UNCTAD/Centre of Excellence solution for Electronic CITES Permitting & Controls
• All traders (importers/exporters) and private persons that cross the border or trade fauna and flora species or products from them that are included in the CITES Appendixes must apply for CITES Certificates/Permits
• Issuance/control of CITES Permits/Certificates involves 3 Parties: Traders/private person (Applicant), Ministry (Management Authority) and Customs
• ASY-CITES covers all functions related to application for, issuance, control and monitoring of CITES eCertificates/Permits
Global Businesses Processes:

- Management of an *Application for Permit/Certificate (request)*, from the creation of the e-Application, to the reception by the competent Management Authority and to the issuing of the *Permit/Certificate*, including *evaluation and decision process* by Management Authority and Scientific Authority when/where required;

- Management of a *Permit/Certificate*, with all possible operations (e.g. suspension, withdrawal of a Permit/Certificate suspension, revocation, etc.);

- Use of a valid Permit/Certificate, i.e. validate Customs transactions vs. Permit/Certificate data, automatic partial/total writing-off by Customs document(s);

- Electronic Data Exchange – Transfer of Permit/Certificate data & Reports (e.g. seizures, illegal trade etc.)
ACITES – National/Regional Solution Business Processes

Applicant / Trader

Mgmt Authority

Request for LPCA in electronic format

Processing of request

Yes

No

Inform trader

On-line status of eLPCA

On-line status of eLPCA

Processing of SAD, Automatically:
- Control: validity of license
- Customs declaration vs. license
- Consultation of external database(s)
- Apply risk-management

Exchange of Permit data MA2MA

Reporting Int. Organizations

Species +

Internat. Organisation

CUSTOMS

Processing of SAD; Automatically:
- Control: validity of license
- Customs declaration vs. license
- Consultation of external database(s)
- Apply risk-management

BUSINESS PROCESSES

UNITED NATIONS CONFERENCE ON TRADE AND DEVELOPMENT
A FACILITY FOR MANAGING THE ISSUANCE OF TRADE CONTROL DOCUMENTS

ACITES
A CITES E LECTRONIC C ERIFICATION

- Electronic creation & submission
- Facility to store as template
- Electronic payment
- Automatic display of export conditions & restrictions for regulated products
- Prohibitions applied automatically
- Paperless procedure
- Tracking & tracing, electronic notifications
A facility for managing the issuance of
the trade control documents

Electronic Documents
Use of Code Lists
A CITES COMPRISES ALL CITES INFORMATION

Facility to adopt stricter domestic measures and include some non-CITES species.
**ACITES comprises all CITES Information**

<table>
<thead>
<tr>
<th>Code</th>
<th>Name</th>
<th>Valid From (Included)</th>
<th>Valid To (Included)</th>
</tr>
</thead>
<tbody>
<tr>
<td>B</td>
<td>Breeding in captivity or artificial propagation</td>
<td>01/11/2015 04:00:00</td>
<td></td>
</tr>
<tr>
<td>E</td>
<td>Educational</td>
<td>01/11/2015 04:00:00</td>
<td></td>
</tr>
<tr>
<td>G</td>
<td>Botanical Gardens</td>
<td>01/11/2015 04:00:00</td>
<td></td>
</tr>
<tr>
<td>H</td>
<td>Hunting trophies</td>
<td>01/11/2015 04:00:00</td>
<td></td>
</tr>
<tr>
<td>L</td>
<td>Enforcement (e.g. evidence in court, specimen for training)</td>
<td>01/11/2015 04:00:00</td>
<td></td>
</tr>
<tr>
<td>M</td>
<td>Bio-medical research</td>
<td>01/11/2015 04:00:00</td>
<td></td>
</tr>
<tr>
<td>N</td>
<td>Reintroduction or introduction into the wild</td>
<td>01/11/2015 04:00:00</td>
<td></td>
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<tr>
<td>P</td>
<td>Personal</td>
<td>01/11/2015 04:00:00</td>
<td></td>
</tr>
<tr>
<td>Q</td>
<td>Circuses and travelling exhibitions</td>
<td>01/11/2015 04:00:00</td>
<td></td>
</tr>
<tr>
<td>S</td>
<td>Scientific</td>
<td>01/11/2015 04:00:00</td>
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<tr>
<td>T</td>
<td>Commercial Trade</td>
<td>01/11/2015 04:00:00</td>
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<tr>
<td>Z</td>
<td>Zoos</td>
<td>01/11/2015 04:00:00</td>
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</table>
ACITES COMPRISRS ALL CITES INFORMATION
aCITES Permitting Solution Management Authority (MA)

A facility for managing the issuance of the trade control documents

Management of Prohibitions and Restrictions
**ACITES: MANAGEME...T AUTHORITY (MA)**
ASY-CITES Permitting Solution
Evaluation of Applications

A facility for managing the issuance of the trade control documents

Evaluation & Decision
Inter-Agency Business Processes

UNITED NATIONS CONFERENCE ON TRADE AND DEVELOPMENT
A Scientific Authority may advise its Management Authority not to issue an import permit because it is of the opinion that one or more import conditions are not met.
ASY-CITES Permitting Solution

Evaluation of Applications

A facility for managing the issuance of the trade control documents

Evaluation & Decision
Use of Code Lists to facilitate compliance & performance measurement

UNITED NATIONS CONFERENCE ON TRADE AND DEVELOPMENT
## Electronic Permit/Certificate Evaluation & Decision Control Results

<table>
<thead>
<tr>
<th>Control results code</th>
<th>Issuing administration code</th>
<th>Control results description</th>
<th>Control results description</th>
<th>Control result</th>
<th>Good released</th>
<th>Valid From (Included)</th>
<th>Valid To (Included)</th>
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<tbody>
<tr>
<td>N01</td>
<td>MA</td>
<td>Non-detrimental</td>
<td>1</td>
<td>1</td>
<td>01/11/2015 04:00:00</td>
<td>01/11/2015 04:00:00</td>
<td></td>
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<tr>
<td>D01</td>
<td>SA</td>
<td>Detrimental</td>
<td>0</td>
<td>0</td>
<td>01/11/2015 04:00:00</td>
<td>01/11/2015 04:00:00</td>
<td></td>
</tr>
<tr>
<td>S01</td>
<td>SA</td>
<td>Suitable</td>
<td>1</td>
<td>1</td>
<td>01/11/2015 04:00:00</td>
<td>01/11/2015 04:00:00</td>
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<tr>
<td>N02</td>
<td>SA</td>
<td>Not suitable</td>
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<td>0</td>
<td>01/11/2015 04:00:00</td>
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<tr>
<td>C01</td>
<td>MA</td>
<td>Requirement fulfilled</td>
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<td>1</td>
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<td>01/11/2015 04:00:00</td>
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</tr>
<tr>
<td>N01</td>
<td>MA</td>
<td>Requirements are not fulfilled</td>
<td>0</td>
<td>0</td>
<td>01/11/2015 04:00:00</td>
<td>01/11/2015 04:00:00</td>
<td></td>
</tr>
</tbody>
</table>

---

### Description

- **Requirements are not fulfilled**

### Result Code

- **R01**

### Valid From

- **01/11/2015**

### Valid To

- **01/11/2015**

### Administration

- **MA**
ACITES - Single Window Environment Permitting Solution

ACITES Interface with The Customs Declaration Processing System

Monitoring Compliance and Combating Illegal Traffic
Implementation of Inter-agency Business Processes and Multi-Agency Risk-Management

- Identify the events where exchange of information between the Customs and the other government agencies should take place to verify the existence/validity/availability of the supporting document and its applicability to the given customs declaration (relevance of certificates/licenses to the goods declared in the customs declaration). Implementation of controls.

- Provides each agency with the facility to define and integrate specific risk-management and selectivity criteria for transit/import/export declarations, in a secure environment (not to be accessible to other agencies);
INTER-AGENCY BUSINESS PROCESSES

MoA
Management Authority
Customs
Banks
Importers/Exporters
Carriers
Customs Brokers

UNESCO

ITMS        RMS

UNITED NATIONS CONFERENCE ON TRADE AND DEVELOPMENT
SINGLE WINDOW PLATFORM
MAIN FUNCTIONAL REQUIREMENTS

aCITES Portal
Interface for Customs, Trade and OGAs
In the framework of the Memorandum of Understanding, signed by UNCTAD and the UNEP CITES Secretariat in 2014, the Centre of Excellence Gibraltar and the CITES Secretariat have developed the aCITES system (Electronic CITES Certification System) to automate the electronic certification, control and monitoring of international trade in CITES-listed species of wildlife fauna and flora, and the exchange of electronic CITES certificates across the border.

The main goal of aCITES is to integrate the CITES controls in the global trade.

The aCITES system brings together best practices and international standards, and modern ICT technology. It improves the efficiency of the CITES certification process by the national CITES Management Authorities (NMAs) and the controls by Customs of import and export of endangered species of wildlife fauna and flora. It improves the monitoring of legal international trade and reduces the incidences of illegal wildlife trade.

aCITES allows the exchange of safe electronic messages between governmental authorities and businesses at a national level as well as between