

CONVENCIÓN SOBRE EL COMERCIO INTERNACIONAL DE ESPECIES
AMENAZADAS DE FAUNA Y FLORA SILVESTRES



Sesiones conjuntas de la 31ª reunión del Comité de Fauna y
de la 25ª reunión del Comité de Flora
Ginebra (Suiza), 17 de julio de 2020

Cuestiones estratégicas

VISIÓN ESTRATÉGICA DE LA CITES

1. Este documento ha sido preparado por la Secretaría.

Antecedentes

2. En su 18ª reunión (CoP18, Ginebra, 2019), la Conferencia de las Partes adoptó las Decisiones 18.23 a 18.26 sobre *Visión estratégica de la CITES*, cuyo texto es el siguiente:

Dirigida a la Secretaría

18.24 *La Secretaría deberá:*

- a) *realizar un análisis comparativo a fin de ilustrar los vínculos entre la Visión Estratégica de la CITES: 2021-2030 adoptada y las metas de la Agenda 2030 para el Desarrollo Sostenible y, una vez adoptado, el marco de la diversidad biológica después de 2020, y presentar su análisis al Comité Permanente para su información; y*
- b) *examinar los objetivos de la Visión Estratégica de la CITES 2021-2030 en comparación con las actuales resoluciones y decisiones de la CITES, y señalar a la atención del Comité de Fauna y de Flora, según proceda, y del Comité Permanente aquellos casos (si los hubiere) en los que las políticas actuales de la CITES, tal como se encuentran en las resoluciones y decisiones, no parecen prever actividades en apoyo de la consecución de algún objetivo de la Visión Estratégica de la CITES.*

Dirigida a los Comités de Fauna y de Flora

18.25 *Los Comités de Fauna y de Flora deberán analizar el examen preparado por la Secretaría en virtud del párrafo b) de la Decisión 18.24 y presentar sus recomendaciones al Comité Permanente.*

Dirigida al Comité Permanente

18.26 *El Comité Permanente deberá:*

- a) *en consulta con las Presidencias de los Comités de Fauna y de Flora, y tomando en consideración la información presentada por las Partes a través del Informe de aplicación, así como el análisis comparativo preparado por la Secretaría en virtud del párrafo a) de la Decisión 18.24, formular recomendaciones sobre los indicadores de los progresos nuevos o revisados para incluirlas en la Visión Estratégica de la CITES: 2021-2030, para su consideración por la 19ª reunión de la Conferencia de las Partes;*

b) examinar la información proporcionada por la Secretaría en virtud del párrafo 2 de la Decisión 18.24, así como las opiniones de los Comités de Fauna y de Flora, y formular recomendaciones para la 19ª reunión de la Conferencia de las Partes.

3. De conformidad con el párrafo b) de la Decisión 18.24, la Secretaría ha preparado una correlación entre los objetivos de la *Visión Estratégica de la CITES* y las resoluciones y decisiones de la CITES en vigor, que figura en el Anexo 1 del presente documento.
4. Muchas resoluciones y decisiones se pudieron correlacionar con varios objetivos diferentes de la Visión Estratégica. A fin de evitar que el resultado obtenido careciera del enfoque suficiente, en este ejercicio, la Secretaría correlacionó una resolución o una decisión con un objetivo únicamente cuando las actividades generales propuestas en esa resolución o decisión apoyaban de manera directa el objetivo.

Resultados

5. Se consideró que los Objetivos 1.1 a 1.3, en particular, están especialmente interconectados y resulta difícil separarlos. Para estos objetivos, la Secretaría observó una progresión en la descripción de los objetivos, en la que el Objetivo 1.1 se centra en la transposición de las obligaciones dimanantes de la Convención por las Partes en leyes nacionales; el Objetivo 1.2 se centra en las instituciones nacionales necesarias para aplicar la Convención; y el Objetivo 1.3 se centra más detalladamente en los instrumentos, procedimientos y orientaciones necesarios para la interpretación y aplicación de la Convención en el plano nacional. Las resoluciones y decisiones se correlacionaron con los Objetivos 1.1 a 1.3 teniendo presente este razonamiento. El Objetivo 1.2 se correlacionó únicamente con las resoluciones que se centran en las Autoridades Administrativas y Científicas, la Resolución Conf. 10.3 sobre *Designación y función de la Autoridad Científica* y la Resolución Conf. 18.6 sobre *Designación y funciones de las Autoridades Administrativas*. La Secretaría observa que no hay una resolución que se centre especialmente en la función de los puntos focales para la aplicación, pero que el párrafo 12 de la Resolución Conf. 11.3 (Rev. CoP18) sobre *Observancia y aplicación* se relaciona con la designación de los puntos focales para la aplicación.
6. En cuanto a las resoluciones sobre especies específicas, no se encontró un objetivo obvio que pudiera abarcarlas en su conjunto. La Secretaría observa que algunas resoluciones sobre especies específicas se centran más en las medidas de conservación, la gestión del comercio y la recopilación y el intercambio de información sobre la especie concernida; por lo tanto, estas se correlacionaron con el Objetivo 2.5. Otras resoluciones sobre especies específicas se centran más en la observancia y el comercio ilegal; por lo tanto, se las correlacionó con el Objetivo 3.5.
7. Como resultado del ejercicio de correlación, la Secretaría observa que algunas resoluciones y decisiones no se correlacionan fácilmente con un objetivo y viceversa; es decir, algunos objetivos no parecen tener una resolución o decisión relacionada.
 - a) Las siguientes resoluciones y decisiones no se correlacionaron con los objetivos de la Visión Estratégica:

Resolución Conf. 4.6 (Rev. CoP18) sobre *Presentación de proyectos de resolución, proyectos de decisión y de otros documentos para las reuniones de la Conferencia de las Partes*

Resolución Conf. 18.2 sobre *Establecimiento de comités*

Decisión 18.1 sobre *Reglamento*

Decisiones 18.2 a 18.3 sobre *Funcionamiento de los Comités*

Decisión 18.30 sobre *Estrategia lingüística para la Convención*

Las resoluciones y decisiones antes mencionadas corresponden a los procedimientos institucionales y de las reuniones que resultan esenciales para el funcionamiento de los órganos rectores de la Convención. La Secretaría observa que la Visión Estratégica se centra principalmente en los compromisos de las Partes destinados a cumplir el objetivo de la CITES de velar por que el comercio internacional de especies silvestres no ponga en peligro la supervivencia de las especies de fauna y flora silvestres y menos en los procedimientos internos y los órganos rectores de la Convención.

- b) Los Objetivos 2.2 y 2.3 (véase a continuación) pudieron correlacionarse únicamente con párrafos de diferentes resoluciones y decisiones y no se reflejan en ninguna resolución o decisión específica que se ocupe por completo del intercambio de información o la disposición de información suficiente para la observancia. En concreto, los llamamientos a elaborar o compartir información e instrumentos están

distribuidos en diferentes párrafos de varias resoluciones y decisiones y no hay ninguna resolución o decisión que aborde en gran medida o exclusivamente la cuestión del intercambio de información. Del mismo modo, tampoco hay ninguna resolución o decisión específica que se centre en gran medida o exclusivamente en la necesidad de disponer de información suficiente para hacer cumplir la Convención.

Objetivo 2.2 – Las Partes cooperarán en el intercambio de información y herramientas pertinentes para la aplicación de la CITES.

Objetivo 2.3 – Las Partes dispondrán de información suficiente para hacer cumplir la Convención.

Como se le encarga en el párrafo 4 d) de la Resolución Conf. 4.6 (Rev. CoP18) sobre *Presentación de proyectos de resolución, proyectos de decisión y de otros documentos para las reuniones de la Conferencia de las Partes*, la Secretaría ha recopilado una lista de los requisitos para la presentación de informes, que se encuentra en el Anexo 2 del presente documento. Ante la falta de una definición de los “requisitos para la presentación de informes”, la Secretaría ha incluido todas las referencias que figuran en la Convención y las resoluciones y decisiones en las que se solicita a las Partes que envíen informes o información a la Secretaría o a otras Partes. La lista se divide en tres categorías: 1) requisitos para la presentación de informes y solicitudes de información que figuran en la Convención, 2) requisitos para la presentación de informes y solicitudes de información que figuran en resoluciones, y 3) requisitos para la presentación de informes y solicitudes de información que figuran en decisiones. Los llamamientos a compartir información e instrumentos señalados en esa lista de requisitos para la presentación de informes se pueden utilizar para identificar los párrafos específicos de las resoluciones y decisiones que resultan pertinentes para los Objetivos 2.2 y 2.3. En lugar de enumerar los párrafos específicos de las resoluciones y decisiones que se podrían correlacionar con los Objetivos 2.2 y 2.3, la Secretaría ha incluido la lista de los requisitos para la presentación de informes para aquellos que estén interesados en conocer en qué párrafos específicos de las resoluciones y decisiones se solicita el intercambio de información.

c) Además, algunos objetivos se han correlacionado solo con unas pocas decisiones o resoluciones:

Objetivo 3.7 – Se priorizarán y coordinarán las inversiones en el fomento de capacidad de la CITES, y se supervisará su éxito para garantizar una mejora gradual a lo largo del tiempo.

Objetivo 3.8 – Las Partes aprovecharán plenamente los nuevos adelantos tecnológicos para mejorar la aplicación y la observancia efectivas de la Convención.

La Secretaría observa que algunos objetivos, tales como el Objetivo 3.8 sobre nuevos adelantos, suelen estar relacionados únicamente con decisiones, más que con resoluciones. Esto refleja la práctica que sigue la Conferencia de las Partes de introducir las cuestiones nuevas en decisiones que se aplicarán en los períodos entre las reuniones de la Conferencia de las Partes. Una vez que un conjunto de decisiones ha sido renovado durante varios períodos entre reuniones, las lecciones aprendidas suelen volcarse luego en resoluciones. En relación con los Objetivos 3.7 y 3.8, se está trabajando para elaborar un marco de fomento de la capacidad. Las Decisiones 18.39 a 18.46 abordan el Objetivo 3.7; y el Objetivo 3.8 se aborda mediante las Decisiones 18.125 a 18.128 sobre *Sistemas electrónicos y tecnologías de la información*, en las que, entre otras cosas, se solicita que se desarrollen sistemas de emisión electrónica de permisos, lo que puede conducir a enmiendas de la Resolución Conf. 12.3 (Rev. CoP18) sobre *Permisos y certificados*.

Recomendaciones

8. Se invita a los Comités a señalar aquellos objetivos (en su caso) en que las políticas actuales de la CITES que figuran en las resoluciones y decisiones no parecen abordar actividades que apoyen la consecución de ese objetivo de la *Visión estratégica de la CITES* y que formulen recomendaciones al Comité Permanente sobre la correlación entre la *Visión Estratégica de la CITES 2021-2030* y las resoluciones y decisiones de la CITES en vigor que figura en el Anexo 1 del presente documento.

CORRELACIÓN ENTRE LOS OBJETIVOS DE LA VISIÓN ESTRATÉGICA DE LA CITES 2021-2030
Y LAS RESOLUCIONES Y DECISIONES DE LA CITES

Objetivos Estratégicos de la CITES

Velar por que el comercio internacional de animales y plantas silvestres no amenace su supervivencia:

META 1 EL COMERCIO DE LAS ESPECIES INCLUIDAS EN LOS APÉNDICES DE LA CITES TENDRÁ LUGAR EN PLENO CUMPLIMIENTO DE LA CONVENCIÓN A FIN DE LOGRAR SU CONSERVACIÓN Y USO SOSTENIBLE		
<u>Objetivos</u>	<u>Resoluciones</u>	<u>Decisiones</u>
<p><u>Objetivo 1.1</u> Las Partes cumplirán sus obligaciones en virtud de la Convención mediante la adopción y aplicación de legislación, políticas y procedimientos adecuados.</p>	<p>Res. Conf. 8.4 (Rev. CoP15) sobre <i>Legislaciones nacionales para la aplicación de la Convención</i> Res. Conf. 11.3 (Rev. CoP18) sobre <i>Observancia y aplicación</i> Res. Conf. 14.3 (Rev. CoP18) sobre <i>Procedimientos para el cumplimiento de la CITES</i> Res. Conf. 15.2 sobre <i>Exámenes de políticas comerciales de especies silvestres</i> Las políticas y los procedimientos se abordan en el Objetivo 1.3.</p>	<p>18.62 a 18.67 sobre <i>Leyes nacionales para la aplicación de la Convención</i> 18.74 sobre <i>Revisión de la Resolución Conf. 11.3 (Rev. CoP18)</i></p>
<p><u>Objetivo 1.2</u> Las Partes habrán establecido Autoridades Administrativas y Científicas y puntos focales para la aplicación que lleven a cabo eficazmente las tareas que se les exige en virtud de la Convención y las resoluciones pertinentes.</p>	<p>Res. Conf. 10.3 sobre <i>Designación y función de la Autoridad Científica</i> Res. Conf. 18.6 sobre <i>Designación y funciones de las Autoridades Administrativas</i></p>	
<p><u>Objetivo 1.3</u> La aplicación de la Convención a escala nacional será coherente con las Resoluciones y Decisiones adoptadas por la Conferencia de las Partes.</p>	<p>Res. Conf. 4.22 sobre <i>Pruebas del derecho extranjero</i> Res. Conf. 4.25 (Rev. CoP18) sobre <i>Reservas</i> Res. Conf. 4.27 sobre <i>Interpretación del párrafo 3 del Artículo XVII de la Convención</i> Res. Conf. 5.10 (Rev. CoP15) sobre <i>Definición de la expresión "con fines primordialmente comerciales"</i> Res. Conf. 6.7 sobre <i>Interpretación del párrafo 1 del Artículo XIV de la Convención</i></p>	<p>18.151 sobre <i>Implicaciones de la transferencia de una especie de un Apéndice a otro</i> 18.157 a 18.158 sobre <i>Introducción procedente del mar</i> 18.159 a 18.164 sobre <i>Disposición de los especímenes confiscados</i> 14.69 sobre <i>Especímenes criados en cautividad y en granjas</i></p>

	<p>Res. Conf. 9.5 (Rev. CoP16) sobre <i>Comercio con Estados no Partes en la Convención</i></p> <p>Res. Conf. 9.6 (Rev. CoP16) sobre <i>Comercio de partes y derivados fácilmente identificables</i></p> <p>Res. Conf. 9.7 (Rev. CoP15) sobre <i>Tránsito y transbordo</i></p> <p>Res. Conf. 9.19 (Rev. CoP15) sobre <i>Registro de viveros que reproducen artificialmente especímenes de especies de flora incluidas en el Apéndice I con fines de exportación</i></p> <p>Res. Conf. 9.21 (Rev. CoP18) sobre <i>Interpretación y aplicación de cupos para especies incluidas en el Apéndice I</i></p> <p>Res. Conf. 9.25 (Rev. CoP18) sobre <i>Aplicación de la Convención para especies en el Apéndice III</i></p> <p>Res. Conf. 10.13 (Rev. CoP18) sobre <i>Aplicación de la Convención a las especies arbóreas</i></p> <p>Res. Conf. 10.16 (Rev.) sobre <i>Especímenes de especies animales criados en cautividad</i></p> <p>Res. Conf. 10.17 (Rev. CoP14) sobre <i>Híbridos animales</i></p> <p>Res. Conf. 10.20 sobre <i>Frecuentes movimientos transfronterizos de animales vivos de propiedad privada</i></p> <p>Res. Conf. 10.21 sobre <i>Transporte de especímenes vivos</i></p> <p>Res. Conf. 11.11 (Rev. CoP18) sobre <i>Reglamentación del comercio de plantas</i></p> <p>Res. Conf. 11.15 (Rev. CoP18) sobre <i>Préstamos, donaciones e intercambios no comerciales de especímenes de museo, herbario, diagnóstico e investigación forense</i></p> <p>Res. Conf. 11.17 (Rev. CoP18) sobre <i>Informes nacionales</i></p> <p>Res. Conf. 12.3 (Rev. CoP18) sobre <i>Permisos y certificados</i></p> <p>Res. Conf. 12.10 (Rev. CoP15) sobre <i>Registro de establecimientos que crían en cautividad especies de fauna incluidas en el Apéndice I con fines comerciales</i></p> <p>Res. Conf. 13.6 (Rev. CoP18) sobre <i>Aplicación del párrafo 2 del Artículo VII en lo que concierne a los especímenes “preconvención”</i></p> <p>Res. Conf. 13.7 (Rev. CoP17) sobre <i>Control del comercio de artículos personales y bienes del hogar</i></p> <p>Res. Conf. 14.6 (Rev. CoP16) sobre <i>Introducción procedente del mar</i></p> <p>Res. Conf. 14.7 (Rev. CoP15) sobre <i>Gestión de cupos de exportación establecidos nacionalmente</i></p> <p>Res. Conf. 16.8 (Rev. CoP17) sobre <i>Frecuentes movimientos transfronterizos no comerciales de instrumentos musicales</i></p>	<p>18.172 a 18.173 sobre <i>Examen de las disposiciones de la CITES relacionadas con el comercio de especímenes de animales y plantas de origen no silvestre</i></p> <p>18.174 a 18.175 sobre <i>Cría en cautividad de lagartos cornudos</i></p> <p>18.176 a 18.177 sobre <i>Revisión de las disposiciones de la Resolución Conf. 17.7 (Rev. CoP18)</i></p> <p>18.178 sobre <i>Orientación sobre la expresión “reproducido artificialmente”</i></p> <p>18.179 a 18.181 sobre <i>Especímenes cultivados a partir de semillas o esporas recolectadas en el medio silvestre que se consideran reproducidos artificialmente</i></p> <p>17.170 (Rev. CoP18) sobre <i>Existencias y reservas</i></p> <p>18.182 a 18.185 sobre <i>Existencias y reservas (marfil de elefante)</i></p> <p>16.162 (Rev. CoP18) y 18.316 a 18.320 sobre <i>Anotaciones</i></p>
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	<p>Res. Conf. 16.10 sobre <i>Aplicación de la Convención a los taxa que producen madera de agar</i></p> <p>Res. Conf. 17.7 (Rev. CoP18) sobre <i>Examen del comercio de especímenes animales notificados como producidos en cautividad</i></p> <p>Res Conf. 17.8 sobre <i>Especímenes comercializados ilegalmente y confiscados de especies incluidas en los Apéndices de la CITES</i></p> <p>Res. Conf. 18.7 sobre <i>Dictámenes de adquisición legal</i></p>	
<p><u>Objetivo 1.4</u> Los Apéndices reflejarán correctamente el estado de conservación y las necesidades de las especies.</p>	<p>Res. Conf. 5.20 (Rev. CoP17) sobre <i>Directrices que ha de aplicar la Secretaría al formular recomendaciones en consonancia con el Artículo XV</i></p> <p>Res. Conf. 8.21 (Rev. CoP16) sobre <i>Consultas con los Estados del área de distribución sobre las propuestas de enmienda a los Apéndices I y II</i></p> <p>Res. Conf. 9.20 (Rev.) sobre <i>Directrices para evaluar las propuestas relativas a la cría en granjas de tortugas marinas presentadas de conformidad con la Resolución Conf. 11.16 (Rev. CoP15)</i></p> <p>Res. Conf. 9.21 (Rev. CoP18) sobre <i>Interpretación y aplicación de cupos para especies incluidas en el Apéndice I</i></p> <p>Res. Conf. 9.24 (Rev. CoP17) sobre <i>Criterios para enmendar los Apéndices I y II</i></p> <p>Res. Conf. 11.16 (Rev. CoP15) sobre <i>Cría en granjas y comercio de especímenes criados en granjas de especies transferidas del Apéndice I al Apéndice II</i></p> <p>Res. Conf. 11.21 (Rev. CoP18) sobre <i>Utilización de anotaciones a los Apéndices I y II</i></p> <p>Res. Conf. 12.11 (Rev. CoP18) sobre <i>Nomenclatura normalizada</i></p> <p><i>Res. Conf. 14.5 sobre Reuniones de diálogo</i></p> <p>Res. Conf. 14.8 (Rev. CoP17) sobre <i>Examen periódico de especies incluidas en los Apéndices I y II</i></p>	<p>14.81 sobre <i>Grandes ballenas</i></p> <p>18.321 a 18.322 sobre <i>Anotación #15</i></p> <p>18.323 a 18.326 sobre <i>Anotación del aloe (Aloe ferox)</i></p> <p>18.327 a 18.330 sobre <i>Productos que contienen especímenes de orquídeas del Apéndice II</i></p> <p>18.152 a 18.156 sobre <i>Definición de “destinatarios apropiados y aceptables”</i></p> <p>18.304 a 18.306 sobre <i>Nomenclatura (Cactaceae Checklist y su suplemento)</i></p> <p>18.307 a 18.308 sobre <i>Elaboración de una Lista de la CITES para Dalbergia spp.</i></p> <p>18.309 a 18.310 sobre <i>Uso de versiones temporales específicas de bases de datos en línea como referencias de nomenclatura normalizada</i></p> <p>18.311 a 18.312 sobre <i>Nomenclatura e identificación de corales</i></p> <p>18.313 a 18.314 sobre <i>Nomenclatura para inclusiones en el Apéndice III</i></p> <p>18.315 sobre <i>Nomenclatura de Manidae spp.</i></p> <p>17.312 (Rev. CoP18) sobre <i>Nomenclatura (Nombres de familia y de orden para las aves)</i></p>
<p><u>Objetivo 1.5</u> Las Partes habrán mejorado el estado de conservación de los especímenes incluidos en los Apéndices de la CITES, establecido medidas nacionales de conservación, apoyado su uso sostenible y promovido la cooperación en el manejo</p>	<p>Res. Conf. 8.3 (Rev. CoP13) sobre <i>Reconocimiento de las ventajas del comercio de fauna y flora silvestres</i></p> <p>Res. Conf. 2.11 (Rev.) sobre <i>Comercio de trofeos de caza de especies incluidas en el Apéndice I</i></p> <p>Res. Conf. 10.14 (Rev. CoP16) sobre <i>Cupos de trofeos de caza y pieles de leopardo para uso personal</i></p>	<p>18.28 a 18.29 sobre <i>Especies incluidas en el Apéndice I</i></p> <p>18.165 a 18.170 sobre <i>Cupos de trofeos de caza de leopardo (Panthera pardus)</i></p>

de los recursos compartidos de vida silvestre.	<p>Res. Conf. 10.15 (Rev. CoP14) sobre <i>Reconocimiento de las ventajas del comercio de fauna y flora silvestres</i></p> <p>Res. Conf. 10.19 (Rev. CoP14) sobre <i>Medicinas tradicionales</i></p> <p>Res. Conf. 11.20 (Rev. CoP18) sobre <i>Definición de la expresión "destinatarios apropiados y aceptables"</i></p> <p>Res. Conf. 12.6 (Rev. CoP18) sobre <i>Conservación y gestión de los tiburones</i></p> <p>Res. Conf. 12.7 (Rev. CoP17) sobre <i>Conservación y comercio de esturiones y peces espátula</i></p> <p>Res. Conf. 12.8 (Rev. CoP18) sobre <i>Examen del comercio significativo de especímenes de especies del Apéndice II</i></p> <p>Res. Conf. 13.9 sobre <i>Fomento de la cooperación entre las Partes con establecimientos de cría ex situ y las Partes con programas de conservación in situ</i></p> <p>Res. Conf. 13.5 (Rev. CoP18) sobre <i>Establecimiento de cupos de exportación para trofeos de caza de rinoceronte negro</i></p> <p>Res. Conf. 16.6 (Rev. CoP18) sobre <i>La CITES y los medios de subsistencia</i></p> <p>Res. Conf. 17.9 sobre <i>Comercio de trofeos de caza de especies incluidas en el Apéndice I o II</i></p>	
META 2 LAS DECISIONES DE LAS PARTES ESTARÁN RESPALDADAS POR LOS MEJORES CONOCIMIENTOS CIENTÍFICOS Y LA MEJOR INFORMACIÓN DISPONIBLES		
<u>Objetivos</u>	<u>Resoluciones</u>	<u>Decisiones</u>
<u>Objetivo 2.1</u> Los dictámenes de extracción no perjudicial de las Partes se basarán en la mejor información científica disponible, y su determinación de la adquisición legal se basará en la mejor información técnica y jurídica disponible.	Res. Conf. 16.7 (Rev. CoP17) sobre <i>Dictámenes de extracción no perjudicial</i> Res. Conf. 18.7 sobre <i>Dictámenes de adquisición legal</i>	18.122 a 18.125 sobre <i>Orientaciones para formular dictámenes de adquisición legal</i> 18.132 a 18.134 sobre <i>Dictámenes de extracción no perjudicial</i>
<u>Objetivo 2.2</u> Las Partes cooperarán en el intercambio de información y herramientas pertinentes para la aplicación de la CITES.	Res. Conf. 11.17 (Rev. CoP18) sobre <i>Informes nacionales</i> Para consultar una lista detallada, véase el Anexo 2 – Lista de requisitos para la presentación de informes	Véase el Anexo 2 – Lista de requisitos para la presentación de informes
<u>Objetivo 2.3</u> Las Partes dispondrán de información suficiente para hacer cumplir la Convención.	Res. Conf. 11.17 (Rev. CoP18) sobre <i>Informes nacionales</i> Para consultar una lista detallada, véanse los elementos del Anexo 2 que se relacionan específicamente con la observancia en las filas en color gris	18.75 a 18.76 sobre <i>Informes anuales sobre el comercio ilegal</i> Véanse los elementos del Anexo 2 que se relacionan específicamente con la observancia en las filas en color gris

<p><u>Objetivo 2.4</u> Las Partes tendrán suficiente información para tomar decisiones de inclusión en los Apéndices que reflejen las necesidades de conservación de las especies.</p>	<p>Res. Conf. 9.24 (Rev. CoP17) sobre <i>Criterios para enmendar los Apéndices I y II</i></p>	<p>18.194 a 18.196 sobre <i>Conservación de anfibios (Amphibia spp.)</i> 18.197 a 18.202 sobre <i>Anguilas (Anguilla spp.)</i> 17.192 (Rev. CoP18) a 17.193 (Rev. CoP18) sobre <i>Corales preciosos (orden Antipatharia y familia Coralliidae)</i> 18.205 a 18.208 sobre <i>Comercio de Boswellia spp.</i> 18.234 a 18.237 sobre <i>Especies arbóreas de palo de rosa [Leguminosae (Fabaceae)]</i> 18.256 a 18.259 sobre <i>Gestión del comercio y de la conservación de las aves cantoras (Passeriformes)</i> 18.263 a 18.265 sobre <i>Pez cardenal de Banggai (Pterapogon kauderni)</i> 18.296 a 18.298 sobre <i>Peces ornamentales marinos</i></p>
<p><u>Objetivo 2.5</u> Se identificarán las lagunas de información y las necesidades con relación a especies clave y se las abordará.</p>	<p>Res. Conf. 9.14 (Rev. CoP17) sobre <i>Conservación y comercio de rinocerontes de África y de Asia</i> Res. Conf. 10.10 (Rev. CoP18) sobre <i>Comercio de especímenes de elefante</i> Res. Conf. 11.8 (Rev. CoP17) sobre <i>Conservación y control del comercio del antilope tibetano</i> Res. Conf. 11.9 (Rev. CoP18) sobre <i>Conservación y comercio de tortugas terrestres y galápagos</i> Res. Conf. 12.5 (Rev. CoP18) sobre <i>Conservación y comercio de tigres y otras especies de grandes felinos asiáticos incluidos en el Apéndice I</i> Res. Conf. 12.6 (Rev. CoP18) sobre <i>Conservación y gestión de los tiburones</i> Res. Conf. 12.7 (Rev. CoP17) sobre <i>Conservación y comercio de esturiones y peces espátula</i> Res. Conf. 12.8 (Rev. CoP18) sobre <i>Examen del comercio significativo de especímenes de especies del Apéndice II</i> Res. Conf. 13.10 (Rev. CoP14) sobre <i>Comercio de especies exóticas invasoras</i> Res. Conf. 13.11 (Rev. CoP18) sobre <i>Carne de animales silvestres</i> Res. Conf. 17.12 sobre <i>Conservación, uso sostenible y comercio de serpientes</i> Res. Conf. 18.8 sobre <i>Conservación de la vicuña (Vicugna vicugna) y comercio de su fibra y de sus productos</i></p>	<p>18.186 a 18.192 sobre <i>Buitre de África occidental (Accipitridae spp.)</i> 18.193 sobre <i>Conjunto de recursos sobre el comercio de guepardo (Acinonyx jubatus)</i> 18.203 a 18.204 sobre <i>Taxa que producen madera de agar (Aquilaria spp. y Gyrynops spp.)</i> 18.209 sobre <i>Pez napoleón (Cheilinus undulatus)</i> 18.210 a 18.217 sobre <i>Tortugas marinas (Cheloniidae spp. y Dermochelyidae spp.)</i> 18.218 a 18.225 sobre <i>Tiburones y rayas (Elasmobranchii spp.)</i> 18.226 a 18.227 sobre <i>Comercio de elefante asiático (Elephas maximus)</i> 18.228 a 18.233 sobre <i>Caballitos de mar (Hippocampus spp.)</i> 18.238 a 18.243 sobre <i>Pangolines (Manis spp.)</i> 18.244 a 18.250 sobre <i>León africano (Panthera leo) y el Grupo especial CITES sobre grandes felinos</i> 18.251 a 18.253 sobre <i>Jaguar (Panthera onca)</i> 18.254 a 18.255 sobre <i>Leopardo (Panthera pardus) en África</i> 18.260 a 18.262 sobre <i>Ciruelo africano (Prunus africana)</i> 17.256 (Rev. CoP18) and 17.258 (Rev. CoP18) on <i>Loro gris (Psittacus erithacus)</i></p>

		<p>18.266 a 18.269 sobre <i>Cáleo de casco</i> (Rhinoplax vigil)</p> <p>18.270 a 18.274 sobre <i>Antílope saiga</i> (Saiga spp.)</p> <p>18.275 a 18.280 sobre <i>Caracol pala</i> (Strombus gigas)</p> <p>18.281 a 18.285 sobre <i>Rana gigante del lago Titicaca</i> (Telmatobius culeus)</p> <p>18.286 a 18.291 sobre <i>Tortugas terrestres y galápagos</i> (Testudines spp.)</p> <p>18.292 a 18.295 sobre <i>Totoaba</i> (Totoaba macdonaldi)</p> <p>17.302 sobre <i>Especies arbóreas africanas</i></p> <p>18.299 sobre <i>Especies de árboles neotropicales</i></p> <p>18.300 a 18.303 sobre <i>Comercio de especies de plantas medicinales y aromáticas</i></p>
<p>META 3 LAS PARTES (INDIVIDUAL Y COLECTIVAMENTE) DISPONDRÁN DE LAS HERRAMIENTAS, LOS RECURSOS Y LA CAPACIDAD PARA APLICAR Y HACER CUMPLIR LA CONVENCIÓN DE MANERA EFECTIVA, CONTRIBUYENDO A LA CONSERVACIÓN, EL USO SOSTENIBLE Y LA REDUCCIÓN DEL COMERCIO ILEGAL DE LAS ESPECIES SILVESTRES INCLUIDAS EN LOS APÉNDICES DE LA CITES</p>		
<u>Objetivos</u>	<u>Resoluciones</u>	<u>Decisiones</u>
<p><u>Objetivo 3.1</u> Las Partes habrán establecido procedimientos administrativos transparentes, prácticos, coherentes y fáciles de utilizar, que reduzcan los trámites administrativos innecesarios.</p>	<p>Res. Conf. 7.12 (Rev. CoP15) sobre <i>Requisitos en materia de marcado para el comercio de especímenes de taxa con poblaciones incluidas, a la vez, en el Apéndice I y en el Apéndice II</i></p> <p>Res. Conf. 8.13 (Rev. CoP17) sobre <i>Uso de implantes de microfichas codificadas para marcar animales vivos objeto de comercio</i></p> <p>Res. Conf. 9.7 (Rev. CoP15) sobre <i>Tránsito y transbordo</i></p> <p>Res. Conf. 9.19 (Rev. CoP15) sobre <i>Registro de viveros que reproducen artificialmente especímenes de especies de flora incluidas en el Apéndice I con fines de exportación</i></p> <p>Res. Conf. 10.16 (Rev.) sobre <i>Especímenes de especies animales criados en cautividad</i></p> <p>Res. Conf. 10.20 sobre <i>Frecuentes movimientos transfronterizos de animales vivos de propiedad privada</i></p> <p>Res. Conf. 10.21 sobre <i>Transporte de especímenes vivos</i></p> <p>Res. Conf. 11.10 (Rev. CoP15) sobre <i>Comercio de corales pétreos</i></p> <p>Res. Conf. 11.11 (Rev. CoP18) sobre <i>Reglamentación del comercio de plantas</i></p> <p>Res. Conf. 11.12 (Rev. CoP15) sobre <i>Sistema de marcado universal para identificar pieles de cocodrillos</i></p>	<p>14.54 (Rev. CoP18) sobre <i>Códigos de propósito en los permisos y certificados CITES</i></p> <p>18.144 a 18.145 sobre <i>Trazabilidad</i></p> <p>18.146 sobre <i>Sistema de etiquetado para el comercio de caviar</i></p> <p>18.171 sobre <i>Procedimiento simplificado para los permisos y certificados</i></p>

	<p>Res. Conf. 11.15 (Rev. CoP18) sobre <i>Préstamos, donaciones e intercambios no comerciales de especímenes de museo, herbario, diagnóstico e investigación forense</i></p> <p>Res. Conf. 12.3 (Rev. CoP18) sobre <i>Permisos y certificados</i></p> <p>Res. Conf. 12.10 (Rev. CoP15) sobre <i>Registro de establecimientos que crían en cautividad especies de fauna incluidas en el Apéndice I con fines comerciales</i></p> <p>Res. Conf. 13.6 (Rev. CoP18) sobre <i>Aplicación del párrafo 2 del Artículo VII en lo que concierne a los especímenes "preconvención"</i></p> <p>Res. Conf. 13.7 (Rev. CoP17) sobre <i>Control del comercio de artículos personales y bienes del hogar</i></p> <p>Res. Conf. 16.8 (Rev. CoP17) sobre <i>Frecuentes movimientos transfronterizos no comerciales de instrumentos musicales</i></p>	
<p><u>Objetivo 3.2</u> Las Partes y la Secretaría elaborarán, adoptarán y aplicarán programas adecuados de fomento de la capacidad.</p>	<p>Res. Conf. 3.4 sobre <i>Cooperación técnica</i></p> <p>Res. Conf. 11.19 (Rev. CoP16) sobre <i>Manual de identificación</i></p>	<p>18.39 a 18.46 sobre <i>Fomento de capacidad</i></p> <p>17.108 (Rev. CoP18) a 17.110 (Rev. CoP18) sobre <i>Examen del comercio significativo</i></p> <p>18.68 a 18.70 sobre <i>Programa de asistencia para el cumplimiento</i></p> <p>18.71 a 18.73 sobre <i>Exámenes del comercio significativo a escala nacional</i></p> <p>17.102 sobre <i>Especímenes criados en cautividad y en granjas</i></p> <p>18.135 a 18.139 sobre <i>Materiales de identificación</i></p> <p>18.140 a 18.143 y 16.58 (Rev. CoP18) sobre <i>Identificación de la madera y otros productos madereros</i></p> <p>16.136 (Rev. CoP18) a 16.138 (Rev. CoP18) sobre <i>Identificación y trazabilidad de esturiones y peces espátula (Acipenseriformes spp.)</i></p>
<p><u>Objetivo 3.3</u> Se dispondrá de recursos suficientes a nivel nacional e internacional para apoyar los programas de fomento de la capacidad necesarios y para garantizar el cumplimiento, la plena aplicación y la observancia de la Convención.</p>	<p>Res. Conf. 17.3 sobre <i>Proyecto de delegados patrocinados</i></p> <p>Res. Conf. 18.1 sobre <i>Financiación y programa de trabajo desglosado por partidas de gastos de la Secretaría, para el trienio 2020-2022</i></p>	<p>18.4 a 18.11 sobre <i>Acceso a la financiación</i></p> <p>18.12 sobre <i>Proyecto de delegados patrocinados</i></p> <p>18.13 sobre <i>Consortio Internacional para Combatir los Delitos contra la Vida Silvestre</i></p>

<p><u>Objetivo 3.4</u> Las Partes reconocerán que el comercio ilegal de especies silvestres es un delito grave y contarán con sistemas adecuados para detectarlo e impedirlo.</p>	<p>Res. Conf. 11.3 (Rev. CoP18) sobre <i>Observancia y aplicación</i></p>	<p>18.74 sobre <i>Revisión de la Resolución Conf. 11.3 (Rev. CoP18)</i></p>
<p><u>Objetivo 3.5</u> Las Partes colaborarán entre sí incluyendo a todos los Estados del área de distribución, de tránsito y de destino para hacer frente de manera integral a las cadenas de comercio ilegal, incluso mediante estrategias para reducir tanto la oferta como la demanda de productos ilegales para que el comercio sea legal y sostenible.</p>	<p>Res. Conf. 10.10 (Rev. CoP18) sobre <i>Comercio de especímenes de elefante</i> Res. Conf. 11.3 (Rev. CoP18) sobre <i>Observancia y aplicación</i> Res. Conf. 10.8 (Rev. CoP14) sobre <i>Conservación y comercio del oso</i> Res. Conf. 11.7 sobre <i>Conservación y comercio del ciervo almizclero</i> Res. Conf. 13.4 (Rev. CoP18) sobre <i>Conservación y comercio de los grandes simios</i> Res. Conf. 17.4 sobre <i>Estrategias de reducción la demanda para combatir el comercio ilegal de especies incluidas en la CITES</i> Res. Conf. 17.10 sobre <i>Conservación y comercio de pangolines</i> Res. Conf. 17.11 sobre <i>Conservación y comercio del cálao de casco</i></p>	<p>18.18 a 18.20 sobre <i>Examen del programa ETIS</i> 18.77 a 18.80 sobre <i>Observancia</i> 18.86 a 18.87 sobre <i>Reducción de la demanda para combatir el comercio ilegal</i> 18.88 a 18.93 sobre <i>Apoyo para la aplicación de la ley sobre delitos contra la vida silvestre en África occidental y central</i> 18.94 a 18.99 sobre <i>Palisandros y palos de rosa (Dalbergia spp.) y ébanos (Diospyros spp.) de Madagascar</i> 17.226 y 18.100 a 18.109 sobre <i>Comercio ilegal de grandes felinos asiáticos (Felidae spp.)</i> 18.110 a 18.116 sobre <i>Rinocerontes (Rhinocerotidae spp.)</i> 17.87 (Rev. CoP18) a 17.88 (Rev. CoP18) sobre <i>Mercados nacionales de especímenes comercializados ilegalmente con frecuencia</i> 18.117 to 18.119 on <i>Closure of domestic ivory markets</i> 18.120 a 18.121 sobre <i>Comercio de marfil de mamut</i></p>
<p><u>Objetivo 3.6</u> Las Partes adoptarán medidas para prohibir, prevenir, detectar y sancionar la corrupción.</p>	<p>Res. Conf. 17.6 sobre <i>Prohibición, prevención, detección y combate de la corrupción, que facilita las actividades realizadas en violación de la Convención</i></p>	<p>18.77 a 18.78 sobre <i>Observancia</i></p>
<p><u>Objetivo 3.7</u> Se priorizarán y coordinarán las inversiones en el fomento de capacidad de la CITES, y se supervisará su éxito para garantizar una mejora gradual a lo largo del tiempo.</p>	<p>Res. Conf. 3.4 sobre <i>Cooperación técnica</i></p>	<p>18.13 sobre <i>Consortio Internacional para Combatir los Delitos contra la Vida Silvestre</i> 18.14 a 18.17 sobre <i>Programa sobre especies arbóreas</i> 18.21 a 18.22 sobre <i>Programas MIKE y ETIS</i></p>

<p><u>Objetivo 3.8</u> Las Partes aprovecharán plenamente los nuevos adelantos tecnológicos para mejorar la aplicación y la observancia efectivas de la Convención.</p>		<p>18.81 a 18.85 sobre <i>Delitos contra la vida silvestre relacionados con Internet</i> 18.125 a 18.128 sobre <i>Sistemas electrónicos y tecnologías de la información</i> 18.129 a 18.131 sobre <i>Autenticación y control de permisos</i> 18.147 a 18.150 sobre <i>Especímenes producidos mediante biotecnología</i></p>
<p>META 4 EL DESARROLLO Y LA APLICACIÓN DE POLÍTICAS DE LA CITES TAMBIÉN CONTRIBUIRÁN A OTROS ESFUERZOS INTERNACIONALES PARA LOGRAR EL DESARROLLO SOSTENIBLE Y APRENDERÁN DE ELLOS</p>		
<p><u>Objetivos</u></p>	<p><u>Resoluciones</u></p>	<p><u>Decisiones</u></p>
<p><u>Objetivo 4.1</u> Las Partes apoyarán las políticas de comercio de vida silvestre sostenibles, especialmente aquellas que aumenten la capacidad de los pueblos indígenas y las comunidades locales para buscar medios de subsistencia.</p>	<p>Res. Conf. 15.2 sobre <i>Exámenes de políticas comerciales de especies silvestres</i> Res. Conf. 13.2 (Rev. CoP14) sobre <i>Utilización sostenible de la diversidad biológica: principios y directrices de Addis Abeba</i> Res. Conf. 16.6 (Rev. CoP18) sobre <i>La CITES y los medios de subsistencia</i></p>	<p>17.57 (Rev. CoP18), 18.31 a 18.32 sobre <i>Participación de los pueblos indígenas y las comunidades locales</i> 18.33 a 18.37 sobre <i>Medios de subsistencia</i></p>
<p><u>Objetivo 4.2</u> Se reconocerá la importancia de lograr la finalidad de la CITES como una contribución a la consecución de los Objetivos de Desarrollo Sostenible pertinentes, así como del marco mundial de la diversidad biológica posterior a 2020.</p>	<p>Res. Conf. 13.2 (Rev. CoP14) sobre <i>Utilización sostenible de la diversidad biológica: principios y directrices de Addis Abeba</i> Res. Conf. 18.3 sobre <i>Visión Estratégica de la CITES: 2021-2030</i></p>	<p>18.23 a 18.26 sobre <i>Visión estratégica de la CITES</i> 18.27 sobre <i>Examen de la Convención</i></p>
<p><u>Objetivo 4.3</u> Aumentará en todo el mundo la concienciación sobre la función, la finalidad y los logros de la CITES.</p>	<p>Res. Conf. 17.1 (Rev. CoP18) sobre <i>Día Mundial de la Vida Silvestre</i> Res. Conf. 17.5 (Rev. CoP18) sobre <i>Participación de los jóvenes</i></p>	<p>18.38 sobre <i>Día Mundial de la Vida Silvestre</i></p>
<p><u>Objetivo 4.4</u> Las Partes en la CITES estarán informadas de las actuaciones internacionales para el desarrollo sostenible que pueden tener relación con la consecución de la finalidad de la CITES.</p>		<p>17.55 (Rev. CoP18) a 17.56 (Rev. CoP18) y 18.47 a 18.48 sobre <i>Cooperación de la CITES con otras convenciones relacionadas con la diversidad biológica</i> 17.181 sobre <i>Introducción procedente del mar</i></p>

META 5 SE MEJORARÁ LA APLICACIÓN DE LA VISIÓN ESTRATÉGICA DE LA CITES MEDIANTE LA COLABO		
<u>Objetivos</u>	<u>Resoluciones</u>	<u>Decisiones</u>
<p><u>Objetivo 5.1</u> Las Partes y la Secretaría apoyarán y mejorarán las asociaciones de cooperación existentes a fin de alcanzar los objetivos que se han fijado.</p>	<p>Res. Conf. 10.4 (Rev. CoP14) sobre <i>Cooperation and synergy with the Convention sobre Biological Diversity</i> Res. Conf. 11.4 (Rev. CoP12) sobre <i>Conservation of cetaceans, trade in cetacean specimens and the relationship with the International Whaling Commission</i> Res. Conf. 12.4 (Rev. CoP18) sobre <i>Cooperation between CITES and the Commission for the Conservation of Antarctic Marine Living Resources regarding trade in toothfish</i> Res. Conf. 13.3 sobre <i>Cooperation and synergy with the Convention sobre the Conservation of Migratory Species of Wild Animals (CMS)</i> Res. Conf. 14.4 sobre <i>Cooperation between CITES and ITTO regarding trade in tropical timber</i> Res. Conf. 16.5 sobre <i>Cooperación con la Estrategia Mundial para la Conservación de las especies vegetales del Convenio sobre la Diversidad Biológica</i> Res. Conf. 16.9 sobre <i>Plan de acción para el elefante africano y el Fondo para el elefante africano</i> Res. Conf. 18.4 sobre <i>Cooperación con la Plataforma Intergubernamental Científico-normativa sobre diversidad biológica y servicios de los ecosistemas</i> Res. Conf. 18.5 sobre <i>Cooperación y sinergia con la Convención de Patrimonio Mundial</i> Res. Conf. 18.8 sobre <i>Conservación de la vicuña (Vicugna vicugna) y comercio de su fibra y de sus productos</i></p>	<p>17.55 (Rev. CoP18) a 17.56 (Rev. CoP18) y 18.47 a 18.48 sobre <i>Cooperación de la CITES con otras convenciones relacionadas con la diversidad biológica</i> 18.49 a 18.51 sobre <i>Cooperación con la Estrategia Global para la Conservación de las Plantas</i> 18.55 sobre <i>Delfín mular del mar Negro (Tursiops truncatus ponticus)</i> 18.56 a 18.61 sobre <i>Iniciativa Conjunta de la CMS y la CITES para los Carnívoros Africanos</i></p>
<p><u>Objetivo 5.2</u> Las Partes impulsarán la formación de alianzas nuevas, innovadoras y mutuamente sostenibles entre la CITES y los socios internacionales pertinentes, según proceda, para promover la finalidad de la CITES e integrar la conservación y el uso sostenible de la biodiversidad.</p>		<p>17.55 (Rev. CoP18) a 17.56 (Rev. CoP18) y 18.47 a 18.48 sobre <i>Cooperación de la CITES con otras convenciones relacionadas con la diversidad biológica</i> 18.52 a 18.54 sobre <i>Cooperación con la Convención de Patrimonio Mundial</i></p>

<p><u>Objetivo 5.3</u> Se reforzará la cooperación entre la CITES y los mecanismos financieros internacionales y otras instituciones conexas, a fin de apoyar las actividades que contribuyan a la aplicación y observancia de la CITES.</p>		<p>18.4 a 18.11 sobre <i>Acceso a la financiación</i> 18.13 sobre <i>Consortio Internacional para Combatir los Delitos contra la Vida Silvestre</i></p>
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REPORTING REQUIREMENTS AND SUBMISSION OF INFORMATION

In accordance with paragraph 4 d) of Resolution Conf. 4.6 (Rev. CoP18) on *Submission of draft resolutions, draft decisions and other documents for meetings of the Conference of the Parties*, the Secretariat has prepared a list of reporting requirements. In the absence of a definition of “reporting requirements”, the Secretariat has included all references in the Convention, Resolutions and Decisions that request Parties to send reports or information to the Secretariat or other Parties. The list is divided in three categories: 1) reporting requirements and request for information that can be found in the Convention; 2) reporting requirements and request for information that can be found in Resolutions; and 3) reporting requirements and request for information that can be found in Decision.

Reporting requirements related to enforcement are highlighted in dark grey.

CONVENTION REPORTING REQUIREMENTS AND SUBMISSION OF INFORMATION

Short title	Source	Reference	Directed to	Send to	Used by	Text of requirement	Deadline	Reporting format	Consequence of not fulfilling requirement
Annual report	Convention	Article VIII paragraph 7 Res. Conf. 11.17 (Rev. CoP18) Res. Conf. 12.6 (Rev. CoP18), para. 8 Res. Conf. 18.6	All Parties	Secretariat		Para. 1 of Res Conf 11.17 (Rev CoP18): URGES all Parties to submit their annual reports required under the provisions of Article VIII, paragraph 7 (a), by 31 October following the year for which they are due and in accordance with the most recent version of the Guidelines for the preparation and submission of CITES annual reports distributed by the Secretariat, as may be amended with the concurrence of the Standing Committee;	31 October of following year (31/10/2020 for 2019)	Notification 2019/072	Para. 15 of Res. Conf. 11.17 (Rev. CoP17): Trade suspension imposed for those Parties not complying with reporting requirement for 3 consecutive years.
Implementation report	Convention	Article VIII paragraph 7 Res. Conf. 11.17 (Rev. CoP18) Res. Conf. 17.6 Res. Conf. 18.6 Decision 18.39 c)	All Parties	Secretariat		Para. 2 of Res Conf 11.17 (Rev CoP18): URGES all Parties to submit their reports required under the provisions of Article VIII, paragraph 7 (b), one year before each meeting of the Conference of the Parties, beginning with the 17th meeting of the Conference of the Parties and in accordance with the report format distributed by the Secretariat, as may be amended by the Secretariat from time to time with the concurrence of the Standing Committee;	31 October 2021 for 2018-2020	Notification 2016/006	Para. 15 of Res. Conf. 14.3 (Rev. CoP18): Biennial reports are among the means of monitoring compliance with the Convention. No compliance measures have been taken to date related to lack of compliance with the reporting requirement
Follow-up to reports	Convention	Article XII paragraph 2 d)	All Parties	Secretariat		Article XII para. 2 d): The functions of the Secretariat shall be: d) to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention;	Ongoing	-	Res. Conf. 14.3 (Rev. CoP18)
Reservations	Convention	Article XV paragraph 3 Article XVI paragraph 2 Article XXIII Res. Conf. 9.25 (Rev. CoP17)	All Parties	Depositary Government (Switzerland)		Article XV.3: During the period of 90 days provided for by sub-paragraph (c) of paragraph 1 or sub-paragraph (l) of paragraph 2 of this Article any Party may by notification in writing to the Depositary Government make a reservation with respect to the amendment. Until such reservation is withdrawn the Party shall be treated as a State not a Party to the present Convention with respect to trade in the species concerned.	90 days after CoP	-	Reservations not accepted for deposit.
Compliance	Convention	Article XIII Res. Conf. 14.3 (Rev. CoP18) Res. Conf. 11.3 (Rev. CoP18) Res. Conf. 18.6	All Parties	Secretariat	Standing Committee	Para. 5 a) of Res. Conf. 11.3 (Rev. CoP18): RECOMMENDS that: a) when, in application of Article XIII of the Convention and Resolution Conf. 14.3 on <i>CITES compliance procedures</i> , the Secretariat requests information on a potential compliance matter, Parties reply within one month or, if this is impossible, acknowledge within the month and indicate a date, even an approximate one, by which they consider it will be possible to provide the information requested;	Ongoing	-	Compliance measures to be adopted by the Standing Committee, including possible trade suspension

Management and scientific authorities	Convention	Article IX Res. Conf. 8.4 (Rev. CoP15) Res. Conf. 10.3 Res. Conf. 18.6	All Parties	Depositary Government (Switzerland) & The Secretariat	Article IX paragraph 2: A State depositing an instrument of ratification, acceptance, approval or accession shall at that time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat. Article IX paragraph 3: Any changes in the designations or authorizations under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.	When joining and when MA and SA change	-	None.
Registered scientific institutions	Convention	Article VII paragraph 6 Res. Conf. 11.15 (Rev. CoP18) Res. Conf. 18.6	All Parties	Secretariat	Para. 3 g) ii) of Resolution Conf. 11.15: RECOMMENDS that: g) Parties implement the exemption for scientific exchange in Article VII, paragraph 6, as follows: ii) each Management Authority should communicate to the Secretariat as soon as practicable the names and addresses and the type of research they can provide, of those scientific institutions so registered, and the Secretariat without delay then communicate this information to all other Parties;	1 March 2020	Notification 2019/068 on registration of scientific institutions	None.

REPORTING REQUIREMENTS AND SUBMISSION OF INFORMATION THAT CAN BE FOUND IN RESOLUTIONS

Short title	Source	Reference	Directed to	Send to	Used by	Text of requirement	Deadline	Reporting format	Consequence of not fulfilling requirement
Annual illegal trade report	Resolution	Res. Conf. 11.17 (Rev. CoP18) Res. Conf. 11.3 (Rev. CoP18) Res. Conf. 13.4 (Rev. CoP18) (para. 1. d) Res. Conf. 18.6 Res. Conf. 18.8 (para. 3) Decision 18.76 Decision 18.211 e) Decision 18.249 c)	All Parties	Secretariat		Para. 3 of Res. Conf. 11.17 (Rev. CoP18): URGES all Parties to submit an annual illegal trade report by 31 October each year covering actions in the preceding year and in accordance with the report format distributed by the Secretariat, as may be amended by the Secretariat from time to time with the concurrence of the Standing Committee;	31 October of following year (31/10/2020 for 2019)	Notification 2019/072	Not subject to compliance measures, decided by SC66
National legislation project	Resolution	Res. Conf. 8.4 (Rev. CoP15)	All Parties	Secretariat	Standing Committee	Para. 2: URGES all Parties that have not adopted appropriate measures for effective implementation of the Convention to do so and inform the Secretariat when such measures have been adopted	As soon as measures have been adopted	See NLP page	Compliance measures, including trade suspension
Ranching	Resolution	Res. Conf. 11.16 (Rev. CoP15)	All Parties with approved ranching operations (Argentina, Botswana, Cuba, Ecuador, Ethiopia, Indonesia, Kenya, Madagascar, Malawi, Mozambique, South Africa, Uganda, Zambia, Zimbabwe)	Secretariat		Para. 5: RECOMMENDS that: a) annual reports on all relevant aspects of each approved ranching operation be submitted to the Secretariat by the Party concerned, including the following: i) the status of the wild population concerned established by monitoring at an appropriate frequency and with sufficient precision to allow recognition of changes in population size and structure owing to ranching; ii) the number of specimens (eggs, young or adults) taken annually from the wild and the percentage of this offtake used to supply ranching operations; and iii) details of the annual production levels, and product types and quantity produced for export;	Annually/on going	See Reports page	Possibility of transfer of population concerned back to Appendix I
Personal and household effects	Resolution	Res. Conf. 13.7 (Rev. CoP18) Notification 2006/041	All Parties	Secretariat		Notification 2019/069: In Notification to the Parties No. 2005/016 of 22 March 2005 on Party-specific information, the Secretariat reminded Parties of the need to advise it whether export permits are needed for the movement of personal effects of Appendix-II species. [...] The Secretariat urges Parties to provide updated information on their implementation of Article VII, paragraph 3, of the Convention and Resolution Conf. 13.7 (Rev. CoP18), particularly on their national legislation or current practice regarding the conditions under which the exemption for personal and household effects is applied	Ongoing		None
Artificial agarwood production	Resolution	Res. Conf. 16.10	All Parties that export pure or mixed oil of agarwood	Secretariat	All Parties	Para. 8: RECOMMENDS exporting States to establish a registration system of exporters who export pure or mixed oil of agarwood. Samples of the labels used and lists of relevant exporters should be communicated to the Secretariat by exporting States, and then be provided to all Parties through a Notification;	Ongoing		None

Caviar processing / re-packaging plants	Resolution	Res. Conf 12.7 (Rev. CoP17) Res. Conf. 18.6	Range states of the species in the Order Acipenseriformes (Afghanistan, Albania, Algeria, Austria, Azerbaijan, Belgium, Bosnia and Herzegovina, Bulgaria, Canada, China, Croatia, Czech Republic, Denmark, Estonia, France, Georgia, Germany, Greece, Hungary, Iceland, Iran, Ireland, Italy, Japan, Kazakhstan, Latvia, Lithuania, Mexico, Mongolia, Montenegro, Morocco, Netherlands, North Macedonia, Norway, Poland, Portugal, Republic of Moldova, Romania, Russian Federation, Serbia, Slovakia, Slovenia, Spain, Sweden, Tajikistan, Turkey, Turkmenistan, Ukraine, United Kingdom, United States of America, Uzbekistan)	Secretariat		Para. 2 a): RECOMMENDS, with regard to regulating trade in sturgeon products, that: a) each importing, exporting and re-exporting Party establish, where consistent with national law, a registration system for facilities producing caviar, including aquaculture operations that process and package caviar and those facilities that re-package caviar in its territory and provide to the Secretariat the list of these facilities and their official registration codes and clearly state whether it is a processing or a repackaging plant. Where consistent with the national registration system, Parties should add 'P' to registration numbers for 'processing and packaging plants' and 'R' for 'repackaging plants'. Where appropriate, Parties should, on a voluntary basis, include, in their notification of caviar-processing aquaculture plants, the sturgeon or paddlefish species used in the respective processing plant. The list should be updated when changes occur and communicated to the Secretariat without delay. The Secretariat should include this information in its register on the CITES website;	Ongoing		None
Shared stocks of Acipenseriformes	Resolution	Res. Conf 12.7 (Rev. CoP17)	Azerbaijan, Bulgaria, Canada, China, Islamic Republic of Iran, Kazakhstan, Romania, Russian Federation, Serbia, Turkmenistan, Ukraine, United States of America	Secretariat	Parties	Para. 3. a) iv): RECOMMENDS further, with regard to catch and export quotas, that: a) Parties not accept the import of caviar and meat of Acipenseriformes species from stocks shared between different range States, which are listed in Annex 3 to this Resolution, unless export quotas have been set in accordance with the following procedure: [...] iv) range States have provided to the Secretariat by 31 December of the previous year, the export quota referred to in subparagraph i) as well as the scientific data used to establish the catch and export quotas under subparagraphs ii) and iii);	31 December of the previous year		None
Enforcement authorities	Resolution	Res. Conf. 11.3 (Rev. CoP18) Res. Conf. 18.6	All Parties	Secretariat		Para. 10 c) of Res. Conf. 11.3 (Rev. CoP18): RECOMMENDS that: c) Parties, as a matter of urgency, inform the Secretariat of contact details of their relevant national law-enforcement agencies responsible for investigating illegal trafficking in wild fauna and flora;	Ongoing		None
Export quotas	Resolution	Res. Conf. 14.7 (Rev. CoP15) Res. Conf. 18.6	All Parties	Secretariat		Para. 15 of the Annex to Resolution Conf. 14.7 (Rev. CoP15): In accordance with Resolution Conf. 12.3 (Rev. CoP18), Parties should inform the CITES Secretariat of their nationally established export quotas and of revisions of such quotas. Such information can be provided at any time but, as far as possible, should be communicated at least 30 days before the start of the period to which the export quota relates.	Ongoing		None
Lost permits	Resolution	Res. Conf. 12.3 (Rev. CoP18)	All Parties	Secretariat		Para. 3 t): RECOMMENDS that: t) when a permit or certificate has been cancelled, lost, stolen or destroyed, the issuing Management Authority immediately inform the Management Authority of the country of destination, as well as the Secretariat regarding commercial shipments;	Ongoing		None
Nurseries	Resolution	Res. Conf. 9.19 (Rev. CoP15) Res. Conf. 18.6	All Parties	Secretariat		Para. 1 b) of Resolution 9.19 (Rev. CoP15): RESOLVES that: b) any Management Authority that wishes to register any commercial nursery artificially propagating specimens of species included in Appendix I for export purposes shall provide to the Secretariat, for inclusion in its Register, all appropriate information to obtain and maintain the registration of each such nursery;	Ongoing	Standard reporting format provided in Annexes 1 and 2 of Res. Conf. 9.19 (Rev. CoP15)	N/A

Hunting trophies as personal and household effect	Resolution	Res. Conf. 13.7 (Rev. CoP17)	All Parties	Secretariat		Para. 3 b) iii): AGREES that Parties shall: b) not require export permits or re-export certificates, for personal or household effects which are dead specimens, parts or derivatives of Appendix-II species except: iii) bilateral written arrangements for cross-border trade between neighbouring countries that include the required findings in Article IV of the Convention and other means of monitoring trade in hunting trophies, provided that such arrangements have been duly notified to the CITES Secretariat, and with the condition that the specimen at the time of import, export or re-export was worn, carried or included in the hunter's personal baggage;	Ongoing		None
Personally owned live animals	Resolution	Res. Conf. 10.20 Res. Conf. 18.6	All Parties	Secretariat		Para. 1 n): RECOMMENDS that: n) Parties maintain records of the number of certificates of ownership issued under this Resolution and if possible include the certificate numbers and the scientific names of the species concerned in their annual reports.	Ongoing		None
Regional reports	Resolution	Res. Conf. 18.2	AC/PC/SC regional representatives (all Parties to contribute)	Secretariat	AC/PC/SC	Para. 13 g) of Annex 2 to Resolution Conf. 18.2: The duties of Members elected to the Animals and Plants Committees and their alternates are as follows: g) the Members should submit a written report, covering the preceding period, to each meeting of the Committee;	60 days before each AC and PC meeting	Informal models have been shared amongst members.	None
Registered captive-breeding operations	Resolution	Res. Conf. 12.10 (Rev. CoP15) Res. Conf. 18.6	All Parties	Secretariat	Register	Para. 5 c): RESOLVES that: c) the Management Authority shall provide the Secretariat with appropriate information to obtain, and to maintain, the registration of each captive-breeding operation as set out in Annex 1;	Ongoing	Annex 3 of Res. Conf. 12.10 (Rev. CoP15)	None
Sample documents	Resolution	Res. Conf. 12.3 (Rev. CoP18) Decision 18.125	All Parties	Secretariat		Para. 3 q): RECOMMENDS that: q) Parties that have not yet done so communicate to the Secretariat the names of the persons empowered to sign permits and certificates, as well as three specimens of their signatures, and that all the Parties communicate, within one month of any change thereto, the names of persons who have been added to the list of those already empowered to sign, the names of persons whose signatures are no longer valid and the dates the changes took effect; Para. 15 b): RECOMMENDS that: b) any Party using phytosanitary certificates as certificates of artificial propagation inform the Secretariat and provide copies of the certificates, stamps, seals, etc. that are used; Para. 17 c): RECOMMENDS that: c) Parties send to the Secretariat copies of permits, electronic and paper, issued for species subject to quotas if so requested by the Conference of the Parties, the Standing Committee or the Secretariat;	Ongoing	Notification 2015/001 on Sample permits and certificates and signature specimens	None
Stricter domestic measures	Resolution	Article XIV paragraph 1 Res. Conf. 4.22 Res. Conf. 6.7 Res. Conf. 12.3 (Rev. CoP18) Res. Conf. 18.6	All Parties	Secretariat		Para. 3 s) of Res. Conf. 12.3 (Rev. CoP18): s) each Party inform the other Parties, direct or through the Secretariat, of any stricter internal measures it has taken under Article XIV, paragraph 1 (a), of the Convention, and that, when a Party is informed of this, it refrain from issuing permits and certificates that run counter to these measures;	Ongoing		None

Review of significant trade	Resolution	Res. Conf. 12.8 (Rev. CoP18)	Selected Parties (see the RST webpage)	Secretariat	AC/PC/SC	Para. 1 d) i) of Resolution Conf. 12.8 (Rev. CoP18): the Secretariat shall: i) within 30 days after the meeting of the Animals or Plants Committee at which species/country combinations are selected, or within 30 days after the Committee has selected a species/country combination on an exceptional basis, notify selected range States that their species has been selected, providing an overview of the review process and an explanation for the selection. The Secretariat shall request range States to provide the scientific basis by which it is established that exports from their country are not detrimental to the survival of the species concerned and are compliant with Article IV, paragraphs 2 (a), 3 and 6 (a) of the Convention. In its letter, the Secretariat shall provide guidance to range States on how to respond, explain the consequences of not responding to the request, and inform the range States that the responses will be made available on the CITES website as part of the agenda for meetings of the Animals or Plants Committee. Range States shall be given 60 days to respond;	Range States consulted over implementation of Article IV given 60 days to respond. Responses to preliminary categorization also required within 60 days. Deadlines for implementation of recommendations between 90 days and 2 years.	Guidance to range States in document CoP17 Doc. 33, Annex 4	Possibility of measures concerning trade in the affected species from that Party
Animals specimens reported as produced in captivity	Resolution	Res. Conf. 17.7 (Rev. CoP18)	Selected Parties (see the CITES webpage)	Secretariat	AC/PC/SC	Para. 2 f): The Secretariat shall, within 30 days after the relevant meeting of the Animals Committee, notify the country or countries concerned that species produced in captivity in their country have been selected for review, and provide them with an overview of the review process and an explanation for the selection provided by the Animals Committee. The Secretariat shall ask the country or countries to provide information, within 60 days, in response to general or specific questions, developed by the Animals Committee, to determine if the correct source codes have been used, under the applicable Resolutions, for specimens claimed to be produced in captivity; and	Selected Parties given 60 days to respond		Possibility of measures concerning trade in the affected species from that Party
Non-detriment findings	Resolution	Res. Conf. 16.7 (Rev. CoP17)	All Parties	Secretariat	Website	Para. 2 b) and c): ENCOURAGES Parties to: b) share experiences and examples of ways of making non-detriment findings, including through appropriate regional or subregional workshops, and communicate them to the Secretariat; c) request the Secretariat to make available these examples on the CITES web site;	Ongoing	Not applicable.	None
World Wildlife Day	Resolution	Res. Conf. 17.1 (Rev. CoP18)	All Parties	Secretariat		Para. 2: INVITES all Parties and non-party States, national and international organizations with an interest in wildlife conservation, to associate celebrations of World Wildlife Day to national, regional and international conservation events, where appropriate, and to communicate the planned activities to the Secretariat in advance;	Ongoing		N/A
Convicted traders	Resolution	Res. Conf. 11.3 (Rev. CoP18)	All Parties	Secretariat		Para. 10 m): RECOMMENDS that: m) Parties inform the Secretariat, when possible, about convicted illegal traders and persistent offenders;	Ongoing		None
Significant cases of illegal trade	Resolution	Res. Conf. 11.3 (Rev. CoP18) Notification 2009/028	All Parties	Secretariat		Para. 10 k): RECOMMENDS that: k) Parties provide to the Secretariat, as appropriate, and in a manner that would not jeopardize any ongoing investigations or expose covert investigative techniques, detailed information regarding significant cases of illegal trade;	Ongoing	Ecomessage form	None
Asian big cats	Resolution	Res. Conf. 12.5 (Rev. CoP18) Decision 18.103	All Parties, particularly Appendix-I Asian big cat range States	Parties / Secretariat		Para. 1 e) and l): URGES: e) all range States and other relevant Parties to implement systems for the recording of information relating to illegal trade in Asian big cats and to share this information as appropriate to ensure coordinated investigations and enforcement; l) all Parties that make seizures of tiger skins within their territories,	Ongoing		None

						when possible, to share images of the seized tiger skins with the national focal points or agencies in tiger range States, which have photographic identification databases for tigers, and the capacity to identify tigers from photographs of tiger skins, so as to identify the origin of illegal specimens. The images should be taken from above with the skin spread. In the case of whole tiger carcasses seized with the skin intact, images should be taken of both sides of the carcass; Para. 2 a): INSTRUCTS the Secretariat to: a) report to the Standing Committee and the Conference of the Parties on the status of Asian big cats in the wild, their conservation, and trade controls in place in Parties, using information provided by the range States on measures taken to comply with this Resolution and related relevant Decisions and any relevant additional information provided by relevant countries;			
Cetaceans	Resolution	Res. Conf. 11.4 (Rev. CoP12)	All Parties	Secretariat		Para. 12: URGES every country concerned to submit to the CITES Secretariat any information relevant to its inventory of whale parts and derivatives and to examination of unknown whale products, for dissemination by the Secretariat to interested Parties upon request;	Ongoing		None
Elephants	Resolution	Res. Conf. 10.10 (Rev. CoP18)	All Parties and range States	Secretariat		Para. 7 e): FURTHER URGES those Parties in whose jurisdiction there is an ivory carving industry, a legal domestic trade in ivory, an unregulated market for or illegal trade in ivory, or where ivory stockpiles exist, and Parties designated as ivory importing countries, to ensure that they have put in place comprehensive internal legislative, regulatory, enforcement and other measures to: e) maintain an inventory of government-held stockpiles of ivory and, where possible, of significant privately held stockpiles of ivory within their territory, and inform the Secretariat of the level of this stock each year before 28 February, inter alia to be made available to the programme Monitoring the Illegal Killing of Elephants (MIKE) and the Elephant Trade Information System (ETIS) for their analyses, indicating the number of pieces and their weight per type of ivory (raw or worked); for relevant pieces, and if marked, their markings in accordance with the provisions of this Resolution; the source of the ivory; and the reasons for any significant changes in the stockpile compared to the preceding year; Para. 21 b): RECOMMENDS that: b) each export quota be communicated to the CITES Secretariat in writing by 1 December for the following calendar year (1 January to 31 December); Details of submitting information to the MIKE and ETIS programmes are provided in Annexes 1 and 2 of Res. Conf. 10.10 (Rev. CoP18)	(i) raw ivory stocks each year before 28 February. (ii) export quota by 1 December for the next calendar year (1 January to 31 December); (iii) information on tusks exported to be provided with annual report (ID marks, etc)	Notification 2019/079	If the quota is not submitted by the deadline, the State in question have a zero quota until such time as it communicates its quota in writing to the Secretariat and the Secretariat in turn notifies the Parties; Remedial action will be determined by Standing Committee and CoP.
Elephants (domestic ivory markets)	Resolution	Res. Conf. 10.10 (Rev. CoP18)	All Parties and range States	Secretariat		Para. 9: REQUESTS Parties to inform the Secretariat of the status of the legality of their domestic ivory markets and efforts to implement the provisions of this Resolution, including efforts to close those markets that contribute to poaching or illegal trade;	Ongoing		Standing Committee to review measures taken by Parties to implement these and other provisions in the resolution, and make targeted recommendations as appropriate (which may include NIAPs).
Elephant seizures	Resolution	Res. Conf. 10.10 (Rev. CoP18)	All Parties	Secretariat		Para. 24: RECOMMENDS that Parties share with the Secretariat and source countries information on the origin or age of seized ivory specimens arising from forensic analysis of samples to facilitate investigations and prosecutions, and for analysis by MIKE and ETIS in their reporting to the Standing Committee and the Conference of the Parties;	Ongoing		Standing Committee to review measures taken by Parties to implement these and other provisions in the resolution, and make targeted recommendations as appropriate (which may include NIAPs).

Elephants (NIAPs)	Resolution	Res. Conf. 10.10 (Rev. CoP18)	Range States identified by the MIKE and ETIS reports (see the NIAP webpage)	Secretariat		Para. 10: FURTHER DIRECTS the Secretariat, with reference to the findings of ETIS, MIKE and its findings on the status of domestic ivory markets, and within available resources: a) to identify those Parties that have unregulated internal markets for ivory, where ivory is found to be illegally traded, where ivory stockpiles are not well secured, or that have significant levels of illegal trade in ivory; b) to seek from each Party so identified information concerning its implementation of the provisions of this Resolution relating to ivory trade and, where appropriate and in consultation with the Party, undertake in situ verification missions; and c) to report its findings and recommendations to the Standing Committee, which may consider recommendations to support the implementation of the present Resolution, including requests to identified Parties to develop and implement National Ivory Action Plans, and monitor progress in executing these Action Plans, in accordance with the Guidelines contained in Annex 3, as well as other appropriate measures in accordance with Resolution Conf. 14.3 (Rev. CoP18) on <i>CITES compliance procedures</i> ;	Ongoing	Annex 3 of Res. Conf. 10.10 (Rev. CoP18)	Possibility of trade suspension
Elephants (NIAPs)	Resolution	Res. Conf. (Rev. CoP18), Annex 3	All Parties participating in the NIAP process (see the NIAP webpage)	Secretariat	SC	Step 4: Monitoring of implementation a) Parties should submit progress reports to the Secretariat 90 days in advance of each regular Standing Committee meeting, using the template for progress reports on NIAP implementation, available on the NIAP webpage on the CITES Website;	6 August 2020 (SC73) 90 days before SC74	Template for progress reports	Possibility of trade suspension
Rhino general	Resolution	Res. Conf. 9.14 (Rev. CoP17)	Range States of African and Asian rhinoceroses, implicated States, other Parties and other stakeholders	Secretariat	CoP	Para. 7: DIRECTS the Secretariat, prior to each meeting of the Conference of the Parties, and pending external funding, to commission the IUCN/SSC African and Asian Rhino Specialist Groups and TRAFFIC to submit a report to the Secretariat on: a) the national and continental conservation status of African and Asian rhinoceros species, b) trade in specimens of rhinoceros, c) stocks of specimens of rhinoceros and stock management, d) incidents of illegal killing of rhinoceroses, e) enforcement issues, f) conservation actions and management strategies with an evaluation of their effectiveness; and g) measures implemented by implicated States to end the illegal use and consumption of rhinoceros parts and derivatives; Para. 8: REQUESTS the IUCN/SSC African and Asian Rhino Specialist Groups and TRAFFIC to engage with range and implicated States as appropriate, as well as with the UNEP World Conservation Monitoring Centre, when producing the report, and to reflect the outcomes of these consultations in their reporting pursuant to this Resolution; Para. 2 e) iii): URGES e) the Parties that are affected by illegal killing of rhinoceroses and the trafficking of rhinoceros horns, either as range or implicated States, to: iii) provide information on the effectiveness of strategies or programmes referred to in subparagraphs e) i) and ii) above, to the IUCN/SSC African and Asian Rhino Specialist Groups and TRAFFIC, to assist in identifying best practices and challenges experienced, and for inclusion into the joint IUCN/TRAFFIC report;	Deadline for submission of CoP documents		None

Rhino seizures	Resolution	Res. Conf. 9.14 (Rev. CoP17)	All Parties	Secretariat		Para. 1 e), f) and g): URGES all Parties to: e) immediately bring the seizure of illegal rhinoceros specimens made within their territories: i) to the attention of authorities in countries of origin, transit and destination, as applicable, providing information associated with the seizure, for example on modus operandi, accompanying documentation, any identification marks on the seized specimens, where appropriate the details of the offenders involved, and any other information that could assist the initiation of investigations as appropriate, in countries of origin, transit and destination; or ii) to the attention of the CITES Secretariat in cases where sufficient information is not available to identify the countries of origin, transit and destination of the seized rhinoceros specimens, including information describing the circumstances of the seizure; f) collect samples from rhinoceros horn seized within their territories for forensic analysis, to link such horns to crime scenes and implicated suspects, and to promote successful prosecution; g) use the Form for collection and sharing of data on rhinoceros horn seizures and on samples for forensic analysis provided in the Annex to this Resolution, as a standard format to collect and share information about seizures of rhinoceros specimens, and for the collection of relevant data to accompany samples collected from seized rhinoceros specimens for forensic analyses, in support of the implementation of paragraphs e) i) and ii) and f) above;	Ongoing	Annex of Res. Conf. 9.14 (Rev. CoP17)	None.
Rhino stocks	Resolution	Res. Conf. 9.14 (Rev. CoP17)	All Parties	Secretariat		Para. 2 a): URGES a) all Parties that have stocks of rhinoceros horn to identify, mark, register and secure such stocks, and declare these to the Secretariat each year before 28 February, in a format to be defined by the Secretariat;	28 February every year	Notification 2019/078	None
Tibetan antelope	Resolution	Res. Conf. 11.8 (Rev. CoP17)	All Parties	Secretariat		Para. 3 c): URGES: c) all Parties immediately bring every seizure of illegal Tibetan antelope wool and its products made within its territory to the attention of authorities in countries of origin, transit and destination, as applicable, and to the attention of the Secretariat; provide available associated information on the seizure to enable follow-up investigations to take place; where appropriate, make full use of the Ecomessage of INTERPOL and existing law enforcement networks, including the World Customs Organization; and report to the Secretariat the progress of follow-up investigations.	Ongoing	Ecomessage form	None
Disposal of confiscated live specimens	Resolution	Res. Conf. 17.8	All Parties	Secretariat		Para. 3 c): RECOMMENDS that: the Secretariat be informed about any decision taken on the disposal of confiscated live specimens of species that are either in Appendix I or, if in Appendix II or III, involve commercial quantities;	Ongoing		None
Snakes	Resolution	Res. Conf. 17.12	All Parties	Secretariat		Para. 19 a) and f): RECOMMENDS that: a) Parties, prior to the implementation of a traceability system for python skins, inventory and tag those skins and provide this information to the Secretariat as a baseline; f) the Secretariat should compile such information on available identification technologies and projects to make them available to Parties;	Ongoing		None
IPBES	Resolution	Res. Conf. 18.4	All Parties	Secretariat	Secretariat / IPBES	Para. 2: INVITES Parties to provide inputs to the Secretariat in order to provide timely responses to IPBES in regard to CITES engagement;	Ongoing		None.

REPORTING REQUIREMENTS AND SUBMISSION OF INFORMATION THAT CAN BE FOUND IN DECISIONS

Short title	Source	Reference	Directed to	Send to	Used by	Text of requirement	Deadline	Reporting format	Consequence of not fulfilling requirement
Tree species programme	Decision	18.14	All Parties	Secretariat	PC/SC	Parties are invited to provide information to the Secretariat regarding their experiences, lessons learned and recommendations on: a) past work under the ITTO-CITES programme for implementing CITES for tropical timber species and its contribution to their implementation of the Convention; and b) work under the CITES Tree Species Programme for implementing CITES for tree species listed in Appendix II.			
Engagement of indigenous peoples and local communities*	Decision	18.32	All Parties	Secretariat	SC	The Secretariat shall: a) issue a Notification inviting Parties to provide information on their experiences and lessons learned in engaging indigenous peoples and local communities* in CITES processes;			
Capacity-building	Decision	18.39	All Parties	Secretariat	SC	Parties are invited to: a) provide information to the Secretariat regarding capacity-building materials and efforts that could be shared among Parties; [...] e) share ideas, experiences, and information related to the development of a capacity building framework in response to the Notification to Parties issued by the Secretariat under Decision 18.46, paragraph a).			
National laws for the implementation of the Convention	Decision	18.62	Parties with legislation in Category 2 or 3 under the NLP (see https://cites.org/sites/default/files/projects/NLP/Legislative%20status%20table%20November%202019%20for%20web.docx)	Secretariat	SC	Parties with legislation in Category 2 or 3 under the National Legislation Project (NLP) are urged to submit to the Secretariat as soon as possible, and no later than by the 74th meeting of the Standing Committee, in one of the three working languages of the Convention details of appropriate measures that have been adopted for the effective implementation of the Convention. Such Parties are also urged to keep the Secretariat informed of legislative progress at any time and are called on to provide a written update of the legislative progress to the Secretariat, at the latest, 90 days before the 73rd meeting of the Standing Committee.	90 days before SC73 (7 July 2020) 90 days before SC74		Compliance measures, including trade suspension
National laws for the implementation of the Convention	Decision	18.63	Parties with legislation in Category 1 under the NLP (see https://cites.org/sites/default/files/projects/NLP/Legislative%20status%20table%20November%202019%20for%20web.docx)	Secretariat		Parties with legislation in Category 1 under the National Legislation Project are encouraged to inform the Secretariat of any relevant legislative developments and to provide technical or financial assistance to Parties affected by Decision 18.62, either directly or through the Secretariat.	ongoing		
Compliance Assistance Programme	Decision	18.68	All Parties	Secretariat		Parties are invited to: ab) provide to the Secretariat any relevant information on bilateral or multilateral financial or technical assistance provided to Parties subjected to CITES compliance measures to ensure the effective implementation of the provisions of the Convention and the recommendations of the Standing Committee.			
Wildlife crime linked to the Internet	Decision	18.83	All Parties	Secretariat		Parties should: a) inform the Secretariat in the event that any changes that pertain to wildlife crime linked to the Internet are made to their national legislation, as well as of any other relevant domestic measures; b) submit information to the Secretariat on websites adhering to codes of conduct to address and prevent illegal trade in wildlife; c) inform the Secretariat of any best practice models that pertain to regulation of online marketplaces and social media platforms; d) publish the results of scientific research on the correlations between use of the Internet and the rate of wildlife crime, and communicate these results to the Secretariat; and	15 April 2020		

* Para los fines de las presentes decisiones, se entiende que la expresión "pueblos indígenas y comunidades locales" incluye a las comunidades rurales.

						e) inform the Secretariat of any trends in wildlife crime linked to the Internet identified, including any changes in trade routes and methods of shipment that have been observed.			
Wildlife crime enforcement support in West and Central Africa	Decision	18.89	Parties in West and Central Africa identified as affected by illegal trade in wildlife within the region	Parties in West and Central Africa	Parties in West and Central Africa	Parties in West and Central Africa identified as affected by illegal trade in wildlife within the region should engage in regional and bilateral activities to share information on their national legislative and regulatory measures to address such illegal trade, exchange experiences and best practices, and identify opportunities for regional and cross-border cooperation and joint actions, including where appropriate the formulation of national or regional action plans as anticipated by paragraph 14 a) ii) and 10 f) of Resolution Conf. 11.3 (Rev. CoP18) on <i>Compliance and enforcement</i> , taking into consideration the provisions of paragraph 15 g) of the same Resolution.			
Wildlife crime enforcement support in West and Central Africa	Decision	18.90	Parties importing CITES specimens from West and Central Africa	AC/PC/SC/Secretariat	AC/PC/SC/Secretariat	Parties importing CITES specimens from West and Central Africa are encouraged to assist their counterparts in West and Central Africa, by implementing measures that will address wildlife crime and support legal trade that is limited to sustainable levels, in particular by: c) as a priority, raise any concerns about imports with the exporting State, or with the Animals Committee, Plants Committee, Standing Committee, or the Secretariat.			
Malagasy palisanders and rosewoods (<i>Dalbergia</i> spp.) and ebonies (<i>Diospyros</i> spp.)	Decision	18.94	Source, transit and destination Parties for Malagasy <i>Dalbergia</i> spp. and <i>Diospyros</i> spp.	SC	SC	Source, transit and destination Parties for specimens of species of the genera <i>Dalbergia</i> and <i>Diospyros</i> occurring in Madagascar are urged to: a) enforce all the measures that are recommended by the CITES Standing Committee concerning commercial trade in specimens of these species from Madagascar, including suspensions of such trade; b) effectively manage timber stockpiles of <i>Dalbergia</i> spp. and <i>Diospyros</i> spp. from Madagascar; and c) provide written reports describing progress made with implementation of paragraphs a) and b) of this Decision to the 73rd and 74th meetings of the Standing Committee.	6 August 2020 (SC73) 60 days before SC74		
Malagasy palisanders and rosewoods (<i>Dalbergia</i> spp.) and ebonies (<i>Diospyros</i> spp.)	Decision	18.96	Madagascar	PC/SC	PC/SC	Madagascar shall: h) provide reports on progress with the implementation of Decision 18.96 to the 25th and 26th meetings of the Plants Committee and the 73rd and 74th meetings of the Standing Committee;	18 May 2020 (PC25) 6 August 2020 (SC73) 60 days before PC26 60 days before SC74		The Standing Committee shall [...] review reports from Madagascar and the Secretariat on the implementation of Decisions 18.96 and 18.97, and make recommendations to Madagascar, relevant Parties and the Secretariat as appropriate, and take measures in accordance with Resolution Conf. 14.3 (Rev. CoP18) on <i>CITES compliance procedures</i> if Madagascar fails to satisfactorily implement the actions directed to it in Decision 18.96.
Illegal trade in Asian big cats (Felidae spp.)	Decision	17.226	Parties with Asian big cat captive facilities	Secretariat	SC	All Parties in whose territory there are facilities keeping Asian big cats in captivity are requested to: a) review national management practices and controls that are in place for such facilities, to ensure that these management practices and controls are adequate to prevent Asian big cat specimens from entering illegal trade from or through such facilities; b) ensure strict application of all management practices and controls implemented to regulate the activities of facilities that keep Asian big cats in captivity, including with regard to the disposal of specimens from Asian big cats that die in captivity; and c) report to the Secretariat on progress with regard to the implementation of this Decision.	6 August 2020 (SC73)		

Illegal trade in Asian big cats (Felidae spp.)	Decision	18.103	All Parties that have made seizure of tiger skins	With the national focal points or agencies in tiger range States, which have photographic identification databases for tigers, and the capacity to identify tigers from photographs of tiger skins		All Parties that have made seizures of tiger skins since the 17th meeting of the Conference of the Parties are encouraged to share images in accordance with Resolution Conf 12.5 (Rev. CoP18) on <i>Conservation of and trade in tigers and other Appendix-I Asian big cat species</i> , paragraph 1 l) by 31 December 2019 and all Parties are encouraged to share images of any skins seized hereafter within 90 days of such seizure.	Within 90 days of the seizure of tiger skins		
Illegal trade in Asian big cats (Felidae spp.)	Decision	18.104	All Parties that have live tigers or seized tigers or tiger products	Czech Republic national focal point	TigrisID	Parties are encouraged, in accordance with their national regulations, to share samples of tiger specimens from living animals, seized animals or products that could contain tiger DNA with the Czech Republic national focal point for use in the genetic research project TigrisID which is focusing on the development of novel techniques to facilitate tackling illegal trade in tiger specimens.			
Illegal trade in Asian big cats (Felidae spp.)	Decision	18.107	18.100: Parties affected by illegal trade in Asian big cat specimens, in particular Parties identified in document CoP18 Doc. 71.1 (China, Czech Republic, India, Indonesia, Lao PDR, Malaysia, Myanmar, Nepal, Thailand, USA & Viet Nam); 18.102: Parties in whose territory tourist markets exist that are contributing to illegal cross border trade involving Asian big cat specimens, 18.103: Parties in whose territories that are facilities keeping Asian big cats in captivity referred to in Decision 18.108, paragraph a) (China, Czech Republic, Lao PDR, Thailand, South Africa, USA & Viet Nam); 18.104: Parties that have made seizure of tiger skins; Parties that have live tigers or seized tigers or tiger products; 18.105: All Parties, in particular those mentioned in section 3.1.5 of	Secretariat	SC	Parties are invited to report to the Secretariat on implementation of Decisions 18.100 to 18.106 in time for the Secretariat to report to the Standing Committee and the Conference of the Parties as per requirements in paragraph 2 a) of Resolution Conf. 12.5 (Rev. CoP18).	6 August 2020 (SC73)		

			Annex 4 to document CoP18 Doc. 71.1 (Afghanistan, Cambodia, China, India, Lao PDR, Myanmar, Nepal, Viet Nam); 18.106: Consumer States of specimens from tiger and other Asian big cat species						
Rhinoceroses (Rhinocerotidae spp.)	Decision	18.110	Parties that seized rhino specimens	Range States / Secretariat	SC	Parties should ensure the timely reporting of seizures and submission of DNA samples to range States, and continuously review trends associated with the illegal killing of rhinoceroses and illegal trade in rhinoceros specimens, and the measures and activities they are implementing to address these crimes, to ensure that these measures and activities remain effective and are quickly adapted to respond to any newly identified trends, and report to the Secretariat in time for consideration by the Standing Committee on any activities conducted in this regard.	Reporting of seizures and submission of DNA samples to range States: ongoing Reporting to the Secretariat: 90 days before SC74		
Rhinoceroses (Rhinocerotidae spp.)	Decision	18.111	China, Mozambique, Myanmar, Namibia, South Africa and Viet Nam	Secretariat	SC	China, Mozambique, Myanmar, Namibia, South Africa and Viet Nam are encouraged to make every effort to further strengthen their implementation of paragraphs 1 e) and 2 d) of Resolution Conf. 9.14 (Rev. CoP17) on <i>Conservation of and trade in African and Asian rhinoceroses</i> , including by pursuing the initiation of joint investigations and operations aimed at addressing members of organized crime networks across the entire illegal trade chain, and to report to the Secretariat on any activities conducted in this regard, in time for consideration by the Standing Committee.	90 days before SC74		
Rhinoceroses (Rhinocerotidae spp.)	Decision	18.112	Zimbabwe	Secretariat	SC	Zimbabwe is encouraged to pursue the expeditious finalization of outstanding cases in court related to rhinoceros poaching and rhinoceros horn smuggling, to consider measures that could be implemented to facilitate the swift processing of such cases in future, and to report to the Secretariat on any activities conducted in this regard, in time for consideration by the Standing Committee.	90 days before SC74		
Closure of domestic ivory markets	Decision	18.117	Parties that have not closed their domestic markets for commercial trade in raw and worked ivory	Secretariat	SC	Parties that have not closed their domestic markets for commercial trade in raw and worked ivory are requested to report to the Secretariat for consideration by the Standing Committee at its 73rd and 74th meetings on what measures they are taking to ensure that their domestic ivory markets are not contributing to poaching or illegal trade.	90 days before SC73 (6 July 2020) 90 days before SC74		
Guidance for making legal acquisition findings	Decision	18.122	All Parties	Secretariat		Parties are invited to: a) provide to the Secretariat any relevant information, experiences, or examples regarding the use of guidance in Annex 1 to Resolution Conf. 18.7 on <i>Legal acquisition findings</i> for verifying legal acquisition of CITES specimens to be exported and any relevant information regarding the applicability of the guidance in Annex 1 to the additional circumstances in Annex 2 to Resolution Conf. 18.7; and			
Electronic systems and information technologies	Decision	18.125	All Parties	Secretariat		Parties are invited to: g) provide information to the Secretariat on the state of automation of CITES permit processes and the implementation of control systems for international trade in CITES-listed species and share their lessons learned.			
Authentication and control of permits	Decision	18.129	All Parties	Secretariat		Parties are encouraged to provide the Secretariat with information on their approaches and experiences in the authentication and control of CITES permits.			
Non-detriment findings	Decision	18.134	All Parties	AC/PC	AC/PC	Parties are encouraged to: c) make use of the guidance materials on NDFs resulting from the implementation of Decisions 18.132 and 18.133, and report experiences and findings to the Animals and Plants Committees.	60 days before each AC and PC meeting (14/18 May 2020)		

Identification materials	Decision	18.139	All Parties	Secretariat	AC/PC	Parties are encouraged to support the efforts of the working group on identification materials by providing to the Secretariat information on available identification and guidance materials that are used by Parties, and particularly by enforcement and inspection officers, to facilitate implementation of the Convention.			
Identification of timber and other wood products	Decision	18.141	All Parties	PC	PC	Parties are encouraged to collaborate with the Plants Committee, with relevant stakeholders and with existing initiatives in the implementation of Decision 18.140, by: e) reporting information on existing laboratories, effective wood identification training methods, tools and protocols for enforcement and customs officers, and the priority species of rosewoods and palisanders to the Plants Committee.	18 May 2020		
Specimens produced through biotechnology	Decision	18.147	All Parties	Secretariat		Parties are invited to provide information to the Secretariat regarding: a) cases where they have issued, or received requests to issue, CITES permits and certificates for specimens produced through biotechnology; b) other situations when they have applied the interpretation of Resolution Conf. 9.6 (Rev. CoP16) on <i>Trade in readily recognizable parts and derivatives</i> to fauna and flora products produced through biotechnology; and c) technological developments and applications taking place, particularly in their jurisdiction, that may result in the manufacture of specimens produced through biotechnology that may have impact on the interpretation and implementation of the Convention.			
Definition of 'appropriate and acceptable destinations'	Decision	18.152	Parties using the Non-binding guidance for determining whether a proposed recipient of a living specimen is suitably equipped to house and care for it contained in document CoP18 Doc. 44.1	Secretariat	AC	The Secretariat shall: d) issue a notification within 30 days of the close of the 73rd meeting of the Standing Committee inviting Parties to provide feedback on experience with using the guidance contained in document CoP18 Doc. 44.1 as well as the information provided on the CITES webpage created under paragraph a) and report this to the Animals Committee and Standing Committee for their consideration and recommendations, as appropriate			
Definition of 'appropriate and acceptable destinations'	Decision	18.154	Parties using the Non-binding guidance for determining whether a proposed recipient of a living specimen is suitably equipped to house and care for it contained in document CoP18 Doc. 44.1	Secretariat	AC	Parties are: b) encouraged to submit relevant information for the webpage created under Decision 18.152, paragraph a).	14 May 2020		
Quotas for leopard (<i>Panthera pardus</i>) hunting trophies	Decision	18.165	Parties with quotas established under Resolution Conf. 10.14 (Rev. CoP16) and which did not yet provide relevant information to the Animals Committee (Botswana, the Central African Republic and Ethiopia)	AC	AC	Parties which have quotas established under Resolution Conf. 10.14 (Rev. CoP16) on <i>Quotas for leopard hunting trophies and skins for personal use</i> , and which did not yet provide relevant information to the Animals Committee (Botswana, the Central African Republic and Ethiopia), are requested to review these quotas and consider whether these quotas are still set at levels which are non-detrimental to the survival of the species in the wild, and to share the outcomes of the review and the basis for the determination that the quota is not detrimental, with the Animals Committee at its 31st meeting.	14 May 2020		

Quotas for leopard (<i>Panthera pardus</i>) hunting trophies	Decision	18.166	Parties with quotas established under Resolution Conf. 10.14 (Rev. CoP16) (Botswana, Central African Republic, Ethiopia, Kenya, Malawi, Mozambique, Namibia, South Africa, Uganda, United Republic of Tanzania, Zambia, Zimbabwe)	Parties with quotas established under Resolution Conf. 10.14 (Rev. CoP16) (Botswana, Central African Republic, Ethiopia, Kenya, Malawi, Mozambique, Namibia, South Africa, Uganda, United Republic of Tanzania, Zambia, Zimbabwe)		All Parties which have quotas for leopard hunting trophies established under Resolution Conf. 10.14 (Rev. CoP16) are encouraged to exchange information and lessons learnt regarding the process for determining that such quotas are non-detrimental to the survival of the species in the wild.			
West African vultures (Accipitridae spp.)	Decision	18.188	All Parties	Secretariat	AC	The Secretariat shall issue a Notification to the Parties requesting the following information concerning trade in and conservation of Egyptian vulture (<i>Neophron percnopterus</i>), white-headed vulture (<i>Trigonoceps occipitalis</i>), hooded vulture (<i>Necrosyrtes monachus</i>), whitebacked vulture (<i>Gyps africanus</i>), Rüppell's vulture (<i>Gyps rueppelli</i>) and lappet-faced vulture (<i>Torgos tracheliotos</i>) in West Africa: a) biological data on West African vultures, including population size, breeding productivity, distribution, and trends across the range of the species; b) available information about harvest and levels of legal and illegal trade of vultures and their parts; c) information on threats to these species, in particular belief-based use and sentinel poisoning, and other trade-related threats; d) information on enforcement actions taken, including seizures, forensic analysis of seized specimens, arrests, prosecutions and judgments relating to illegal trade in vultures as well as disposal of seized specimens; and e) new developments regarding management, education and awareness-raising measures concerning vultures.	14 May 2020		
Eels (<i>Anguilla</i> spp.)	Decision	18.197	Range States of European eels (<i>Anguilla anguilla</i>) (Albania, Algeria, Austria, Belarus, Belgium, Bosnia and Herzegovina, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Egypt, Estonia, Faroe Islands, Finland, France, Georgia, Germany, Greece, Hungary, Iceland, Iran (Islamic Republic of), Ireland, Israel, Italy, Japan, Jordan, Kenya, Latvia, Lebanon, Libya, Lithuania, Luxembourg, Malta, Mauritania,	Secretariat	AC/SC	Range States of European eel (<i>Anguilla anguilla</i>) are encouraged to: a) submit any non-detriment finding studies on European eel they have undertaken to the Secretariat for inclusion on the CITES website; explore the different approaches that might be taken for making non-detriment findings for European eels traded as fingerlings (FIG) compared with those traded as other live eels (LIV); collaborate and share information with other Parties regarding such studies and their outcome, especially where the Parties share catchments or water bodies; seek review and advice from the Animals Committee or other suitable body on any non-detriment findings for European eels where appropriate; b) develop and/or implement adaptive European eel management plans at national or sub-national (or catchment) level, with defined and time-bound goals, and enhance collaboration within countries between authorities and other stakeholders with responsibilities for eel management, and between countries where water bodies or catchments are shared; c) share information on stock assessments, harvests, the results of monitoring and other relevant data with the Joint Working Group on Eels (WGEEL) of the European Inland Fisheries and Aquaculture Advisory Commission, the International Council for the Exploration of the Seas and the Central Fisheries Commission for the Mediterranean (EIFAAC/ICES/GFCM), so that a full and complete picture of the state of the European eel stock can be established;	14 May 2020 (AC31) 6 August 2020 (SC73)		

			Montenegro, Morocco, Netherlands, Norway, Poland, Portugal, Romania, Russian Federation, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Syrian Arab Republic, Tunisia, Turkey, Uganda, Ukraine, United Kingdom of Great Britain and Northern Ireland)			d) develop measures or implement more effectively existing measures to improve the traceability of eels in trade (both live and dead); e) provide the Secretariat with information regarding any changes to measures they have in place to restrict the trade in live 'glass' or fingerling European eels; and f) provide information to the Secretariat on the implementation of this Decision to allow it to report to the Animals Committee and Standing Committee, as appropriate.		
Eels (<i>Anguilla</i> spp.)	Decision	18.198	Range States of non-CITES <i>Anguilla</i> spp. in international trade (particularly <i>A. rostrata</i> (Antigua and Barbuda; Bahamas; Barbados; Belize; Canada; Colombia; Costa Rica; Cuba; Dominica; Dominican Republic; Grenada; Haiti; Honduras; Jamaica; Mexico; Nicaragua; Panama; Saint Kitts and Nevis; Saint Vincent and the Grenadines; Trinidad and Tobago; UK; USA; Venezuela); <i>A. japonica</i> (China; Republic of Korea, Japan, Philippines), <i>A. marmorata</i> (Cambodia; China; Comoros; Eswatini; Fiji; France; India; Indonesia; Japan; Kenya; Republic of Korea; Lao PDR; Madagascar; Malaysia; Mauritius; Federated States of Micronesia; Mozambique; Myanmar; Palau; Papua New Guinea; Philippines; Samoa; Solomon Islands; South Africa; Sri Lanka; United Republic of Tanzania, Thailand; Tonga; UK, United States; Vanuatu; Viet Nam; Zimbabwe); and <i>A.</i>	Secretariat	AC/SC	Range States of non-CITES <i>Anguilla</i> spp. in international trade are encouraged to: a) where appropriate, implement conservation and management measures, such as adaptive eel management plans, enhanced collaboration within countries, between authorities and other stakeholders with responsibilities for eel management, and related legislation to ensure the sustainability of harvests and international trade in <i>Anguilla</i> spp. and make these widely available; b) collaborate and cooperate with other range States on shared stocks of <i>Anguilla</i> spp. to develop shared objectives for these stocks and their management, improve the understanding of the biology of the species, conduct joint programmes of work and share knowledge and experience; c) establish monitoring programmes and develop abundance indices in range States where none exist. For ongoing programmes, identifying opportunities for expanding to new locations and/or live stages would be favourable; d) improve traceability of <i>Anguilla</i> spp. in trade (both live and dead); and e) provide information to the Secretariat on the implementation of this Decision to allow it report to the Animals Committee and Standing Committee, as appropriate.	14 May 2020 (AC31) 6 August 2020 (SC73)	

			<i>bicolor</i>) (Australia; Bangladesh; India; Indonesia; Kenya; Madagascar; Maldives; Federated States of Micronesia; Mozambique; Myanmar; Oman; Papua New Guinea; Philippines; Somalia; South Africa; Sri Lanka; United Republic of Tanzania; Viet Nam; Yemen						
Agarwood-producing taxa (<i>Aquilaria</i> spp. and <i>Gyrinops</i> spp.)	Decision	18.203	All Parties	PC	PC	The Plants Committee shall: a) monitor the implementation of Resolution Conf. 16.10 on <i>Implementation of the Convention for agarwood-producing taxa</i> to assess any potential conservation impacts to the long-term survival of agarwood-producing species and possible problems arising from the implementation, by: i) developing a questionnaire on potential conservation issues in the implementation of Resolution Conf. 16.10 on <i>Implementation of the Convention for agarwood-producing taxa</i> to be circulated to the Parties through a Notification, and analysing the responses received; ii) examining available trade data; and iii) analysing available data on the conservation status of agarwood-producing species; and b) report findings and recommendations to the 19th meeting of the Conference of the Parties and advise on the need for a study to further assess impacts of harvest, management and trade in agarwood products on the conservation of agarwood-producing species in the wild.	60 days before PC26		
Boswellia trees (<i>Boswellia</i> spp.)	Decision	18.205 & 208	Range States and Parties involved in management, propagation, or trade of <i>Boswellia</i> species	Secretariat	PC	Decision 18.205: The Secretariat shall issue a Notification to the Parties and, as appropriate, liaise with relevant stakeholders of <i>Boswellia</i> trade, requesting the following information: a) biological data on <i>Boswellia</i> species, including population size, distribution, status and population trends, identification information, and its role in the ecosystem in which it occurs; b) available information about harvest and exploitation levels, trade names, stakeholders close to the harvest of the species and supply chain characteristics for domestic consumption and international trade; c) information on threats to these species, especially as it pertains to the underlying causes of poor regeneration capability and the impact of harvest on these species; d) information on any initiatives to artificially propagate these species or produce plantations of them; e) existing regulations and ownership structures pertaining to the species, and their habitat, drivers of habitat trends and management measures in place or under development, including sustainable harvest practices; and f) suggestions for meetings or other venues that might provide opportunities to collaborate or share information regarding harvest and management of these species. Decision 18.208: Range States and Parties involved in management, propagation, or trade of <i>Boswellia</i> species are encouraged to provide information to the Secretariat, as requested in Decision 18.205.	18 May 2020 (PC25)		
Marine turtles (Cheloniidae spp. and Dermochelyidae spp.)	Decision	18.211	All Parties, in particular Parties that are marine turtle range States	Secretariat	AC/SC	Parties are urged to: m) respond to the Notification issued by the Secretariat per Decision 18.210, paragraph f) on the implementation of Decisions 18.210 to 18.214.	14 May 2020 (AC31) 6 August 2020 (SC73)		

Sharks and rays (Elasmobranchii spp.)	Decision	18.218 & 220	All Parties	Secretariat		<p>Decision 18.218 Parties are encouraged to:</p> <p>a) provide information to the Secretariat in support of the study called for in Decision 18.221 paragraph a), in particular on any national management measures that prohibit commercial take or trade, and in response to the Notification called for in Decision 18.220;</p> <p>b) in accordance with their national legislation, provide a report to the Secretariat about the assessment of stockpiles of shark parts and derivatives for CITES-listed species stored and obtained before the entry into force of the inclusion in CITES in order to control and monitor their trade, if applicable;</p> <p>Decision 18.220 The Secretariat shall:</p> <p>a) issue a Notification to the Parties, inviting Parties to:</p> <p>i) provide concise summaries of new information on their shark and ray conservation and management activities, in particular:</p> <p>A. the making of non-detriment findings;</p> <p>B. the making of legal acquisition findings;</p> <p>C. the identification of CITES-listed shark-products in trade; and</p> <p>D. recording stockpiles of commercial and/or pre-Convention shark parts and derivatives for CITES Appendix-II elasmobranch species and controlling the entry of these stocks into trade; and</p> <p>ii) highlight any questions, concerns or difficulties Parties are having in writing or submitting documentation on authorized trade for the CITES Trade Database;</p>	14 May 2020 (AC31) Possibly also 6 August 2020 (SC73)		
Trade in Asian elephants (<i>Elephas maximus</i>)	Decision	18.226	All Parties involved in the trade in Asian elephants and their parts and derivatives	Secretariat	SC	<p>All Parties involved in the trade in Asian elephants and their parts and derivatives are encouraged to:</p> <p>a) undertake, as necessary, investigations into the illegal trade in Asian elephants and their parts and derivatives, and endeavor to enforce, and where necessary improve, national laws concerning international trade in specimens of Asian elephants with the explicit intention of preventing illegal trade;</p> <p>b) develop strategies to manage captive Asian elephant populations;</p> <p>c) ensure that trade in, and cross-border movements of live Asian elephants are conducted in compliance with CITES, including the provisions in Article III, paragraph 3, for Asian elephants of wild origin;</p> <p>d) collaborate in the development and application of a regional system for registering, marking and tracing live Asian elephants, requesting as necessary assistance from experts, specialized agencies or the Secretariat; and</p> <p>e) at the request of the Secretariat, provide information on the implementation of this Decision for reporting by the Secretariat to the Standing Committee.</p>			
Seahorses (<i>Hippocampus</i> spp.)	Decision	18.230	All Parties	Secretariat	AC	<p>To support the effective implementation of Appendix II of CITES for seahorses, Parties are invited to:</p> <p>a) inform the Secretariat of any national management measures that regulate or restrict international trade in seahorses; and how they are implementing and enforcing such measures for seahorses;</p> <p>b) share copies of their non-detriment findings with the Secretariat for posting on the CITES website to assist other CITES Parties; and</p> <p>c) inform seahorse traders within their jurisdiction of any quotas, including any zero quotas, and any trade suspensions for seahorses to further facilitate compliance and enforcement by all participants in the trade.</p>	14 May 2020 (AC31)		
Seahorses (<i>Hippocampus</i> spp.)	Decision	18.231	All Parties	Secretariat	CoP	<p>Parties are encouraged to:</p> <p>a) use existing tools for effective CITES implementation and enforcement that are relevant to seahorses;</p> <p>b) where quotas, trade suspensions, or both are in place, develop monitoring programmes for seahorses in their national waters to understand effectiveness of these actions and any other relevant implementation and enforcement actions for seahorse conservation and management; and</p> <p>c) share the design and initial results of these programmes with the Secretariat to report to the 19th meeting of the Conference of the Parties.</p>	Deadline for submission of CoP documents		

Rosewood tree species [Leguminosae (Fabaceae)]	Decision	18.234 & 235	All Parties	Secretariat		Decision 18.235 Parties are encouraged to: a) respond to the Notification described in paragraph b) of Decision 18.234 in close collaboration with relevant stakeholders; and Decision 18.234 The Secretariat shall: b) issue a Notification seeking input from Parties, in particular exporting, re-exporting and importing countries, and relevant stakeholders to provide information to the Secretariat to share with the consultant for the purposes of completing the study outlined under paragraph a) above;	18 May 2020 (PC25)		
Pangolins (<i>Manis</i> spp.)	Decision	18.238	All pangolin range States (Angola, Bangladesh, Benin, Bhutan, Botswana, Burkina Faso, Burundi, Brunei Darussalam, Cambodia, Cameroon, Central African Republic, Chad, China, Congo, Côte d'Ivoire, Democratic Republic of the Congo, Equatorial Guinea, Eswatini, Ethiopia, Gabon, Gambia, Ghana, Guinea, Guinea-Bissau, India, Indonesia, Kenya, Lao People's Democratic Republic, Lesotho, Liberia, Malawi, Malaysia, Mali, Mauritania, Mozambique, Myanmar, Namibia, Nepal, Niger, Nigeria, Pakistan, Philippines, Rwanda, Senegal, Sierra Leone, Singapore, Somalia, South Africa, South Sudan, Sri Lanka, Sudan, Thailand, Togo, Uganda, United Republic of Tanzania, Viet Nam, Zambia, Zimbabwe)	Secretariat	AC/SC	All pangolin range States that have not yet done so, are encouraged to take urgent steps to develop and implement <i>in situ</i> pangolin management and conservation programmes, which includes population assessments, as anticipated in paragraph 7 of Resolution Conf. 17.10 on <i>Conservation of and trade in pangolins</i> , and report on the implementation of this Decision to the Secretariat.	14 May 2020 (AC31) Possibly also 6 August 2020 (SC73)		
Pangolins (<i>Manis</i> spp.)	Decision	18.242	Parties, intergovernmental organizations, international aid agencies and nongovernmental organizations	Secretariat		Parties, intergovernmental organizations, international aid agencies and non-governmental organizations that develop tools or materials that could assist Parties in the implementation of Resolution Conf. 17.10, are invited to bring such tools or materials to the attention of the Secretariat.	Ongoing		

Jaguars (<i>Panthera onca</i>)	Decision	18.251 & 252	All Parties, in particular exporting, re-exporting and importing countries affected by illegal trade in jaguar (<i>Panthera onca</i>) specimens and range State of the jaguar (<i>Panthera onca</i>) (Argentina, Belize, Bolivia (Plurinational State of), Brazil, Colombia, Costa Rica, Ecuador, El Salvador, France, Guatemala, Guyana, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Suriname, United States of America, Uruguay, Venezuela (Bolivarian Republic of))	Secretariat	SC	Decision 18.251 The Secretariat shall: c) issue a Notification seeking input from Parties, in particular exporting, re-exporting and importing countries affected by illegal trade in jaguar (<i>Panthera onca</i>) specimens, and relevant stakeholders to provide information to the Secretariat for the purposes of completing the study outlined in Decision 18.251, paragraph a). Decision 18.252 Parties, especially those that are range States of the jaguar (<i>Panthera onca</i>) and relevant stakeholders, are encouraged to take action to: b) respond to the Notification as described in paragraph c) of Decision 18.251;			
Banggai cardinalfish (<i>Pterapogon kauderni</i>)	Decision	18.263	Indonesia	AC	AC	Indonesia is encouraged to continue its conservation and management measures to ensure the sustainability of international trade in <i>Pterapogon kauderni</i> , and submit a progress report on these measures, including on the implementation of recommendations made by the Animals Committee in document AC30 Com.1 (Rev. by Sec.), to the Secretariat for onward transmittal to the Animals Committee, with its own recommendations, as appropriate.	14 May 2020 (AC31)		
Helmeted hornbill (<i>Rhinoplax vigil</i>)	Decision	18.266	All Parties, especially range, transit and consumer States of the helmeted hornbill (<i>Rhinoplax vigil</i>) – Range States of <i>Rhinoplax vigil</i> are: Brunei Darussalam, Indonesia, Malaysia, Myanmar, Singapore, Thailand.	Secretariat		Parties, especially range, transit and consumer States, should provide information to the Secretariat on their implementation of Resolution Conf. 17.11 on <i>Conservation of, and trade in, helmeted hornbill</i> , including any challenges encountered, and provide information in response to the Notification issued pursuant to Decision 18.267, paragraph c) below.	6 August 2020 (SC73) and 60 days before SC74		
Saiga antelope (<i>Saiga</i> spp.)	Decision	18.270	Range States of saiga antelope (<i>Saiga</i> spp.) (Kazakhstan, Mongolia, the Russian Federation, Turkmenistan and Uzbekistan)	Secretariat		b) Consistent with the measures directed to Saiga range States in the Medium-Term International Work Programme for the Saiga Antelope for 2016-2020 [MTIWP (2016 2020)], the range States of the saiga antelope are encouraged to establish internal market controls for saiga parts, including registration of stockpiles, labelling of parts and products, and registration of manufacturers and traders, and report such information to the CITES Secretariat.	Prior to the fourth meeting of saiga MoU signatories in 2020		
Queen conch (<i>Strombus gigas</i>)	Decision	18.275	Range States of <i>Strombus gigas</i> (Antigua and Barbuda, Bahamas, Barbados, Belize, Brazil, Colombia, Costa Rica, Cuba, Dominican Republic, France,	Secretariat	CoP	The range States of <i>Strombus gigas</i> are encouraged to: a) collaborate to implement the <i>Regional Queen Conch Fisheries Management and Conservation Plan</i> , and develop national Queen Conch Fisheries Management and Conservation Plans, as appropriate; b) continue to collect data on weight of <i>S. gigas</i> by processing grade in order to update and improve the regional conversion factors, and establish or update national conversion factors, taking into account the spatial variability and characteristics of the species; c) collaborate in developing and implementing joint research programmes at the sub-regional or regional level to support the making of non-	Document deadline for CoP19		

			Grenada, Haiti, Honduras, Jamaica, Mexico, Netherlands, Nicaragua, Panama, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Trinidad and Tobago, United Kingdom, United States of America, Venezuela)			detriment findings that take into account all fishing mortality, promote relevant research and capacity-building activities through regional fisheries management entities and mobilize financial resources for data collection; d) promote and collaborate in developing and implementing public education and awareness programmes regarding the conservation and sustainable use of <i>S. gigas</i> ; e) continue to collaborate in exploring ways to enhance the traceability of specimens of <i>S. gigas</i> in international trade, including, but not limited to, catch certificates, labelling systems and the application of genetic techniques, and consider sharing relevant experiences with the Secretariat, Parties and the Standing Committee, as appropriate, in the context of discussions on traceability systems for trade in CITES-listed species; f) collaborate on combatting illegal, unreported and unregulated (IUU) fishing activity; g) make available to the Standing Committee, through the Secretariat, information concerning illegal trade in queen conch, including surveillance and enforcement activities, as appropriate; and h) provide information to the Secretariat on the implementation of paragraphs a) to f) of this Decision to allow it to report at the 19th meeting of the Conference of the Parties, in accordance with Decision 18.280, as appropriate.			
Titicaca water frog (<i>Telmatobius culeus</i>)	Decision	18.281	Range States of the Titicaca water frog (<i>Telmatobius culeus</i>) (Bolivia, Peru)	AC	AC	Range States are encouraged to collaborate and: a) conduct studies aiming to: i) estimate population size of the Titicaca water frog; and ii) identify and monitor all international illegal trade of specimens of Titicaca water frog; b) strengthen international cooperation mechanisms for the conservation of the Titicaca water frog, and combat its illegal trade; c) develop and implement additional demand reduction strategies; d) continue to raise awareness on the importance of the conservation of the Titicaca water frog, its ecological role, cultural value, and threats, particularly the illegal trade; and e) report on implementation of paragraphs a) through d) above to the Animals Committee.	14 May 2020 (AC31)		
Tortoises and freshwater turtles (Testudines spp.)	Decision	18.286	Madagascar	SC	SC	Madagascar should: a) review its implementation of Resolution Conf. 11.9 (Rev. CoP18) on <i>Conservation of and trade in tortoises and freshwater turtles</i> ; and b) report to the 73rd meeting of the Standing Committee on its implementation of Resolution Conf. 11.9 (Rev. CoP18), including in its report, information on any seizures, arrests, prosecutions and convictions secured as a result of activities implemented to address illegal trade in tortoises from Madagascar.	6 August 2020 (SC73)		
Totoaba (<i>Totoaba macdonaldi</i>)	Decision	18.292	All Parties	Secretariat	SC	Parties, in collaboration with relevant stakeholders, are encouraged to: a) communicate to the Secretariat and the CITES Authorities of relevant Parties information on seizures of specimens of totoaba, arrests of those engaged in illegal take and trade, results of any prosecutions, and actions taken to implement this Decision;	6 August 2020 (SC73)		
Totoaba (<i>Totoaba macdonaldi</i>)	Decision	18.293	Mexico	Secretariat	SC	Mexico is urged to: a) take immediate and effective actions by 1 November 2019 in response to the threats to totoaba and vaquita posed by illegal trade by: i) deploying governmental authorities with legal powers of seizure and arrest, together with the Navy, to effectively prevent fishers and vessels from entering the Vaquita Refuge area, and invite the Secretariat to assess the effectiveness and impact of these measures before the end of 2019; ii) collecting and analysing information on organized crime groups involved in the illegal trade in totoaba, convening multi-disciplinary investigative teams to work in close collaboration with local authorities in key areas of concern, and undertaking intelligence-driven operations and investigations for addressing illegal trade in totoaba; iii) providing regular (every six months) updates on these actions and their results to the Secretariat; and	6 August 2020 (SC73)		"The Standing Committee shall [...] make any appropriate recommendations within the mandate of the Standing Committee in accordance with Resolution Conf. 14.3 (Rev. CoP18) on <i>CITES compliance procedures</i> ." (Decision 18.295)

						<p>iv) establishing and operationalizing, together with relevant Parties, the trilateral enforcement contact group called for in the outcomes of the 2017 “Trilateral Meeting China/United States/Mexico On The Combat Against Illegal Traffic Of Totoaba Fish (<i>Totoaba macdonaldi</i>) August 23-25, Ensenada, Mexico”;</p> <p>b) intensify efforts and to secure resources to expand gillnet removal efforts to maintain the Vaquita Refuge area as a net-free zone, and take all necessary measures to protect net removal teams and destroy confiscated nets;</p> <p>c) adhere to the implementation of Decision 43 COM 7B.26, adopted at the 43rd session of the World Heritage Committee (Baku, 2019); and</p> <p>d) submit a comprehensive report on the implementation of Decision 18.293, paragraphs a) to d) above, as well as the information required in Decision 18.292, paragraph a), to the Secretariat in time for it to convey this to the Standing Committee at its 73rd meeting, together with any recommendations it may have.</p>			
Nomenclature (Cactaceae Checklist and its Supplement)	Decision	18.304	All Parties	Secretariat	CoP	Parties shall inform the Secretariat on their experience in using the CITES Cactaceae Checklist (3rd edition) and its Supplement (2018) and any issues that may arise as they apply these lists, including feedback to improve it in the light of relevant updates of cacti taxonomy.	18 May 2020 (PC25) or 60 days before PC26		
Annotations	Decision	18.318	All Parties	Secretariat	SC	Parties are invited to consult with relevant stakeholders and provide to the Secretariat information regarding the mechanisms proposed in Decision 18.316; the definitions proposed in paragraph b) of Decision 16.162 (Rev. CoP18) and the practical challenges resulting from the implementation of the annotations to the Appendices, including but not limited to those on the rosewood-tree species, agarwood-producing taxa (<i>Aquilaria</i> spp. and <i>Gyrinops</i> spp.), <i>Aniba rosaeodora</i> , <i>Bulnesia sarmientoi</i> and orchids proposed in paragraph a) of Decision 16.162 (Rev. CoP18), and provide examples of practical solutions identified when handling those challenges.	6 August 2020 (SC73) 60 days before SC74		
Annotation of Cape aloe (<i>Aloe ferox</i>)	Decision	18.323 & 326	Range countries (Lesotho and South Africa), consumer countries, and other countries involved in the management, propagation, or trade of <i>Aloe ferox</i> .	Secretariat	PC	<p>Decision 18.323</p> <p>The Secretariat shall issue a Notification to the Parties after one year of the conclusion of the 18th meeting of the Conference of the Parties requesting the following information:</p> <p>a) whether, and if so how, the amended annotation #4 has impacted the international trade in <i>Aloe ferox</i> specimens; and</p> <p>b) whether, and if so how, the amended annotation #4 has affected the population size, distribution, status and harvest of <i>Aloe ferox</i>.</p> <p>Decision 18.326</p> <p>Range countries, consumer countries, and other countries involved in the management, propagation, or trade of <i>Aloe ferox</i> are encouraged to provide information regarding the status, management, and trade in this species as requested under Decision 18.323.</p>	60 days before PC26		
Products containing specimens of Appendix-II orchids	Decision	18.327 & 328	All Parties	Secretariat	PC	<p>Decision 18.327</p> <p>Subject to available resources, the Secretariat shall:</p> <p>a) assess the potential conservation impact of exempting orchid products and derivatives (wild and artificially propagated) from CITES controls, thereby completing the work already initiated on orchids used in the production of cosmetics and personal care products, and considering orchids used in other commodities (e.g. medicinals);</p> <p>b) where necessary and appropriate to complement the assessment under paragraph a), seek pertinent information from Parties and relevant stakeholder groups, including industry, such as</p> <p>i) on the trade in orchid products from source to final product, including the identification of the major industry sectors involved in the trade;</p> <p>ii) how non-detriment findings and legal acquisition findings are made;</p> <p>iii) traceability along the supply and value chains; and</p> <p>iv) conservation concerns for wild populations; and</p> <p>Decision 18.328</p> <p>Parties are encouraged to:</p> <p>a) submit pertinent information as requested in Decision 18.327 to the Secretariat;</p>	18 May 2020 (PC25)		

