

CONVENCIÓN SOBRE EL COMERCIO INTERNACIONAL DE ESPECIES  
AMENAZADAS DE FAUNA Y FLORA SILVESTRES



Duodécima reunión del Comité de Flora  
Leiden (Países Bajos), 13-17 de mayo de 2002

Comercio significativo de plantas

PROBLEMAS E INCONGRUENCIAS EN LA RESOLUCIÓN CONF. 8.9 (REV.)  
Y LA DECISIÓN 11.117

1. El presente es un documento de trabajo para la 18a. Reunión del Comité de Fauna. La Secretaría presentará un informe sobre los resultados de las deliberaciones sobre el mismo en la 12a. Reunión del Comité de Flora.



CONVENCIÓN SOBRE EL COMERCIO INTERNACIONAL DE ESPECIES  
AMENAZADAS DE FAUNA Y FLORA SILVESTRES

---

Aplicación de la Resolución Conf. 8.9 (Rev.)

REVISIÓN DE LA RESOLUCIÓN CONF. 8.9 (REV.) Y DE LAS DECISIONES 11.106-11.108

Este documento fue preparado por la Secretaría.

1. De conformidad con lo acordado por el Comité de Fauna y el Comité de Flora en sus 17a. y 11a. reuniones, respectivamente, la Secretaría preparó un proyecto de revisión de la Resolución Conf. 8.9 (Rev.), como punto de partida para proceder a la revisión de esta resolución. Ambos Comités han reconocido la importancia cada vez mayor del Examen del Comercio Significativo, así como la importancia y la urgencia de simplificar el proceso, refundir las disposiciones actualmente fragmentadas que guiaban su puesta en práctica y, sobre todo, de permitir a los países que son objeto de dicho examen de tener una idea más clara del proceso y de sus responsabilidades.
2. Para aclarar el proceso seguido por la Secretaría, en el Anexo 1 figuran las partes relevantes de los textos de la Resolución Conf. 8.9 (Rev.) y de las Decisiones 11.106, 11.107, 11.108 y 11.117, a fin de ilustrar en qué medida se refieren a las diferentes etapas del proceso de examen, en la secuencia en que se realiza actualmente el proceso. Se han incorporado todos los aspectos sustantivos de la resolución y las decisiones conexas, excepto las Decisiones 11.109 y 11.95, que se refieren al examen de determinados grupos de especies. Las notas en cursiva indican el origen de los párrafos, cuando el orden de los párrafos en la resolución se han cambiado, o cuando se han insertado párrafos de las decisiones. Se han efectuado cambios en el orden de algunos párrafos para que estuviesen en armonía con la secuencia en la aplicación del proceso de examen, resultando en pequeños cambios en la asignación de responsabilidades en materia de aplicación, aunque de momento estos cambios no deberían tomarse en consideración. Se incluyeron referencias al Comité de Flora cuando en las decisiones sólo se hacía referencia al Comité de Fauna.
3. En el Anexo 2 se presenta una versión refundida y editada del Anexo 1 aprobada en la 18a. reunión del Comité de Fauna, que se celebró en San José, en abril de 2001. El nuevo texto propuesto aparece en negritas, las supresiones en tachado y las notas explicativas en cursiva. Los títulos en negrita y subrayado muestra las distintas fases del proceso. En el Anexo 3 se presenta el texto enmendado, sin mostrar las enmiendas en negritas o tachado. **Esta versión se presenta como posible punto de partida para las deliberaciones del comité.** El texto entre corchetes en los Anexos 2 y 3 no pudo finalizarse en la 18a. reunión del Comité de Fauna o se trata de una recomendación de la Secretaría para que se considere nuevamente en el Comité de Flora.
4. A tenor de los resultados de esta reunión, la Secretaría preparará, en nombre de ambos comités, una propuesta para revisar la Resolución Conf. 8.9 (Rev.) que se someterá a la consideración de la CdP12.



Compilation of the relevant parts of Resolution Conf. 8.9 (Rev.)  
and Decisions 11.106, 11.107, 11.108 and 11.117

Trade in specimens of Appendix-II species taken from the wild

RECALLING that Article IV, paragraph 2 (a), of the Convention requires, as a condition for granting an export permit, that a Scientific Authority of the State of export has advised that the export will not be detrimental to the survival of the species concerned;

RECALLING that Article IV, paragraph 3, requires a Scientific Authority of each Party to monitor exports of Appendix-II species and to advise the Management Authority of suitable measures to be taken to limit such exports in order to maintain such species throughout their range at a level consistent with their role in the ecosystem;

RECALLING also that Article IV, paragraph 6 (a), requires, as a condition for granting a certificate of introduction from the sea, that a Scientific Authority of the State of introduction from the sea has advised that the introduction will not be detrimental to the survival of the species concerned;

RECALLING further that Resolution Conf. 2.6 (Rev.), adopted at the second meeting of the Conference of the Parties (San José, 1979) and amended at the ninth meeting (Fort Lauderdale, 1994), provides a mechanism by which any Party deeming any Appendix-II or -III species to be traded in a manner detrimental to the survival of that species may consult directly with the Management Authority of the country involved, with the assistance of the Secretariat if required, and take stricter domestic measures where appropriate;

NOTING that some Parties permitting export of Appendix-II species of wildlife are not effectively implementing Article IV, and that all Parties benefit from management of Appendix-II species that ensures the continued availability of these resources;

RECALLING that Resolution Conf. 9.1 (Rev.), adopted at the ninth meeting of the Conference of the Parties (Fort Lauderdale, 1994) and amended at the 10th meeting (Harare, 1997), charges the Animals Committee and the Plants Committee to: establish a list of those animal and plant taxa included in Appendix II that are considered as being significantly affected by trade; review and assess all available biological and trade information including comments by the range States on these taxa; formulate recommendations for remedial measures for those species for which trade is believed to have a detrimental effect; and establish priorities for research projects for species for which information is insufficient to determine whether the level of trade is detrimental;

CONCERNED that in many cases, population assessments and monitoring programmes necessary in order to maintain the level of export of Appendix-II species below the level that would be detrimental to the survival of the species are not being undertaken;

RECALLING that, by adopting document Doc. 10.56, the Parties recognized that information on the biological status of many plant species is frequently not available and that the data on trade in plants as included in annual reports are frequently incomplete;

## THE CONFERENCE OF THE PARTIES TO THE CONVENTION

DIRECTS the Animals Committee and the Plants Committee [in cooperation with the Secretariat and experts, to continue to review the biological, trade and other relevant information on Appendix-II species, with a view to identifying problems with the aim of ensuring the implementation of Article IV, paragraphs 2 (a), 3 and 6 (a)];

*Text in square brackets from paragraph a) of Resolution Conf. 8.9 (Rev.) under DIRECTS.*

Resolution Conf. 8.9 (Rev.) shall be implemented in accordance with the following procedure:

*Previously the first paragraph of Decisions 11.106 and 11.117.*

### Selection of species to be reviewed

- a) Species for which the average net trade over this period has exceeded a level determined by the Animals Committee and Plants Committee to be 'safe' should be selected and a print-out should be produced showing the levels of export and re-export of these species by country. This will constitute the list of taxa that might be subject to significant levels of trade.

*Previously paragraph c) of Decisions 11.106 and 11.117.*

- b) UNEP-WCMC shall produce a print-out from the CITES database showing the recorded net levels of trade in all Appendix-II species over the five most recent years;

*Previously paragraph a) of Decisions 11.106 and 11.117.*

- c) in preparing these data, UNEP-WCMC shall analyse the available trade information, and highlight for the Animals Committee and the Plants Committee any inadequacies and deficiencies in the trade data available, in order to assist the Committee with its review;

*Previously paragraph b) of Decisions 11.106 and 11.117.*

- d) on the basis of knowledge available in the Animals Committee or the Plants Committee and information from the Secretariat, Parties and other relevant experts, species of immediate concern will be selected because of their recorded trade levels;

*Previously paragraph d) of Decisions 11.106 and 11.117.*

- e) the Secretariat should, within 30 days after the meeting of the Animals Committee or the Plants Committee at which species are selected, notify range States of the species selected, providing an explanation for this selection and requesting comments and cooperation in providing information on the taxon to assist the review;

*Previously paragraph e) of Decisions 11.106 and 11.117.*

### Role of consultants, categorization and consultation with range States

- f) when necessary, consultants shall be engaged to compile information about the biology and management of the selected species and shall contact the range States and/or relevant experts to obtain information for inclusion in the compilation;

*Previously paragraph f) of Decisions 11.106 and 11.117.*

- g) the consultants shall summarize their conclusions about the effects of international trade on the selected species and should divide them into three categories:

- i) Category 1 shall include species for which the available information indicates that the provisions of Article IV of the Convention are not being implemented;
- ii) Category 2 shall include species for which it is not clear whether or not the provisions of Article IV of the Convention are being implemented; and
- iii) Category 3 shall include species for which the level of trade is evidently not a problem;

*Previously paragraph g) of Decisions 11.106 and 11.117.*

- h) Before consideration by the Animals and Plants Committee, the Secretariat shall transmit the review documents prepared by the consultants to relevant range States, seeking comments and, where appropriate, additional information. Range States should be given six weeks to respond.

*Previously paragraph h) of Decisions 11.106 and 11.117.*

#### Review of information by Animals and Plants Committees

- i) The Animals Committee should review the information provided by the consultants and the responses received from the Parties concerned and, if appropriate, revise the categories proposed by the consultants.

*Previously paragraph i) of Decisions 11.106 and 11.117.*

- j) Species in Category 3 should be eliminated from the review process. [The elimination of a species from the review process will be decided on the basis of considerations related to the implementation of Article IV only. Other problems identified in the course of the review process will have to be addressed by other means.]

*Previously paragraph j) of Decisions 11.106 and 11.117. The text in square brackets appeared as a footnote to this paragraph in Decisions 11.106 and 11.117.*

#### Consultation with range States concerning implementation of Article IV

- k) With respect to species in Categories 1 and 2, the Secretariat, on behalf of the Animals Committee or the Plants Committee, shall consult the range States to seek comments regarding possible Article IV implementation problems identified by the Committee. Range States should be given six weeks to respond.

*Previously paragraph k) of Decisions 11.106 and 11.117.*

- l) If a response satisfactory to the Animals Committee or the Plants Committee is received, the species shall be eliminated from the review process with respect to the State concerned.

*Previously paragraph l) of Decisions 11.106 and 11.117.*

#### Formulation of recommendations

- m) Otherwise, the Animals Committee or the Plants Committee shall, in consultation with the Secretariat, formulate recommendations in accordance with the provisions of Resolution Conf. 8.9 (Rev.), relating to species in Categories 1 and 2. [When formulating recommendations, care shall be taken to specify intentions precisely and not leave the country concerned and the Secretariat to try to interpret what the Animals Committee wanted]. {In relation to those species under review for which

sufficient information is available on trade and biological status, to determine possible problems with the implementation of the relevant paragraphs of Article IV, and following consultation with the range States, to make specific recommendations. Such recommendations shall be either primary or secondary recommendations.}

*Previously paragraph m) of Decisions 11.106 and 11.117. The text in square brackets represents Decision 11.107, which applies to all recommendations made by the Animals Committee pursuant to Resolution Conf. 8.9 (Rev.). No equivalent decision was taken concerning the Plants Committee. The text in { } brackets comes from paragraph b) of Resolution Conf. 8.9 (Rev.) under DIRECTS.*

- i) primary recommendations include, for example, administrative procedures, specific cautious quotas and zero quotas as an interim measure or temporary restrictions on exports of the species concerned; and
- ii) secondary recommendations include, for example, taxon and country-specific status assessments, field studies or evaluation of threats to populations or other relevant factors, including illegal trade, habitat destruction, internal or other uses, designed to provide the information necessary for a Scientific Authority non-detriment finding;

*Previously subparagraphs i) and ii) of Resolution Conf. 8.9 (Rev.) under DIRECTS, paragraph b).*

- iii) for those species under review for which sufficient information on trade and biological status of the species under review is not available:
  - i) to recommend taxon-specific status assessments;
  - ii) to recommend country-specific status assessments;
  - iii) to recommend to range States the establishment of cautious quotas as an interim measure; and
  - iv) to make, as appropriate, recommendations as described under paragraph b) above once the assessments referred to in paragraphs c) i) and ii) have been completed;

*Previously paragraph c) of Resolution Conf. 8.9 (Rev.) under DIRECTS.*

#### Communication of recommendations to range States and deadlines for responses

- n) the above-mentioned recommendations of the Animals Committee and the Plants Committee shall be communicated by the Secretariat to each Party concerned and [These recommendations shall be transmitted to the States concerned by the Secretariat]

*Previously paragraph a) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS. The nearly identical text in square brackets comes from paragraph n) of Decisions 11.106 and 11.117.*

- i) for primary recommendations, each Party concerned, shall within 90 days of receipt, demonstrate to the satisfaction of the Secretariat that it has implemented the recommendations;

*Previously paragraph b) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS.*

- ii) for secondary recommendations, each Party concerned, shall within either 12 months of receipt, demonstrate to the satisfaction of the Secretariat that it has implemented or taken action to implement the recommendations;

*Previously paragraph c) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS.*

- iii) for recommendations made under the provisions of paragraph iii) iii) under 'DIRECTS' above, each Party concerned, within 90 days of receipt of the recommendations of the Animals Committee or the Plants Committee, demonstrate to the satisfaction of the Secretariat that it has implemented the recommendations;

*Previously paragraph e) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS.*

- iv) for recommendations made pursuant to paragraphs c) i) and ii) under 'DIRECTS' above, each range State concerned, in consultation with the Secretariat and the Chairman of the Animals Committee or Plants Committee, as appropriate, complete a status assessment within two years of receipt of the recommendations of the committee concerned;

*Previously paragraph d) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS.*

### Consultation with range States, measures to be taken if response is unsatisfactory, role of Standing Committee

DETERMINES that:

- a) [these reviews shall be carried out in close consultation with all range States concerned, and in accordance with the Decisions of the Conference of the Parties regarding the implementation of this Resolution];

*Text in square brackets from paragraph DETERMINES of Resolution Conf. 8.9 (Rev.).*

- b) upon failure of a concerned Party to satisfy the Secretariat that it has fulfilled the requirements specified in paragraph b), c), d) or e) of this section, the Secretariat recommend to the Standing Committee that all Parties immediately take strict measures, including as appropriate suspension of trade in the affected species with that Party; [... the Secretariat .... shall, in consultation with the Animals Committee or the Plants Committee, determine whether the recommendations have been implemented and report to the Standing Committee in accordance with Resolution Conf. 8.9 (Rev.)]

*Previously paragraph f) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS. The nearly identical text in square brackets comes from paragraphs n) of Decisions 11.106 and 11.117.*

- c) following acceptance of the Secretariat's recommendation by the Standing Committee, the Secretariat notify the Parties accordingly; and

*Previously paragraph g) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS.*

### Reversal of recommendations to suspend trade

- d) in the case of suspension of trade in accordance with paragraph f) above, trade in the affected species with the Party concerned be reinstated only when that Party demonstrates to the satisfaction of the Standing Committee, through the Secretariat, compliance with the recommendations made by the Animals Committee or Plants Committee with respect to the implementation of Article IV, paragraph 2 (a), 3 or 6 (a);

*Previously paragraph h) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS.*

### Monitoring, reporting and reintroduction into the review process

DIRECTS the Secretariat for the purpose of monitoring and facilitating the implementation of this Resolution and the relevant paragraphs of Article IV of the Convention, and for allowing the reintroduction of a species into the review process in case of concern;

- a) to report to each meeting of the Animals Committee and the Plants Committee on the implementation by the countries concerned of the recommendations made by the committee; and
- b) to immediately inform the Animals Committee and the Plants Committee about possible concerns regarding trade in species:
  - i) that had been eliminated from the review process at a time when the committee concerned believed that the trade data available indicated that the trade was not detrimental to the survival of the species concerned; or
  - ii) for which the Secretariat was satisfied that the primary or secondary recommendations had been fulfilled by the Parties concerned; and
- c) to report at each meeting of the Conference of the Parties on the progress of this review, and on the measures adopted and those recommended to implement Article IV for Appendix-II species subject to significant trade;

*Previously paragraph d) of Resolution Conf. 8.9 (Rev.) under DIRECTS. This element of the Resolution is directed to the Animals and Plants Committees, but the reporting, in practice, has been done by the Secretariat.*

- d) Where a State subject to a recommendation of the Animals Committee has agreed to set an export quota considered as cautious by the Secretariat, the case shall be reviewed again by the Committee in due course.

*Previously Decision 11.108, directed to the Animals Committee.*

### Support to range States

URGES the Parties and all organizations interested in the utilization and conservation of wildlife to provide the necessary financial support and/or technical assistance to those Parties in need of such assistance to ensure that wild populations of species of fauna and flora subject to significant international trade are maintained at a level that will allow international trade that is not detrimental to their survival.

Proposed draft resolution on the Review of Significant Trade forwarded from the Animals Committee to the Plants Committee (new text in bold, deleted text in strikethrough, explanatory notes in small italics). Square brackets indicate that the text is provisional.

**Review of significant trade in specimens of Appendix-II species**

*The proposed new title of the draft Resolution reflects the scope of the Resolution more accurately.*

RECALLING that Article IV, paragraph 2 (a), of the Convention requires, as a condition for granting an export permit, that a Scientific Authority of the State of export has advised that the export will not be detrimental to the survival of the species concerned;

RECALLING that Article IV, paragraph 3, requires a Scientific Authority of each Party to monitor exports of Appendix-II species and to advise the Management Authority of suitable measures to be taken to limit such exports in order to maintain such species throughout their range at a level consistent with their role in the ecosystem;

RECALLING also that Article IV, paragraph 6 (a), requires, as a condition for granting a certificate of introduction from the sea, that a Scientific Authority of the State of introduction from the sea has advised that the introduction will not be detrimental to the survival of the species concerned;

~~RECALLING further that Resolution Conf. 2.6 (Rev.), adopted at the second meeting of the Conference of the Parties (San José, 1979) and amended at the ninth meeting (Fort Lauderdale, 1994), provides a mechanism by which any Party deeming any Appendix II or III species to be traded in a manner detrimental to the survival of that species may consult directly with the Management Authority of the country involved, with the assistance of the Secretariat if required, and take stricter domestic measures where appropriate;~~

*The Animals Committee agreed with the Secretariat that this reference to what is now Resolution Conf. 11.18 is not necessary.*

**CONCERNED NOTING** that some States Parties permitting export of Appendix-II species of wildlife are not effectively implementing Article IV, **that, in such cases, measures necessary to ensure that the export of an Appendix-II species takes place at a level that will not be detrimental to the survival of that species, such as population assessments and monitoring programmes, are not being undertaken; that information on the biological status of many species is frequently not available; and that the data on trade as included in annual reports are frequently incomplete** and that all Parties benefit from management of Appendix II species that ensures the continued availability of these resources;

*Amendments proposed by the Animals Committee and derived from the last two preambular paragraphs in the current Resolution, with minor adjustments to ensure consistency of language within the Convention.*

~~RECALLING that Resolution Conf. 9.1 (Rev.), adopted at the ninth meeting of the Conference of the Parties (Fort Lauderdale, 1994) and amended at the 10th meeting (Harare, 1997), charges the Animals Committee and the Plants Committee to: establish a list of those animal and plant taxa included in Appendix II that are considered as being significantly affected by trade; review and assess all available biological and trade information including comments by the range States on these taxa; formulate recommendations for remedial measures for those species for which trade is believed to have a detrimental effect; and establish priorities for~~

~~research projects for species for which information is insufficient to determine whether the level of trade is detrimental;~~

*The Animals Committee has recommended to delete this paragraph.*

~~CONCERNED that, in many cases, population assessments and monitoring programmes necessary in order to maintain the level of export of Appendix-II species below the level that would be detrimental to the survival of the species are not being undertaken;~~

*The Animals Committee has proposed to incorporate this paragraph in the new preambular paragraph starting with 'CONCERNED'*

~~RECALLING that, by adopting document Doc. 10.56, the Parties recognized that information on the biological status of many plant species is frequently not available and that the data on trade in plants as included in annual reports are frequently incomplete;~~

*The Animals Committee has proposed to incorporate this paragraph in the new preambular paragraph starting with 'CONCERNED' and to expand the reference from plants to all Appendix-II species, as the same problem concerning the status of species and the recording of trade applies to animal species.*

**RECALLING that the proper implementation of Article IV is essential for the conservation and sustainable use of Appendix-II species;**

*New paragraph proposed by the Animals Committee to accommodate the intent of the phrase 'that all Parties benefit from management of Appendix-II species that ensures the continued availability of these resources', proposed to be deleted in the fourth preambular paragraph of the Resolution.*

**NOTING the important benefits of the review of trade in specimens of such species by the Animals and Plants Committees as set out in Resolution Conf. 8.9 (Rev.) [on trade in specimens of Appendix-II species taken from the wild, adopted at the eight meeting of Conference of the Parties (Kyoto, 1992) and amended at the 11th meeting (Gigiri, 2000),] referred to as the Review of the Significant Trade, and the need to clarify further and simplify the procedure to be followed;**

*New paragraph proposed by the Animals Committee to emphasize the importance of the Review of Significant Trade and the need to clarify and simplify its implementation. The reference to Resolution Conf. 8.9 (Rev.) will have to be amended to be consistent with standard practice].*

#### THE CONFERENCE OF THE PARTIES TO THE CONVENTION

**DIRECTS the Animals and Plants Committees, in cooperation with the Secretariat and experts, and in consultation with range States, to review the biological, trade and other relevant information on Appendix-II species, to identify problems and solutions concerning the implementation of Article IV, paragraphs 2 (a), 3 and 6 (a) of the Convention in accordance with the following procedure:**

*Amalgamation of the introductory text under DIRECTS of Resolution Conf. 8.9 (Rev.) and paragraph a) of the same Resolution under DIRECTS, simplified, with the addition of the aim of finding solutions and the paragraph beginning with DETERMINES of the same Resolution, and incorporating the first paragraph of Decisions 11.106 and 11.117.*

~~DIRECTS the Animals Committee and the Plants Committee [in cooperation with the Secretariat and experts, to continue to review the biological, trade and other relevant information on Appendix-II species, with a view to identifying problems with the aim of ensuring the implementation of Article IV, paragraphs 2 (a), 3 and 6 (a)];~~

*Text in square brackets from paragraph a) of Resolution Conf. 8.9 (Rev.) under DIRECTS.*

~~Resolution Conf. 8.9 (Rev.) shall be implemented in accordance with the following procedure:~~

Previously the first paragraph of Decisions 11.106 and 11.117.

~~DETERMINES that these reviews shall be carried out in close consultation with all range States concerned, and in accordance with the Decisions of the Conference of the Parties regarding the implementation of this Resolution;~~

*Text in square brackets from paragraph DETERMINES of Resolution Conf. 8.9 (Rev.).*

### **Selection of species to be reviewed**

*Suggested new heading for this stage of the review process.*

- a) **The Secretariat shall request UNEP-WCMC to produce, within 90 days after each meeting of the Conference of the Parties, a summary from the CITES database of annual report statistics showing the recorded net levels of exports<sup>1</sup> for Appendix-II species over the five most recent years;**

*Adapted from paragraphs a) and c) of Decisions 11.106 and 11.117, adding a suggested time-frame for the production of a summary of trade data (rather than a print-out of the database) which is likely to be compatible with the timing of meetings of the Committees after CoPs.*

- ~~b) UNEP-WCMC shall produce a print out from the CITES database showing the recorded net levels of trade in all Appendix II species over the five most recent years;~~

*Previously paragraphs a) of Decisions 11.106 and 11.117.*

- ~~c) in preparing these data, UNEP-WCMC shall analyse the available trade information, and highlight for the Animals Committee and the Plants Committee any inadequacies and deficiencies in the trade data available, in order to assist the Committee with its review;~~

*Previously paragraph b) of Decisions 11.106 and 11.117. This provision is probably redundant, because, in recent practice, UNEP-WCMC has not analyzed the data as such, and UNEP-WCMC may not be in a position to do so for all Appendix-II species. It is recognized that the Secretariat may in any event request UNEP-WCMC to point out inadequacies and deficiencies in the data, and that more detailed analyses could be done for species selected to be reviewed.*

- ~~a) Species for which the average net trade over this period has exceeded a level determined by the Animals Committee and Plants Committee to be 'safe' should be selected and a print out should be produced showing the levels of export and re-export of these species by country. This will constitute the list of taxa that might be subject to significant levels of trade.~~

*Previously paragraphs c) of Decisions 11.106 and 11.117. The Secretariat recommends against the use of a 'safe' level, because of the problem of defining such a level, even within the same taxonomic group, and the impression that is created that trade above such a level is necessarily 'unsafe'. The new text presented in paragraph c) below, with the suggested addition of the clause in parenthesis by the Animals Committee is considered to be preferable, as it captures the importance of using trade data and other information, e.g. information about the status of populations, and the need to focus on species of concern and thus set priorities for the review.*

- bd) On the basis of recorded trade levels and information available to the Animals or Plants Committee, the Secretariat, Parties or other relevant experts, species of priority concern will be selected for review (whether or not such species have been the subject of a previous review); ~~on the basis of knowledge available in the Animals or the Plants~~**

---

<sup>1</sup> 'Net level of exports' means the total number of specimens exported from a range State, based on the reported export and import data.

~~Committee and information from the Secretariat, Parties and other relevant experts, species of immediate concern will be selected because of their recorded trade levels;~~

*Previously paragraphs d) of Decisions 11.106 and 11.117. This provision, in the context in which it now appears, highlights the importance of selecting species of immediate concern.*

- c) **In exceptional cases where new information indicates an urgent concern, the Animals or Plants Committees can [add a species to this selection process at another stage];**

*New paragraph proposed by the Animals Committee. Clarity could be improved by changing the text in square brackets to, for instance, 'introduce a species at another stage to the review'.*

### **Consultation with range States concerning implementation of Article IV**

*Suggested new heading for this stage of the review process.*

- de) ~~The Secretariat should, within 30 days after the meeting of the Animals or Plants Committee at which species are selected, notify range States of the species selected, providing an explanation for this selection and requesting comments and cooperation in providing information on the taxon to assist the review; With respect to species in Categories 1 and 2, the Secretariat, on behalf of the Animals or Plants Committee, shall consult the range States to seek comments regarding possible problems of implementing Article IV implementation problems identified by the Committee. Range States should shall be given 60 days six weeks to respond; and~~

*A combination of paragraphs e) and k) of Decisions 11.106 and 11.117, because paragraphs e) of Decisions 11.106 and 11.117 have resulted in much confusion in the past, especially when countries were subsequently asked to outline their implementation of Article IV for the same species. The proposed amendment may help to address this problem, and reduce the number of times that a country is requested to provide information on its implementation of Article IV. The Animals Committee recommended the extension of the response period.*

- e) **The Secretariat shall report to the Animals or Plants Committee on the response of the range States concerned, including [in that report] any other pertinent information; and**

*New paragraph proposed by the Animals Committee to improve clarity and describe the next step in the process. The text in square brackets could be deleted.*

- fi) ~~When If a response satisfactory to the Animals or Plants Committee, having reviewed the available information, is received is satisfied that Article IV paragraphs 2 (a), 3 and 6 (a), are correctly implemented, the species shall be eliminated from the review process with respect to the State concerned. In that event, the Secretariat shall notify the Parties accordingly;~~

*Based on paragraph l) of Decisions 11.106 and 11.117, with the proposed addition of the last clause by the Animals Committee.*

### **Compilation of information and preliminary categorization**

*Suggested new heading for this stage of the review process.*

- g) **In the event that the species is not eliminated from the review as provided for in paragraph f) above, the Secretariat shall proceed with the compilation of information regarding the species and the preliminary categorization of that species in the range State(s) concerned;**

*New paragraph proposed by the Animals Committee to describe the next step in the process and to clarify the process when it is not necessary to engage consultants (see next paragraph).*

- h) ~~When~~ necessary, consultants shall be engaged **by the Secretariat** to compile information about the biology and management of the selected species and shall contact the range States and/or relevant experts to obtain information for inclusion in the compilation;

*Previously paragraphs f) of Decisions 11.106 and 11.117, with additional clarification.*

- i) ~~The Secretariat or~~ consultants, **as appropriate**, shall summarize their conclusions about the effects of international trade on the selected species **and problems concerning the implementation of Article IV**, and ~~shall~~ **should** divide ~~the selected species them~~ into three **preliminary** categories:
- i) **'species of urgent concern'** ~~Category 1~~ shall include species for which the available information indicates that the provisions of Article IV of the Convention are not being implemented;
  - ii) **'species of possible concern'** ~~Category 2~~ shall include **[those]** species for which it is not clear whether or not the provisions of Article IV of the Convention are being implemented; and
  - iii) **'species of least concern'** ~~Category 3~~ shall include species for which the **available information appears to indicate that the provisions of Article IV are being met level of trade is evidently not a problem**;

*Alternative designations of the three categories were proposed by the Animals Committee to avoid confusion with e.g. Appendices I or II, with an amendment to the description of last category to improve consistency.*

- j) ~~Before~~ consideration by the Animals or Plants Committee, the Secretariat shall transmit the review documents prepared by the consultants to relevant range States, seeking comments and, where appropriate, additional information. Range States ~~should~~ **shall** be given **60 days** ~~six weeks~~ to respond.

*Previously paragraph h) of Decisions 11.106 and 11.117, with amendments by the Animals Committee.*

### **Review of information and confirming categorization by the Animals or Plants Committee**

*Suggested new heading for this stage of the review process.*

- k) The Animals or Plants Committee ~~should~~ **shall** review the information provided by the **Secretariat or the** consultants and the responses received from the ~~Parties~~ **States** concerned and, if appropriate, revise the **preliminary** categories proposed by the **[Secretariat or the]** consultants; **and**

*Previously paragraphs i) of Decisions 11.106 and 11.117, amended to more accurately reflect the the sources of the information and preliminary categorization. The text in square brackets was added after the 18th meeting of the Animals Committee for the same purpose.*

- l) ~~Species of least concern in Category 3 should~~ shall be eliminated from the review ~~process~~. **Problems identified in the course of the review that are not related to the implementation of Article IV, paragraphs 2 (a), 3 and 6 (a), [such as problems related to enforcement] shall be addressed by the Secretariat.**

*Previously paragraph j) of Decisions 11.106 and 11.117, amended to be consistent with earlier proposed changes. The second sentence is based on a footnote to this paragraph in Decisions 11.106 and 11.117, which seems important enough to include in the paragraph. The Secretariat believes that, as a further improvement, the text in square brackets should be deleted, as there could be a range of equally important issues that should be addressed in this way.*

## Formulation of recommendations and their transmission to range States

*Suggested new heading for this stage of the review process.*

- m) ~~Otherwise, †~~ The Animals Committee or Plants Committee shall, in consultation with the Secretariat, formulate recommendations ~~in accordance with the provisions of Resolution Conf. 8.9 (Rev.),~~ relating to **the remaining** species in ~~Categories 1 and 2.~~ **These recommendations shall be directed to range States;**

*Previously paragraphs m) of Decisions 11.106 and 11.117 as amended and simplified. The text in strikethrough is redundant.*

- ~~n) When formulating recommendations, care shall be taken to specify intentions precisely and not leave the country concerned and the Secretariat to try to interpret what the Animals Committee wanted.~~

*Text in square brackets represents Decision 11.107, which applies to all recommendations made by the Animals Committee pursuant to Resolution Conf. 8.9 (Rev.). The Secretariat believes that it is not necessary that this provision be included in the Resolution.*

- n) ~~in relation to those species under review for which~~ **For species of urgent concern, these recommendations should propose specific actions to address problems related to conservation and the regulation of trade. Such recommendations should differentiate between short-term and long-term actions, and may include, for example:**
- i) **the establishment of administrative procedures, cautious quotas or other temporary restrictions on exports of the species concerned;**
  - ii) **the application of adaptive management procedures [to ensure that further decisions about the harvesting and management of the species concerned will be based on the monitoring of the impact of previous harvesting and other factors];**
  - iii) **the conducting of taxon- and country-specific status assessments, field studies or evaluation of threats to populations or other relevant factors to provide the information necessary for a Scientific Authority's non-detriment finding.**

**Deadlines for implementation of these actions should be determined by the Animals or Plants Committee. They must be appropriate to the nature of the action to be undertaken, but should normally be no less than 90 days or longer than two years;**

~~sufficient information is available on trade and biological status, to determine possible problems with the implementation of the relevant paragraphs of Article IV, and following consultation with the range States, to make specific recommendations. Such recommendations shall be either primary or secondary recommendations.~~

~~i) primary recommendations include, for example, administrative procedures, specific cautious quotas and zero quotas as an interim measure or temporary restrictions on exports of the species concerned; and~~

~~ii) secondary recommendations include, for example, taxon and country specific status assessments, field studies or evaluation of threats to populations or other relevant factors, including illegal trade, habitat destruction, internal or other uses, designed to provide the information necessary for a Scientific Authority non-detriment finding;~~

*Text in strikethrough from paragraph b) of Resolution Conf. 8.9 (Rev.) under DIRECTS. The new text presents a considerable departure from the status quo, but introduces much-needed flexibility concerning the*

*nature of recommendations that can be made, retains the distinction between urgent and longer-term actions to be taken without forcing the Committees to make an arbitrary distinction between primary or secondary recommendations, and removes unnecessary terms such as 'primary recommendation' and 'secondary recommendation', and thus also confusion with recommendations made for Category 2 species (as currently defined in Decisions 11.106 and 11.117), which were neither 'primary' nor 'secondary'. Deadlines for implementation are included in a new format, to provide more flexibility than is possible at present.*

**o) For species of possible concern, these recommendations should propose specific actions to provide the information with a view to categorizing the species as either of urgent concern or of least concern, as well as interim measures where appropriate for the regulation of trade. Such recommendations should differentiate between short-term and long-term actions, and may include, for example:**

**i) the conducting of taxon and country-specific status assessments, field studies or evaluation of threats to populations or other relevant factors; [and]**

**ii) cautious quotas on exports of the species concerned as an interim measure.**

**Deadlines for implementation of these actions should be determined by the Animals or Plants Committee. They must be appropriate to the nature of the action to be undertaken, but should normally be no less than 90 days or no longer than two years;**

~~for those species under review for which sufficient information on trade and biological status of the species under review is not available:~~

~~i) to recommend taxon specific status assessments;~~

~~ii) to recommend country specific status assessments;~~

~~iii) to recommend to range States the establishment of cautious quotas as an interim measure; and~~

~~iv) to make, as appropriate, recommendations as described under paragraph b) above once the assessments referred to in paragraphs c) i) and ii) have been completed;~~

*Text in strike-through from paragraph c) of Resolution Conf. 8.9 (Rev.) under DIRECTS. The new text presents a considerable departure from the status quo, but will provide a clearer guideline to the Committees concerning species in this category. By implication, species in the category of possible concern should be recategorized once additional information has been provided as recommended, to avoid making specific recommendations other than the establishment of interim quotas as a precautionary measure, for species of unknown status.*

**~~p) the above mentioned recommendations of the Animals Committee and the Plants Committee shall be communicated by the Secretariat to each Party concerned and~~ These recommendations shall be transmitted to the States concerned by the Secretariat;**

*The text in strike-out comes from paragraph a) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS. The new text, from paragraph n) of Decisions 11.106 and 11.117, is simpler. The Secretariat generally transmits recommendations to countries as a matter of urgency, but is hesitant to propose a specific time period for such action.*

~~i) for primary recommendations, each Party concerned, shall within 90 days of receipt, demonstrate to the satisfaction of the Secretariat that it has implemented the recommendations;~~

*Previously paragraph b) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS.*

- ~~ii) for secondary recommendations, each Party concerned, shall within either 12 months of receipt, demonstrate to the satisfaction of the Secretariat that it has implemented or taken action to implement the recommendations;~~

*Previously paragraph c) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS.*

- ~~iii) for recommendations made under the provisions of paragraph iii) iii) under 'DIRECTS' above, each Party concerned, within 90 days of receipt of the recommendations of the Animals Committee or the Plants Committee, demonstrate to the satisfaction of the Secretariat that it has implemented the recommendations;~~

*Previously paragraph e) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS.*

- ~~iv) for recommendations made pursuant to paragraphs c) i) and ii) under 'DIRECTS' above, each range State concerned, in consultation with the Secretariat and the Chairman of the Animals Committee or Plants Committee, as appropriate, complete a status assessment within two years of receipt of the recommendations of the committee concerned;~~

*Previously paragraph d) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS.*

*The new text in paragraphs n) and o) makes sub-paragraphs i)-iv) obsolete.*

### **Measures to be taken [regarding the implementation of recommendations]**

*Suggested new heading for this stage of the review process, text in square brackets added after the 18th meeting of the Animals Committee to clarify the heading.*

- q) The Secretariat shall, in consultation with the Animals or Plants Committee, determine whether the recommendations outlined above have been implemented and report to the Standing Committee accordingly;**

*New paragraph proposed by the Animals Committee.*

- r) Where the recommendations have been met, the Secretariat shall recommend to the Standing Committee that the species be removed from the process;**

*New paragraph proposed by the Animals Committee.*

- s) Where the Secretariat, having consulted with the Chairman of the Animals or Plants Committee, is not satisfied that a State has fulfilled the requirements specified in paragraph n) or o), it should recommend to the Standing Committee that all Parties take strict measures immediately, which may include, as appropriate, a suspension of trade in the affected species with that State;**

~~upon failure of a concerned Party to satisfy the Secretariat that it has fulfilled the requirements specified in paragraph b), c), d) or e) of this section, the Secretariat recommend to the Standing Committee that all Parties immediately take strict measures, including as appropriate, suspension of trade in the affected species with that Party;~~

~~{... the Secretariat ... shall, in consultation with the Animals/Plants Committee, determine whether the recommendations have been implemented and report to the Standing Committee in accordance with Resolution Conf. 8.9 (Rev.)}~~

*Previously paragraph f) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS. The nearly identical text in { } brackets, comes from paragraph n) of Decisions 11.106 and 11.117, and contradicts the text from the Resolution, but was preferred, in amended form as shown (in bold) by the Animals Committee.*

- t) ~~following acceptance of the Secretariat's recommendation by the Standing Committee,~~  
~~†The Secretariat shall notify the Parties of the outcome and of any recommendations or actions taken by the Standing Committee accordingly;~~ and

*Previously paragraph g) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS, with proposed amendments suggested by the Animals Committee.*

- u) A recommendation to suspend trade in the affected species with the State concerned should be withdrawn only when that State demonstrates to the satisfaction of the Standing Committee, through the Secretariat, compliance with Article IV, paragraph 2 (a), 3 or 6 (a);

~~in the case of suspension of trade in accordance with paragraph f) above, trade in the affected species with the Party concerned be reinstated only when that Party demonstrates to the satisfaction of the Standing Committee, through the Secretariat, compliance with the recommendations made by the Animals or Plants Committee with respect to the implementation of Article IV, paragraph 2 (a), 3 or 6 (a);~~

*Previously paragraph h) of Resolution Conf. 8.9 (Rev.) under RECOMMENDS, and simplified.*

- v) **The Standing Committee, in consultation with the Secretariat and the Chairman of the Animals or Plants Committee, shall review recommendations to suspend trade that have been in place for longer than two years and, if appropriate, formulate additional recommendations to resolve the situation.**

*New paragraph proposed by the Animals Committee.*

### **Support to range States**

*Suggested new heading for this aspect of the review process*

URGES the Parties and all organizations interested in the conservation and sustainable use of wildlife to provide the necessary financial support ~~and/or~~ technical assistance to those States in need of such assistance to ensure that wild populations of species of fauna and flora subject to significant international trade are not subject to trade that is detrimental to their survival.

**Examples of such measures could include:**

- i) **Training of conservation staff in the range States;**
- ii) **Provision of information and guidance to stakeholders;**
- iii) **Facilitation of information exchange among range States; and**
- iv) **Provision of technical equipment and support.**

*New sub-paragraphs proposed by the Animals Committee.*

**DIRECTS the Secretariat to assist with the identification and communication of funding needs in the range States and sources for such funding.**

*New paragraph proposed by the Animals Committee.*

### **Monitoring, reporting and reintroduction into the review process**

*Suggested new heading for this aspect of the review process.*

DIRECTS the Secretariat, for the purpose of monitoring and facilitating the implementation of this Resolution and the relevant paragraphs of Article IV of the Convention, ~~and for allowing the reintroduction of a species into the review process in case of concern;~~

- a) ~~To~~ report to each meeting of the Animals or Plants Committee on the implementation by the countries concerned of the recommendations made by the Committee; ~~and~~
- b) ~~To~~ report at each meeting of the Conference of the Parties on the progress of this review, **on the recommendations made** and on the measures adopted ~~and in accordance with this Resolution~~ **those recommended to implement Article IV for Appendix II species subject to significant trade;** and

*Previously paragraph d) of Resolution Conf. 8.9 (Rev.) under DIRECTS. This element of the Resolution is currently directed to the Animals and Plants Committees, but the reporting, in practice, has been done by the Secretariat.*

- c) **To maintain a register of species that are included in the review process set out in this Resolution and progress with the implementation of recommendations.**

*New paragraph proposed by the Animals Committee.*

- ~~e) to immediately inform the Animals or Plants Committee about possible concerns regarding trade in species and:
  - i) that had been eliminated from the review process at a time when the committee concerned believed that the trade data available indicated that the trade was not detrimental to the survival of the species concerned; or
  - ii) for which the Secretariat was satisfied that the primary or secondary recommendations had been fulfilled by the Parties concerned;~~

*Previously paragraph b) of Resolution Conf. 8.9 (Rev.) under DIRECTS the Secretariat. The Secretariat recommends the deletion of these provisions in favour of the simpler and more general text in paragraph c), which broadens the possibility for the Secretariat to refer issues of concern regarding trade in Appendix-II species to the Animals or Plants Committee.*

- ~~d) where a State subject to a recommendation of the Animals Committee has agreed to set an export quota considered as cautious by the Secretariat, the case shall be reviewed again by the Committee in due course;~~

*Previously Decision 11.108. This provision has, in effect, fallen in disuse.*

Proposed revision of Resolution Conf. 8.9 (Rev.), forwarded from the Animals Committee to the Plants Committee. Text in square brackets still has to be finalized.

Review of significant trade in specimens of Appendix-II species

RECALLING that Article IV, paragraph 2 (a), of the Convention requires, as a condition for granting an export permit, that a Scientific Authority of the State of export has advised that the export will not be detrimental to the survival of the species concerned;

RECALLING that Article IV, paragraph 3, requires a Scientific Authority of each Party to monitor exports of Appendix-II species and to advise the Management Authority of suitable measures to be taken to limit such exports in order to maintain such species throughout their range at a level consistent with their role in the ecosystem;

RECALLING also that Article IV, paragraph 6 (a), requires, as a condition for granting a certificate of introduction from the sea, that a Scientific Authority of the State of introduction from the sea has advised that the introduction will not be detrimental to the survival of the species concerned;

CONCERNED that some States permitting export of Appendix-II species are not effectively implementing Article IV, that, in such cases, measures necessary to ensure that the export of an Appendix-II species takes place at a level that will not be detrimental to the survival of that species, such as population assessments and monitoring programmes, are not being undertaken; that information on the biological status of many species is frequently not available; and that the data on trade as included in annual reports are frequently incomplete;

RECALLING that the proper implementation of Article IV is essential for the conservation and sustainable use of Appendix-II species;

NOTING the important benefits of the review of trade in specimens of such species by the Animals and Plants Committees as set out in Resolution Conf. 8.9 (Rev.) [on trade in specimens of Appendix-II species taken from the wild, adopted at the eight meeting of Conference of the Parties (Kyoto, 1992) and amended at the 11th meeting (Gigiri, 2000),] referred to as the Review of the Significant Trade, and the need to clarify further and simplify the procedure to be followed;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

DIRECTS the Animals and Plants Committees, in cooperation with the Secretariat and experts, and in consultation with range States, to review the biological, trade and other relevant information on Appendix-II species, to identify problems and solutions concerning the implementation of Article IV, paragraphs 2 (a), 3 and 6 (a) of the Convention in accordance with the following procedure:

Selection of species to be reviewed

- a) The Secretariat shall request UNEP-WCMC to produce, within 90 days after each meeting of the Conference of the Parties, a summary from the CITES database of

annual report statistics showing the recorded net levels of exports<sup>2</sup> for Appendix-II species over the five most recent years;

- b) On the basis of recorded trade levels and information available to the Animals or Plants Committee, the Secretariat, Parties or other relevant experts, species of priority concern will be selected for review (whether or not such species have been the subject of a previous review);
- c) In exceptional cases where new information indicates an urgent concern, the Animals or Plants Committees can [add a species to this selection process at another stage];

#### Consultation with range States concerning implementation of Article IV

- d) The Secretariat should, within 30 days after the meeting of the Animals or Plants Committee at which species are selected, notify range States of the species selected, providing an explanation for this selection and requesting comments regarding possible problems of implementing Article IV identified by the Committee. Range States shall be given 60 days to respond;
- e) The Secretariat shall report to the Animals or Plants Committee on the response of the range States concerned, including [in that report] any other pertinent information; and
- f) When the Animals or Plants Committee, having reviewed the available information, is satisfied that Article IV paragraphs 2 (a), 3 and 6 (a), are correctly implemented, the species shall be eliminated from the review with respect to the State concerned. In that event, the Secretariat shall notify the Parties accordingly;

#### Compilation of information and preliminary categorization

- g) In the event that the species is not eliminated from the review as provided for in paragraph f) above, the Secretariat shall proceed with the compilation of information regarding the species and the preliminary categorization of that species in the range State(s) concerned;
- h) When necessary, consultants shall be engaged by the Secretariat to compile information about the biology and management of the selected species and shall contact the range States and/or relevant experts to obtain information for inclusion in the compilation;
- i) The Secretariat or consultants, as appropriate, shall summarize their conclusions about the effects of international trade on the selected species and problems concerning the implementation of Article IV, and shall divide the selected species into three preliminary categories:
  - i) 'species of urgent concern' shall include species for which the available information indicates that the provisions of Article IV of the Convention are not being implemented;

---

<sup>2</sup> 'Net level of exports' means the total number of specimens exported from a range State, based on the reported export and import data.

- ii) 'species of possible concern' shall include [those] species for which it is not clear whether or not the provisions of Article IV of the Convention are being implemented; and
- iii) 'species of least concern' shall include species for which the available information appears to indicate that the provisions of Article IV are being met;
- j) Before consideration by the Animals or Plants Committee, the Secretariat shall transmit the review documents prepared by the consultants to relevant range States, seeking comments and, where appropriate, additional information. Range States shall be given 60 days to respond.

#### Review of information and confirming categorization by the Animals or Plants Committee

- k) The Animals or Plants Committee shall review the information provided by the [Secretariat or the] consultants and the responses received from the States concerned and, if appropriate, revise the preliminary categories proposed by the [Secretariat or the] consultants; and
- l) Species of least concern shall be eliminated from the review. Problems identified in the course of the review that are not related to the implementation of Article IV, paragraphs 2 (a), 3 and 6 (a), [such as problems related to enforcement,] shall be addressed by the Secretariat.

#### Formulation of recommendations and their transmission to range States

- m) The Animals Committee or Plants Committee shall, in consultation with the Secretariat, formulate recommendations relating to the remaining species. These recommendations shall be directed to range States;
- n) For species of urgent concern, these recommendations should propose specific actions to address problems related to conservation and the regulation of trade. Such recommendations should differentiate between short-term and long-term actions, and may include, for example:
  - i) the establishment of administrative procedures, cautious quotas or other temporary restrictions on exports of the species concerned;
  - ii) the application of adaptive management procedures [to ensure that further decisions about the harvesting and management of the species concerned will be based on the monitoring of the impact of previous harvesting and other factors];
  - iii) the conducting of taxon- and country-specific status assessments, field studies or evaluation of threats to populations or other relevant factors to provide the information necessary for a Scientific Authority's non-detriment finding.

Deadlines for implementation of these actions should be determined by the Animals or Plants Committee. They must be appropriate to the nature of the action to be undertaken, but should normally be no less than 90 days or longer than two years;

- o) For species of possible concern, these recommendations should propose specific actions to provide the information with a view to categorizing the species as either of urgent concern or of least concern, as well as interim measures where appropriate for the regulation of trade. Such recommendations should differentiate between short-term and long-term actions, and may include, for example:

- i) the conducting of taxon and country-specific status assessments, field studies or evaluation of threats to populations or other relevant factors; [and]
- ii) cautious quotas on exports of the species concerned as an interim measure.

Deadlines for implementation of these actions should be determined by the Animals or Plants Committee. They must be appropriate to the nature of the action to be undertaken, but should normally be no less than 90 days or no longer than two years;

- p) These recommendations shall be transmitted to the States concerned by the Secretariat;

#### Measures to be taken [regarding the implementation of recommendations]

- q) The Secretariat shall, in consultation with the Animals or Plants Committee, determine whether the recommendations outlined above have been implemented and report to the Standing Committee accordingly;
- r) Where the recommendations have been met, the Secretariat shall recommend to the Standing Committee that the species be removed from the process;
- s) When the Secretariat, having consulted with the Chairman of the Animals or Plants Committee, is not satisfied that a State has fulfilled the requirements specified in paragraph n) or o), it should recommend to the Standing Committee that all Parties take strict measures immediately, which may include, as appropriate, a suspension of trade in the affected species with that State;
- t) The Secretariat shall notify the Parties of the outcome and of any recommendations or actions taken by the Standing Committee; and
- u) A recommendation to suspend trade in the affected species with the State concerned should be withdrawn only when that State demonstrates to the satisfaction of the Standing Committee, through the Secretariat, compliance with Article IV, paragraph 2 (a), 3 or 6 (a);
- v) The Standing Committee, in consultation with the Secretariat and the Chairman of the Animals or Plants Committee, shall review recommendations to suspend trade that have been in place for longer than two years and, if appropriate, formulate additional recommendations to resolve the situation.

#### Support to range States

URGES the Parties and all organizations interested in the conservation and sustainable use of wildlife to provide the necessary financial support and/or technical assistance to those States in need of such assistance to ensure that wild populations of species of fauna and flora subject to significant international trade are not subject to trade that is detrimental to their survival. Examples of such measures could include:

- i) Training of conservation staff in the range States;
- ii) Provision of information and guidance to stakeholders;
- iii) Facilitation of information exchange among range States; and
- iv) Provision of technical equipment and support.

DIRECTS the Secretariat to assist with the identification and communication of funding needs in the range States and sources for such funding.

Monitoring, reporting and reintroduction into the review process

DIRECTS the Secretariat, for the purpose of monitoring and facilitating the implementation of this Resolution and the relevant paragraphs of Article IV of the Convention;

- a) To report to each meeting of the Animals or Plants Committee on the implementation by the countries concerned of the recommendations made by the Committee;
- b) To report at each meeting of the Conference of the Parties on the progress of this review, on the recommendations made and on the measures adopted in accordance with this Resolution; and
- c) To maintain a register of species that are included in the review process set out in this Resolution and progress with the implementation of recommendations.