THE ‘CITES FOREST COMPENDIUM: COP19-COP20’

REPORT IN ACCORDANCE WITH PARAGRAPH A) OF DECISION 19.32

Introduction

Decisions 19.32 and 19.33 request that any new initiative on CITES and forests focuses on or prioritizes CITES-listed tree species. In congruence, the compendium is focused at this stage on CITES provisions relevant to tree-species.

The compendium is structured in three sections, as follows:

* Section 1: Resolutions that may provide a technical (operational and scientific) or strategic policy framework for any new initiative on *CITES and forests* with a focus on tree-species.
* Section 2: Decisions relevant to tree-species with potential for implementation via a programmatic approach for any new initiative on *CITES and forests*.
* Section 3: Examples of cross-cutting processes of the Convention that contribute to global forest relevant mandates and forest policies and initiatives under a *CITES and forests* programmatic approach and a list of projects for the period between CoP19 (2022) and CoP20 (2025)

Section 1: Resolutions that may provide a technical (operational and scientific) or strategic policy framework for any new initiative on *CITES and forests* with a focus on tree-species

The approach for this section is adapted from the rationale contained in the Annex 1 to document [PC25 Doc. 12,](https://cites.org/sites/default/files/eng/com/pc/25/Documents/E-PC25-12.pdf) and updated on the basis of the Resolutions in effect following CoP19.

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| **Resolution** | **Title** | **Technical (operational and scientific) and/or strategic** |
| Resolution Conf. 9.19 (Rev. CoP15) | Registration of nurseries that artificially propagate specimens of Appendix-I plant species for export purposes | Technical  Guidance on the process and requirements to register nurseries including the role of the commercial nursery, the Management Authority and the Secretariat. |
| Resolution Conf. 10.13 (Rev. CoP18) | Implementation of the Convention for tree species | Technical  Guidance on consultation with international organizations on amendment proposals; definitions to be applied with respect to annotations relating to tree species and 'artificially propagated'; the establishment of export quotas; identification and forensics for tree species; and improving public understanding of the role of the Convention in the conservation of tree species. |
| Resolution Conf. 11.11 (Rev. CoP18) | Regulation of trade in plants | Technical  Guidance on the definition of 'artificially propagated'; grafted plants; hybrids; cultivars; flasked seedlings of Appendix-I orchids; the definition of 'plant obtained through assisted production'; plant specimens in international trade under exemptions; enforcement for plants; trade in salvaged plant specimens; and education about plant conservation through CITES. |
| Resolution Conf. 12.11 (Rev. CoP19) | Standard nomenclature | Technical  Guidance on nomenclature matters including a list of standard references adopted by the Conference of the Parties. A common understanding of the scientific names of species is important to ensure a common body of knowledge is shared across CITES authorities to inform non-detriment findings, permitting processes and enforcement for CITES-listed tree species. Note that CITES standard references or checklists do not aim to keep pace with every new study or taxonomic treatment, except where it may impact CITES implementation. |
| Resolution Conf. 14.4 | Cooperation between CITES and ITTO regarding trade in tropical timber | Strategic  Guidance on consultation with ITTO on amendment proposals; and other matters relating to cooperation with ITTO related to tropical timber species threatened by international trade and sustainable management of tropical timber producing forests. |
| Resolution Conf. 16.10 | Implementation of the Convention for agarwood-producing taxa | Technical  Guidance on artificially propagated specimens for agar-wood producing taxa, non-detriment findings and management and trade controls. |
| Resolution Conf. 16.4 | Cooperation of CITES with other biodiversity-related conventions | Strategic  Guidance on strengthening the cooperation, coordination and synergies among biodiversity-related conventions at all relevant levels. |
| Resolution Conf. 16.5 | Cooperation with the Global  Strategy for Plant Conservation of the Convention on Biological  Diversity | Strategic  Guidance on the potential contribution of CITES to the objectives and targets of the Global Strategy for Plant Conservation 2011-2020 through activities and products listed in an Annex to the Resolution. |
| Resolution Conf. 16.6 (Rev. CoP18) | CITES and livelihoods | Technical and/or strategic  Guidance on livelihood issues, including empowerment of rural communities; enabling policies; engagement of rural communities in combatting illegal trade in wildlife; and potential shift from *in situ* to *ex situ* production due to the inclusion of species in the CITES Appendices. |
| Resolution Conf. 16.7 (Rev. CoP17) | Non-detriment findings | Technical  The Resolution includes guidance relating to the concepts and non-binding principles to be taken into account by Scientific Authorities in considering whether trade would be detrimental to the survival of a species. |
| Resolution Conf. 18.3 | CITES Strategic Vision : 2021-2030 | Strategic  The Resolution sets out the CITES Strategic Vision: 2021-2030, including the vision statement, purpose, the five strategic goals and objectives agreed under each goal. |
| Resolution Conf. 18.7 (Rev. CoP19) | Legal acquisition findings | Technical  The Resolution includes Guiding principles to be used by Parties for verifying the legal acquisition of specimens to be exported. |
| Resolution Conf. 19.4 | Materials for the identification of specimens of CITES-listed species | Technical  The Resolution provides for the development of identification materials and the regular review and analysis of materials to ensure the needs of Parties in this regard are addressed. The ability of CITES Inspection officers and Customs officials to identify CITES-listed species and commodities in trade is critical to the implementation and enforcement of the protections afforded to CITES-listed species. |

Section 2: Decisions relevant to tree-species with potential for implementation via a programmatic approach for any new initiative on *CITES and forests*

In addition to focusing the table below on CITES-listed tree species, special consideration was given to Decisions that call for the implementation of relevant projects or studies that are dependent on the availability of external financial resources. This is intended to give an idea of how any future CITES programme on forests could be tailored, and how future flagship initiatives under a *CITES and forests* ‘label’ could take shape.

Below are decisions directed to the Plants Committee that will inform work to improve implementation of CITES for regulated tree species. This breadth of activities demonstrates the wide-ranging role of the CITES Plants Committee in coordination with the Secretariat to provide technical expertise to improve sustainable use and conservation of CITES-listed tree species. The Plants Committee prioritizes efforts to address decisions as part of their workplan (PC26 Doc. 8.2 / PC26 SR) which is agreed following each meeting of the Conference of the Parties.

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| **Decision No.** | **Title** | **Baseline for implementation via a programmatic**  **framework on *CITES and forests***  **( relevant documents including studies and projects)** |
|  | **Strategic matters** |  |
| 19.32 to 19.34 | CITES and forests | PC26 Doc. 13  PC26 SR |
|  | *Capacity-building* |  |
| 19.49 and 19.50 | CITES Tree Species Programme | PC26 Doc. 15 (see also section 3 of the Annex)  PC26 SR |
|  | **Compliance** |  |
| 19.71 to 19.73 | Malagasy palisanders and rosewoods  (*Dalbergia* spp.) and ebonies (*Diospyros* spp.) | To be discussed by the Standing Committee at its 77th meeting (SC77). |
|  | **Regulation of trade** |  |
| 19.145 to 19.148 | Identification of timber and other wood products | PC26 Doc. 20 (see also section 3 of the Annex)  PC26 SR |
|  | *Exemptions and special trade provisions* |  |
| 19.182 to 19.183 | Guidance on the term ‘artificially propagated’ | PC26 Doc. 24  PC26 SR |
|  | **Species conservation and trade** |  |
|  | *Flora* |  |
| 19.239 to 19.240 | Agarwood-producing taxa (*Aquilaria* spp. and *Gyrinops* spp.) | PC26 Doc. 27  PC26 SR |
| 19.241 and 19.242 | Boswellia trees (Boswellia spp.) | PC26 Doc. 28.1  PC26 Doc. 28.2  PC26 SR |
| 19.243 to 19.245 | Rosewood tree species [Leguminosae  (Fabaceae)] | PC26 Doc. 29 (see also section 3 of the Annex)  PC26 SR |
| 19.246 to 19.248 | Products containing specimens of Appendix II orchids (Orchidaceae spp.) | PC26 Doc. 30  PC26 SR |
| 19.249 to 19.253 | Brazil wood (*Paubrasilia echinata*) | PC26 Doc. 31  PC26 SR |
| 19.254 to 19.256 | African tree species | PC26 Doc. 32  PC26 SR |
| 19.257 to 19.260 | Neotropical tree species | PC26 Doc. 33  PC26 SR |
| 19.261 to 19.264 | Trade in medicinal and aromatic plant species | PC26 Doc. 34  PC26 SR |
|  | **Appendices of the Convention** |  |
|  | *Annotations* |  |
| 18.321 (Rev. CoP19) to  18.322 (Rev. CoP19) | Annotation #15 | PC26 Doc. 37  PC26 SR |
| 18.317 (Rev. CoP19) and 19.265 | Information system for trade in specimens of CITES-listed tree species | PC26 Doc. 38  PC26 SR |
|  | *Nomenclature matters* |  |
| 18.307 (Rev. CoP19) and 18.308 (Rev. CoP19) | Production of a CITES Checklist for rosewoods (*Dalbergia* spp.) | PC26 Doc. 43.1  PC26 SR |
| 19.281 and 19.282 | Nomenclature for ebonies (*Diospyros* spp.) (populations of Madagascar) | PC26 Doc. Doc. 43.4  PC26 SR |
| 19.290 and 19.291 | Nomenclature for yews (*Taxus* spp.) | PC26 Doc. 43.9  PC26 SR |

Section 3: Examples of cross-cutting processes of the Convention that contribute to global forest-relevant mandates and forest policies and initiatives under a potential *CITES and forests* programmatic approach and a list of projects for the period between CoP19 and CoP20

This section of the compendium is devoted to a list of projects relevant for tree species, including projects that relate to the implementation of cross-cutting provisions and processes of the Convention.

3.1. Article XIII and resolutions Res. Conf. 14.3 on *CITES compliance procedures* and Res. Conf. 18.7 (Rev. CoP19) on *Legal acquisition findings*

1. Support in the implementation of applicable recommendations for *Pterocarpus erinaceus*/range States subject to a recommendation to suspend trade on the basis of compliance and enforcement (Article XIII) (see also [https://cites.org/eng/resources/ref/suspend.php)](https://cites.org/eng/resources/ref/suspend.php). This could include development of case studies on legal acquisition findings for *P. erinaceus* for range States subject to compliance recommendations under the Article XIII process (see [Notification to the Parties No. 2022/045)](https://cites.org/sites/default/files/notifications/E-Notif-2022-045.pdf).
2. Support in the implementation of applicable recommendations for *Dalbergia* spp. for the Lao People’s Democratic Republic, as a follow up to the relevant outputs of the [CITES-FAO project on improved forest governance in the Lower Mekong Region.](https://cites.org/eng/topics/flora/cites-and-forests/cites-fao-project-on-improved-forest-governance-in-the-lower-mekong-region-lmr)

3.2. Article IV and Resolution Conf. 12.11 (Rev. CoP18) on *Review of Significant Trade in specimens of Appendix II species* (RST)

1. Support in the implementation of outstanding RST recommendations for selected current cases of tree species/country combinations (see document PC26 Doc. 16.2)
2. Support in the implementation of recommendations of *Pterocarpus erinaceu*s/country combinations selected as an exceptional case in stage 2 of the RST process (see also document PC26 Doc. 16.4).

3.3. Article IV and Resolution Conf. 16.7 (Rev. CoP17) on *Non-detriment findings* (NDF)

1. Implementation of Decisions 19.243 to 19.245 on *Rosewood tree species [Leguminosae (Fabaceae)]* (see PC26 Doc. 29).
2. Implementation of the CITES NDF project’s workstream on high-value timbers, including any progress in the testing of any new NDF guidance in the field (see document PC26 Doc. 17).
   1. Article XII and Resolution Conf. 19.4 on *Materials for the identification of specimens of CITES-listed species*

Implementation of Decisions 19.145 to 19.148 on *Identification of timber and other wood products* (see also document PC26 Doc. 20)

* 1. Resolution Conf. 19.2 on *Capacity-building*

Implementation of projects and programmes relevant to CITES-listed tree species, such as the *CITES tree species programme* (CTSP; Decisions 19.49 and 19.50), the [CITES-FAO project on improved forest governance in the Lower Mekong Region,](https://cites.org/eng/topics/flora/cites-and-forests/cites-fao-project-on-improved-forest-governance-in-the-lower-mekong-region-lmr) and other upcoming capacity-building projects on tree species managed by the Secretariat during the period CoP19 to CoP20.