

DRAFT RESOLUTION OF THE CONFERENCE OF THE PARTIES

Financing of the costed programme of work of the Secretariat for the biennium 2012-2013

RECALLING that the financial amendment to the Convention, adopted in Bonn in 1979, entered into force on 13 April 1987;

RECALLING Resolution Conf. 14.1 adopted at the 14th meeting of the Conference of the Parties (The Hague, 2007);

HAVING NOTED the 2007-2008 actual expenditures incurred by the Secretariat (document CoP15 Doc. 6.2);

HAVING NOTED the revised costed programme of work for 2009 presented by the Secretariat [document CoP15 Doc. 6.3 (Rev. 1)];

HAVING NOTED the projected costed programme of work for 2010-2011 presented by the Secretariat (document CoP15 Doc. 6.4);

HAVING REVIEWED the proposed costed programme of work for the biennium 2012-2013, submitted by the Secretariat (document CoP15 Doc. 6.5);

RECOGNIZING the continuing need for administrative and financial arrangements between the Parties and the Executive Director of UNEP;

NOTING the increase in the number of Parties as well as species listed in the Appendices to the Convention; the need for greater assistance to the Parties to achieve more effective implementation; the necessity to provide adequately for implementation of all Decisions and Resolutions of the Conference of the Parties as well as the *Strategic Vision: 2008-2013*, and the resulting increasing expenditure incurred by the Secretariat;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

ACCEPTS the report of expenditures for the biennium 2007-2008 and APPROVES the costed programme of work for the years 2009-2011;

DECIDES that the implementation of the costed programme of work for the biennium 2012-2013 shall be covered by a drawdown from the Trust Fund reserve in the amount of USD 250,000 per year and a 16 % increase in contributions from the Parties and ADOPTS the scale of contributions for 2012 -2013 as contained in Annex 1;

INSTRUCTS the Secretariat, where activities that are to be externally-funded are concerned, to seek funds, preferably non-earmarked, for the implementation of those under High priority;

REQUESTS the Secretariat to maintain an operating cash reserve of USD 700,000 to ensure financial liquidity and AUTHORIZES the Secretariat to draw additional funds from the CITES Trust Fund balance at the end of each year, provided that it is not reduced below USD 700,000 at the commencement of each year;

APPROVES the Terms of Reference for the Administration of the Trust Fund, in Annex 2, for the financial period beginning on 1 January 2012 and ending on 31 December 2013;

AGREES that:

- a) contributions to the Trust Fund shall be based on the United Nations scale of assessment, as amended from time to time, adjusted to take account of the fact that not all members of the United Nations are Parties to the Convention;
- b) any other basis of assessment of contributions shall not be used without the consent of all Parties present and voting at a meeting of the Conference of the Parties;

- c) any change in the basic scale of contributions which would increase the liability of a Party to contribute, or would impose a new such liability, shall not apply to that Party without its consent, and that any proposal to change the basic scale of contributions from that currently in use shall only be considered by the Conference of the Parties if notice of such proposal has been communicated by the Secretariat to all Parties at least 150 days before the meeting; and
- d) Parties should pay their contributions to the Trust Fund in accordance with the agreed scale and, whenever possible, should make special contributions to the Trust Fund above their assessed contributions;

REQUESTS all Parties to pay their contributions as far as possible during the year prior to the one to which they relate or, otherwise, promptly by the beginning of the calendar year (1 January to 31 December) to which the contributions apply;

NOTES with concern that a number of Parties have not paid their contributions to the core budget (CITES Trust Fund) for 2009 and prior years which were due on 1 January of each year, thus affecting adversely the implementation of the Convention;

URGES all Parties with arrears, that have accepted the amendment of the Convention adopted on 22 June 1979, to cooperate with the Secretariat in arranging for the payment of their outstanding contributions without delay;

URGES all Parties that have not yet done so to deposit as soon as possible an instrument of acceptance of the amendments to the Convention adopted on 22 June 1979 and 30 April 1983;

INVITES States not Parties to the Convention, other governmental, inter-governmental and non-governmental organizations, and other sources to contribute to the CITES Trust Fund;

INVITES all Parties to support, through their representatives in UNEP, UNDP and the World Bank, the requests for additional funding of CITES-related projects by the Global Environment Facility;

DECIDES that the standard participation charge for all observer organizations other than the United Nations and its specialized agencies shall be set at a minimum of USD 600 (except as otherwise decided by the Secretariat as required) and URGES such organizations to make a greater contribution if possible at least to meet their effective costs of participation;

AGREES that:

- a) all meetings of the Conference of the Parties and all regular meetings of the Standing Committee, the Animals Committee and the Plants Committee should be held in Switzerland unless a candidate host country pays the difference in costs between its proposed venue and Switzerland; and
- b) no more than two regular meetings each of the Standing Committee, and the Animals and Plants Committees should be convened between regular meetings of the Conference of the Parties;

DECIDES that the CITES Trust Fund should not be used to cover travel costs and *per diem* of committee members and other representatives of developed countries;

INSTRUCTS the Finance and Budget Subcommittee of the Standing Committee to report at meetings of the Standing Committee and of the Conference of the Parties on recommendations on all financial and budgetary documents and proposals developed by its members;

INSTRUCTS the Secretariat:

- a) to make provisions for all the costs the Secretariat incurs, including staff costs, when seeking funds for the implementation of externally-funded projects;
- b) in consultation with proponent Parties, when necessary, to advise the Conference of the Parties on proposals with budgetary implications, including staff costs; and
- c) in consultation with the Chairs of the Animals and Plants Committees, to assign scientific consultants and define terms of reference for specific science-based projects. Such a process should be implemented in a

fashion that would not have a negative impact on the budget but rather effectively utilize the scientific excellence of the Parties which is available to the Secretariat via the Chairs of the technical committee;

DECIDES that:

- a) the Secretary-General shall have the authority to make staffing decisions as necessary to implement the priorities of the Parties within the overall budget and in accordance with the UN rules; and
- b) any change in the costed programme of work of the Secretariat deriving from a new resolution or decision shall be made only if the source of the necessary additional funds has been decided or if that programme is reprioritized at the time such a resolution or decision is adopted by the Conference of the Parties; and

REPEALS Resolution Conf. 14.1 (The Hague, 2007) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties, which however remains on the record as an indication of the agreed levels of annual contributions for Parties that have not paid the amounts due.

Annex 1

Trust Fund for the Convention on International Trade in Endangered Species of Wild Fauna and Flora

**SCALE OF CONTRIBUTIONS FOR THE BIENNIUM 2012-2013
in US dollars (USD)**

Party	UN scale	CITES	2012-2013	Annual contributions
Afghanistan	0.0040	0.0040	480	240
Albania	0.0100	0.0100	1,200	600
Algeria	0.1280	0.1283	15,356	7,678
Antigua and Barbuda	0.0020	0.0020	240	120
Argentina	0.2870	0.2876	34,431	17,216
Armenia	0.0050	0.0050	600	300
Australia	1.9330	1.9368	231,900	115,950
Austria	0.8510	0.8527	102,094	51,047
Azerbaijan	0.0150	0.0150	1,800	900
Bahamas	0.0180	0.0180	2,159	1,080
Bangladesh	0.0100	0.0100	1,200	600
Barbados	0.0080	0.0080	960	480
Belarus	0.0420	0.0421	5,039	2,520
Belgium	1.0750	1.0771	128,967	64,484
Belize	0.0010	0.0010	120	60
Benin	0.0030	0.0030	360	180
Bhutan	0.0010	0.0010	120	60
Bolivia	0.0070	0.0070	840	420
Bosnia and Herzegovina	0.0140	0.0140	1,680	840
Botswana	0.0180	0.0180	2,159	1,080
Brazil	1.6110	1.6142	193,270	96,635
Brunei Darussalam	0.0280	0.0281	3,359	1,680
Bulgaria	0.0380	0.0381	4,559	2,280
Burkina Faso	0.0030	0.0030	360	180
Burundi	0.0010	0.0010	120	60
Cambodia	0.0030	0.0030	360	180
Cameroon	0.0110	0.0110	1,320	660
Canada	3.2070	3.2134	384,741	192,371
Cape Verde	0.0010	0.0010	120	60
Central African Republic	0.0010	0.0010	120	60
Chad	0.0020	0.0020	240	120
Chile	0.2360	0.2365	28,313	14,157
China	3.1890	3.1953	382,581	191,291
Colombia	0.1440	0.1443	17,276	8,638
Comoros	0.0010	0.0010	120	60
Congo	0.0030	0.0030	360	180
Costa Rica	0.0340	0.0341	4,079	2,040
Côte d'Ivoire	0.0100	0.0100	1,200	600
Croatia	0.0970	0.0972	11,637	5,819
Cuba	0.0710	0.0711	8,518	4,259
Cyprus	0.0460	0.0461	5,519	2,760

Party	UN scale	CITES	2012-2013	Annual contributions
Czech Republic	0.3490	0.3497	41,869	20,935
Democratic Republic of the Congo	0.0030	0.0030	360	180
Denmark	0.7360	0.7375	88,297	44,149
Djibouti	0.0010	0.0010	120	60
Dominica	0.0010	0.0010	120	60
Dominican Republic	0.0420	0.0421	5,039	2,520
Ecuador	0.0400	0.0401	4,799	2,400
Egypt	0.0940	0.0942	11,277	5,639
El Salvador	0.0190	0.0190	2,279	1,140
Equatorial Guinea	0.0080	0.0080	960	480
Eritrea	0.0010	0.0010	120	60
Estonia	0.0400	0.0401	4,799	2,400
Ethiopia	0.0080	0.0080	960	480
Fiji	0.0040	0.0040	480	240
Finland	0.5660	0.5671	67,903	33,952
France	6.1230	6.1352	734,571	367,286
Gabon	0.0140	0.0140	1,680	840
Gambia	0.0010	0.0010	120	60
Georgia	0.0060	0.0060	720	360
Germany	8.0180	8.0340	961,912	480,956
Ghana	0.0060	0.0060	720	360
Greece	0.6910	0.6924	82,899	41,450
Grenada	0.0010	0.0010	120	60
Guatemala	0.0280	0.0281	3,359	1,680
Guinea	0.0020	0.0020	240	120
Guinea-Bissau	0.0010	0.0010	120	60
Guyana	0.0010	0.0010	120	60
Honduras	0.0080	0.0080	960	480
Hungary	0.2910	0.2916	34,911	17,456
Iceland	0.0420	0.0421	5,039	2,520
India	0.5340	0.5351	64,064	32,032
Indonesia	0.2380	0.2385	28,553	14,277
Iran (Islamic Republic of)	0.2330	0.2335	27,953	13,977
Ireland	0.4980	0.4990	59,745	29,873
Israel	0.3840	0.3848	46,068	23,034
Italy	4.9990	5.0090	599,726	299,863
Jamaica	0.0140	0.0140	1,680	840
Japan	12.5300	12.5549	1,503,213	751,607
Jordan	0.0140	0.0140	1,680	840
Kazakhstan	0.0760	0.0762	9,118	4,559
Kenya	0.0120	0.0120	1,440	720
Kuwait	0.2630	0.2635	31,552	15,776
Kyrgyzstan	0.0010	0.0010	120	60
Lao People's Democratic Republic	0.0010	0.0010	120	60
Latvia	0.0380	0.0381	4,559	2,280
Lesotho	0.0010	0.0010	120	60
Liberia	0.0010	0.0010	120	60

Party	UN scale	CITES	2012-2013	Annual contributions
Libyan Arab Jamahiriya	0.1290	0.1293	15,476	7,738
Liechtenstein	0.0090	0.0090	1,080	540
Lithuania	0.0650	0.0651	7,798	3,899
Luxembourg	0.0900	0.0902	10,797	5,399
Madagascar	0.0030	0.0030	360	180
Malawi	0.0010	0.0010	120	60
Malaysia	0.2530	0.2535	30,352	15,176
Mali	0.0030	0.0030	360	180
Malta	0.0170	0.0170	2,039	1,020
Mauritania	0.0010	0.0010	120	60
Mauritius	0.0110	0.0110	1,320	660
Mexico	2.3560	2.3607	282,647	141,324
Monaco	0.0030	0.0030	360	180
Mongolia	0.0020	0.0020	240	120
Montenegro	0.0040	0.0040	480	240
Morocco	0.0580	0.0581	6,958	3,479
Mozambique	0.0030	0.0030	360	180
Myanmar	0.0060	0.0060	720	360
Namibia	0.0080	0.0080	960	480
Nepal	0.0060	0.0060	720	360
Netherlands	1.8550	1.8587	222,543	111,272
New Zealand	0.2730	0.2735	32,752	16,376
Nicaragua	0.0030	0.0030	360	180
Niger	0.0020	0.0020	240	120
Nigeria	0.0780	0.0782	9,358	4,679
Norway	0.8710	0.8727	104,493	52,247
Oman	0.0860	0.0862	10,317	5,159
Pakistan	0.0820	0.0822	9,837	4,919
Palau	0.0010	0.0010	120	60
Panama	0.0220	0.0220	2,639	1,320
Papua New Guinea	0.0020	0.0020	240	120
Paraguay	0.0070	0.0070	840	420
Peru	0.0900	0.0902	10,797	5,399
Philippines	0.0900	0.0902	10,797	5,399
Poland	0.8280	0.8296	99,334	49,667
Portugal	0.5110	0.5120	61,304	30,652
Qatar	0.1350	0.1353	16,196	8,098
Republic of Korea	2.2600	2.2645	271,130	135,565
Republic of Moldova	0.0020	0.0020	240	120
Romania	0.1770	0.1774	21,235	10,618
Russian Federation	1.6020	1.6052	192,191	96,096
Rwanda	0.0010	0.0010	120	60
Saint Kitts and Nevis	0.0010	0.0010	120	60
Saint Lucia	0.0010	0.0010	120	60
Saint Vincent and the Grenadines	0.0010	0.0010	120	60
Samoa	0.0010	0.0010	120	60
San Marino	0.0030	0.0030	360	180

Party	UN scale	CITES	2012-2013	Annual contributions
Sao Tome and Principe	0.0010	0.0010	120	60
Saudi Arabia	0.8300	0.8317	99,574	49,787
Senegal	0.0060	0.0060	720	360
Serbia	0.0370	0.0371	4,439	2,220
Seychelles	0.0020	0.0020	240	120
Sierra Leone	0.0010	0.0010	120	60
Singapore	0.3350	0.3357	40,190	20,095
Slovakia	0.1420	0.1423	17,036	8,518
Slovenia	0.1030	0.1032	12,357	6,179
Solomon Islands	0.0010	0.0010	120	60
Somalia	0.0010	0.0010	120	60
South Africa	0.3850	0.3858	46,188	23,094
Spain	3.1770	3.1833	381,142	190,571
Sri Lanka	0.0190	0.0190	2,279	1,140
Sudan	0.0100	0.0100	1,200	600
Suriname	0.0030	0.0030	360	180
Swaziland	0.0030	0.0030	360	180
Sweden	1.0640	1.0661	127,647	63,824
Switzerland	1.1300	1.1322	135,565	67,783
Syrian Arab Republic	0.0250	0.0250	2,999	1,500
Thailand	0.2090	0.2094	25,074	12,537
The former Yugoslav Republic of Macedonia	0.0070	0.0070	840	420
Togo	0.0010	0.0010	120	60
Trinidad and Tobago	0.0440	0.0441	5,279	2,640
Tunisia	0.0300	0.0301	3,599	1,800
Turkey	0.6170	0.6182	74,021	37,011
Uganda	0.0060	0.0060	720	360
Ukraine	0.0870	0.0872	10,437	5,219
United Arab Emirates	0.3910	0.3918	46,908	23,454
United Kingdom of Great Britain and Northern Ireland	6.6040	6.6171	792,276	396,138
United Republic of Tanzania	0.0080	0.0080	960	480
United States of America	22.0000	22.0000	2,634,075	1,317,038
Uruguay	0.0270	0.0271	3,239	1,620
Uzbekistan	0.0100	0.0100	1,200	600
Vanuatu	0.0010	0.0010	120	60
Venezuela (Bolivarian Republic of)	0.3140	0.3146	37,670	18,835
Viet Nam	0.0330	0.0331	3,959	1,980
Yemen	0.0100	0.0100	1,200	600
Zambia	0.0040	0.0040	480	240
Zimbabwe	0.0030	0.0030	360	180
Total	99.8450	100.0000	11,973,084	5,986,570

**Terms of Reference for the Administration of the Trust Fund for the Convention
on International Trade in Endangered Species of Wild Fauna and Flora**

1. The Trust Fund for the Convention on International Trade in Endangered Species of Wild Fauna and Flora (hereinafter referred to as the Trust Fund) shall be further continued for a period of two calendar years, which begins on 1 January 2012 and ends on 31 December 2013, to provide financial support for the aims of the Convention.
2. Pursuant to the Financial Regulations and Rules of the United Nations, the Executive Director of the United Nations Environment Programme (UNEP), with the approval of the Governing Council of UNEP and the Secretary-General of the United Nations, shall continue the Trust Fund for the administration of the Convention.
3. The appropriations of the Trust Fund shall be financed from:
 - a) the contributions made by the Parties by reference to the table attached in Annex 1 including contributions from any new Parties which are to be added to the table;
 - b) contributions from States not Parties to the Convention, other governmental, inter-governmental and non-governmental organizations and other sources; and
 - c) any uncommitted appropriations from any of the financial periods prior to 1 January 2012.
4. The estimates for each of the calendar years covered by a financial period shall be specified in a costed programme of work and shall be accompanied by such information as may be required by, or on behalf of, the contributors and such further information as the Executive Director of UNEP may deem useful and advisable.
5. The proposed costed programme of work covering the income and expenditure for each of the calendar years constituting the financial period to which it relates, prepared in US dollars, including all the necessary information, shall be dispatched by the Secretariat to all Parties at least 150 days before the date fixed for the opening of the next regular meeting of the Conference of the Parties.
6. The costed programme of work shall be adopted by a three-quarters majority of the Parties present and voting at the regular meeting.
7. In the event that the Executive Director of UNEP expects that there might be a shortfall in resources, over the year as a whole, he shall consult with the Secretary-General of the Convention, who shall seek the advice of the Standing Committee as to its priorities for expenditure.
8. The Secretary-General of the Convention is authorized, to the extent consistent with the Financial Regulations and Rules of the United Nations, to transfer resources within the costed programme of work up to a maximum of 20 % over and above the annual amount foreseen in the costed programme of work under any main appropriation line, provided that such action does not negatively affect any high-priority activities. When any such transfers are made, these shall be reported to the Standing Committee at its following meeting. Any budget adjustments over and above the 20 % flexibility mentioned above may be made only after they have been agreed by the Standing Committee. However, the total costed programme of work approved by the Parties for that financial period shall not be exceeded unless this is specifically sanctioned in writing by the Standing Committee.
9. Commitments against the resources of the Trust Fund may be made only if they are covered by the necessary income of the Convention.
10. All contributions shall be paid in any convertible currency. The amount of any payment, however, shall be equal to the amount payable in US dollars on the day the contribution is made. Contributions from States that become Parties after the beginning of the financial period should be made on a pro-rata basis for the balance of the financial period.

11. At the end of each calendar year of a financial period, the Executive Director of UNEP shall submit to the Parties the accounts for the year. He shall also submit, as soon as practicable, the audited accounts for the financial period.
12. The Secretary-General of the Convention shall provide the Standing Committee with an estimate of proposed expenditure over the coming calendar year simultaneously with, or as soon as possible after, distribution of the accounts and reports referred to in the preceding paragraph.
13. The general procedures governing the operations of the Fund of UNEP and the Financial Regulations and Rules of the United Nations shall govern the financial operations of the Trust Fund for the Convention.
14. These Terms of Reference shall be effective for the financial period of 1 January 2012 to 31 December 2013, subject to amendments at the 16th meeting of the Conference of the Parties.