

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES  
OF WILD FAUNA AND FLORA



Sixteenth meeting of the Conference of the Parties  
Bangkok (Thailand), 3-14 March 2013

Interpretation and implementation of the Convention

Trade control and marking

TRANSPORT OF LIVE SPECIMENS

1. This document has been submitted by the Chairs of the Animals and Plants Committees (AC and PC), on behalf of the Committees. It has been prepared by the Co-Chairs of the joint AC/PC working group on transport of live specimens, in consultation with the Secretariat.
2. At its 15th meeting (Doha, 2010), the Conference of the Parties adopted Decisions 15.59 and 15.60 on the *Transport of live specimens* which provide as follows:

**Decision 15.59**

***Directed to the Animals and Plants Committees***

*The Animals and Plants Committees, in consultation with the Secretariat, should:*

- a) *proceed with replacing the CITES Guidelines for transport and preparation for shipment of wild animals and plants (1981) with new guidelines for non-air transport of live animals and plants for consideration at the 16th meeting of the Conference of the Parties (CoP16);*
- b) *consult and liaise with relevant transport experts and other stakeholders, inter alia, to gather information related to non-air transport;*
- c) *review and propose revisions to Resolution Conf. 10.21 (Rev. CoP14) and Resolution Conf. 12.3 (Rev. CoP15) for consideration at CoP16; and*
- d) *report on the implementation of this Decision at CoP16.*

**Decision 15.60**

***Directed to the Secretariat***

*The Secretariat shall:*

- a) *explore ways to establish enhanced cooperation between CITES and various organizations that deal with transport (OIE/World Animal Health Organization, International Maritime Organization, etc.) through, inter alia, a Memorandum of Understanding, or the creation of a liaison group; and*

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\* *The geographical designations employed in this document do not imply the expression of any opinion whatsoever on the part of the CITES Secretariat or the United Nations Environment Programme concerning the legal status of any country, territory, or area, or concerning the delimitation of its frontiers or boundaries. The responsibility for the contents of the document rests exclusively with its author.*

b) *incorporate the transport-related guidance found in paragraphs 77-89 of document AC24 Doc. 15.2 into the CITES National Legislation Project.*

3. Progress with the implementation of Decisions 15.59 and 15.60 was reported at the 19th meeting of the Plants Committee (Geneva, April 2011) and the 25th meeting of the Animals Committee (Geneva, July 2011) in documents PC19 Doc. 15 [hyperlink] and AC25 Doc. 21 [hyperlink], respectively. As recommended in those documents, the Animals and Plants Committees decided to establish a joint AC/PC intersessional transport working group to assist with the implementation of Decision 15.59 and to designate as co-chairs the Austrian observers to both Committees. The members of the working group are contained in Annex 3 to the present document.
4. Additional progress on the implementation of Decisions 15.59 and 15.60 was reported at the joint session of the 26th meeting of the Animals Committee and the 20th meeting of the Plants Committee (AC26/PC20, Dublin, March 2012) in document AC26/PC20 Doc. 11 [hyperlink]. Members of the joint intersessional working group met in the margins of the meeting to finalize their work and recommendations to the Committees. The Committees thereafter adopted document AC26/PC20 WG1 Doc. 1 [hyperlink] with certain amendments. Specifically, they deleted a proposed decision directing the Standing Committee to regularly review, revise and approve amendments to the *CITES Guidelines for the Non-Air Transport of Live Wild Animals and Plants* and suggested instead that similar text replace paragraph e) under RECOMMENDS in Resolution Conf. 10.21 (Rev. CoP14).
5. As a result of their discussions on this issue, the Animals and Plants Committees have determined that no revisions to Resolution Conf. 12.3 (Rev. CoP15) are necessary at this time in relation to the transport of live specimens.

#### Additional information on the implementation of Decision 15.60

6. The Inter-agency Liaison Group on Invasive Alien Species, established by the Executive Secretary of the Convention on Biological Diversity, currently includes representatives from the CBD, CITES, the International Plant Protection Organization, the OIE/World Animal Health Organization, the Committee on Fisheries of the Food and Agriculture Organization of the United Nations (FAO), the International Civil Aviation Organization, the International Maritime Organization, the Committee on Sanitary and Phytosanitary Measures of the World Trade Organization (WTO), the Standards and Trade Development Facility (a global partnership among FAO, OIE, the World Bank, the World Health Organization and WTO that supports developing countries in building their capacity to implement sanitary and phytosanitary standards) and the International Union for Conservation of Nature. Annual meetings of and electronic communications among members of the Liaison Group since 2010 have provided the CITES Secretariat with a useful way in which to cooperate with various organizations that deal with transport.
7. Before the third meeting of the Liaison Group, hosted by the WTO Secretariat in Geneva during July 2012, the CITES Secretariat wrote to the OIE/World Animal Health Organization and drew its attention to the Secretariat's mandate under Resolution Conf. 10.21 (Rev. CoP14) and Decision 15.60 to develop a relationship and explore enhanced cooperation between CITES and OIE. The Secretariat noted the wildlife-related meetings and activities of OIE, its animal health standards for preventing the spread of a fungus found in frogs, as well as its potential role as the source of global, intergovernmental animal welfare standards for CITES-listed species. In this connection, OIE is participating in an international expert panel launched by Switzerland to develop recommended euthanasia standards for snakes. The panel is planning to complete its work in December 2012 and will then make its recommendations available to OIE and other interested bodies. There was some initial discussion about enhanced cooperation between the CITES and OIE Secretariats in the margins of the third Liaison Group meeting and this will be followed up through electronic communications.
8. The CITES and IATA Secretariats have been considering the possible development of a cooperative Memorandum of Understanding between the two organizations and will meet at the IATA offices in Geneva later this year for further discussions. If possible, the CITES Secretariat intends to participate as an observer to the upcoming meeting of IATA's Live Animals and Perishables Board (Geneva, 16-18 October 2012).

#### Recommendations

9. It is recommended that the Conference of the Parties adopt the proposed amendments to Resolution Conf. 10.21 (Rev. CoP14) contained in Annex 1 to this document.

10. It is also recommended that the Conference of the Parties adopt the final draft *CITES guidelines for the non-air transport of live wild animals and plants*, contained in Annex 2 to this document, and repeal the *CITES Guidelines for transport and preparation for shipment of live wild animals and plants* (1981).
11. It is further recommended that the *CITES Guidelines for the non-air transport of live wild animals and plants*, if adopted by the Conference of the Parties, and following any necessary editing or formatting, be made available on the CITES website and shared with IATA for possible incorporation into the *IATA Live Animal Regulations* and *Perishable Cargo Regulations*.
12. As Decisions 15.59 and 15.60 have been implemented, it is recommended that they not be included in the new list of Decisions that remain valid after CoP16.

#### COMMENTS FROM THE SECRETARIAT

- A. The Secretariat supports the draft amendments to Resolution Conf. 10.21 (Rev. CoP14) contained in Annex 1 and the draft *CITES Guidelines for the Non-air Transport of Live Wild Animals and Plants* contained in Annex 2 to the present document.
- B. A number of editorial changes might be incorporated into the draft amendments to Resolution Conf. 10.21 (Rev. CoP15) contained in Annex 1 to the present document. The Secretariat would propose incorporating these changes in a document that is prepared after discussion of this item in the relevant Committee at the present meeting.

DRAFT RESOLUTION OF THE CONFERENCE OF THE PARTIES

Proposed amendments to Resolution Conf. 10.21 (Rev. CoP14) on *Transport of live specimens*

NB: Text to be deleted is ~~crossed-out~~. Proposed new text is underlined.

CONSIDERING that the Convention, in Articles III, IV, V and VII, requires Management Authorities to be satisfied, before granting export permits, or re-export or travelling exhibition certificates, that specimens will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment;

FURTHER CONSIDERING that the Convention, in Article VIII, requires Parties to ensure that all living specimens, during any period of transit, holding and shipment, are properly cared for so as to minimize the risk of injury, damage to health or cruel treatment;

~~NOTING that the revised version of the Guidelines for transport and preparation for shipment of live wild animals and plants, adopted by the Conference of the Parties at its second meeting (San José, 1979), has been communicated to all Parties; that air transport is the preferred method for transporting many live animals and plants and that there are special requirements necessitated by air transport;~~

FURTHER NOTING the extent to which, in the case of the transport of live animals, the *Live Animals Regulations* of the International Air Transport Association (IATA) and, in the case of the transport of live plants *IATA's Perishable Cargo Regulations*, are to be used for transport of live specimens and that the *Live Animals Regulations* and the *Perishable Cargo Regulations* are amended annually and are therefore more quickly responsive to changing needs;

~~MINDFUL of the fact that implementation of these Guidelines depends on action to be taken at the national level, and within international organizations and conferences competent to regulate conditions of carriage;~~

~~CONSIDERING that air transport is the preferred method for transporting many live animals and plants and that there are special requirements necessitated by air transport;~~

~~NOTING the extent to which, in the case of the transport of live animals, the *Live Animals Regulations* of the International Air Transport Association (IATA) and, in the case of the transport of live plants *IATA's Perishable Cargo Regulations*, are to be used for transport of live specimens and that the *Live Animals Regulations* and the *Perishable Cargo Regulations* are amended annually and are therefore more quickly responsive to changing needs;~~

~~WHEREAS Article XIV, paragraph 1, permits any Party to adopt stricter domestic measures for the regulation of trade in all species, whether or not listed in the Appendices;~~

~~NOTING that, while there have been improvements in the transport of live animals and plants, mortality for certain species has not been reduced significantly, despite continuing efforts by the Parties to improve transport conditions, and that trade-related mortality undermines the concept of sustainable trade;~~

~~MINDFUL that, because of a number of biological and other factors, some species are far more difficult to prepare and ship without risk of injury, damage to health or cruel treatment than others;~~

~~RECOGNIZING the important work of the Working Group on the Transport of Live Animals in advising the Parties and providing technical assistance in conjunction with the Secretariat;~~

RECOGNIZING the need to address the transport of all live specimens;

FURTHER RECOGNIZING that the non-air transport of live specimens of certain animal species included in the Appendices may require transport conditions additional to or deviating from those found in the *IATA Live Animals Regulations* and *Perishable Cargo Regulations*;

AGREEING that the effective implementation of Articles III, IV, V and VII of the Convention necessitates from time to time further specific evaluation of transportation issues, analysis of information and recommendations to the Parties for remedial or corrective action;

RECALLING that Article XIV, paragraph 1, permits any Party to adopt stricter domestic measures regarding the conditions for transport of specimens of species included in the Appendices and to adopt domestic measures restricting or prohibiting transport of species not included in the Appendices;

## THE CONFERENCE OF THE PARTIES TO THE CONVENTION

DIRECTS the Standing Committee and the Animals and Plants Committees to deal with matters related to the transport of live specimens;

RECOMMENDS that:

- a) suitable measures be taken by the Parties to promote the full and effective use by Management Authorities of the IATA Live Animals Regulations (for animals), ~~and the IATA Perishable Cargo Regulations~~ (for plants) and the CITES guidelines for the non-air transport of live wild animals and plants for the preparation and transport of live specimens and that they be brought to the attention of exporters, importers, transport companies, carriers, freight forwarders, inspection authorities and international organizations and conferences competent to regulate conditions of carriage by air, land and sea or inland waterways;
- b) Parties invite the above organizations and institutions to comment on and amplify the *Live Animals Regulations* (for animals) and the *Perishable Cargo Regulations* (for plants), so as to promote their effectiveness;
- c) the regular communication of the CITES Secretariat and the Standing Committee with IATA's Live Animals and Perishables Board and with the board of directors of the Animals Transportation Association (AATA) be continued and that a relationship with the International Animal Health Organisation (OIE) and the International Plants Protection Convention (IPPC) be developed;
- d) for as long as the CITES Secretariat and the Standing Committee agree, the *Live Animals Regulations* (for animals), ~~and the Perishable Cargo Regulations~~ (for plants), and the CITES guidelines for the non-air transport of live wild animals and plants in their most recent edition be deemed to meet CITES air transport requirements;
- e) the Standing Committee and the Secretariat, in consultation with the Animals and Plants Committees and IATA, regularly review, revise and approve amendments to the CITES guidelines for the non-air transport of live wild animals and plants;
- e) ~~where appropriate, the Live Animals Regulations (for animals) and the Perishable Cargo Regulations (for plants) be used as a reference to indicate suitable conditions for carriage by means other than air;~~
- f) the IATA Live Animals Regulations, ~~and the sections of the IATA Perishable Cargo Regulations~~ related to the transport of live plant specimens and the CITES guidelines for the non-air transport of live wild animals and plants be incorporated into Parties' domestic legislation or policies;
- g) applicants for export permits or re-export or travelling exhibition certificates be notified that, as a condition of issuance, they are required to prepare and ship live specimens in accordance with the IATA Live Animals Regulations, ~~and the IATA Perishable Cargo Regulations~~ and the CITES guidelines for the non-air transport of live wild animals and plants;
- h) in compliance with national laws and policies, shipments of live specimens be examined and necessary action taken to ensure the well-being of the specimens by CITES-designated persons or transport company personnel during extended holding periods at transfer points;
- i) in compliance with national laws and policies where Parties to the Convention have designated ports of entry and exit, holding facilities for live animals and plants be provided; and
- j) in compliance with national laws and policies, Parties ensure that animal- and plant-holding facilities are open for inspection of shipments, with the concurrence of the transport company, by CITES-designated enforcement personnel or designated observers; and that any documented information be made available to the appropriate authorities and transport companies;

DIRECTS the Standing Committee and the Animals and Plants Committees, in consultation with the Secretariat:

- a) to participate in meetings of the Live Animals and Perishables Board of IATA in order to amplify or update the *Live Animals Regulations*, the *Perishable Cargo Regulations* and the CITES guidelines for the non-air transport of live wild animals and plants;
- b) to examine new or additional references for transport of live specimens for incorporation into the present Resolution, if appropriate;
- c) to examine developments related to the transport of live plant specimens for incorporation into the present Resolution, if appropriate; and

- d) to examine when appropriate, any ~~regularly~~ high mortality shipments of live specimens and make recommendations to relevant Parties, exporters, importers and transport companies on how to avoid this in the future;

ENCOURAGES the Secretariat, Parties and relevant organizations to assist in the distribution and increase public awareness of the IATA Live Animals Regulations, ~~and~~ the IATA Perishable Cargo Regulations and the CITES guidelines for the non-air transport of live wild animals and plants;

INVITES non-governmental organizations, particularly veterinary, scientific, conservation, welfare and trade organizations with expertise in the shipment, preparation for shipment, transport, care or husbandry of live specimens, to provide the necessary financial, technical and other assistance to those Parties in need of and requesting such assistance to ensure the effective implementation of the provisions of the Convention for the transport and preparation for shipment of live specimens subject to international trade;

NOTES that, in order to improve implementation of the IATA Live Animals Regulations and the IATA Perishable Cargo Regulations and the CITES guidelines for the non-air transport of live wild animals and plants by the Parties, there is a need for greatly increased awareness of the Regulations through more effective methods of training of personnel of transport companies, exporters and enforcement agencies; and

REPEALS:

- a) Resolution Conf. 9.23 (Fort Lauderdale, 1994) – Transport of Live Specimens; and
- b) the CITES Guidelines for transport and preparation for shipment of live wild animals and plants (1981).

## CITES GUIDELINES FOR THE NON-AIR TRANSPORT OF LIVE WILD ANIMALS AND PLANTS

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## A. Introduction

At the first meeting of the Conference of the Parties to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) (Bern, 1976), it was resolved that guidelines on the care and shipment of live specimens of species listed under the Convention should be prepared. At the special working session of the Conference (Geneva, 1977), it was agreed that such guidelines should apply to all animals and plants, not just those currently listed under the Convention. It was further agreed that such guidelines should cover all forms of transport, be practical, and should be directed to the use of persons actually handling the consignments as well as the enforcement authorities.

The resulting Guidelines for the transport and preparation for shipment of live wild animals and plants were broadly based upon the "Live Animals Regulations" (LAR) of the International Air Transport Association (IATA) and were finalized and made available to Parties in 1981.

At the 12th meeting of the Conference of the Parties (Santiago, 2002), Parties determined that the Guidelines were out of date and directed the Animals Committee to consider their replacement. The Animals Committee's Transport Working Group (TWG) subsequently determined that the IATA LAR provided appropriate guidance in most situations for the transport of live wild specimens of all CITES-listed species, regardless of the mode of transport.

At the 13th meeting of the Conference of the Parties (Bangkok, 2004), the Parties adopted a Decision directing the Animals Committee, in consultation with the Plants Committee and the Secretariat, to develop up-to-date guidance on the transport of live animals and plants of CITES-listed species to replace the 1981 CITES Guidelines. The subsequent work of the TWG led to a revision of Resolution Conf. 10.21 (Rev. CoP14) at the 14th meeting of the Conference of the Parties (The Hague, 2007). The revised Resolution recommended that Parties promote the use of the IATA LAR and IATA Perishable Cargo Regulations (PCR- for the transport of Plants) by Management Authorities, and that these IATA Regulations be used as a reference to indicate suitable conditions for transport by means other than air where appropriate. The Resolution also recommended that the LAR and PCR be incorporated into Parties' domestic legislation or policies.

At the 15th meeting of the Conference of the Parties (Doha, 2010), the Animals Committee was directed to develop a supplement to the IATA LAR for CITES-listed taxa that required non-air transport conditions different from those listed in the IATA LAR. The Conference also agreed to delete the reference to the use of the Guidelines for the transport and preparation for shipment of live wild animals and plants in box 5 of the CITES permit, leaving references only to the use of the IATA LAR and PCR.

The envisaged supplement to the IATA LAR is presented here. It is a two part document. The first part covers "General Conditions" for the transport of live animals. The second part deals with the "Technical Specifications" that deviate from the IATA LAR in respect to the non-air-transport of certain taxa and only apply to the species listed therein.

Like the IATA LAR, non-air transport methods are continually evolving and this supplement may be amended over time as innovations in live wild animal transport are made. CITES will collaborate with the IATA Live Animals and Perishables Board to determine whether and how this supplement may be included in future IATA editions of the LAR and then made available to Parties.

This supplement was developed to indicate where the IATA LAR is not entirely sufficient for the non-air transport for certain CITES-listed species. The deviations provided in the supplement only apply to the non-air transport of the identified taxa. All wildlife, including the identified taxa herein, may be transported by air, road, rail or ship according to the methods listed in the most current edition of the IATA LAR.

## B. General conditions

### 1. General conditions for plants

For the shipment of plants the IATA PCR apply.



## 2. General conditions for animals

IATA LAR are appropriate for the non-air-transport of all species of animals. However, for transports exceeding 48 hours additional provisions may be necessary. Such provisions may include but are not limited to:

1. increase of space available per animal;
2. decrease of density of animals;
3. measures that prevent faecal build-up;
4. additional lighting;
5. behavioural enrichment;
6. temperature and ventilation modifications.

However, for some taxa, deviations from the LAR are equally appropriate and may be the preferred method chosen by the person responsible for the shipment of live animals.

For taxa and deviations refer to the "Technical Specifications".

### 2.1. General conditions for the transport of live animals

The transport of an animal constitutes an unnatural situation for the animal and is most likely to cause it some degree of stress. High levels of stress may increase metabolic rates, hazardous behaviour, chances of injuries and susceptibility to diseases.

For reasons of animal welfare, animal transport should be quick, efficient and strive to avoid as much stress as possible to the animal.

The transport of live animals must be well planned, well prepared and effectively executed!

For long distances, air transport should be the first consideration!

Animals must:

2. never be transported in a way likely to cause them unnecessary fear, injury, damage to health or undue suffering;
3. be checked for fitness for transport before loading.

An animal that is injured or that has physiological weaknesses or pathological problems should not be considered fit for transport especially if:

- it is unable to move independently without pain;
- it has a severe open wound, or prolapse;
- it is a pregnant female for whom 90 % or more of the expected gestation period has already passed;
- it is a female that has given birth in the previous week;
- it is a new-born mammal in which the navel has not completely healed;
- it is a cervid in velvet;

However, sick and/or injured animals may be transported if:

- the illness or injury is part of a research programme,
- the animals are transported under veterinary supervision for or following veterinary treatment or diagnosis, (i.e. the animal is being transported to receive medical treatment for its condition, etc.)

Sedatives should not be used on animals to be transported unless strictly necessary to ensure the welfare of the animals and should only be used under veterinary supervision.

In cases where anaesthesia has been given, the animal must be completely awake, alert and able to balance itself before the transport commences. Detailed information must be clearly noted on the container and accompanying paperwork.

## 2.2. Planning obligations for the transport of live animals

Transporters and organizers of transports have an obligation to plan the transport to ensure that the welfare of the animals is not compromised.

Sound knowledge of the species in transport is of greatest importance. Comprehensive information, when available, about the animal should contain:

- age;
- sex;
- social structure;
- nutrition and feeding requirements;
- animal's health and medical history;
- environmental requirements including lighting, humidity and temperature;
- imprinting;
- pedigree;
- behaviour profile including individual characteristics and peculiarities.

Weather conditions, status of transport routes, potential causes for delays, border wait times, legal obligations that may include commercial licenses, driver's rest, traffic bans, truck scales, chase vehicles, passport requirements, visas, locations of fuel and repair services, etc. should be investigated and must be taken into account prior to the onset of transport.

National and international laws and regulations as applicable in the countries of origin, transit, and destination must be investigated and complied with. Before preparing a live animal for transport, shippers must always obtain full information well in advance concerning import/export, in-transit permit, veterinary health certificate, veterinary import/export permit, CITES import/export/re-export permit, veterinary examination, pre-arrival declaration, and clearance times, quarantine, ports of entry, border inspection posts and prohibition restrictions, which may include traffic bans, veterinary restrictions as well as restrictions for food and bedding provided for the animal.

Customs and veterinary clearances, as well as other relevant services may not be available on weekends and holidays.

It is the shipper's responsibility to ascertain what national legislation regarding the protection of animals during transport is in force for all countries through which the animals are being transported, and to obtain all necessary documents, permits, certificates and licenses prior to departure.

All necessary advance arrangements in compliance with applicable laws and regulations must be made to minimize the duration of the transport and to meet the animals' needs during and after transport. Arrangements must be made for animals to be delivered to the consignee upon arrival at its destination. The shipper is obliged to inform the consignee of the anticipated time of arrival and the receiver should make every effort to be present at the time the animal arrives at its destination.

The shipper is responsible for all necessary marking and labelling regarding the transport and/or containers.

Contingency plans in the event of an emergency are strongly recommended.

Contingency plans should contain information on:

- appropriate measures to be taken if an animal escapes;
- locations and contact details of appropriate repair facilities along the route;
- locations and contact details of appropriate veterinary services along the route;
- locations and contact details of zoos or aquariums along the route;
- emergency telephone codes;
- contact details of appropriate authorities;
- alternative routes;
- locations and contact details of appropriate services along the alternative route;
- any other information that may be appropriate.

### **2.3. Means of transport**

The means of transport, containers and their fittings should be designed, constructed, maintained and operated so as to:

- avoid unnecessary fear, injury, damage to health, suffering, cruel treatment, and to ensure the safety of the animal;
- protect animals from inclement weather and adverse changes in climatic conditions;
- provide ambient temperatures appropriate for the transported species at all times during the transport;
- be easily and properly cleaned and disinfected;
- prevent the animal from escaping or falling out and be able to withstand the stresses of movements;
- ensure that air quality and quantity appropriate to the species transported can be maintained;
- animals must not be exposed to exhaust gases;
- present a non-slip flooring surface;
- present a flooring surface that absorbs urines, contains faeces and minimizes the leakage of either outside of the container;
- provide a means of lighting sufficient for inspection and care of the animal during transport.

Special consideration must be given to measures to prevent adverse impacts from climatic changes in the case of transports over long distances or over major differences in elevation.

Partitions and compartments must be strong enough to withstand the weight of the animal.

Access to each individual compartment, without disturbing other animals, should be provided in case an animal is in distress or injured.

Animals should be provided with appropriate bedding or equivalent material which guarantees their comfort appropriate to the species, the number of animals being transported, the transportation time, and the weather. The material should adequately absorb urine and faeces and must not contravene legislation as applicable.

A sufficient supply of bedding material should be carried on the vehicle or should be available en route as required.

The means of transport should be equipped with a roof of light colour that is able to prevent animals from escaping.

Containers must always be kept upright and severe jolts or shaking should be minimized.

Containers must be secured throughout the transport so as to prevent displacement due to the movements and/or vibrations of the conveyance.

Vehicles should be equipped with appropriate-sized fire-extinguishers.

Shippers should crate-train or otherwise acclimate animals to be transported with transport container and vehicle.

Surveillance systems to monitor animals during transport are highly recommended

### **2.4. Marking and labelling**

Vehicles in which animals are transported should be clearly marked indicating the presence of live animals except when the animals are transported in containers that are clearly marked indicating the presence of live animals and with a sign indicating the top of the container.

All markings and labels must be legible, durable and printed or otherwise marked on or affixed to the external surface of the container or vehicle.

Containers carrying animals which can inflict poisonous or venomous bites and stings must be boldly marked "POISONOUS" or "VENOMOUS".

Poisonous or venomous animals should be double-packed to prevent escape. Vehicles or containers carrying animals that can possibly inflict injury must have an additional warning label "This Animal Bites" or "Dangerous Animal".

## **2.5. Persons accompanying transports**

Personnel accompanying and handling animals should be appropriately trained and competent for this purpose and should carry out their duties diligently without using methods likely to cause unnecessary fear, injury, damage to health or suffering of the animal(s) or any personnel connected with the transport.

It is strongly recommended that appropriate training and experience with the respective species be a prerequisite for any person accompanying shipments of that animal and any person handling that animal during and after transport.

No person should transport animals or cause animals to be transported in a way likely to cause unnecessary fear, injury, damage to health, suffering or cruel treatment.

An individual deemed competent to accompany a shipment of live animals should possess the following, as appropriate to the species:

- knowledge of transport regulations as applicable;
- knowledge of animal health and welfare regulations, and document requirements applicable to the countries of origin, transit and destination;
- knowledge of the handling and care of animals before, during and after loading/unloading, and transport;
- ability to recognize an animal which is ill or becomes unfit for transport;
- ability to recognize signs of stress and their causes, and how to reduce these;
- ability to handle emergency situations.

All accompanying personnel should possess a valid passport with visas or equivalent identifying documents as required, and means of communication.

## **2.6. Loading and unloading**

The loading and unloading facilities should be adequately designed, constructed, maintained, and operated so as to avoid unnecessary fear, injury, damage to health, suffering, cruel treatment, and to ensure the safety of the animals.

Appropriate surfaces and appropriate protections shall be provided so as to prevent animals from escaping.

If ramps are used in the process of loading and unloading they should be installed at a height and angle appropriate for the species, and be so designed as to ensure that the animals can traverse it without risks or difficulties.

All necessary facilities and equipment for crating, hoisting of containers, loading and unloading should be in place and readily available to minimize the time for loading and unloading, to ensure the animal's welfare, and to minimize the risk of unnecessary fear, injury, damage to health, suffering and cruel treatment.

Goods such as feed which are being transported in the same conveyance as animals must be positioned and secured so that they do not interfere with the transport of the animals and cause unnecessary fear, injury, damage to health or suffering to the animals.

Advance arrangements should be made so that all appropriate equipment and personnel are in place at the place of destination at the estimated time of arrival to ensure quick and safe unloading of all animals.

Appropriate lighting should be provided during loading and unloading.

It is essential that specific measures are implemented to safeguard the health and welfare of animals and all personnel during and after loading and unloading.

When containers loaded with animals are stacked on top of each other on the conveyance, the necessary precautions shall be taken:

- to avoid urine and faeces falling on the animals placed underneath;
- to ensure stability of the containers;
- to ensure that ventilation is not impeded.

Animals must be handled and transported separately in the following cases:

- animals of different species;
- animals of significantly different sizes or ages;
- sexually mature males;
- animals with horns
- animals aggressive to each other.

This may not apply to animals from proven compatible groups, animals that are acclimated to each other and/or where separation will cause distress, or females accompanied by dependent and/or unweaned young.

All animals should be checked upon their arrival at the place of destination by experienced and trained handlers and/or veterinary personnel.

Animals must be moved with care at all times.

Appropriate provisions should be taken at the destination to allow transported animals to adapt to its new environment.

## **2.7. During transport**

Space allowances shall comply with IATA LAR for transports of all modes of transport for up to 48 hours.

For taxa described in the "Technical Specifications" of this non-air transport supplement, space allowances should comply with the figures laid out therein.

Sufficient ventilation without injurious drafts and adequate protection from the elements must be provided at all times during the transport to ensure that the needs of the animals are fully met. Transporters must take into account the species and number of animals transported, the expected weather conditions during the transport, and the possibility of unexpected stops.

Containers should be stored in a way in which ambient conditions are stable and appropriate, and that does not impede ventilation.

Food and water provided for animals should be appropriate for the species and the individual's size and age. It should be made available, at appropriate intervals, depending on ambient climatic conditions encountered during transport.

Food and water should always be offered in a way that is familiar to the animal and that also minimizes contamination.

The conveyance should carry a sufficient quantity of appropriate food for the animals during the transport. The food must be protected from the weather and from contaminants such as dust, fuel, exhaust gases and animal excrements.

Where specific feeding equipment is used for the feeding of animals, that equipment should be transported in the conveyance.

Where feeding equipment is used, it should be so designed that it is not hazardous to the animals, and if necessary, should be affixed to the container or conveyance to prevent its contents from spillage. When the equipment is not in use, it should be stored away from the animals.

In the case of two or more animals per compartment, the natural behaviour of the animals, particularly social aspects, must be considered, and food and water should be offered in a way as to be accessible to every animal.

An adequate supply of water is essential for most species.

The watering devices should be in good working order and be appropriately designed and positioned for the animal in transport.

Sufficient and appropriate floor area and height should be provided for the animals, appropriate to their species, their size, number of animals transported, and the anticipated duration of the transport.

The transport should be carried out without delay to the destination and the welfare conditions of the animals must be regularly checked and appropriately maintained by competent personnel.

In case of a delay during transport, all necessary actions required to safeguard the welfare of the animals and reduce the risk of unnecessary fear, injury, damage to health and suffering should be taken by the transporter.

Appropriate climate conditions and control must be provided with respect to the particular species and must be maintained throughout the transport-taking into consideration possible heat and wind chill factors, weather conditions, and the possibility of unexpected stops.

Appropriate surveillance systems should monitor the temperatures of the compartments of the transported animals at all times and alert the transporter when the temperature in the compartments where animals are located falls outside of the recommended maximum or minimum ranges..

Animals should be offered a rest period at suitable intervals depending on the species and length of transport, and offered appropriate food and water.

When animals fall ill or are injured during transport, they should receive appropriate veterinary treatment as soon as possible and, if necessary, undergo emergency euthanasia in a way which does not cause them any unnecessary suffering in compliance with legislation as applicable.

Waste material that contains organic material produced by the animal, animal feed or bedding material, must be handled, collected and disposed of in compliance with applicable legislation/regulation. The legislation/regulation of some countries may prohibit the use of certain organic materials such as hay, straw, and other animal feed. Unloading organic waste may be restricted or prohibited in some countries. Appropriate measures may be taken to store such waste safely and securely for the duration of transit.

## **C. Technical specifications**

### **1. Technical specifications for plants**

For the shipment of plants the IATA PCR apply.

### **2. Technical specifications for animals**

IATA LAR apply to the non-air-transport of all taxa.

However, the technical specifications contained in this chapter may also be followed, and only apply to the non-air-transport of the taxa listed below.

For purposes of the technical specifications the term "trailer" refers to a vehicle used to transport animals that is pulled by a car, truck, or train.

For purposes of the technical specifications the term "compartment" refers to a separate part, section or chamber within a means of transport.

#### **2.1. List of taxa**

##### **2.1.1. Invertebrates**

No deviations from the LAR apply.

##### **2.1.2. Crustaceans**

No deviations from the LAR apply.

### 2.1.3. Fish

- Fish species (CR 51, CR 59, CR 60)

### 2.1.3. Amphibians

No deviations from the LAR apply.

### 2.1.4. Reptiles

No deviations from the LAR apply.

### 2.1.5. Birds

- Pelican species (CR 21)
- Penguin species (CR 22)
- Ratite species (CR 24)
- Stork and crane Species (CR 17)

### 2.1.6. Mammals

- Antelope species (CR 73)
- Buffalo and cattle species (CR 73)
- Deer species (CR 73)
- Elephant species (CR 71)
- Flamingo species (CR 17)
- Hippopotamus species (CR 74)
- Kangaroo species (CR 83)
- Pig species (CR 74)
- Pinniped species (CR 76)
- Rhinoceros species (CR 74)
- Sheep species (CR 73)
- Small camelid species (CR 73)
- Tapir species (CR 74)
- Wild ass species (CR 73)
- Wild horse species (CR 73)

## **2.2. Fish species CR51/59/60**

### General Care and Loading

Fish tanks for road transport should be designed so that the lids do not completely seal and can release excess gases without compromising water loss.

## **2.3. Ratite species CR24**

### General Care and Loading

Ostriches, emus, and rheas may be transported loose in trucks, trailers or rail cars. Non-slip floors need to be provided. Trucks, trailers and rail cars must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

Birds may not be shipped together in groups if they:

- are unfamiliar with each other;
- display aggression in close quarters;
- are of significantly different sizes or ages;
- are sexually mature males;
- are aggressive to each other;
- are a group of more than 15 individuals.

This shall not apply to animals from proven compatible groups, animals that are accustomed to each other, animals where separation will cause distress, or females accompanied by dependent young.

Only one (1) male per container or compartment.

### Cassowary

Cassowary may be transported singly in crates but it is preferable to transport sexually mature, adult cassowaries free standing in a trailer compartment.

Sexually mature animals must always be shipped singly.

#### Dimensions and stocking density

For total transport times up to 48 hours, follow density specifications described in the Container Requirements for Ratites.

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not in motion.

For total transport times over 48 hours air transport is preferred.

Floor space requirements for emus apply to rheas and cassowaries equally.

#### Food and water containers

Containers may not be affixed inside trailer or compartment.

### **2.4. Flamingo species CR17**

#### General care and loading

Flamingo species may be transported loose in trucks, trailers or rail cars. Flamingos should be transported in clusters or groups rather than in individual compartments as long as they come from an established flock and are familiar with each other. Trucks, trailers and rail cars must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

#### Floor

Floor covering must be firmly fixed to the floor so birds do not slide or lose footing. Soft damp bedding must be provided to prevent the foot webbing from drying out during transportation; e.g. soaked carpeting or 5 cm (2 in) foam rubber.

#### Dimensions and stocking density

For transport times up to 48 hours, allow a minimum of 0.2 m<sup>2</sup> (2 sq. ft.) per bird for a compatible group of flamingos.

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not in motion.

For longer transport air transport is preferred.

#### Food and water containers

Containers may not be affixed inside trailer or compartment.

### **2.5. Stork and Crane species CR17**

#### General care and loading

Stork and crane species may also be transported loose in trucks, trailers or rail cars. Trucks, trailers and rail cars must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

Birds may not be shipped together in groups if they:

- are unfamiliar with each other;
- display aggression in close quarters;
- are of significantly different sizes or ages;
- are aggressive to each other.

This shall not apply to animals from proven compatible groups, animals that are accustomed to each other, animals where separation will cause distress, or females accompanied by dependent young.

Large and/or aggressive species of storks and cranes should always be transported in single compartments or crates.

#### Floor

Floor covering must be firmly fixed to the floor so birds do not slide or lose footing.



### Dimensions and stocking density

For transport times up to 48 hours, allow a minimum of 0.2 m<sup>2</sup> (2 sq. ft.) per bird for a compatible group of storks or cranes.

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not in motion.

For total transport times over 48 hours air transport is preferred.

### Food and water containers

Containers may not be affixed inside trailer or compartment.

## **2.6. Penguin species CR22**

### General care and loading

Temperature is a major concern and cool substrates are needed.

Plastic totes allow better temperature control and avoid leakage of any cooling substrates. Plastic totes may be used instead of other types of containers. Plastic totes must be secured at all times during transport.

Penguin species should not be shipped loose in a trailer.

Penguin species from a warmer climate can be transported as long as they can be sprayed with water and providing transport does not exceed 8 hours.

It is recommended that penguin species from Antarctic or sub-Antarctic climates should be shipped in a climate controlled vehicle.

For long transportations air transport is recommended.

## **2.7. Pelican species CR21**

### General care and loading

Pelican species may be transported loose in trucks, trailers or rail cars. Trucks, trailers and rail cars must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

Birds may not be shipped together in groups if they:

- are unfamiliar with each other;
- display aggression in close quarters;
- are of significantly different sizes or ages;
- are sexually mature males;
- are aggressive to each other.

This shall not apply to animals from proven compatible groups, animals that are accustomed to each other, animals where separation will cause distress, or females accompanied by dependent young.

Animals may be offered tubs of water during transports stops.

### Dimensions and stocking density

For total transport times up to 48 hours, allow a minimum of 0.6 m<sup>2</sup> (7 sq. ft.) per bird for a compatible group of pelicans.

### *Dalmatian pelican (Pelecanus crispus)*

For total transport times up to 48 hours, allow 0.9 m<sup>2</sup> (10 sq. ft.) per bird for a compatible group of pelicans.

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not in motion.

For total transport times over 48 hours air transport is preferred.

## **2.8. Big cat species CR72**

Big cats may be transported loose in compartments within a truck, trailer or rail car. Compartments must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

## **2.9. Bear species CR72**

Bear species may be transported loose in compartments within a truck, trailer or rail car.. Compartments must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

## **2.10. Wild horse and ass species CR73**

### General care and loading

Wild horse and ass species may be transported loose in trucks, trailers or rail cars. Trucks, trailers and rail cars must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

Sexually mature males must be shipped individually and must not be in the same trailer with females.

Females accompanied by unweaned foals may be shipped together if approved by a certified veterinarian.

All other weaned juveniles and mature animals should be transported singly in individual compartments or containers.

### Dimensions and stocking density

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not in motion. Additional conditions may be required to meet general transport conditions.

### Food and water containers

Containers may not be affixed inside trailer or compartment.

Feeding of wild horse species should be reduced during the 24 hour period before loading. Wild horse species should not be offered food within three hours before loading.

## **2.11. Antelope species CR73**

### General care and loading

Antelope species may be transported loose in trucks, trailers or rail cars. Trucks, trailers and rail cars must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

Antelope may not be shipped together in groups if they:

- are unfamiliar with each other;
- are different species;
- display aggression in close quarters;
- are significantly different sizes or ages;
- are sexually mature males;
- have horns;
- are aggressive to each other.

This shall not apply to animals from proven compatible groups, animals that are accustomed to each other, animals where separation will cause distress, or females accompanied by dependent young.

Sexually mature males must not be transported in the same trailer with females unless they are in a separate container or a completely segregated compartment.

Antelope species that must be shipped singly include:

- Duiker species;
- Klipspringer
- Reedbuck

- Rhebok
- Sable antelope

It is recommended that all animals be shipped singly in compartments.

Smaller antelope species and antelope species whose normal behaviour includes vertical jumping (e.g. klipspringer) should be transported in containers and not loose in compartments.

Covering the ends of the animal's horns with tubing, elastic material, or other protective devices should be considered.

#### Dimensions and stocking density

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not in motion. Additional conditions may be required to meet general transport conditions.

#### Food and water containers

Containers may not be affixed inside trailer or compartment.

### **2.12. Sheep species CR73**

#### General care and loading

Sheep species may be transported loose in trucks, trailers or rail cars. Trucks, trailers and rail cars must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

Sheep may not be shipped together in groups if they:

- are unfamiliar with each other;
- are different species;
- display aggression in close quarters;
- are significantly different sizes or ages;
- are sexually mature males;
- have horns;
- are aggressive to each other.

This shall not apply to animals from proven compatible groups, animals that are accustomed to each other, animals where separation will cause distress, or females accompanied by dependent young.

Sexually mature males must not be in the same trailer with females unless they are in a separate container or a completely segregated compartment.

It is highly recommended that all animals be shipped singly in compartments.

Sheep species whose normal behaviour includes vertical jumping (e.g. bighorn sheep) should be transported in containers and not loose in compartments.

#### Dimensions and stocking density

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not in motion. Additional conditions may be required to meet general transport conditions.

#### Food and water containers

Containers may not be affixed inside trailer or compartment.

### **2.13. Buffalo and cattle species CR73**

#### General care and loading

Buffalo and cattle species may be transported loose in compartments within trucks, trailers or rail cars. Compartments must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

Sexually mature males must be shipped individually and must not be in the same trailer with females.

Females accompanied by unweaned calves may be shipped together if approved by a certified veterinarian.

All other weaned juveniles and mature animals should be transported singly in individual compartments or containers.

#### Dimensions and stocking density

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not motion. Additional conditions may be required to meet general transport conditions.

#### Food and water containers

Containers may not be affixed inside trailer or compartment.

### **2.14. Deer species CR73**

#### General care and loading

Deer species may be transported loose in compartments within trucks, trailers or rail cars. Compartments must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

Deer may not be shipped together in groups if they:

- are unfamiliar with each other;
- are different species;
- display aggression in close quarters;
- are significantly different sizes or ages;
- are sexually mature males;
- are bearing hard antlers;
- are aggressive to each other.

This shall not apply to non antler-bearing animals from proven compatible groups, non antler-bearing animals that are accustomed to each other, animals where separation will cause distress, or females accompanied by dependent young.

It is recommended that all animals be shipped singly in compartments.

Deer in hard antlers may be transported without their antlers shed or removed, provided that the animals are individually segregated, and the primary container or compartment has been designed and constructed to prevent the antlers from becoming trapped or injuring the animal itself, other animals nearby, attendants, or cargo handlers. Trailers may be used with extreme care.

It is preferable and highly recommended to ship antler-bearing animals after shedding antlers.

Deer in velvet must not be transported.

#### *Muntjacs*

Muntjac species should be shipped according to the LAR.

#### Dimensions and stocking density

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not motion. Additional conditions may be required to meet general transport conditions.

#### Food and water containers

Containers may not be affixed inside trailer or compartment.

### **2.15. Small camelid species CR73**

#### General care and loading

Small camelid species may be transported loose in trucks, trailers or rail cars. Trucks, trailers and rail cars must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

Small camelids may not be shipped together in groups if they:

- are unfamiliar with each other;

- are of different species;
- display aggression in close quarters;
- are significantly different sizes or ages;
- are sexually mature males;
- are aggressive to each other.

This shall not apply to animals from proven compatible groups, animals that are accustomed to each other, animals where separation will cause distress, or females accompanied by dependent young.

Sexually mature males must not be transported in the same trailer with females.

Dimensions and stocking density

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not motion. Additional conditions may be required to meet general transport conditions.

Food and water containers

Containers may not be affixed inside trailer or compartment.

**2.16. Tapir species CR73**

General care and loading

Tapirs may be transported loose in trucks, trailers or rail cars. Trucks, trailers and rail cars must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

Tapirs may not be shipped together in groups if they:

- are unfamiliar with each other;
- display aggression in close quarters;
- are significantly different sizes or ages;
- are sexually mature males;
- are aggressive to each other.

This shall not apply to animals from proven compatible groups, animals that are accustomed to each other, animals where separation will cause distress, or females accompanied by dependent young.

Dimensions and stocking density

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not motion. Additional conditions may be required to meet general transport conditions.

Food and water containers

Containers may not be affixed inside trailer or compartment.

**2.17. Pig species CR74**

General care and loading

Pigs may be transported singly loose in compartments within trucks, trailers or rail cars. Compartments must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

Dimensions and stocking density

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not motion. Additional conditions may be required to meet general transport conditions.

Food and water containers

Containers may not be affixed inside trailer or compartment.

**2.18. Elephant, rhinoceros and hippopotamus species CR71**

General care and loading

Elephants, rhinos and hippos may be transported in trucks, trailers or rail cars- Trucks, trailers and rail cars must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

### *Hippopotamus*

Animals should be sprayed at regular intervals throughout transport, depending on ambient conditions.

#### Dimensions and stocking density

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not in motion. Additional conditions may be required to meet general transport conditions.

#### Food and water containers

Containers should not be affixed inside trailer or compartment.

## **2.19. Pinnipeds CR76**

#### General care and loading

Pinnipeds may be transported loose in trucks, trailers or rail cars. Trucks, trailers and rail cars must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

Skin moisture and appropriate body temperature should be maintained by e. g. water sprayers or dripping ice.

#### Dimensions and stocking density

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not in motion. Additional conditions may be required to meet general transport conditions.

### *Walrus*

Walrus must always be shipped in an individual container.

#### Food and water containers

Containers for food and water do not need to be provided.

## **2.20. Kangaroo and wallaby species CR83**

#### General care and loading

Kangaroo and wallaby species may be transported in padded compartments within a truck, trailer or rail car. Compartments must meet the minimum requirements for container construction regarding strength, stability, safety, and size.

Kangaroos should be shipped singly.

This shall not apply to animals, where separation will cause distress or females accompanied by in pouch young attached to nipple.

#### Dimensions and stocking density

For total transport times over 48 hours the number of rest stops should be increased and additional space offered while not in motion. Additional conditions may be required to meet general transport conditions.

#### Bedding

To avoid the hazard of necrobacillosis, prickly material such as straw must not be used.

#### Food and water containers

Containers may not be affixed inside trailer or compartment.

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