade in specimens of Appendix-II species</p>	<p><u>Measures to be taken regarding the implementation of recommendations</u></p> <p><i>q) the Secretariat shall, in consultation with the Chairman of the Animals or Plants Committee, determine whether the recommendations referred to above have been implemented and report to the Standing Committee accordingly;</i></p> <p><i>r) where the recommendations have been met, the Secretariat shall, following consultation with the Chairman of the Standing Committee, notify the Parties that the species was removed from the process;</i></p> <p><i>s) when the Secretariat, having consulted with the Chairman of the Animals or Plants Committee, is not satisfied that a range State has implemented the recommendations made by the Animals or Plants Committee in accordance with paragraph n) or o), it should recommend to the Standing Committee appropriate action, which may include, as a last resort, a suspension of trade in the affected species with that State. On the basis of the report of the Secretariat, the Standing Committee shall decide on appropriate action and make recommendations to the State concerned, or to all Parties;</i></p> <p><i>t) the Secretariat shall notify the Parties of any recommendations or actions taken by the Standing Committee;</i></p> <p><i>u) a recommendation to suspend trade in the affected species with the State concerned should be withdrawn only when that State demonstrates to the satisfaction of the Standing Committee, through the Secretariat, compliance with Article IV, paragraph 2 (a), 3 or 6 (a); and</i></p> <p><i>v) the Standing Committee, in consultation with the Secretariat and the Chairman of the Animals or Plants Committee, shall review recommendations to suspend trade that have been in place for longer than two years and, if appropriate, take measures to address the situation;</i></p> <p>Completed. See document SC61 Summary record item 28 and document SC62 Sum. item 27.1</p>

<p>Conf. 12.10 (Rev. CoP15)</p> <p>Registration of operations that breed Appendix-I animal species in captivity for commercial purposes</p>	<p>With regard to registered captive-breeding operations:</p> <p>i) <i>any Party believing that a registered operation does not comply with the provisions of Resolution Conf. 10.16 (Rev.) may, after consultation with the Secretariat and the Party concerned, propose to the Standing Committee that this operation be deleted from the Register. At its following meeting, the Standing Committee shall, considering the concerns raised by the objecting Party and any comments from the Registering Party and the Secretariat, determine whether the operation should be deleted from the register. If so deleted, such an operation may only be reinstated in the Register by satisfying the procedure outlined in Annex 2.</i></p> <p>If there is an objection to the registration of a captive-breeding operation:</p> <p>4. <i>If the objection is not withdrawn or the identified problem(s) not resolved within the 30-day period, the application shall be submitted to the Standing Committee at its following regular meeting.</i></p> <p>a) <i>If the Committee considers the objection trivial or ill-founded, it shall reject it and the application shall be accepted.</i></p> <p>b) <i>If the Committee considers the objection justified, it shall review the response of the applying Party and decide whether or not to accept the application.</i></p> <p>Completed. See document SC61 Summary record item 39 and document SC62 Sum. 7 item 41.</p>
<p>Conf. 13.4</p> <p>Conservation of and trade in great apes</p>	<p><i>DIRECTS the Secretariat to:</i></p> <p>c) <i>report to the Standing Committee on the implementation of this Resolution at each of its regular meetings</i></p> <p><i>DIRECTS the Standing Committee to:</i></p> <p>a) <i>review the implementation of this Resolution at each of its regular meetings on the basis of the Secretariat's reports;</i></p> <p>b) <i>consider other measures such as technical missions, organized in cooperation with GRASP and other appropriate partnerships, followed by political missions if necessary; and</i></p> <p>c) <i>report at each meeting of the Conference of the Parties on the implementation of this Resolution, with any recommendations for further action;</i></p> <p><i>URGES the Secretariat, the Standing Committee and the Animals Committee to work closely with GRASP, and to explore and implement other measures through which the Convention can contribute to the conservation of great apes and to the promotion of public awareness of the threat posed to great ape populations by illegal trade;</i></p> <p>Completed. See document SC61 Summary record item 40 and document SC62 Sum. 7 item 42. The Secretariat will report to CoP16 on its technical mission to gorilla range States.</p>
<p>Conf. 13.7 (Rev. CoP14)</p> <p>Control of trade in personal and household effects</p>	<p><i>DIRECTS the Standing Committee to consider ways of assisting any Party which informs the Committee of difficulties in the application of this Resolution;</i></p> <p>Completed. See document SC61 Summary record item 38 and document SC62 Sum. 7 para 40.</p>
<p>Conf. 14.3</p> <p>CITES compliance</p>	<p>NB This Resolution specifies the role of the Standing Committee in dealing with compliance procedures.</p>

procedures	Completed. Addressed by the SC at every meeting.
Conf. 14.5 Dialogue meetings	<p>a) <i>a dialogue meeting may be called for either by the Conference of the Parties or by the Standing Committee;</i></p> <p>Completed. See document SC61 Summary record item 55.</p>
Conf. 14.8 Periodic review of the Appendices	<p>f) <i>Taking these comments into account, the Animals and Plants Committees will inform the Standing Committee about the finalized selection of taxa to be reviewed.</i></p> <p>g) <i>The Chairmen of the Animals and Plants Committees shall keep the Standing Committee informed about the conduct of periodic reviews of taxa included in the Appendices. A list of species previously reviewed, including dates of the review and links to the appropriate documents, shall be maintained by the Secretariat.</i></p> <p>h) <i>The Animals and Plants Committees shall conduct or organize the reviews, seeking information, participation and support from the range States. The regional representatives of the Animals, Plants and Standing Committees shall seek assistance from range States within their region to support the taxon reviews.</i></p> <p>Completed. See document SC62 Sum. item 53.2</p>
Conf. 15.1 Financing and the costed programme of work for the Secretariat for the biennium 2012-2013	<p><i>INSTRUCTS the Standing Committee, in close cooperation with the Secretariat, to explore options to mitigate the potential impact on the core budget for the triennium 2014-2016</i></p> <p><i>INSTRUCTS the Standing Committee to review the Terms of Reference for the Administration of the Trust Fund for any necessary updates</i></p> <p><i>INSTRUCTS the Finance and Budget Subcommittee of the Standing Committee to report at meetings of the Standing Committee and of the Conference of the Parties on recommendations on all financial and budgetary documents and proposals developed by its members</i></p> <p>Completed. See document SC61 Summary record item 10.2 (Rev. 1) and document SC62 Sum. 9 (Rev. 1) item 9.2.</p>

DECISIONS DIRECTED TO THE STANDING COMMITTEE OR REQUIRING ITS INVOLVEMENT

(Associated decisions to the Parties, other committees and organizations and the Secretariat are included for information.)

Non-payment of contributions

Directed to the Standing Committee

15.2 The Standing Committee is mandated to monitor the payments of contributions from Parties in arrears and to assist the Secretariat in ensuring that future contributions are paid by the due date.

Completed. See document SC61 Summary record item 10.3.

Directed to the Secretariat

15.3 The Secretariat shall continue to monitor Parties that are in arrears of the payment of their financial contributions to the Convention by:

- a) sending reminders twice yearly, with copies to the permanent missions in Geneva;
- b) visiting the permanent missions in Geneva of the Parties in arrears for three or more years; and
- c) seeking assistance from regional representatives to the Standing Committee in following up with Parties concerned.

Cooperation between Parties and promotion of multilateral measures

Directed to the Standing Committee

14.29 The Standing Committee shall continue the Working Group on Multilateral Measures, established at its (Rev. 57th meeting, which, operating by electronic means, should: CoP15)

- a) review and, if necessary, revise any consultancy report produced under Decision 14.30 (Rev. CoP15);
- b) organize, with the help of the Secretariat, a meeting with representation from all CITES regions to discuss the above report; and
- c) based on the report of the meeting mentioned above, consider the need to draft for consideration at the 16th meeting of the Conference of the Parties any revised or new resolutions.

Underway. See document SC62 Sum. 4 item 15. The Committee recommends that the working group continues until CoP17.

Directed to the Secretariat

14.30 The Secretariat shall, if external funds are made available for the purpose: (Rev. CoP15)

- a) hire a consultant to prepare a report on ways to assess whether:
 - i) the Resolutions of the Conference of the Parties are implemented by all Parties as consistently as possible and whether there is a need to clarify, revise or repeal them; and
 - ii) the scope for multilateral CITES processes that reduce the need by Parties for recourse to stricter domestic measures and reservations should be further developed; and

- b) assist the Standing Committee in organizing the meeting mentioned in Decision 14.29 (Rev. CoP15).

CITES and livelihoods

Directed to the Standing Committee

- 15.5 The Standing Committee shall continue the operation of its Working Group on CITES and Livelihoods so that it can:
- a) revise the draft resolution contained in Annex 1 to document CoP15 Doc. 14 and make specific recommendations at the 16th meeting of the Conference of the Parties;
 - b) finalize the toolkit for the rapid assessment at the national level of the positive and negative impacts of implementing CITES listing decisions on the livelihoods of the poor, in conformity with Resolution Conf. 8.3 (Rev. CoP13);
 - c) finalize the voluntary guidelines for Parties to address the negative impacts, in order to assist Parties in developing local, national and regional initiatives that take account of the impacts of implementing CITES listing decisions on the livelihoods of the poor; and
 - d) prepare a final version of the tools and guidelines for review and endorsement by the Standing Committee at its 62nd meeting.

The Working Group shall continue to work electronically through a forum available on the CITES website. If funding is available, consideration may be given to holding one or more meetings, if possible in regions more likely to be affected by the outcome.

The process shall not include consideration of the criteria for amendment of the Appendices or the requirement to make non-detriment findings.

- 15.6 The Standing Committee shall present a report at the 16th meeting of the Conference of the Parties on the progress made with regard to the implementation of Decision 15.5.

Completed. See document SC61 Summary record item 18 and document SC62 Sum. 10 (Rev. 1) item 16.

Directed to the Secretariat

- 15.7 On completion of the draft guidelines and toolkit by the Working Group, the Secretariat shall make the draft documents available on its website for comments by Parties, stakeholders and interested organizations, and shall invite such comments via a Notification to the Parties. The comments shall be made available to the Working Group for consideration in the development of revised draft documents to be submitted for approval at the 61st meeting of the Standing Committee.

National wildlife trade policy reviews

Directed to the Secretariat

- 15.8 The Secretariat shall report at the 62nd meeting of the Standing Committee and at the 16th meeting of the Conference of the Parties on the progress made with regard to the implementation of Resolution Conf. 15.2 on *Wildlife trade policy reviews*.

Rules of Procedure of the Animals and Plants Committees

Directed to the Standing Committee

- 15.9 Considering that members of the Animals and Plants Committees serve in a personal capacity, the Standing Committee shall review the need for the Rules of Procedure of those Committees to deal

with potential conflicts of interest of its members relating to their activities in the Committees, and shall report on this matter at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 8. The Committee agreed that there was no need for the rules to address the issue.

Post-2010 biodiversity targets

Directed to the Standing Committee

15.10 The Standing Committee shall review the adopted post-2010 biodiversity targets and, if necessary, make adjustments to the *CITES Strategic Vision: 2008-2013* as appropriate.

Completed. See document SC61 Summary record item 14.1 and document SC62 Sum. 2 (Rev. 1) item 13. The committee requested to forward the revised document to CoP16.

Biodiversity Indicators Partnership

Directed to the Secretariat

15.11 The Secretariat shall continue to provide its services as a key indicator partner in the Biodiversity Indicators Partnership, consulting the Animals, Plants and Standing Committees where necessary, and shall report on its work in this regard at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Sum 3 item 15.2.

Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES)

Directed to the Animals and Plants Committees and the Secretariat

15.12 Without taking a position about the necessity for, or nature of, such a Platform, the Chairs of the Animals and Plants Committees and the Secretariat shall, subject to external funding, participate in discussions concerning a possible IPBES, to provide all necessary input into the process of IPBES and to ensure that the role of CITES receives due recognition. The Chairs of the Animals and Plants Committees and the Secretariat shall report to the Standing Committee to seek additional guidance.

Directed to the Secretariat

15.13 The Secretariat shall work with the United Nations Environment Programme to identify possible sources of external funding to support the participation called for in Decision 15.12.

Directed to the Standing Committee

15.14 The Standing Committee shall report at the 16th meeting of the Conference of the Parties concerning the IPBES.

Completed. See document SC61 Summary record item 15.6 (Rev. 1) and document SC62 Sum. 10 (Rev. 1) item 14.5.

Climate change

Directed to the Animals and Plants Committees

15.15 Given the implications of climate change for science-based decision-making, the Animals and Plants Committees shall identify the scientific aspects of the provisions of the Convention and of Resolutions

of the Conference of the Parties that are actually or likely to be affected by climate change, report their findings, and make recommendations for further action in relation to the Convention and to Resolutions of the Conference of the Parties as appropriate, at the 62nd meeting of the Standing Committee.

Directed to the Secretariat

15.16 The Secretariat shall request from the secretariats of other multilateral environmental agreements information on their activities that may be linked to climate change and CITES, and report to the Animals and Plants Committees and the Standing Committee.

Directed to the Standing Committee

15.17 The Standing Committee shall consider the reports of the Animals and Plants Committees and the Secretariat and report at the 16th meeting of the Conference of the Parties.

Completed. See document SC62 Sum. 8 item 18.

Food and Agriculture Organization of the United Nations (FAO)

Directed to the Standing Committee

15.18 The Standing Committee shall analyse the current Memorandum of Understanding (MoU) between CITES and FAO, determine the cooperative scheme between CITES and FAO on forestry issues, and ensure that future cooperation between FAO and CITES is carried out under the framework of the MoU.

Underway. See document SC62 Sum. 2 (Rev. 1) item 14.4. Working group established to report at the 63rd meeting of the Standing Committee.

Review of Resolutions

Directed to the Standing Committee

14.19 The Standing Committee should review any proposals from the Secretariat to correct non-substantive errors or minor editorial faults in current Resolutions and decide whether they should be referred to the Conference of the Parties. In cases where the Committee agrees with the proposals and considers that they need not be referred to the Conference, it may instruct the Secretariat to republish the Resolutions with the necessary corrections.

Completed. See document SC61 Summary record item 22 and document SC62 Sum. 5 item 22.

Criteria for the inclusion of species in Appendices I and II

Directed to the Secretariat

15.28 The Secretariat shall:

- a) prepare a report that will summarize its experience in applying criterion Annex 2 a B and the introductory text to Annex 2 a of Resolution Conf. 9.24 (Rev. CoP15) to some or all of the commercially exploited aquatic species that were proposed for inclusion on Appendix II at the 13th, 14th and 15th meetings of the Conference of the Parties, highlighting any technical difficulties or ambiguous issues encountered, including, where appropriate, illustrations of these matters by comparison with application of the criteria to other species;
- b) request IUCN/TRAFFIC and the Food and Agriculture Organization of the United Nations to each prepare a report, subject to the availability of external funding, with the same requirements as the report referred to in paragraph a) above; and

- c) submit its report and any received under paragraph b) above for consideration at the 25th meeting of the Animals Committee.

Directed to the Animals Committee

15.29 The Animals Committee shall:

- a) on receipt of any or all of the reports referred to in Decision 15.28, and having sought the participation of representative(s) of the Plants Committee, IUCN, TRAFFIC, the Food and Agriculture Organization of the United Nations and other appropriate experts, develop guidance on the application of criterion B and the introductory text of Annex 2 a of Resolution Conf. 9.24 (Rev. CoP15) to commercially exploited aquatic species proposed for inclusion on Appendix II;
- b) recommend the best way to incorporate the guidance for use when applying Resolution Conf. 9.24 (Rev. CoP15) to commercially exploited aquatic species, without affecting the application of Resolution Conf. 9.24 (Rev. CoP15) to other taxa; and
- c) submit its conclusions and recommendations at the 62nd meeting of the Standing Committee.

Directed to the Standing Committee

15.30 The Standing Committee shall:

- a) consider the report and recommendations submitted by the Animals Committee under Decision 15.29 together with comments or other input that the Food and Agriculture Organization of the United Nations may wish to submit; and
- b) submit the Animals Committee's recommendations, together with its comments, at the 16th meeting of the Conference of the Parties.

Completed. See document SC62 Sum. 8 item 39. The Committee supported the proposal to maintain the criteria, while Parties should explain their rationale when referring to the criteria.

Review of Significant Trade

13.67 The Conference of the Parties has adopted the *Terms of reference for an evaluation of the Review of Significant Trade* contained in Annex 1 to these Decisions. (Rev. CoP14) [NB: Annex 1 includes the statement, "The Chairman of the Animals or Plants Committee may submit an interim report to the Standing Committee when appropriate and considered useful."]

Completed. See document SC61 Summary record item 28 and document SC62 Sum. 8 item 27.

National laws for implementation of the Convention

Directed to Parties

15.38 Parties should submit to the Secretariat, in one of the working languages of the Convention, appropriate measures which have been adopted for effective implementation of the Convention.

15.39 Any Party which has not adopted appropriate measures for effective implementation of the Convention should submit to the Secretariat a justification for not having done so.

Directed to the Standing Committee

15.40 The Standing Committee shall review at its 61st and 62nd meetings the progress of Parties in adopting appropriate measures for the effective implementation of the Convention.

Completed. See document SC61 Summary record item 23 and document SC62 Sum. 5 item 23.

Directed to the Secretariat

15.41 The Secretariat shall:

- a) compile and analyse the information submitted by Parties on measures adopted before the 16th meeting of the Conference of the Parties (CoP16) to fulfil the requirements laid down in the text of the Convention and Resolution Conf. 8.4 (Rev. CoP15);
- b) provide, to the extent resources are available, legal advice and assistance to Parties on the development of appropriate measures for effective implementation of the Convention, including legislative guidance for and training of CITES authorities, legal drafters, policy makers, the judiciary, parliamentarians and other relevant government officials responsible for the formulation and adoption of CITES-related legislation;
- c) cooperate, in the provision of legislative assistance, with the legal programmes of United Nations bodies and intergovernmental organizations such as UNEP, the Food and Agriculture Organization, the World Bank and the Organization of American States;
- d) report at the 61st and 62nd meetings of the Standing Committee on Parties' progress in adopting adequate measures and, if necessary, recommend the adoption of appropriate compliance measures, including suspension of trade;
- e) identify for the Standing Committee any countries that require attention as a priority under the National Legislation Project; and
- f) report at CoP16 on the progress made with regard to the implementation of Resolution Conf. 8.4 (Rev. CoP15) and Decisions 15.38, 15.39, 15.40 and 15.41.

National reports

Directed to the Standing Committee

14.37 The Standing Committee, with the assistance of its Working Group on Special Reporting (Rev. Requirements and the Secretariat, shall:
CoP15)

- a) continue its review of the recommendations to Parties to provide special reports under the Convention, assess whether they have been or might be effectively incorporated into the annual and biennial reports, and consider how the biennial report format might be revised to facilitate such incorporation;
- b) revise the standard biennial report format to gather information from Parties on incentive measures for implementation of the Convention, conservation measures for Appendix-I listed species and case studies on user fees;
- c) by its 61st meeting (SC61), follow up on how the reporting required in the indicators for the *CITES Strategic Vision: 2008-2013* would be undertaken and, by SC62, begin applying the indicators; and
- d) report at the 16th meeting of the Conference of the Parties on its conclusions and recommendations.

Completed. See document SC61 Summary record item 24 (Rev. 1) and document SC62 Sum. 5 item 24. The working group has also been mandated to continue its work until CoP17.

Directed to the Secretariat

14.38 The Secretariat shall:
(Rev.
CoP15)

- a) continue to collaborate with the secretariats of other conventions, UNEP and other bodies in order to facilitate the harmonization of knowledge management and reporting;

- b) identify additional ways to reduce the reporting burden on Parties, *inter alia*, in the context of Party-led initiatives, its ongoing review of the Resolutions and Decisions of the Conference of the Parties, its support to the Standing Committee on electronic permitting and its work with partners to compile and analyse CITES-related reports; and
- c) report at the 16th meeting of the Conference of the Parties on the results of this work.

Reporting on trade in artificially propagated plants

Directed to the Secretariat

14.39 The Secretariat shall, subject to available funding, in consultation with the UNEP World Conservation (Rev. Monitoring Centre: CoP15)

- a) conduct a survey of reporting practices of Parties relating to trade in artificially propagated plants of taxa included in Appendix II, e.g. regarding the degree of completeness and detail;
- b) identify cases where the compilation of trade data pertaining to artificially propagated plants of taxa included in Appendix II has contributed to a significant extent to the detection of illegal trade or to any other analysis related to the conservation of wild flora;
- c) taking into consideration the results of paragraphs a) and b) above, analyse the Convention text and Resolutions in order to identify binding and non-binding elements of reporting, with special emphasis on artificially propagated plants of taxa included in Appendix II. The Secretariat shall list options for streamlining such reporting; and
- d) report on its findings to the Plants Committee prior to its 20th meeting.

Directed to the Plants Committee

14.40 The Plants Committee shall, after considering the report of the Secretariat: (Rev. CoP15)

- a) determine whether there are any taxa of Appendix-II plants artificially propagated for which detailed reporting is less valuable; and
- b) report its findings to the Standing Committee at its 62nd meeting.

Directed to the Standing Committee

14.41 The Standing Committee shall: (Rev. CoP15)

- a) taking into consideration the findings of the Plants Committee, determine whether it is possible to streamline the reporting of trade in artificially propagated Appendix-II plants; and
- b) report on its findings at the 16th meeting of the Conference of the Parties and submit draft wording to amend Resolutions concerned, where appropriate.

Completed. Work continue through revised or new decisions by CoP16.

Gathering and analysis of data on illegal trade

Directed to the Secretariat

15.42 The Secretariat shall establish an illegal trade database working group to:

- a) design and implement a database to be used by the Parties and the Secretariat for the gathering and analysis of data related to illegal trade in specimens of CITES-listed species:

- b) seek external funding to enable the group to conduct its activities, assisted by a relevant consultant if appropriate; and
- c) report to the Standing Committee at its 61st and 62nd meetings on the progress of the working group.

Directed to the Standing Committee

15.43 The Standing Committee shall consider the reports of the Secretariat and also consider:

- a) endorsing any relevant recommendation or measure that could be implemented prior to the 16th meeting of the Conference of the Parties (CoP16); or
- b) requesting the Secretariat to prepare a report for consideration at CoP16.

Completed. At SC61 the SC agreed that it was impracticable for the Secretariat to maintain an illegal trade database. See Summary Record, item 31. The Working Group on Special Reporting Requirements was asked to consider this issue and has produced a report for CoP16.

Illegal trade in great apes

Directed to the Secretariat

15.44 The Secretariat shall seek external funding to undertake, in conjunction with GRASP, ICPO-INTERPOL and the World Customs Organization, technical missions to a limited number of gorilla range States. The Secretariat shall report to the Standing Committee on the outcome of the missions.

Directed to the Standing Committee

15.45 The Standing Committee shall consider the report of the Secretariat and also consider:

- a) endorsing any relevant recommendation or measure that could be implemented prior to the 16th meeting of the Conference of the Parties (CoP16); and
- b) requesting the Secretariat to prepare a report for consideration at CoP16.

Completed. See document SC61 Summary record item 40 and document SC62 Sum. 7 item 42.

Asian big cats

Directed to Parties, especially tiger range States

15.46 All Parties, but particularly tiger range States, should submit, by 30 June 2010, information relating to incidents of poaching of and illegal trade in tigers that have occurred within their territory since the beginning of 2007. Information should be submitted using the Ecomessage format distributed in Notification to the Parties No. 2009/028 of 22 July 2009. Ecomessage forms for each incident should be submitted to the CITES Secretariat or to the General Secretariat of ICPO-INTERPOL via INTERPOL National Central Bureaus.

Directed to the Secretariat

15.47 The Secretariat shall collaborate with ICPO-INTERPOL to undertake an analysis of the information received from Parties. Two reports, one for public consumption and the other solely for the law enforcement community, should be prepared. The public document will be posted on the CITES website, whilst the other will be circulated in a restricted fashion to relevant enforcement agencies. The Secretariat shall report on this matter at the 61st meeting of the Standing Committee and make any relevant recommendations as a result of the analysis.

- 15.48 The Secretariat shall seek funds to convene, as soon as possible, a seminar involving senior-level Customs and police officers from tiger range States, to brief them on the threatened status of this species, particularly the impact wildlife crime has upon it. The officials shall also be briefed by the Secretariat regarding the Global Tiger Summit, planned under the Global Tiger Initiative, so that the law enforcement community throughout tiger range States is prepared to engage in efforts to safeguard this species and respond to measures adopted at the Summit. The Secretariat shall collaborate with ICPO-INTERPOL, the United Nations Office on Drugs and Crime and the World Customs Organization in preparing the seminar. The Secretariat shall report on the outcomes of the seminar at the 61st meeting of the Standing Committee.

Directed to the Standing Committee

- 15.49 At its 61st meeting, the Standing Committee shall consider the report of the Secretariat and determine what actions are necessary as a result of the analysis and the seminar. This could include instructing the Secretariat to convene the CITES Tiger Enforcement Task Force or CITES Enforcement Expert Group to consider further action.

Completed. See document SC61 Summary record item 41 and document SC62 Sum. 6 item 43.

Introduction from the sea

Directed to the Standing Committee

14.48 The Standing Committee shall:

(Rev.

- CoP15) a) extend operation of the Working Group on Introduction from the Sea, established at SC57, with the understanding that it shall continue to work primarily through electronic means, to consider a definition for 'transportation into a State', clarification of the term 'State of introduction' and the process for issuing a certificate of introduction from the sea as well as other issues identified for further consideration in the final report of the CITES Workshop on Introduction from the Sea Issues (Geneva, 30 November – 2 December 2005) and the final report of the meeting of the working group held in Geneva from 14 to 16 September 2009;
- b) include in the working group representatives of CITES authorities and fishery authorities from each of the six CITES regions and request the participation of and input from the United Nations Division for Ocean Affairs and the Law of the Sea, the Food and Agriculture Organization of the United Nations, regional fishery bodies, the fishing industry, and intergovernmental organizations and non-governmental organizations with CITES and fishery expertise; and
- c) ask the working group to prepare a discussion paper and draft revised resolution for consideration by the Standing Committee at its 62nd meeting and for consideration at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 32 and document SC62 Sum. 6 item 31.

Directed to the Secretariat

- 15.50 The Secretariat shall, contingent on the availability of external funding, convene two meetings of the working group before the 62nd meeting of the Standing Committee.

Electronic permitting

Directed to the Standing Committee

- 15.55 The Standing Committee shall extend the mandate of its Working Group on Information Technologies and Electronic Systems in order for it to perform the following tasks:

- a) gather information from Parties and relevant organizations and initiatives on new developments related to electronic permitting systems, and submit such information to the Secretariat for possible inclusion in the toolkit;
- b) collaborate with the Secretariat in updating the toolkit with new information related to the use of common information exchange formats, protocols and standards and electronic signatures;
- c) promote the development and use of electronic permitting systems among Parties;
- d) examine how electronic permitting can contribute to ensuring legal electronic commerce in specimens of CITES-listed species;
- e) invite relevant organizations with knowledge about electronic permitting systems to join the Working Group; and
- f) report to the Standing Committee at its regular meetings on the results of its work.

Completed. See document SC61 Summary record item 33 and document SC62 Sum. 6 item 32.

Directed to the Secretariat

15.56 In collaboration with the Working Group on Information Technologies and Electronic Systems, the Secretariat shall, subject to external funding:

- a) update the CITES electronic toolkit according to new electronic permitting standards and norms;
- b) work with relevant international organizations and initiatives related to electronic permitting systems to raise awareness of CITES business procedures and permitting requirements; and
- c) organize capacity-building workshops to assist Parties in using the CITES electronic permitting toolkit to develop, implement or update electronic permitting systems.

Purpose codes on CITES permits and certificates

Directed to the Standing Committee

14.54 (Rev. CoP15) The Standing Committee shall re-establish an intersessional joint working group to review the use of purpose-of-transaction codes by Parties, with the following terms of reference:

- a) the working group shall be composed of Parties from as many of the six CITES regions as possible, and appropriate intergovernmental and non-governmental organizations, with expertise in the issuance of CITES documents and use of purpose-of-transaction codes for evaluation within the permit issuance process and trade data analysis;
- b) the working group shall, communicating through electronic media, focus on clearly defining purpose-of-transaction codes to encourage their consistent use, and consider the possible elimination of current codes or the inclusion of new ones;
- c) in evaluating the use and definition of purpose-of-transaction codes, the working group should take into account any difficulties of implementation by Parties and the potential resource implications of inclusion of any new codes or deletion of current purpose-of-transaction codes; and
- d) the working group should submit a report and any recommendations for amendments to Resolution Conf. 12.3 (Rev. CoP15), or to any revision thereof, at the 62nd meeting of the Standing Committee, which shall report, with its recommendations, at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 34 and document SC62 Sum. 33.

Physical inspection of timber shipments

Directed to the Standing Committee

14.61 The Standing Committee, with the support of the Secretariat, and in consultation with Parties and (Rev. relevant intergovernmental organizations, shall consider the outcome of the working group on physical CoP15) inspection of timber shipments at its 61st meeting, to identify best practices and capacity-building measures that may be implemented with technical and/or financial support from donors, and report at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Sum 10 (Rev. 1) item 35 and document SC62 Sum. 6 (Rev. 1) item 36.

Use of taxonomic serial numbers

Directed to the Standing Committee

15.68 The Standing Committee shall, at its 61st meeting (SC61), establish a working group, in consultation with the nomenclature experts of the Animals and Plants Committees and the UNEP World Conservation Monitoring Centre, to:

- a) investigate the usefulness and feasibility of incorporating taxonomic serial numbers as an element of CITES data sets;
- b) report its findings at SC62; and
- c) if necessary, prepare a draft resolution for submission and consideration at the 16th meeting Conference of the Parties.

Completed. See document SC61 Summary record item 36.1 and document SC62 Sum. 6 (Rev. 1) item 37.

Personal and household effects

Directed to the Standing Committee

14.64 The Standing Committee shall extend the operation of its Working Group on Personal and Household (Rev. Effects until the 16th meeting of the Conference of the Parties (CoP16) and oversee the Group's work CoP15) in fulfilling the following terms of reference:

- a) clarify the relationship between 'tourist souvenirs', 'hunting trophies' and 'personal and household effects';
- b) clarify the interpretation of Article VII, paragraph 3 (b), of the Convention;
- c) assess whether there are specific species or types of personal or household effects which, in view of conservation concerns, would require different treatment under Resolution Conf. 13.7 (Rev. CoP14);
- d) collate information about how each Party has implemented Resolution Conf. 13.7 (Rev. CoP14), particularly with regard to requirements for export permits, and assess whether this indicates the need to amend the Resolution; and
- e) report at each regular meeting of the Standing Committee until CoP16 and at CoP16.

Completed. See document SC61 Summary record item 38 and document SC62 Sum. 7 item 40.

Conservation of and trade in tigers and other Appendix-I Asian big cat species

Directed to the Standing Committee

15.70 The Standing Committee shall review and update the form and guidance contained in Annexes 1 to 3 in Resolution Conf. 12.5 (Rev. CoP15) and report on this matter at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 41 and document SC62 Sum. 6 (Rev. 1) item 43.

Conservation of and trade in African and Asian rhinoceroses

Directed to the Secretariat

15.71 The Secretariat shall:

- a) examine the implementation of Resolution Conf. 9.14 (Rev. CoP15) in those range States where illegal killing of rhinoceros poses a significant threat to populations of rhinoceros, particularly Zimbabwe and South Africa;
- b) examine progress with regards to curtailing illegal trade in rhinoceros parts and derivatives by implicated States, particularly Viet Nam; and
- c) report on the implementation of Resolution Conf. 9.14 (Rev CoP15) at the 61st, 62nd and 63rd meetings of the Standing Committee.

15.72 The Secretariat shall:

- a) facilitate, as a matter of urgency, and with others partners as appropriate, bilateral exchanges between key rhinoceros range States and rhinoceros horn consumer States, to improve wildlife enforcement cooperation efforts;
- b) report at the 61st and 62nd meetings of the Standing Committee (SC61 and SC62) on these efforts;
- c) seek funds to convene a joint CITES Ivory and Rhinoceros Enforcement Task Force. Besides the Secretariat, members should include the ASEAN Wildlife Enforcement Network Programme Coordination Unit, INTERPOL, the Lusaka Agreement Task Force, the United Nations Office on Drugs and Crime, the World Customs Organization and those Parties in Africa and Asia that are currently most affected by the smuggling of ivory and rhinoceros specimens. Priority should be given to including the following Parties: Cameroon, China, Ethiopia, Kenya, the Lao People's Democratic Republic, Mozambique, Nepal, the Philippines, South Africa, Thailand, the United Arab Emirates, the United Republic of Tanzania, Viet Nam and Zimbabwe. The Task Force should undertake an exchange of intelligence regarding smuggling of ivory and rhinoceros specimens and develop strategies for combating illegal trade; and
- d) report on the work of the Task Force at SC61.

Directed to the Standing Committee

15.73 At its 61st and 62nd meetings, the Standing Committee shall consider the reports of the Secretariat requested under Decision 15.72 and determine what further actions, if any, are necessary.

Completed. See document SC61 Summary record item 45.2 and document SC62 Sum. 7 (Rev. 1) item 47.

Elephant conservation

13.26 The Conference of the Parties adopted the *Action plan for the control of trade in elephant ivory* (Rev. attached as Annex 2 to these Decisions. [NB: Implementation of the *Action plan* requires consultation CoP15) with the Standing Committee and reporting at each regular meeting.]

Directed to Parties, intergovernmental organizations and non-governmental organizations

14.76 Parties, trading countries, the ivory carving industry, intergovernmental organizations, non-governmental organizations and other donors are called upon to contribute significantly to the African Elephant Fund for the implementation of the *African elephant action plan* and the programme for Monitoring the Illegal Killing of Elephants (MIKE) to ensure their establishment and maintenance.

Directed to the Standing Committee

14.77 The Standing Committee, assisted by the Secretariat, shall propose for approval at the latest at the 16th meeting of the Conference of the Parties a decision-making mechanism for a process of trade in ivory under the auspices of the Conference of the Parties.

Underway. See document SC61 Summary record item 44.4 and document SC62 Sum. item 46.4.

Directed to the Secretariat

14.78 In preparation for the 61st and 62nd meetings of the Standing Committee, pending the necessary (Rev. external funding, the Secretariat shall:
CoP15)

- a) produce an updated analysis of MIKE data, pending the availability of adequate new MIKE data;
- b) invite TRAFFIC to submit an updated analysis of ETIS data and UNEP-WCMC to provide an overview of the latest elephant trade data;
- c) invite the IUCN/SSC African and Asian Elephant Specialist Groups to submit any new and relevant information on the conservation status of elephants, and on pertinent conservation actions and management strategies; and
- d) invite the African elephant range States to provide information on progress made in the implementation of the *African elephant action plan*.

On the basis of the information specified above, the Secretariat shall recommend actions for consideration by the Standing Committee.

14.79 The Secretariat shall establish an African Elephant Fund that will be applied to the implementation of (Rev. the *African elephant action plan*.
CoP15)

The Secretariat shall establish a steering committee consisting of representatives of the range States and donors to govern the African Elephant Fund and to support and advise African elephant range States on the implementation of the Action Plan.

The Steering Committee shall decide on the organization of the administration of the fund.

Trade in elephant specimens

Directed to the Standing Committee

15.74 The Standing Committee shall, in consultation with African and Asian elephant range States and the Secretariat, evaluate the need to revise Resolution Conf. 10.10 (Rev. CoP15) and present a summary of the consultations and its proposals in this regard at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 44.5 and document SC62 Sum. 4 item 46.5.

Snake trade and conservation management

Directed to the Secretariat

- 15.75 The Secretariat shall, subject to available funds, convene a technical workshop to consider the conservation priorities and management and enforcement needs related to the trade of snakes in Asia, with a particular focus on the markets and commercial trade in East, South, and Southeast Asia.
- a) The Secretariat shall invite the members of the Animals and Standing Committees, representatives from range, exporting and consumer states, and relevant inter-governmental and non-governmental organizations to participate in this workshop, to be held within 12 months of the conclusion of the 15th meeting of the Conference of the Parties.
 - b) The Secretariat shall contract with appropriate technical experts to prepare documents on Asian snake conservation, trade management, enforcement, and biology for the workshop and shall invite workshop participants to submit the same.
 - c) The findings and recommendations of this workshop should be reported by the Secretariat to the Animals and Standing Committees.

Directed to the Animals Committee

- 15.76 The Animals Committee shall consider the results of this workshop and provide their recommendations to the Standing Committee for its consideration.

Directed to the Standing Committee

- 15.77 The Standing Committee shall consider the recommendations by the Animals Committee and make its own recommendations at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 46.1 and document SC62 Sum. 9 (Rev. 1) item 48.

Directed to Parties, non-governmental organizations, commercial traders, and donors

- 15.78 Parties and non-Parties, inter-governmental organizations, non-governmental organizations, commercial traders, and donors are encouraged to provide funding to the Secretariat for this technical workshop.

Tortoises and freshwater turtles

Directed to the Animals Committee

- 15.79 The Animals Committee, at its 25th meeting, shall review the final study from the IUCN Species Survival Commission, Tortoise and Freshwater Turtle Specialist Group (IUCN-TFTSG) mentioned in Decision 14.128¹ and make recommendations to the Standing Committee and/or at the 16th meeting of the Conference of the Parties as appropriate.

Directed to the Standing Committee

- 15.80 The Standing Committee, taking into account the recommendations of the Animals Committee, shall review the pertinent sections, conclusions, and recommendations of the final study mentioned in Decision 14.128³ and make its own recommendations at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 47 (Rev. 2) and document SC62 Sum. 9 item 49.

¹ Note from the Secretariat: Decision 14.128 was deleted at the 15th meeting of the Conference of the Parties. It read as follows: "The Secretariat shall, subject to external funding, contract the Tortoise and Freshwater Turtle Specialist Group of the IUCN Species Survival Commission to undertake a study which would assist in the implementation of Resolution Conf. 11.9 (Rev. CoP13)."

Humphead wrasse

Directed to Parties

15.86 All Parties are urged to:

- a) consider adopting appropriate stricter domestic measures under the remit of the legislation of the Party, including limiting the international trade in humphead wrasse to be conducted by air only, to strengthen the control and enforcement of the Appendix-II listing for the species;
- b) improve monitoring of trade in humphead wrasse, especially through inspections of boxes of mixed live reef fish by exporting, re-exporting and importing countries;
- c) exchange law enforcement information of relevance to humphead wrasse with other relevant Parties, and regularly compile and forward to the Secretariat for distribution a comprehensive summary of Convention violations for the species;
- d) increase awareness of the CITES listing for humphead wrasse, including by improving identification capacity among law enforcement officers through the use of training and educational materials;
- e) facilitate discussion on practicable and acceptable options for action to be taken in case of illegally imported/confiscated live fish; and
- f) provide information to the Secretariat on actions taken to implement this Decision, in order to inform the work of the Humphead Wrasse Working Group to be established by the Standing Committee pursuant to Decision 15.87.

Directed to the Standing Committee

15.87 The Standing Committee shall, contingent on the availability of external funding, establish a Humphead Wrasse Working Group, which is to:

- a) review the actions taken by relevant Parties to implement this decision;
- b) develop and recommend to the Standing Committee further options for improving control and enforcement in relation to the international trade in humphead wrasse, and ensuring the effectiveness of the Appendix-II listing of the species; and
- c) report back and recommend appropriate follow-up actions at the 16th meeting of the Conference of the Parties to support this initiative.

Completed. See document SC61 Summary record item 49 and document SC62 Sum. 9 (Rev. 1) item 51.

Directed to the Secretariat

15.88 The Secretariat is requested:

- a) to assist Parties in the capacity-building activities outlined in paragraph d) of Decision 15.86; and
- b) where necessary, to provide assistance or advice to Parties to address the handling of confiscated live humphead wrasse.

Bushmeat

Directed to the Central Africa Bushmeat Working Group

14.73 The Central Africa Bushmeat Working Group is encouraged to collaborate with the Convention on Biological Diversity and the Food and Agriculture Organization of the United Nations in its work and is

invited to draw to the attention of the Standing Committee and/or the Conference of the Parties to any matters relating to the implementation of Resolution Conf. 13.11.

14.74 The Central Africa Bushmeat Working Group is encouraged to continue its work, also by collaborating with the Convention on Biological Diversity Liaison Group on non-timber forest resources, and to report to the Standing Committee on progress made in implementing national action plans relating to the trade in bushmeat and other initiatives it takes regarding this subject. A report on the subject of bushmeat should be submitted at the 16th meeting of the Conference of the Parties.