

Draft resolution Conf. 16.1

Additions to Resolution Conf. 15.1 are underlined and deletions are in strike-through.

**Financing and the costed programme of work for the Secretariat
for the ~~biennium 2012-2013~~triennium 2014-2016**

RECALLING that the financial amendment to the Convention, adopted in Bonn in 1979, entered into force on 13 April 1987;

RECALLING Resolution Conf. 44.4~~15.1~~15.1 adopted at the 44~~15~~15th meeting of the Conference of the Parties ~~(The Hague, 2007)~~(Doha, 2010);

HAVING NOTED the ~~2007-2008~~2010-2011 actual expenditures incurred by the Secretariat (document ~~CoP15 Doc. 6.2 (Rev. 1)~~CoP16 Doc. 7.1);

HAVING NOTED the revised report on the implementation of the costed programme of work for 2009~~2012~~ presented by the Secretariat [document ~~CoP15 Doc. 6.3~~CoP16 Doc. 7.2 (Rev. 1)];

~~HAVING NOTED the projected costed programme of work for 2010-2011 presented by the Secretariat [document CoP15 Doc. 6.4 (Rev. 1)];~~

HAVING REVIEWED the proposed costed programme of work for the ~~biennium 2012-2013~~triennium 2014-2016, submitted by the Secretariat (document ~~CoP15 Doc. 6.5 (Rev. 1)~~CoP16 Doc. 7.3);

RECOGNIZING the continuing need for administrative and financial arrangements between the Parties and the Executive Director of UNEP;

WELCOMING the signing of the Memorandum of Understanding between the Standing Committee of the Conference of the Parties to the Convention on International Trade in Endangered Species of Wild Fauna and Flora and the Executive Director of the United Nations Environment Programme concerning Secretariat Services to and Support of the Convention, effective 1 September 2011;

NOTING the increase in the number of Parties as well as species listed in the Appendices to the Convention; the need for greater assistance to the Parties to achieve more effective implementation; the necessity to provide adequately for implementation of all Decisions and Resolutions of the Conference of the Parties as well as the Strategic Vision: 2008-2013~~2020~~, and the resulting increasing expenditure incurred by the Secretariat; and

RECOGNIZING the need for a financial mechanism for CITES to assist Parties in implementing their international environmental commitments at the national level.

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

ACCEPTS and APPROVES the report of expenditures ~~for the biennium 2007-2008~~ against the costed programme of work for the years 2010, 2011 and 2012 as endorsed by the Standing Committee at its 61st and 62nd meetings;

ACCEPTS and APPROVES the new format of the costed programme of work and the budgetary presentations for the triennium 2014-2016, as proposed by the Secretariat and endorsed by the Standing Committee, on the recommendation of its Finance and Budget Subcommittee at its 62nd meeting;

DECIDES that the implementation of the costed programme of work for the triennium 2014-2016 shall be covered by ~~a drawdown from the Trust Fund reserve in the amount of USD 450,000 per year and by contributions from the Parties for the Trust Fund budget in the amounts of USD XXXXXXX for 2014, USD XXXXXXX for 2015 and USD XXXXXXX for 2016,~~ and ADOPTS the scale of contributions for 2014-2016 as contained in Annex 2;

NOTES ~~with concern, that in the future, it will not be prudent to drawdown further from~~ that the Trust Fund Reserve should serve as a hedge against currency fluctuations and any other unexpected event that may affect the Trust Fund budget; ~~acknowledges the implications for the level of contributions needed from Parties or other sources to maintain the programme of work of the Secretariat at the level of the biennium 2012-2013;~~

~~INSTRUCTS the Standing Committee, in close cooperation with the Secretariat, to explore options to mitigate the potential impact on the core budget for the triennium 2014-2016;~~

REQUESTS the Secretariat to maintain an operating cash reserve not less than 15 % of the average annual budget to ensure financial liquidity and AUTHORIZES the Secretariat to draw additional funds from the CITES Trust Fund balance at the end of each year, provided that it is not reduced below the operating cash reserve not less than 15 % of the average annual budget at the commencement of each year;

INSTRUCTS the Secretariat, where activities that are to be externally-funded are concerned, to seek funds, preferably non-earmarked, for the implementation of activities identified in the costed programme of work;

APPROVES the Terms of Reference for the Administration of the Trust Fund, in Annex 1, for the financial period beginning on 1 January 2014 and ending on 31 December 2016;

INSTRUCTS the Standing Committee to review the Terms of Reference for the Administration of the Trust Fund for any necessary updates;

AGREES that:

- a) contributions to the Trust Fund shall be based on the United Nations scale of assessment, as amended from time to time, adjusted to take account of the fact that not all members of the United Nations are Parties to the Convention;
- b) any other basis of assessment of contributions shall not be used without the consent of all Parties present and voting at a meeting of the Conference of the Parties;
- c) any change in the basic scale of contributions which would increase the liability of a Party to contribute, or would impose a new such liability, shall not apply to that Party without its consent, and that any proposal to change the basic scale of contributions from that currently in use shall only be considered by the Conference of the Parties if notice of such proposal has been communicated by the Secretariat to all Parties at least 150 days before the meeting; and
- d) Parties should pay their contributions to the Trust Fund in accordance with the agreed scale and, whenever possible, should make special contributions to the Trust Fund above their assessed contributions;

REQUESTS all Parties to pay their contributions as far as possible during the year prior to the one to which they relate or, otherwise, promptly by the beginning of the calendar year (1 January to 31 December) to which the contributions apply;

NOTES with concern that a number of Parties have not paid their contributions to the core budget (CITES Trust Fund) for 2012 and prior years which were due on 1 January of each year, thus affecting adversely the implementation of the Convention;

URGES all Parties with arrears, that have accepted the amendment of the Convention adopted on 22 June 1979, to cooperate with the Secretariat in arranging for the payment of their outstanding contributions without delay;

URGES all Parties that have not yet done so to deposit as soon as possible an instrument of acceptance of the amendments to the Convention adopted on 22 June 1979 and 30 April 1983;

INVITES States not Parties to the Convention, other governmental, inter-governmental and non-governmental organizations, and other sources to contribute to the CITES Trust Fund;

DECIDES that the standard participation charge for all observer organizations other than the United Nations and its specialized agencies shall be set at a minimum of USD 600 (except as otherwise decided by the Secretariat as required and following consultation with the Finance and Budget Subcommittee) and URGES such organizations to make a greater contribution if possible at least to meet their effective costs of participation;

AGREES that:

- a) all meetings of the Conference of the Parties and all regular meetings of the Standing Committee, the Animals Committee and the Plants Committee should be held in Switzerland unless a candidate host country pays the difference in costs between its proposed venue and Switzerland; and
- b) no more than two regular meetings each of the Standing Committee, and the Animals and Plants Committees should be convened between regular meetings of the Conference of the Parties;

DECIDES that the CITES Trust Fund should not be used to cover travel costs and *per diem* of committee members and other representatives of developed countries;¹

INSTRUCTS the Finance and Budget Subcommittee of the Standing Committee to report at meetings of the Standing Committee and of the Conference of the Parties on recommendations on all financial and budgetary documents and proposals developed by its members, and on the implementation of the Memorandum of Understanding between the CITES Standing Committee and the Executive Director of UNEP concerning Secretariat Services to and Support of the Convention;

INSTRUCTS the Secretariat:

- a) to make provisions for all the costs the Secretariat incurs, including ~~staff costs~~, costs associated with the hiring of temporary staff and consultants, when seeking funds for the implementation of externally-funded projects;
- b) in consultation with proponent Parties, when necessary, to advise the Conference of the Parties on proposals with budgetary implications, including staff costs; and
- c) in consultation with the Chairs of the Animals and Plants Committees, to assign scientific consultants and define terms of reference for specific science-based projects. Such a process should be implemented in a fashion that would not have a negative impact on the budget but rather effectively utilize the scientific excellence of the Parties which is available to the Secretariat via the Chairs of the technical committees;

DECIDES that:

- a) the Secretary-General shall have the authority to make staffing decisions as necessary to implement the priorities of the Parties provided they are within the overall budget and taken in accordance with the Memorandum of Understanding between the CITES Standing Committee and the Executive Director of UNEP concerning Secretariat Services to and Support of the Convention; and
- b) any change in the costed programme of work of the Secretariat deriving from a new resolution or decision shall be made only if the source of the necessary additional funds has been decided or if that programme is reprioritized at the time such a resolution or decision is adopted by the Conference of the Parties; ~~and~~

ACCEPTS the Global Environment Facility (GEF) as a financial mechanism for CITES; and

REPEALS Resolution Conf. 44.415.1 (~~The Hague, 2007~~Doha, 2010) – *Financing and the costed programme of work for the Secretariat for the ~~triennium 2009-2011~~biennium 2012-2013*, which, however, remains on the record as an indication of the agreed levels of annual contributions for Parties that have not paid the amounts due.

¹ dependent upon the adoption of the recommendation in document CoP16 Doc. 25 on Review of Resolutions – Proposals of the Secretariat, Annex 5, under Financial support for Committee members.

Annex 1

Terms of Reference for the Administration of the Trust Fund for the Convention on International Trade in Endangered Species of Wild Fauna and Flora

1. The Trust Fund for the Convention on International Trade in Endangered Species of Wild Fauna and Flora (hereinafter referred to as the Trust Fund) shall be further continued for a period of two calendar years, which begins on 1 January 2014 and ends on 31 December 2016, to provide financial support for the aims of the Convention.
2. Pursuant to the Financial Regulations and Rules of the United Nations, the Executive Director of the United Nations Environment Programme (UNEP), with the approval of the Governing Council of UNEP and the Secretary-General of the United Nations, shall continue the Trust Fund for the administration of the Convention.
3. The appropriations of the Trust Fund shall be financed from:
 - a) the contributions made by the Parties by reference to the table attached in Annex 1 including contributions from any new Parties which are to be added to the table;
 - b) contributions from States not Parties to the Convention, other governmental, inter-governmental and non-governmental organizations and other sources; and
 - c) any uncommitted appropriations from any of the financial periods prior to 1 January 2014.
4. The estimates for each of the calendar years covered by a financial period shall be specified in a costed programme of work and shall be accompanied by such information as may be required by, or on behalf of, the contributors and such further information as the Executive Director of UNEP may deem useful and advisable.
5. The proposed costed programme of work covering the income and expenditure for each of the calendar years constituting the financial period to which it relates, prepared in US dollars, including all the necessary information, shall be dispatched by the Secretariat to all Parties at least 150 days before the date fixed for the opening of the next regular meeting of the Conference of the Parties.
6. The costed programme of work shall be adopted by a three-quarters majority of the Parties present and voting at the regular meeting.
7. In the event that the Executive Director of UNEP expects that there might be a shortfall in resources, over the year as a whole, he shall consult with the Secretary-General of the Convention, who shall seek the advice of the Standing Committee as to its priorities for expenditure.
8. The Secretary-General of the Convention is authorized, to the extent consistent with the Financial Regulations and Rules of the United Nations, to transfer resources within activity lines of the Trust Fund budget up to a maximum of 10 % over and above the annual amount foreseen in the costed programme of work under any activity line, provided that such action does not negatively affect any core and high-priority activities. When any such transfers are made, these shall be reported to the Standing Committee at its following meeting. Any budget adjustments over and above the 10 % flexibility mentioned above may be made only after they have been agreed by the Standing Committee. However, the total Trust Fund budget approved by the Parties for that financial period shall not be exceeded unless this is specifically sanctioned in writing by the Standing Committee.
9. Commitments against the resources of the Trust Fund may be made only if they are covered by the necessary income of the Convention.
10. All contributions shall be paid in any convertible currency. The amount of any payment, however, shall be equal to the amount payable in US dollars on the day the contribution is made. Contributions from States that become Parties after the beginning of the financial period should be made on a pro-rata basis for the balance of the financial period.
11. At the end of each calendar year of a financial period, the Executive Director of UNEP shall submit to the Parties the accounts for the year. He shall also submit, as soon as practicable, the audited accounts for the financial period.

12. The Secretary-General of the Convention shall provide the Standing Committee with an estimate of proposed expenditure over the coming calendar year simultaneously with, or as soon as possible after, distribution of the accounts and reports referred to in the preceding paragraph.
13. The general procedures governing the operations of the Fund of UNEP and the Financial Regulations and Rules of the United Nations shall govern the financial operations of the Trust Fund for the Convention.
14. These Terms of Reference shall be effective for the financial period of 1 January 2014 to 31 December 2016, subject to amendments at the 17th meeting of the Conference of the Parties.

Annex 2

**SCALE OF CONTRIBUTIONS FOR THE TRIENNIUM 2014-2016
(IN US DOLLARS)**

Party	UN scale	CITES adjusted scale	2014-2016	Annual contributions
Afghanistan	0.0050	0.0050	947	316
Albania	0.0100	0.0100	1,894	631
Algeria	0.1370	0.1373	25,952	8,651
Antigua and Barbuda	0.0020	0.0020	379	126
Argentina	0.4320	0.4329	81,835	27,278
Armenia	0.0070	0.0070	1,326	442
Australia	2.0740	2.0785	392,883	130,961
Austria	0.7980	0.7997	151,167	50,389
Azerbaijan	0.0400	0.0401	7,577	2,526
Bahamas	0.0170	0.0170	3,220	1,073
Bahrain	0.0390	0.0391	7,388	2,463
Bangladesh	0.0100	0.0100	1,894	631
Barbados	0.0080	0.0080	1,515	505
Belarus	0.0560	0.0561	10,608	3,536
Belgium	0.9980	1.0002	189,054	63,018
Belize	0.0010	0.0010	189	63
Benin	0.0030	0.0030	568	189
Bhutan	0.0010	0.0010	189	63
Bolivia (Plurinational State of)	0.0090	0.0090	1,705	568
Bosnia and Herzegovina	0.0170	0.0170	3,220	1,073
Botswana	0.0170	0.0170	3,220	1,073
Brazil	2.9340	2.9404	555,795	185,265
Brunei Darussalam	0.0260	0.0261	4,925	1,642
Bulgaria	0.0470	0.0471	8,903	2,968
Burkina Faso	0.0030	0.0030	568	189
Burundi	0.0010	0.0010	189	63
Cambodia	0.0040	0.0040	758	253
Cameroon	0.0120	0.0120	2,273	758
Canada	2.9840	2.9905	565,266	188,422
Cape Verde	0.0010	0.0010	189	63
Central African Republic	0.0010	0.0010	189	63
Chad	0.0020	0.0020	379	126
Chile	0.3340	0.3347	63,270	21,090
China	5.1480	5.1592	975,198	325,066
Colombia	0.2590	0.2596	49,063	16,354
Comoros	0.0010	0.0010	189	63
Congo	0.0050	0.0050	947	316
Costa Rica	0.0380	0.0381	7,198	2,399
Côte d'Ivoire	0.0110	0.0110	2,084	695
Croatia	0.1260	0.1263	23,868	7,956
Cuba	0.0690	0.0692	13,071	4,357
Cyprus	0.0470	0.0471	8,903	2,968

Party	UN scale	CITES adjusted scale	2014-2016	Annual contributions
Czech Republic	0.3860	0.3868	73,121	24,374
Democratic Republic of the Congo	0.0030	0.0030	568	189
Denmark	0.6750	0.6765	127,867	42,622
Djibouti	0.0010	0.0010	189	63
Dominica	0.0010	0.0010	189	63
Dominican Republic	0.0450	0.0451	8,524	2,841
Ecuador	0.0440	0.0441	8,335	2,778
Egypt	0.1340	0.1343	25,384	8,461
El Salvador	0.0160	0.0160	3,031	1,010
Equatorial Guinea	0.0100	0.0100	1,894	631
Eritrea	0.0010	0.0010	189	63
Estonia	0.0400	0.0401	7,577	2,526
Ethiopia	0.0100	0.0100	1,894	631
Fiji	0.0030	0.0030	568	189
Finland	0.5190	0.5201	98,315	32,772
France	5.5930	5.6052	1,059,495	353,165
Gabon	0.0200	0.0200	3,789	1,263
Gambia	0.0010	0.0010	189	63
Georgia	0.0070	0.0070	1,326	442
Germany	7.1410	7.1566	1,352,737	450,912
Ghana	0.0140	0.0140	2,652	884
Greece	0.6380	0.6394	120,858	40,286
Grenada	0.0010	0.0010	189	63
Guatemala	0.0280	0.0281	5,304	1,768
Guinea	0.0010	0.0010	189	63
Guinea-Bissau	0.0010	0.0010	189	63
Guyana	0.0010	0.0010	189	63
Honduras	0.0080	0.0080	1,515	505
Hungary	0.2660	0.2666	50,389	16,796
Iceland	0.0270	0.0271	5,115	1,705
India	0.6660	0.6675	126,162	42,054
Indonesia	0.3460	0.3468	65,544	21,848
Iran (Islamic Republic of)	0.3560	0.3568	67,438	22,479
Ireland	0.4180	0.4189	79,183	26,394
Israel	0.3960	0.3969	75,015	25,005
Italy	4.4480	4.4577	842,595	280,865
Jamaica	0.0110	0.0110	2,084	695
Japan	10.8330	10.8567	2,052,121	684,040
Jordan	0.0220	0.0220	4,168	1,389
Kazakhstan	0.1210	0.1213	22,921	7,640
Kenya	0.0130	0.0130	2,463	821
Kuwait	0.2730	0.2736	51,715	17,238
Kyrgyzstan	0.0020	0.0020	379	126
Lao People's Democratic Republic	0.0020	0.0020	379	126
Latvia	0.0470	0.0471	8,903	2,968
Lesotho	0.0010	0.0010	189	63
Liberia	0.0010	0.0010	189	63

Party	UN scale	CITES adjusted scale	2014-2016	Annual contributions
Libya	0.1420	0.1423	26,899	8,966
Liechtenstein	0.0090	0.0090	1,705	568
Lithuania	0.0730	0.0732	13,829	4,610
Luxembourg	0.0810	0.0812	15,344	5,115
Madagascar	0.0030	0.0030	568	189
Malawi	0.0020	0.0020	379	126
Malaysia	0.2810	0.2816	53,230	17,743
Maldives	0.0010	0.0010	189	63
Mali	0.0040	0.0040	758	253
Malta	0.0160	0.0160	3,031	1,010
Mauritania	0.0020	0.0020	379	126
Mauritius	0.0130	0.0130	2,463	821
Mexico	1.8420	1.8460	348,934	116,311
Monaco	0.0120	0.0120	2,273	758
Mongolia	0.0030	0.0030	568	189
Montenegro	0.0050	0.0050	947	316
Morocco	0.0620	0.0621	11,745	3,915
Mozambique	0.0030	0.0030	568	189
Myanmar	0.0100	0.0100	1,894	631
Namibia	0.0100	0.0100	1,894	631
Nepal	0.0060	0.0060	1,137	379
Netherlands	1.6540	1.6576	313,321	104,440
New Zealand	0.2530	0.2536	47,926	15,975
Nicaragua	0.0030	0.0030	568	189
Niger	0.0020	0.0020	379	126
Nigeria	0.0900	0.0902	17,049	5,683
Norway	0.8510	0.8529	161,207	53,736
Oman	0.1020	0.1022	19,322	6,441
Pakistan	0.0850	0.0852	16,102	5,367
Palau	0.0010	0.0010	189	63
Panama	0.0260	0.0261	4,925	1,642
Papua New Guinea	0.0040	0.0040	758	253
Paraguay	0.0100	0.0100	1,894	631
Peru	0.1170	0.1173	22,164	7,388
Philippines	0.1540	0.1543	29,173	9,724
Poland	0.9210	0.9230	174,467	58,156
Portugal	0.4740	0.4750	89,791	29,930
Qatar	0.2090	0.2095	39,591	13,197
Republic of Korea	1.9940	1.9984	377,728	125,909
Republic of Moldova	0.0030	0.0030	568	189
Romania	0.2260	0.2265	42,812	14,271
Russian Federation	2.4380	2.4433	461,836	153,945
Rwanda	0.0020	0.0020	379	126
Saint Kitts and Nevis	0.0010	0.0010	189	63
Saint Lucia	0.0010	0.0010	189	63
Saint Vincent and the Grenadines	0.0010	0.0010	189	63
Samoa	0.0010	0.0010	189	63

Party	UN scale	CITES adjusted scale	2014-2016	Annual contributions
San Marino	0.0030	0.0030	568	189
Sao Tome and Principe	0.0010	0.0010	189	63
Saudi Arabia	0.8640	0.8659	163,670	54,557
Senegal	0.0060	0.0060	1,137	379
Serbia	0.0400	0.0401	7,577	2,526
Seychelles	0.0010	0.0010	189	63
Sierra Leone	0.0010	0.0010	189	63
Singapore	0.3840	0.3848	72,742	24,247
Slovakia	0.1710	0.1714	32,393	10,798
Slovenia	0.1000	0.1002	18,943	6,314
Solomon Islands	0.0010	0.0010	189	63
Somalia	0.0010	0.0010	189	63
South Africa	0.3720	0.3728	70,469	23,490
Spain	2.9730	2.9795	563,182	187,727
Sri Lanka	0.0250	0.0251	4,736	1,579
Sudan	0.0100	0.0100	1,894	631
Suriname	0.0040	0.0040	758	253
Swaziland	0.0030	0.0030	568	189
Sweden	0.9600	0.9621	181,855	60,618
Switzerland	1.0470	1.0493	198,336	66,112
Syrian Arab Republic	0.0360	0.0361	6,820	2,273
Thailand	0.2390	0.2395	45,274	15,091
The former Yugoslav Republic of Macedonia	0.0080	0.0080	1,515	505
Togo	0.0010	0.0010	189	63
Trinidad and Tobago	0.0440	0.0441	8,335	2,778
Tunisia	0.0360	0.0361	6,820	2,273
Turkey	1.3280	1.3309	251,566	83,855
Uganda	0.0060	0.0060	1,137	379
Ukraine	0.0990	0.0992	18,754	6,251
United Arab Emirates	0.5950	0.5963	112,712	37,571
United Kingdom of Great Britain and Northern Ireland	5.1790	5.1903	981,070	327,023
United Republic of Tanzania	0.0090	0.0090	1,705	568
United States of America	22.0000	22.0000	4,158,429	1,386,143
Uruguay	0.0520	0.0521	9,850	3,283
Uzbekistan	0.0150	0.0150	2,841	947
Vanuatu	0.0010	0.0010	189	63
Venezuela (Bolivarian Republic of)	0.6270	0.6284	118,774	39,591
Viet Nam	0.0420	0.0421	7,956	2,652
Yemen	0.0100	0.0100	1,894	631
Zambia	0.0060	0.0060	1,137	379
Zimbabwe	0.0020	0.0020	379	126
Total	99.8300	100.0000	18,901,951	6,300,650