

**Decisions of the Conference of the Parties to CITES
superseded after its 16th meeting (Bangkok, 2013),
but not deleted**

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Strategic matters

CITES and livelihoods

Directed to the Standing Committee

- 15.5 The Standing Committee shall continue the operation of its Working Group on CITES and Livelihoods so that it can:
- a) revise the draft resolution contained in Annex 1 to document CoP15 Doc. 14 and make specific recommendations at the 16th meeting of the Conference of the Parties;
 - b) finalize the toolkit for the rapid assessment at the national level of the positive and negative impacts of implementing CITES listing decisions on the livelihoods of the poor, in conformity with Resolution Conf. 8.3 (Rev. CoP13);
 - c) finalize the voluntary guidelines for Parties to address the negative impacts, in order to assist Parties in developing local, national and regional initiatives that take account of the impacts of implementing CITES listing decisions on the livelihoods of the poor; and
 - d) prepare a final version of the tools and guidelines for review and endorsement by the Standing Committee at its 62nd meeting.

The Working Group shall continue to work electronically through a forum available on the CITES website. If funding is available, consideration may be given to holding one or more meetings, if possible in regions more likely to be affected by the outcome.

The process shall not include consideration of the criteria for amendment of the Appendices or the requirement to make non-detriment findings.

- 15.6 The Standing Committee shall present a report at the 16th meeting of the Conference of the Parties on the progress made with regard to the implementation of Decision 15.5.

Directed to the Secretariat

- 15.7 On completion of the draft guidelines and toolkit by the Working Group, the Secretariat shall make the draft documents available on its website for comments by Parties, stakeholders and interested organizations, and shall invite such comments via a Notification to the Parties. The comments shall be made available to the Working Group for consideration in the development of revised draft documents to be submitted for approval at the 61st meeting of the Standing Committee.

Scientific committees

Rules of Procedure of the Animals and Plants Committees

Directed to the Standing Committee

- 15.9 Considering that members of the Animals and Plants Committees serve in a personal capacity, the Standing Committee shall review the need for the Rules of Procedure of those Committees to deal with potential conflicts of interest of its members relating to their activities in the Committees, and shall report on this matter at the 16th meeting of the Conference of the Parties.

Manual for regional representatives of the scientific committees

Directed to the Secretariat

- 14.9 Regarding the manual for regional representatives, contained in the Annex to document PC16/AC22 WG2 Doc. 1, the Secretariat shall:

- a) seek funding for its translation, publication in the three working languages of the Convention and distribution; and
- b) when it has been tested by members of the scientific committees and updated accordingly, and funds are available, organize the publication and distribution of printed and electronic versions of the manual as capacity-building materials for regional representatives of the scientific committees.

Cooperation with other organizations

Post-2010 biodiversity targets

Directed to the Standing Committee

- 15.10 The Standing Committee shall review the adopted post-2010 biodiversity targets and, if necessary, make adjustments to the *CITES Strategic Vision: 2008-2013* as appropriate.

Capacity building

Non-commercial loan, donation or exchange of museum and herbarium specimens

Directed to the Secretariat

- 12.79 The Secretariat shall develop a brochure that will illustrate the importance of registering scientific institutions under Article VII, paragraph 6, of the Convention and demonstrate how the registration procedures can be applied in a simplified manner.

Capacity-building programme for science-based establishment and implementation of voluntary national export quotas for Appendix-II species

Directed to Parties

- 12.90 The Parties should seek funding in order to:
- a) assist the Secretariat in implementing its capacity-building programme dealing with the scientific basis for establishment and implementation of voluntary national export quotas for Appendix-II species; and
 - b) support exporting countries in their efforts to gather information needed to set quotas.

Directed to the Secretariat

- 12.92 To facilitate the development and refinement of its capacity-building programme for voluntary national export quotas for Appendix-II species, the Secretariat may invite Parties to provide new information regarding the scientific basis for establishment and implementation of such quotas, and regarding the most appropriate ways of disseminating relevant information to the Parties in a timely and cost-effective manner.
- 12.93 The Secretariat shall seek funding to:
- a) continue its capacity-building programme for the scientific basis for establishment and implementation of voluntary national export quotas for Appendix-II species; and
 - b) support exporting countries in their efforts to gather information needed to set quotas.

Support to Master's programmes

Directed to Parties

- 14.10 Parties are requested to provide, in accordance with national legislation, financial assistance to academic institutions offering Master's degree courses on CITES and CITES-related subjects in order to support the continuation of these courses.

Regional cooperation and coordination

Directed to Parties

- 14.11 Parties should urge regional environmental organizations to take a more active role in regional cooperation and coordination of CITES to build capacity in their region.

Non-detriment findings

Directed to the Secretariat

- 15.25 The Secretariat shall:
- a) include non-detriment findings as a principal component of its regional capacity-building workshops; and
 - b) use the external funds offered from interested Parties, intergovernmental and non-governmental organizations, and other funding sources, to translate the guidelines into Arabic, Chinese and Russian and to support activities for capacity building on non-detriment findings in regional workshops.

Interpretation and implementation of the Convention

Annotations to the Appendices for plants

Directed to the Plants Committee

- 15.31 The Plants Committee shall:
- a) prepare clarification (i.e. a glossary or an illustrated brochure to be made available to enforcement authorities) and guidance on the meaning of “packaged and ready for retail trade” and other terms used in the Annotations, and
 - b) report at the 16th meeting of the Conference of the Parties (CoP16) and, if necessary, prepare additional amendment proposals for CoP16.

Review of annotations for Cactaceae and Orchidaceae: herbarium specimens

Directed to the Secretariat

- 15.32 The Secretariat shall:
- a) encourage Parties via a Notification to contact their national scientific institutions, and to inform them about the implications and benefits under Article VII, paragraph 6, and Resolution Conf. 11.15 (Rev. CoP12);
 - b) encourage Parties via a Notification to apply Article VII, paragraph 6, by registering scientific institutions as appropriate [as stated in Resolution Conf. 11.15 (Rev. CoP12)]; and
 - c) in compliance with Decision 12.79, support the preparation of an information brochure.

Directed to Parties

- 15.33 The Parties shall:
- a) Contact their national scientific institutions, and inform them about the implications and benefits under Article VII, paragraph 6, and Resolution Conf. 11.15 (Rev. CoP12); and
 - b) Apply Article VII, paragraph 6, by registering scientific institutions in accord with Resolution Conf. 11.15 (Rev. CoP12).

Review of annotations for Cactaceae and Orchidaceae: evaluation of trade in finished products

Directed to the Plants Committee

15.34 The Plants Committee shall:

- a) continue to review the trade in *Aloe* spp., Cactaceae spp., *Cyclamen* spp., *Galanthus* spp., *Gonystylus* spp., Orchidaceae spp. and *Prunus africana* to determine whether additional finished products should be exempted by amending the relevant annotations for these species. This review should initially focus on trade in finished products of Orchidaceae spp. Recommendations on whether to exempt additional finished products from CITES controls should be based on the same considerations reflected in document PC18 Doc. 11.3 (e.g. whether finished products are exported from range States and are a significant portion of the trade). In conducting this work, the Plants Committee should consider whether a clear definition of 'finished products' should be developed; and
- b) as appropriate, prepare proposals to amend Appendix II, based on the outcome of this review, and provide them to the Depository Government for submission at the 16th meeting of the Conference of the Parties.

Orchids: annotation for species included in Appendix II

Directed to Parties and the Plants Committee

14.133 Countries of export and import should make recommendations and prepare identification material on further exemptions for artificially propagated hybrids of Orchidaceae spp. included in Appendix II, taking into consideration the capacities of countries to implement and control such exemptions effectively. The results shall be sent to the Plants Committee, which shall evaluate them and adopt the appropriate measures.

Directed to the Plants Committee

14.134 The Plants Committee shall monitor and assess possible conservation problems arising (Rev. from the implementation of the annotation to Orchidaceae spp. included in Appendix II and CoP15) shall report on the issue at the 16th meeting of the Conference of the Parties.

Review of Significant Trade

Review of Significant Trade in *Cistanche deserticola*, *Dioscorea deltoidea*, *Nardostachys grandiflora*, *Picrorhiza kurrooa*, *Pterocarpus santalinus*, *Rauvolfia serpentina* and *Taxus wallichiana*

Directed to the range States of *Cistanche deserticola*, *Dioscorea deltoidea*, *Nardostachys grandiflora*, *Picrorhiza kurrooa*, *Pterocarpus santalinus*, *Rauvolfia serpentina* and *Taxus wallichiana*, to the regional representatives for Asia on the Plants Committee and to the Secretariat

15.36 The bodies to which this Decision is directed should ensure the implementation of regionally coordinated actions to improve the management of the seven species and ensure that the trade therein is legal, sustainable and traceable. These measures could include, *inter alia*, the organization of regional capacity-building workshops, the improvement of methodologies to make non-detriment findings and to determine legal acquisition, the harmonization of management and compliance measures, and the development of incentives to prevent illegal trade.

Directed to the Secretariat

15.37 The Secretariat shall:

- a) subject to the availability of external funding and in collaboration with the range States, the regional representatives for Asia on the Plants Committee, the World Health

Organization, traditional medicine associations and TRAFFIC, organize one or several regional capacity-building workshops, on the basis, *inter alia*, of the recommendations in document PC17 Inf. 10; and

- b) inform the Plants Committee on progress made at its 20th meeting.

Trade control and marking

Introduction from the sea

Directed to the Standing Committee

14.48 The Standing Committee shall:

(Rev.

CoP15)

- a) extend operation of the Working Group on Introduction from the Sea, established at SC57, with the understanding that it shall continue to work primarily through electronic means, to consider a definition for 'transportation into a State', clarification of the term 'State of introduction' and the process for issuing a certificate of introduction from the sea as well as other issues identified for further consideration in the final report of the CITES Workshop on Introduction from the Sea Issues (Geneva, 30 November – 2 December 2005) and the final report of the meeting of the working group held in Geneva from 14 to 16 September 2009;
- b) include in the working group representatives of CITES authorities and fishery authorities from each of the six CITES regions and request the participation of and input from the United Nations Division for Ocean Affairs and the Law of the Sea, the Food and Agriculture Organization of the United Nations, regional fishery bodies, the fishing industry, and intergovernmental organizations and non-governmental organizations with CITES and fishery expertise; and
- c) ask the working group to prepare a discussion paper and draft revised resolution for consideration by the Standing Committee at its 62nd meeting and for consideration at the 16th meeting of the Conference of the Parties.

Directed to the Secretariat

15.50 The Secretariat shall, contingent on the availability of external funding, convene two meetings of the working group before the 62nd meeting of the Standing Committee.

Electronic permitting

Directed to the Secretariat

15.56 In collaboration with the Working Group on Information Technologies and Electronic Systems, the Secretariat shall, subject to external funding:

- a) update the CITES electronic toolkit according to new electronic permitting standards and norms;
- b) work with relevant international organizations and initiatives related to electronic permitting systems to raise awareness of CITES business procedures and permitting requirements; and
- c) organize capacity-building workshops to assist Parties in using the CITES electronic permitting toolkit to develop, implement or update electronic permitting systems.

Physical inspection of timber shipments

Directed to the Standing Committee

14.61 The Standing Committee, with the support of the Secretariat, and in consultation with Parties (Rev. and relevant intergovernmental organizations, shall consider the outcome of the working CoP15) group on physical inspection of timber shipments at its 61st meeting, to identify best practices and capacity-building measures that may be implemented with technical and/or financial support from donors, and report at the 16th meeting of the Conference of the Parties.

Standard nomenclature

Directed to Parties

15.61 A 'tool-kit' to facilitate the Parties in putting information into their own databases could be developed, subject to funding. This tool could include data outputs and summaries of nomenclature changes in a variety of electronic formats to suit different types of databases thus assisting Parties to include the changes into their own databases.

Identification of worked specimens of black coral (*Antipatharia*) and parts thereof in trade

Directed to the Secretariat

15.66 The Secretariat shall, subject to the availability of external funds, commission the development of guidance on the identification of worked specimens of black coral (*Antipatharia*) in trade at species, genus and higher taxonomic level.

Use of taxonomic serial numbers

Directed to Parties

15.67 The Parties are encouraged to consider the usefulness of incorporating taxonomic serial numbers in their domestic data systems and provide comments to the Secretariat.

Directed to the Standing Committee

15.68 The Standing Committee shall, at its 61st meeting (SC61), establish a working group, in consultation with the nomenclature experts of the Animals and Plants Committees and the UNEP World Conservation Monitoring Centre, to:

- a) investigate the usefulness and feasibility of incorporating taxonomic serial numbers as an element of CITES data sets;
- b) report its findings at SC62; and
- c) if necessary, prepare a draft resolution for submission and consideration at the 16th meeting of the Conference of the Parties.

Directed to the Secretariat

15.69 The Secretariat shall, subject to external funding, compile information voluntarily provided by the Parties regarding the usefulness of incorporating taxonomic serial numbers in their domestic data and make this information available to other Parties.

Exemptions and special trade provisions

Personal and household effects

Directed to the Standing Committee

14.64 The Standing Committee shall extend the operation of its Working Group on Personal and Household Effects until the 16th meeting of the Conference of the Parties (CoP16) and (Rev. CoP15) oversee the Group's work in fulfilling the following terms of reference:

- a) clarify the relationship between 'tourist souvenirs', 'hunting trophies' and 'personal and household effects';
- b) clarify the interpretation of Article VII, paragraph 3 (b), of the Convention;
- c) assess whether there are specific species or types of personal or household effects which, in view of conservation concerns, would require different treatment under Resolution Conf. 13.7 (Rev. CoP14);
- d) collate information about how each Party has implemented Resolution Conf. 13.7 (Rev. CoP14), particularly with regard to requirements for export permits, and assess whether this indicates the need to amend the Resolution; and
- e) report at each regular meeting of the Standing Committee until CoP16 and at CoP16.

Species trade and conservation

Fauna

Conservation of and trade in tigers and other Appendix-I Asian big cat species

Directed to Parties

14.66 All Parties, especially those evaluating their domestic tiger trade control policies, shall take into consideration the view of the Parties as expressed in Resolution Conf. 12.5 (Rev. CoP15) (Rev. CoP15).

14.68 Parties are strongly encouraged to develop or improve implementation of regional enforcement networks.

Directed to the Standing Committee

15.70 The Standing Committee shall review and update the form and guidance contained in Annexes 1 to 3 in Resolution Conf. 12.5 (Rev. CoP15) and report on this matter at the 16th meeting of the Conference of the Parties.

Conservation of and trade in African and Asian rhinoceroses

Directed to the Secretariat

15.71 The Secretariat shall:

- a) examine the implementation of Resolution Conf. 9.14 (Rev. CoP15) in those range States where illegal killing of rhinoceros poses a significant threat to populations of rhinoceros, particularly Zimbabwe and South Africa;
- b) examine progress with regard to curtailing illegal trade in rhinoceros parts and derivatives by implicated States, particularly Viet Nam; and
- c) report on the implementation of Resolution Conf. 9.14 (Rev. CoP15) at the 61st, 62nd and 63rd meetings of the Standing Committee.

15.72 The Secretariat shall:

- a) facilitate, as a matter of urgency, and with others partners as appropriate, bilateral exchanges between key rhinoceros range States and rhinoceros horn consumer States, to improve wildlife enforcement cooperation efforts;
- b) report at the 61st and 62nd meetings of the Standing Committee (SC61 and SC62) on these efforts;
- c) seek funds to convene a joint CITES Ivory and Rhinoceros Enforcement Task Force. Besides the Secretariat, members should include the ASEAN Wildlife Enforcement Network Programme Coordination Unit, INTERPOL, the Lusaka Agreement Task Force, the United Nations Office on Drugs and Crime, the World Customs Organization and those Parties in Africa and Asia that are currently most affected by the smuggling of ivory and rhinoceros specimens. Priority should be given to including the following Parties: Cameroon, China, Ethiopia, Kenya, the Lao People's Democratic Republic, Mozambique, Nepal, the Philippines, South Africa, Thailand, the United Arab Emirates, the United Republic of Tanzania, Viet Nam and Zimbabwe. The Task Force should undertake an exchange of intelligence regarding smuggling of ivory and rhinoceros specimens and develop strategies for combating illegal trade; and
- d) report on the work of the Task Force at SC61.

Directed to the Standing Committee

15.73 At its 61st and 62nd meetings, the Standing Committee shall consider the reports of the Secretariat requested under Decision 15.72 and determine what further actions, if any, are necessary.

Sharks and stingrays

Directed to range States of species in the family Potamotrygonidae (South American freshwater stingrays)

15.85 Range States of species in the family Potamotrygonidae are encouraged to:

- a) note the findings and conclusions of the freshwater stingrays workshop (document AC24 Doc. 14.2), and increase their efforts to improve data collection on the scale and impact of the threats facing stingray species and populations from collection for ornamental trade, commercial fisheries for food and habitat damage.
- b) consider implementing or reinforcing national regulations regarding the management and reporting of capture and international trade of freshwater stingrays for all purposes, including commercial fisheries for food and ornamental trade, and standardizing these measures across the region, for example through existing South American intergovernmental bodies.
- c) consider the listing of endemic and threatened species of freshwater stingrays (Potamotrygonidae) in CITES Appendix III as needing the cooperation of other Parties in the control of trade.

Humphead wrasse

Directed to Parties

15.86 All Parties are urged to:

- a) consider adopting appropriate stricter domestic measures under the remit of the legislation of the Party, including limiting the international trade in humphead wrasse to be conducted by air only, to strengthen the control and enforcement of the Appendix-II listing for the species;
- b) improve monitoring of trade in humphead wrasse, especially through inspections of boxes of mixed live reef fish by exporting, re-exporting and importing countries;

- c) exchange law enforcement information of relevance to humphead wrasse with other relevant Parties, and regularly compile and forward to the Secretariat for distribution a comprehensive summary of Convention violations for the species;
- d) increase awareness of the CITES listing for humphead wrasse, including by improving identification capacity among law enforcement officers through the use of training and educational materials;
- e) facilitate discussion on practicable and acceptable options for action to be taken in case of illegally imported/confiscated live fish; and
- f) provide information to the Secretariat on actions taken to implement this Decision, in order to inform the work of the Humphead Wrasse Working Group to be established by the Standing Committee pursuant to Decision 15.87.

Directed to the Secretariat

15.88 The Secretariat is requested:

- a) to assist Parties in the capacity-building activities outlined in paragraph d) of Decision 15.86; and
- b) where necessary, to provide assistance or advice to Parties to address the handling of confiscated live humphead wrasse.

Flora

Assessment of trade in epiphytic cacti and review of listing of Cactaceae spp. in Appendix II

Directed to the Plants Committee

15.89 The Plants Committee shall assess trade in epiphytic cacti, considering the information of document CoP15 Doc. 55 and focusing especially on the genera *Disocactus*, *Epiphyllum*, *Hatiora*, *Lepismium*, *Pseudorhipsalis*, *Rhipsalis* and *Schlumbergera*. The Plants Committee shall consult with range States and if appropriate, encourage and support range States to submit proposals to exempt certain taxa of epiphytic cacti from Appendix II for consideration of the 16th meeting of the Conference of the Parties. If there are many range States for a certain taxon, rendering it difficult to allocate responsibilities, or if range States do not take action, the Plants Committee shall prepare such proposals.

***Euphorbia* spp.**

Directed to the Plants Committee

14.131 The Plants Committee shall:

- (Rev. CoP15)
- a) analyse trade data and conservation status of succulent *Euphorbia* species (except those species currently included in Appendix I);
 - b) prepare a revised list of succulent *Euphorbia* species that meet the criteria of Resolution Conf. 9.24 (Rev. CoP15) for inclusion in Appendix II;
 - c) prepare proposals for consideration at the 16th meeting of the Conference of the Parties that provide for the deletion of *Euphorbia* species from Appendix II that do not meet the criteria of Resolution Conf. 9.24 (Rev. CoP15), are frequently traded and can be clearly identified by non-specialists; and
 - d) determine the need for identification material for species retained in Appendix II.

Aniba rosaeodora

Directed to the range States and the Parties

- 15.90 Trading range States and importing Parties working with the Plants Committee, should:
- a) identify the best methods or potential methods for the identification of essential oil and, if required, wood;
 - b) produce identification material and guidance;
 - c) identify appropriate annotations to complement the proposed identification methods;
 - d) explore whether additional species need to be listed to support effective identification and regulation of wood and oil;
 - e) explore mechanisms for making non-detriment findings for this species; and
 - f) report on their work at the 16th meeting of the Conference of the Parties (CoP16) and, if necessary, prepare additional amendment proposals for CoP16.

Agarwood-producing taxa

Directed to Parties involved in agarwood trade and to the Secretariat

- 14.137 Parties involved in trade in agarwood should, in consultation with the Secretariat, identify funds and produce identification materials for all forms of traded products under CITES control.
- 14.138 Parties concerned should identify and agree on which agarwood products and quantities (Rev. CoP15) should be exempted from CITES controls. Once agreed, Parties concerned should agree which range State will prepare and submit a proposal for amendment of the current annotation for agarwood-producing species to be considered at the 16th meeting of the Conference of the Parties.
- 14.140 Parties involved in agarwood trade shall prepare a glossary with definitions that illustrate the content of the amended annotations, the terms used and their practical application during enforcement and border controls. The Secretariat should facilitate the preparation and production of these materials, and strategies for incorporating them in training material.

Directed to Parties and the Secretariat

- 14.141 Parties and the CITES Secretariat will work with intergovernmental and non-governmental organizations to seek ways to share information through the establishment of networks, organization of regional workshops, capacity-building programmes, exchange of experiences and identification of financial resources.

Directed to the Plants Committee

- 15.94 The Plants Committee shall consider current definitions of artificially propagated plants and how they apply to trees in mixed species plantations and report at the 16th meeting of the Conference of the Parties.

Directed to the Secretariat

- 14.144 The Secretariat shall assist in obtaining funding from Parties, intergovernmental and non-governmental organizations, exporters, importers and other stakeholders to support a (Rev. CoP15) workshop aimed at strengthening the capacity of Parties to implement agarwood-related Decisions before the 16th meeting of the Conference of the Parties.

Bulnesia sarmientoi

Directed to trading range States and importing Parties

- 15.96 Trading range States and importing Parties, working with the Plants Committee, should:
- a) identify the best methods for the identification of essential oil and wood;

- b) produce identification materials and guidance;
- c) develop appropriate annotations for identification methods;
- d) explore whether additional species need to be listed for identification and regulation of wood and oil; and
- e) report on progress at the 16th meeting of the Conference of the Parties and, if necessary, prepare additional proposals for that meeting.

Madagascar

Directed to the Secretariat

- 15.98 The Secretariat will work with the Plants Committee to seek any additional funds required to carry out this work.