

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Fourteenth meeting of the Conference of the Parties
The Hague (Netherlands), 3-15 June 2007

Committee II

REPORT OF THE BUDGET WORKING GROUP

This document has been prepared by the Chairman of the Budget Working Group on the basis of document CoP14 Doc. 7.3 (Rev. 1) and following discussions in the second session of Committee II and the Budget Working Group. In the draft Resolutions, text to be deleted is ~~crossed-out~~ and proposed new text is underlined.

Committee II addressed Item 7: Financing and budgeting of the Secretariat and meetings of the Conference of the Parties in its first and second sessions. The Committee noted Document 7.1 (Rev. 1), Financial reports for the years 2004 to 2006, and approved Document 7.2, Estimated expenditures for 2007. In the case of Document 7.3 (Rev. 1), Costed programme of work for the Secretariat for the triennium 2009-2011, the Chairman established a working group, chaired by Ireland, to consider the triennium costed programme of work (CPW) for 2009-2011. The mandate of the Group was to identify which elements in the CPW should be funded from the CITES Trust Fund and which should be subject to external funding, identifying two different levels of priority – A and B.

Over 30 Parties representing all regions participated in the meetings of the Budget Working Group. The Group analysed the CPW and preliminary views were elicited on the activities that were most appropriate to funding from the Trust Fund, external funding priority A or external funding priority B. The Heads of Unit in the Secretariat briefed the group on their respective projects in the CPW.

On the basis of these discussions, the Secretariat prepared a revised CPW which provided for an increase of 30% - in nominal terms – in the Budget financed by the Trust Fund, equivalent to a sum of USD 19,007,890 for the triennium 2009-2011. An amount of USD 7,478,629 was identified for external funding priority A and USD 3,415,990 for external funding priority B.

A number of Parties indicated that they had no scope to accept any nominal increase in their contributions and, accordingly, they asked the Secretariat to prepare a budget based on 0% nominal growth. The Secretariat prepared such a proposal which included the following cost-saving measures:

- Maintenance of the CITES website and provision of documents – other than meeting documents – in English only;
- Suppression of four Professional and one General Support Staff posts;
- Only minimal allocation of funds to activity-based work, such as scientific support, capacity building and enforcement; and
- Some savings in the budgeting for the 15th meeting of the Conference of the Parties, based on the assumption that a Host Country would come forward.

In order to better inform the deliberations of the working group, the Secretariat also prepared a proposal for a nominal increase in the Trust Fund budget of 15%. This proposal would allow for:

- Continued maintenance of the website and provision of documents in all the official languages of the Convention;
- Suppression of only one Professional and one General Support Staff posts;
- Provision for some additional “seed funds” for activity-based work.

Parties in the Working Group were evenly divided between those who could support the former scenario and the latter – or something close to it. Those supporting the zero nominal increase scenario re-iterated their inability to afford increased contributions and expressed concern that a significant increase might lead to more Parties falling into arrears. Those supporting the 15% nominal increase argued that a zero nominal increase would bring the Secretariat below a capacity where it could operate with any degree of effectiveness or even pursue the external funding sought by the Parties. Some expressed preference for an increase of less than 15% but others argued that even a 15% nominal increase was already too conservative.

The Netherlands then came forward with a proposal – based on the Secretariat’s earlier proposal for a 30% nominal increase – for an increase of 21.56% in nominal terms. They expressed the view that they considered this the minimum for the Secretariat to function effectively. The proposal achieved savings relative to that of the Secretariat by also providing for the suppression of one Professional and one General Support Staff posts and by achieving savings in office maintenance costs and in the costs of the next meeting of the Conference of the Parties. However, it increased the core funding for activity-based work.

The Netherlands’ proposal had the support of four Parties, with another Party supporting it as a basis for discussion. However, ten Parties adhered to the preference for no nominal increase in the core budget. Two more Parties indicated that they regarded a zero increase as unrealistic but that they were not prepared to consider an increase of the scale proposed by the Netherlands.

There being no consensus – or even any prevailing view – in the Working Group, it was agreed that Committee II be presented with two options:

- **Option 1:** That proposed by the Netherlands for an increase of 21.56%; and
- **Option 2:** The submission provided by the Secretariat at the request of the Working Group for a zero nominal increase.

Furthermore, with regard to the draft Resolution set out in Document 7.3, Annex 8, there was no consensus on the matter of the action to be taken in respect of Parties who are in arrears and Committee II is asked to make a decision on this matter.

In addition, a proposal for formalising the supervision of the budget by the Standing Committee through establishment of a sub-committee of that Committee was accepted by consensus and will be incorporated in the Resolution setting out the budget of the Convention.

Accordingly, the following documents are attached as annexes in respect of **Option 1**:

- The summary budget table (Annex 1);
- The Costed Programme of Work – based on the draft Strategic Vision set out in CoP 14, Document 11 and drawn from that prepared by the Secretariat for their 30% nominal increase proposal(Annex 2);
- The summary table of this Costed Programme of Work (Annex 3);
- The scale of contributions for the forthcoming triennium (Annex 4)
- A Draft Resolution of the Conference of the Parties (Annex 5).

For **Option 2**, the following documents are presented:

- The summary budget table (Annex 6);

- The scale of contributions for the forthcoming triennium (Annex 7)
- A Draft Resolution of the Conference of the Parties (Annex 8).

There was not sufficient time to develop a CPW for Option 2 and, accordingly, no recommendations are made regarding the requirements for external funding should that option be accepted.

ⁱ It was agreed that – for both options - the draft Resolution should provide for the Standing Committee to pursue the development of a Costed Work Programme based on the Strategic Vision to be adopted at CoP 14.

Summary budget table for the triennium 2009-2011
(in US dollars)
Option 1

Description	CITES Trust Fund 2009 core budget	External Funding A	External Funding B	Total resources required for 2009	CITES Trust Fund 2010 core budget	External Funding A	External Funding B	Total resources required for 2010	CITES Trust Fund 2011 core budget	External Funding A	External Funding B	Total resources required for 2011
Personnel costs												
Existing posts - Professional staff	2,786,520			2,786,520	2,911,911	0	0	2,911,911	3,042,951	0	0	3,042,951
Existing posts - Support staff	762,125	0	0	762,125	796,418	0	0	796,418	832,258	0	0	832,258
Staff travel	60,000		0	60,000	60,000	0	0	60,000	60,000	0	0	60,000
Total	3,608,645	0	0	3,608,645	3,768,329	0	0	3,768,329	3,935,209	0	0	3,935,209
Office maintenance costs												
Office supplies	30,000	0	0	30,000	30,000	0	0	30,000	30,000	0	0	30,000
Non-expendable equipment	20,000	0	0	20,000	20,000	0	0	20,000	20,000	0	0	20,000
Maintenance of the office (rent, electricity, cleaning)	110,000	0	0	110,000	110,000	0	0	110,000	110,000	0	0	110,000
Maintenance of office equipment	45,000	0	0	45,000	45,000	0	0	45,000	45,000	0	0	45,000
IT services provided by UNOG	20,000	0	0	20,000	20,000	0	0	20,000	20,000	0	0	20,000
Communications (telephone, fax, mail)	80,000	0	0	80,000	80,000	0	0	80,000	80,000	0	0	80,000
Bank charges	10,000	0	0	10,000	10,000	0	0	10,000	10,000	0	0	10,000
Hospitality	5,000	0	0	5,000	5,000	0	0	5,000	5,000	0	0	5,000
Total	320,000	0	0	320,000	320,000	0	0	320,000	320,000	0	0	320,000
CoP meeting												
External translation of pre-session documents	0	0	0	0	150,000	0	0	150,000	0	0	0	0
Salary/travel of Conference staff*	0	0	0	0	405,000	0	0	405,000	0	0	0	0
Travel of Secretariat's staff*	0	0	0	0	0	0	0	0	0	0	0	0
Logistics	0	0	0	0	0	0	0	0	0	0	0	0
Printing of in-session documents	0	0	0	0	120,000	0	0	120,000	0	0	0	0
African Elephant Panel of Experts	0	0	0	0	0	0	0	0	0	0	0	0
Support to delegates	0	0	0	0	0	750,000	0	750,000	0	0	0	0
Total	0	0	0	0	675,000	750,000	0	1,425,000	0	0	0	0
SC meeting												
External translation of documents	20,000	0	0	20,000	0	0	0	0	20,000	0	0	20,000
Salary/travel of Conference staff to SC mtg	35,000	0	0	35,000	10,000	0	0	10,000	35,000	0	0	35,000
Travel of SC members	40,000	0	0	40,000	10,000	0	0	10,000	40,000	0	0	40,000
Logistics	10,000	0	0	10,000	0	0	0	0	10,000	0	0	10,000
Total	105,000	0	0	105,000	20,000	0	0	20,000	105,000	0	0	105,000
AC meeting												
External translation of documents	20,000	0	0	20,000	0	0	0	0	20,000	0	0	20,000
Salary/travel of Conference staff to AC mtg	35,000	0	0	35,000	0	0	0	0	35,000	0	0	35,000
Travel of AC members	24,000	0	0	24,000	0	0	0	0	24,000	0	0	24,000
Logistics	10,000	0	0	10,000	0	0	0	0	10,000	0	0	10,000
Travel of Secretariat's staff	0	0	0	0	0	0	0	0	0	0	0	0
Total	89,000	0	0	89,000	0	0	0	0	89,000	0	0	89,000

Description	CITES Trust Fund 2009 core budget	External Funding A	External Funding B	Total resources required for 2009	CITES Trust Fund 2010 core budget	External Funding A	External Funding B	Total resources required for 2010	CITES Trust Fund 2011 core budget	External Funding A	External Funding B	Total resources required for 2011
PC meeting												
External translation of documents	20,000	0	0	20,000	0	0	0	0	20,000	0	0	20,000
Salary/travel of Conference staff to PC mtg	35,000	0	0	35,000	0	0	0	0	35,000	0	0	35,000
Travel of PC members	24,000	0	0	24,000	0	0	0	0	24,000	0	0	24,000
Logistics	10,000	0	0	10,000	0	0	0	0	10,000	0	0	10,000
Travel of Secretariat's staff	0	0	0	0	0	0	0	0	0	0	0	0
Total	89,000	0	0	89,000	0	0	0	0	89,000	0	0	89,000
Publications												
Identification Manual	0	50,000	0	50,000	0	50,000	0	50,000	0	50,000	0	50,000
Checklist of CITES species	0	0	0	0	70,000	0	0	70,000	0	0	0	0
Newsletter	0	0	0	0	0	0	0	0	0	0	0	0
In-house printing	15,000	0	0	15,000	15,000	0	0	15,000	15,000	0	0	15,000
Other publications/documents	10,000	0	0	10,000	10,000	0	0	10,000	10,000	0	0	10,000
Total	25,000	50,000	0	75,000	95,000	50,000	0	145,000	25,000	50,000	0	75,000
Other activities												
Scientific support	295,000	900,159	242,686	1,437,845	205,000	732,191	110,056	1,047,247	225,000	734,315	112,534	1,071,849
Capacity building	180,000	105,000	350,000	635,000	180,000	105,000	350,000	635,000	180,000	105,000	350,000	635,000
Trade monitoring and support	150,000	0	0	150,000	150,000	0	0	150,000	150,000	0	0	150,000
Legal affairs and trade policy	5,000	427,686	440,000	872,686	0	477,304	330,000	807,304	0	327,534	630,000	957,534
Enforcement	40,000	220,530	0	260,530	50,000	277,304	0	327,304	60,000	254,383	0	314,383
CITES website	30,000	0	0	30,000	0	0	0	0	15,000	0	0	15,000
Fund raising	40,000	0	0	40,000	40,000	0	0	40,000	40,000	0	0	40,000
Support to intersessional work of AC and f	0	0	60,000	60,000	0	0	60,000	60,000	0	0	60,000	60,000
Support to Nomenclature Committee	0	0	81,000	81,000	0	0	81,000	81,000	0	0	81,000	81,000
MIKE	0	0	0	0	0	0	0	0	0	496,485	0	496,485
Total	740,000	1,653,375	1,173,686	3,567,061	625,000	1,591,799	931,056	3,147,855	670,000	1,917,717	1,233,534	3,821,251
TOTAL DIRECT COSTS	4,976,645	1,703,375	1,173,686	7,853,706	5,503,329	2,391,799	931,056	8,826,184	5,233,209	1,967,717	1,233,534	8,434,460
Programme support costs (13%)	646,964	221,439	152,579	1,020,982	715,433	310,934	121,037	1,147,404	680,317	255,803	160,359	1,096,480
GRAND TOTAL	5,623,609	1,924,814	1,326,265	8,874,688	6,218,762	2,702,733	1,052,093	9,973,588	5,913,526	2,223,520	1,393,893	9,530,940

2009-2011 budget to be financed form the Trust Fund 17,755,897
2009-2011 budget External Funding A 6,851,067
2009-2011 budget External Funding B 3,772,252
2009-2011 budget requirements 28,379,216

2006-2008 budget financed from the Trust Fund 14,606,429

Increase in contributions to the Trust Fund 21.56%
for the triennium 2009-2011

Costed programme of work for the CITES Secretariat for the triennium 2009-2011

Goal 1

Ensure compliance with and implementation and enforcement of the Convention

Objective 1.1

Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.

Indicators

All Parties have appropriate policies, legislation and procedures to implement the Convention.

A significant number of Parties have undergone assessments of their wildlife trade policies in accordance with Decision 13.74.

Parties have management programmes for the conservation of CITES-listed species and the recovery of Appendix-I-listed species, with the aim that they no longer satisfy the biological criteria for inclusion in that Appendix.

All Parties have fully functional Management Authorities, Scientific Authorities and enforcement authorities that have the skills and resources necessary to undertake their Convention obligations to a high standard.

Legal-acquisition findings are made on the basis of proper documentation and evidence.

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding			
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B	
1	Implement the National Legislation Project (provide legislative analysis/assistance for Parties/territories with inadequate legislation); prepare legislative texts and related legal materials for CITES website	Draft and enacted legislation; adequate legislation adopted by 60 Parties/territories; related reports to SC/CoP; legal information provided for website	Internal (funds for country missions and 1 month consultancy)	112,661	5,000	99,057		123,029		339,748	334,748		5,000	
2	Compile annual/biennial reports received from Parties; prepare analyses and provide feedback; monitor compliance; develop/operate web-based system for all report data; identify ways to reduce reporting burden	Updated tables of report submissions; interactive, web-based reporting system; less use of special reports and more use of annual/biennial reports; joint activities on harmonized reporting; reports to SC and CoP	Internal/external (UNEP-WCMC)	42,461	150,000	44,372	150,000	46,369	150,000	583,202	583,202			
3	Assist Parties in addressing needs identified in biennial reports (policy or legislative reviews/amendments; legislative guidance on exemptions and other specific implementation issues; assistance with institutional arrangements and administrative procedures)	Legislative reviews; draft and enacted legislative amendments; specialized guidance material; advice provided to specific Parties	Internal (funds for country missions)	35,739		37,348		39,028		112,115	112,115			
4	Develop and regularly update a compliance database which records how Party-specific compliance matters are handled and links to other relevant databases in the Secretariat	Increased transparency, facilitated and more consistent handling of compliance matters	Internal (funds for 3 month consultancy)	21,231	50,000	22,186		23,184		116,601	66,601			50,000

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
5	Provide general legal and policy advice; assist Parties with interpretation of the Convention; propose resolutions/decisions to clarify and simplify Convention implementation	Advice provided on MoUs for institutional cooperation and project implementation as well as other general legal or policy questions; assistance provided to 20+ Parties per year on Convention interpretation; draft resolutions/decisions	Internal	28,213	0	29,482	0	42,104	0	99,799	99,799		
6	Identify needs of and provide related information and training for Management Authorities covering, inter alia: wildlife management practices, including captive breeding and artificial propagation facilities; legal acquisition findings; trade monitoring, reporting and data analysis; quota management; CITES duties and obligations for Management Authorities; exchange of experience with other Management Authorities; and identification of good practice	Assessment report on skills and resources available in each MA and on any need for reinforcement of capacity; incorporation of material in CITES virtual college. One meeting of MAs held in each major exporting region between each CoP. Development of a series of examples of good practice in legal acquisition findings and management programmes for key taxonomic groups. Web forum facilitated for exchange of information. Establishment of twinning programme between MAs in producer and consumer countries. Internship programme established for MA personnel to spend time in the Secretariat.	Internal (funds for 1 month consultancy and organization of meetings)	17,870	150,000	18,674	150,000	19,514	150,000	506,057	61,057	0	445,000
7	Review operation and functioning of Scientific Authorities. Per sub-region: identify key research and technical needs for SAs and develop targeted support programmes accordingly. This includes a needs assessment for making NDF's and establishing quotas, and providing suitable assistance	Assessment report for CoP15 on the skills and resources available in each SA. Identification of need for reinforcement, capacity building and support, especially for exporting Parties.	Internal/external (funds for desk study and sample site visits)	45,216	75,000	33,697	5,000	49,377	5,000	213,289	128,289	85,000	
8	Information and training for Scientific Authorities covering inter alia: wildlife management practices; monitoring; CITES duties and obligations for SAs; NDFs and quota setting; trade data analysis, practical guidance, on-line assistance for transfer of knowledge and skills.	Material for CITES led training and capacity building. One meeting of SAs held in each major exporting region between each CoP. Development of a series of examples of good practice in NDF and management programmes for key taxonomic groups. Web forum facilitated for exchange of information.	Internal/external (funds for staff travel and organization of meetings of SAs)	32,790	180,000	28,577	90,000	35,807	110,000	477,174	477,174	0	

	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
9	Organize and support the 15th meeting of the Conference of the Parties (assumed date May 2010): conclusion of host country agreement; liaison with and assistance to the host country; organization of travel of sponsored delegates; preparation, translation and publication of all related documents; support for the Chairmen before and during the meeting; registration of participants; assisting participants with problems; all logistical support; support to the Conference and its working groups during and between meetings	A successful meeting of the Conference of the Parties; Documentation for the meeting in the working languages	Internal (funds for salaries/travel of Conference staff, external translation, logistics (USD 675,000) and participation of sponsored delegates at CoP15 (USD750,000)	269,391	0	808,579	1,425,000	5,945		2,508,915	1,758,915	750,000	
10	Organize and support the 58th, 59th, 60th and 61st meetings of the Standing Committee; arrangement of the venue; organization of travel of sponsored delegates; preparation, translation, and publication of all related documents; support for the Chairman before and during the meeting; registration of participants; assisting participants with problems; all logistical support; support to the Committee and its working groups during and between meetings.	Meetings of the Standing Committee; Documentation for the meetings in the working languages	Internal (funds for salaries of Conference staff, external translation, logistics and travel of SC members)	327,470	105,000	105,758	20,000	400,826	105,000	1,064,054	1,064,054		
11	Organize the logistics and documentation of the 24th and 25th meetings of the Animals Committee, the 18th and 19th meetings of the Plants Committee and all other official CITES meetings: liaison with host country; arrangement of the venue; organization of travel of sponsored delegates; preparation, translation and publication of all related documents; registration of participants; support for inter-sessional activities of the Committees and the Nomenclature Committee	Meetings of the Animals Committee, Plants Committee and other official CITES meetings (working groups, workshops, seminars, task forces, etc.); Documentation for the meetings in the working languages	Internal (funds for salaries of Conference staff, external translation, logistics and travel of Committee members estimated for meetings to be held in Geneva)	418,415	319,000	147,505	141,000	497,835	319,000	1,842,755	1,419,755		423000

	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
12	Maintenance, updating and publication of all official CITES reference documentation	Official CITES Publications: Appendices; Reservations, list of Parties, Resolutions and Decisions, CITES Handbook, CITES Checklist, Annotated Appendices and Reservations, annual report of the Secretariat, Notifications to the Parties, CITES Directory, Register of captive-breeding operations, Register of nurseries, Register of scientific institutions, database of export quotas, etc., all updated on CITES website	Internal / external (after CoP15, contract to UNEP/WCMC for production of CITES Checklist and Annotated Appendices and Reservations, external design and printing, CD ROMs, distribution; other years, external design and printing of publications)	263,945	25,000	389,580	95,000	319,655	25,000	1,118,180	1,118,180		
Total costs				1,615,402	1,059,000	1,764,815	2,076,000	1,602,673	864,000	8,981,890	7,223,890	840,000	918,000

Objective 1.2

Administrative procedures are practical, kept up to date and user-friendly.

Indicators

Standard procedures for the issuance of permits have been adopted by each Party, in consultation with relevant stakeholders, to ensure these are issued in a timely fashion.

As far as possible Parties have electronic systems for information management, permit issuance, marking of specimens and generating annual, biennial or other reports.

Parties are to the fullest extent possible making use of the simplified procedures provided for in Resolution Conf. 12.3 (Rev. CoP13).

	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
13 and 14	Assist Parties with the adoption and use of standard, secure and streamlined procedures for permit issuance, including: support in the drafting of written permit procedures; provision of security stamps and security permits; recording and dissemination of sample CITES documents and recording of sample signatures; answering of permit queries; and help with the marking, tagging and labelling of specimens. Assist Parties with electronic permitting.	Direct assistance provided in development of written permit procedures; good permitting practice identified and shared; stamps and permits provided to requesting Parties; new documents added to Secretariat records and provided in Notifications; new signatures added to Secretariat records; query responses provided to requesting Parties; tags received by requesting Parties; consolidated draft resolution on marking/tagging; incorporation of marking/tagging into electronic permit/information management systems .Provision of regular advice to the CoP and the Standing Committee on emerging electronic trade data transfer standards and adaptation of CITES permit forms to e-trade standards	Internal (funds for national projects, printing of stamps and permits)	92,127	222,686	90,584	327,304	100,605	227,534	1,060,839	283,315	777,524	
Total costs				92,127	222,686	90,584	327,304	100,605	227,534	1,060,839	283,315	777,524	0

Objective 1.3

National wildlife trade policies are consistent with policies and regulations adopted at the international level.

Indicators

The Resolutions of the Conference of the Parties are implemented by all Parties in a consistent manner.

Multilateral CITES processes have been further developed that reduce the need by Parties for recourse to stricter domestic measures and reservations.

Parties have coherent positions on environment and wildlife trade in international fora.

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
15	Promote the use of multilateral processes in CITES (e.g. new and revised resolutions or decisions of the CoP, discussions and recommendations of permanent committees and working groups) to address wildlife trade concerns; continue to encourage the establishment and use of multi-agency bodies or mechanisms at national level for strengthening policy coherence	More effective use of CITES processes; adjustments to existing processes and new processes; enhanced cooperation and solidarity among Parties; more consistent policy positions taken by Parties in various international fora; special meeting held at global level with representation from all regions	Internal (funds for 2 months consultancy, special meeting)	10,343	20,000	10,809	0	11,295	150,000	202,447	32,447	0	170,000
Total costs				10,343	20,000	10,809	0	11,295	150,000	202,447	32,447	0	170,000

Objective 1.4

The Appendices correctly reflect the conservation needs of species.

Indicators

The Animals and Plants Committees, supported by the Parties, regularly review species in the Appendices to verify that they are appropriately listed on the basis of the agreed criteria, and to identify species that are not at risk from trade and might be considered for removal from the Appendices.

A resolution has been adopted specifying procedure for the submission of amendment proposals regarding the species identified through this review.

A mechanism is in place for the regular evaluation of trade and biological information on unlisted species subject to significant levels of international trade to determine whether they would benefit from inclusion in the Appendices.

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
16	Provide assistance to the Scientific Committees in their periodic review of the Appendices. Simplify and speed up the process of this review	Regular consideration of review at Scientific Committees meetings. Revised resolution on process for CoP15	Internal	37,689	0	28,577	0	29,863	0	96,129	96,129		
17	Provide clear and timely advice to the Parties on proposals to amend the Appendices at CoP15	Conference documents	Internal	45,950		66,691	0	25,459		138,100	138,100		
18	Undertake study of unlisted species subject to commercial exploitation. Identify those where international trade is the main driver which is likely to cause the commercial extinction in the next 10 years. With the CITES scientific committees, identify agencies/institutions that monitor the trade in or status of non-CITES listed species, and collaborate with them to monitor trade in non-CITES species and its impact.	Evaluation. Report to SC and consider preparation of CITES listing proposals. Sources of information on trade in non-CITES listed species identified, and partnerships developed with relevant agencies and institutions.	External (funds for scoping study)		192,686		60,056		62,534	315,276			315,276
Total costs				83,639	192,686	95,268	60,056	55,322	62,534	549,504	234,229	0	315,276

Objective 1.5

Robust scientific information is the basis for non-detriment findings.

Indicators

The Conference of the Parties has adopted guidelines on the making of non-detriment findings, including guidance in relation to specific taxonomic groups.

The collection of information on species in trade, through field research and monitoring programmes, has been strengthened.

Non-detriment findings are made on the basis of sound and relevant scientific information and appropriate risk assessment.

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
19 and 20	Facilitate and manage the Review of Significant Trade. Develop management programmes for all species in range States identified as requiring Review of Significant Trade remedial action.	Information exchange, status reports on key species, assessment of compliance with Committee recommendations. Regular reports to CITES committees. Model management programmes for Appendix II species. Assistance to range States in taking measures to improve implementation of Art. IV for species selected under the Review of Significant Trade.	internal /external (funds for desk study of Significant Trade species)	158,956	395,159	166,109	397,191	189,283	399,315	1,706,012	814,347	891,665	
21	Support species-specific programmes designed to improve CITES implementation, particularly for App II species selected by the CoP. (currently sharks, sturgeon, saiga, tortoises and freshwater turtles, mahogany and agarwood)	Support to Parties, reports to scientific committees and SC	Internal/external (funds for expert studies and staff travel)	41,178	200,000	50,896	200,000	78,645	200,000	770,719	170,719	600,000	
Total costs				200,134	595,159	217,005	597,191	267,928	599,315	2,476,731	985,066	1,491,665	0

Objective 1.6

Parties cooperate in managing shared wildlife resources.

Indicators

Cooperative recovery plans are in place for shared populations of Appendix-I species.

Cooperative management plans are in place for shared populations of Appendix-II species.

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
22	In conjunction with CMS, identify all CITES species that are migratory or shared between range States. Identify regional stakeholders and relevant national, regional and interregional agencies that could collaborate or support regional programmes and agree on joint management aims and activities. Develop and implement model regional cooperation programmes for shared populations. Building on the experience from the MIKE programme, develop a programme to ensure long-term assistance to/collaboration between range States with shared resources more broadly. Promote use of bio-geographic approach for quota setting. Support development of intra and inter regional management programmes	Report to SC and CoP. Cooperation between Parties in managing shared wildlife resources and establishing export quotas. Five model programmes in operation. Shared experiences between Parties, on management plans, on the same group of species, improved cooperation between MAs and SAs concerned.	Internal/external (funds for expert assistance to develop model programmes and for staff travel)	37,689	200,000	39,385	200,000	41,158	200,000	718,232	118,232	600,000	
23	Manage and coordinate the MIKE Programme	Implementation of the MIKE capacity building and data monitoring and analysis components	Internal	19,275		20,143		21,049		60,467	60,467		
24	Operate the MIKE Central Coordinating Unit from within the Secretariat (from April 2011)	Continuity of the MIKE Programme beyond the EC funding window; a functioning Coordinating Unit for MIKE and other monitoring programmes	Internal ; funding required from April 2011	0		0		296,485	200,000	496,485		496,485	
Total costs				56,965	200,000	59,528	200,000	358,692	400,000	1,275,185	178,700	1,096,485	0

Objective 1.7

Parties are enforcing the Convention to reduce illegal wildlife trade

Indicators

A national wildlife enforcement coordination network is established by each Party with representation from all relevant enforcement bodies.

Mechanisms are developed to understand more precisely the scale of and trends in illegal trade in species in high demand and to assess the effectiveness of the corresponding enforcement measures.

Cooperation exists between national, regional and international law enforcement agencies and CITES Authorities to effectively combat illegal trade in wild fauna and flora.

Parties have strengthened their enforcement of the Convention to ensure that punitive action against offenders is commensurate with the seriousness of the offence.

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
25	Disseminate information and best-practice advice to the Parties, giving special emphasis to multi-agency approaches and specialized units	Relevant issues identified in reports to scientific committees and CoP. A major training event/enforcement seminar held in one region between meetings of the CoP	Internal (funds for country missions and organization of a seminar)	10,343	100,000	10,809	0	11,295		132,447	32,447	100,000	
26	Provide routine and continued guidance and specialized advice to the Parties and law enforcement agencies on enforcement of the Convention. This will be at the international strategic level but also at regional, sub-regional and national levels. It will also be focused on practical matters on a general, species-specific and case-by-case basis.	CITES Alerts; Reports for CITES scientific committees and the CoP. Enhanced capacity of Parties to combat illicit trade.	Internal	134,460	170,530	118,894	182,304	146,834	194,383	947,406	475,189	472,217	
27	Continued coordination of CITES Enforcement Task Forces to respond to species-specific and specific illicit trade issues	Increased gathering, analysis and dissemination of intelligence and guidance	Internal (funds for missions and organization of Task Force meetings)	10,343	40,000	10,809	45,000	11,295	50,000	167,447	32,447	135,000	
28	Convene CITES Enforcement Expert Group to meet once between CoPs and request it to give priority to reviewing the effectiveness of enforcement measures used by the CITES community and seeking improvements in quantifying levels of illicit trade and highlighting significant trade, especially involving Appendix-I species.	Strategic reports and guidance supplied to CoP	Internal (funds for organization of CITES Enforcement Group meeting)	0	0	10,809	40,000	0	0	50,809	10,809	40,000	
29	Undertake verification, technical and enforcement needs-assessment missions on species-specific and trade-specific issues. These will be linked with in-country capacity-building and training seminars.	Reports with recommendations submitted to Parties, Standing Committee and CoP	Internal (funds for verification missions and seminars)	20,686	30,000	21,617	35,000	22,590	40,000	169,893	64,893	105,000	

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
30	Continue and expand liaison with international, regional and sub-regional law enforcement networks such as Interpol, WCO, Lusaka Agreement Task-Force and ASEAN-WEN	Further regional, sub-regional and national enforcement networks are developed and supported. Joint strategic and operational projects are undertaken	Internal (funds for missions)	20,686	20,000	21,617	25,000	22,590	30,000	139,893	139,893		
Total costs				196,519	360,530	194,554	327,304	214,604	314,383	1,607,894	755,677	852,217	0

Objective 1.8

Parties and the Secretariat have adequate capacity building programmes in place.

Indicators

Capacity building programmes have been developed for training trainers.

All Parties in collaboration with the Secretariat where appropriate, provide their staff responsible for implementing CITES with access to adequate training and information resources.

National and regional training programmes are in place for all aspects of the implementation of CITES, including the making of non-detriment findings, issuance of permits and enforcement.

The Secretariat plays an active role in coordinating the production of identification materials to ensure consistency and prevent duplication of effort.

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
31	Exchange of information/experiences between CITES authorities; and between these authorities and the Secretariat to maximize transfer of knowledge and skills, exchange experiences and identify best practices.	Promotion of twinning programme between authorities of producer and consumer countries and internship programme for national CITES personnel to spend time in the Secretariat. (Initial focus aimed at Scientific Authorities)	Internal	14,376	0	15,023	0	15,699	0	45,098	45,098		
32	Create, design, produce and distribution CITES capacity building materials and training courses on CD-ROM and other electronic formats, and produce a training manual, all in the working languages of the Convention.	General training package, updated annually. Advanced courses on: permits and certificates; the disposal of confiscated specimens; exemptions and other special provisions; making non-detriment findings; quota setting; specimen identification; and CITES data analysis. Preparation of country-specific and/or issue-specific training materials. Regularly updated training manual.	Internal (funds for production, translation and distribution)	125,129	40,000	104,928	40,000	136,644	40,000	486,700	366,700	120,000	
33	Continue development and publication of the CITES Identification Manual	Revised and updated Identification Manual suitable for national, regional and global use by non-specialists, in the working languages; create/revise sheets on 100 taxa per year (electronic only)	Internal	32,118	50,000	33,563	50,000	35,074	50,000	250,755	100,755	150,000	

	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
34	Using new information technologies deliver CITES training worldwide on demand (CITES Virtual College)	Web-based interactive training on CITES - the CITES Virtual College - offering multi-level online courses (e-learning), linked to academic qualification, to build and develop national capacity for CITES implementation, for up to 3000 participants annually	Internal/external (academic partnerships)	72,746	350,000	66,686	350,000	79,441	350,000	1,268,873	218,873		1,050,000
35	Conduct and/or provide assistance to regional capacity-building initiatives	4 workshops per year; material/financial assistance to external Party-led activities	Internal (funds for organization of workshops)	68,713	210,000	60,997	210,000	75,037	210,000	834,747	744,747	90,000	
36	Training trainers	Make available CITES teaching and facilitation skills training and training materials	Internal	24,719	10,000	25,831	10,000	26,994	10,000	107,544	77,544	30,000	
37	Create, design, produce and distribute CITES capacity building materials for enforcement agencies	Updated courses for enforcement agencies, Customs, and the judiciary	Internal (funds for production, translation and distribution)	35,062	15,000	36,640	15,000	38,289	15,000	154,991	109,991	45,000	
38	Provide assistance to joint MEA capacity building and awareness raising (e.g. Green Customs)	Training materials, training assistance (participation in joint MEA programmes)	Internal	24,719	10,000	25,831	10,000	26,994	10,000	107,544	77,544	30,000	
39	Enhance national wildlife enforcement coordination by identifying and disseminating lessons from successful and unsuccessful court cases (and providing direct assistance) to CITES authorities, legal officers, prosecutors and judges; organize one global symposium during the triennium for legal officers, prosecutors and judges	Specific written advice; compilation/dissemination of case law and experience; organization of a symposium	Internal/external (UNEP/IUCN judiciary programme; funds for 2 months consultancy and a symposium)	17,870	25,000	18,674	0	19,514	150,000	231,057	56,057		175,000
Total costs				415,452	710,000	388,174	685,000	453,684	835,000	3,487,311	1,797,311	465,000	1,225,000

Goal 2

Secure the financial basis for the Convention

Objective 2.1

Financial resources are sufficient to ensure full implementation of the Convention

Indicators

As far as possible, Parties are recovering the costs of implementing the Convention.

Appropriate measures are in effect in relation to Parties that have repeatedly failed to meet their obligations with regard to their assessed contributions to the Trust Fund.

At the time of adoption of Resolutions and Decisions, the Conference of the Parties determines how their implementation will be funded.

The examination of sources of additional funding for implementation of CITES at national and international levels includes non-traditional sources.

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
40	Develop project concepts and raise funds for non-Trust Fund CITES activities	All non-Trust Fund activities are funded, and donor relations are maintained.	Internal (funds for official visits to donor countries)	184,812	40,000	164,684	40,000	201,819	40,000	671,314	671,314		
41	Implement, manage, monitor and evaluate non-Trust Fund projects and activities	Non-Trust Fund projects and activities are implemented, managed, monitored and evaluated to UN standards	Internal	60,448		63,168		66,011		189,627	189,627		
Total costs				245,260	40,000	227,852	40,000	267,830	40,000	860,941	860,941	0	0

Goal 3

Ensure that CITES and other multilateral instruments and processes are coherent and mutually supportive.

Objective 3.1

Funding and common implementation of CITES-related conservation projects by international financial mechanisms and other relevant institutions is significantly increased.

Indicators

CITES-related projects have been developed that contribute to poverty alleviation and livelihoods of local communities.

Social and economic instruments are in place to provide benefits to local communities and conservation from wildlife trade, to an extent commensurate with the value of the specimens traded.

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
42	Continue cooperation with UNCTAD-Biotrade and Government-NGO initiative on livelihoods; assist interested Parties with the development and implementation of related national projects; compile and disseminate overall national experience (e.g. with assessing social and economic impacts of CITES implementation, promoting wildlife use or production operations which involve and benefit local communities, using incentives for Convention implementation, undertaking cost-benefit analyses and reinvesting administrative fees or court fines into conservation); develop an information tool for the website that provides information to Parties on the value chain for and valuation of CITES-listed species	Cooperative MoUs and/or joint work plans; livelihood considerations incorporated into CITES processes; mutual participation in relevant meetings or workshops; national projects established in 6 countries; relevant experience gathered and shared; web-based information tools; value chains, valuation formulas/analyses and amounts available via website	Internal/external (UNCTAD-Biotrade, International Trade Centre, livelihoods initiative, associations of local communities or indigenous peoples, 3 months consultancy, funds for national projects)	15,053	200,000	15,730	150,000	16,438	100,000	497,222	47,222	450,000	
Total costs				15,053	200,000	15,730	150,000	16,438	100,000	497,222	47,222	450,000	0

Objective 3.2

Awareness of CITES is increased and it is understood to be the proper global instrument to ensure the sustainability of wildlife trade

Indicators

A communication strategy is developed, where appropriate with other MEAs, for conveying concise, accurate and objective information about the Convention and its achievements.

A list has been developed of CITES accomplishments and lessons learned.

CITES permits are considered as a certification of sustainable trade.

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
43	Develop a communication strategy and tools for improving the visibility, accuracy and objectivity of CITES information and accomplishments under the Convention; operate a query desk for the media; manage press relations for major meetings (CoP, SC, AC, PC); monitor media coverage and identify good practice; raise general awareness and understanding of the Convention among the public, traders, members of the media, students, researchers and NGOs	Integration of communication strategy into work done by Secretariat and Parties assisted with replication at national level; media events organized; videos, posters, brochures designed, produced and distributed; responses provided to media queries; press accreditation, press briefings, press releases, press kits, interviews, list of journalists, photo archive, footage, press clippings, news items; CITES presentations, awareness-raising materials and tools	Internal/external (UNEP/DEC, public or private media companies, funds for designing, producing and distributing products each year)	25,396	150,000	26,539	150,000	27,733	150,000	529,668	79,668		450,000
44	Maintenance and development of the CITES website with increased use of database platform: including CITES archives, official reference documents and lists, CITES archives, thematic activities and programmes (legislation and compliance, science, enforcement, capacity building), listserver, alerts and discussion forums, troubleshooting and global review of the website after CoP15	A constantly improving CITES website that meets the needs of the Parties, in the working languages, and provides guidance for traders.	Internal (funds for consultancy to set up country fact sheets and programmers to develop website functions)	139,201	30,000	166,692	0	291,021	15,000	641,914	641,914		
45	Develop programme for identifying and giving recognition to good examples of sustainable trade; encourage use of the CITES logo as an indicator of sustainable trade	Examples of sustainable trade made visible; improved market access; increased awareness and use of CITES logo as an indicator of sustainable trade	Internal/external (ISO, funds for 1 month consultancy and country missions)	7,527	50,000	7,865	30,000	8,219	30,000	133,611	23,611		110,000
46	Raise general awareness of the Convention among the public, traders, students, researchers and NGOs	CITES awareness-raising materials and tools	Internal /external	30,163		31,520		32,939		94,622	94,622		
Total costs				202,287	230,000	232,616	180,000	359,912	195,000	1,399,815	839,815	0	560,000

Objective 3.3

Strategic alliances are forged with environmental and trade organizations

Indicators

Common biodiversity conservation goals, objectives and principles are integrated with those of relevant multilateral environmental agreements and related conventions, agreements and associations.

Scientific and technical programmes of the Convention are coordinated with those of relevant technical partners and other competent organizations and agencies, particularly the multilateral environmental agreements.

CITES has observer status in the formal trade negotiation forum of the World Trade Organization and a Memorandum of Understanding has been concluded between the two bodies.

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
47	Develop and implement a common strategy at scientific and policy levels among biodiversity-related Conventions for implementing the partnership agreement for achieving the 2010 target, avoiding duplication and overlap and increasing practical cooperation; promote similar cooperation at national level; continue collaboration with UNEP/ETB (on wildlife trade policy reviews and WTO-related issues); UNCTAD (on Biotrade initiative), FAO (on scientific, technical and legal issues related to commercially exploited aquatic species), WTO (on observer status and institutional cooperation regarding environment and trade), ITC (on value chain and markets for CITES trade), ITTO (on timber management and trade) and OECD (on economic and development issues)	Coordinated and effective implementation of the partnership agreement; CITES participation in key meetings or workshops; enhanced cooperation with CBD on access and benefit sharing and alien invasive species; additional wildlife trade policy reviews; effective Biotrade projects and support provided to and interaction improved between Biotrade and CITES authorities; improved consideration and handling of commercially exploited aquatic species under the Convention; continued mutual supportiveness of CITES and WTO decision-making and WTO acceptance of CITES trade measures; ITC and OECD technical input obtained and used; CITES experience reflected in UNEP, MEA and UN documents	Internal	17,870		18,674		19,514		56,057	56,057		
48	Promote CITES to the private sector and develop partnerships for improving implementation of the Convention; establish or continue liaison with global trade associations; develop information on CITES website for private sector; cooperate with private sector in organizing a side event at CoP15; assist interested Parties in encouraging the establishment of national trade associations and obtaining support from national export promotion or trade boards	Increased awareness and understanding of CITES by private sector; implementation projects agreed and carried out; national promotion of trade associations; web-site tools; side event for private sector at CoP15	Internal	12,970		13,554		14,164		40,688	40,688		

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
49	Build a common strategy at scientific level between biodiversity-related MEAs, international organizations and key partners to address the 2010 target and beyond, avoiding duplication and overlap and increase practical cooperation	Facilitate regular meetings of biodiversity related MEA Secretariats and Chairs of their technical subsidiary bodies. Joint programmes developed with FAO and relevant RFMOs and ITTO. Partnership agreements established with IUCN and other scientific bodies.	Internal (funds for staff travel)	28,757	15,000	30,051	15,000	32,939	15,000	136,747	136,747		
50	Enhance the practical use and acceptance of the Addis Ababa principles (AAP) by: identifying and analysing cases of best practice; assisting Parties that wish to use these principles; collaborating with CBD and others; and dissemination of results	Collaboration with Parties in applying the AAP. Model projects and case studies. Wide acceptance of the AAP and their practical utility improved.	Internal (funds for desk study and outreach products)	5,444	50,000	5,689	50,000	11,889	50,000	173,022	23,022		150,000
51	Participate in the further development and use of indicators for sustainability and biodiversity loss, e.g. through continued collaboration with the 2010 Biodiversity Indicators Partnership of similar joined efforts. Compile information on previous work from other MEAs on development of indicators.	Establish a suite of indicators which can be used to help assess the effectiveness of CITES actions.	Internal/external	12,970	30,000	15,023	30,000	15,699	30,000	133,692	43,692	90,000	
Total costs				78,011	95,000	82,990	95,000	94,205	95,000	540,206	300,206	90,000	150,000

Executive direction and management

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
52	Provide overall management of the Secretariat ensuring coherence in its work and responsiveness to the needs of the Parties. Coordinate the implementation of the agreed work programmes at the Unit level, plan with staff of the Unit distribution and coordination of tasks assigned.	Effective and efficient delivery by the Secretariat of the outputs mentioned above.	Internal	255,051	0	253,107	0	278,522	0	786,679	786,679		
53	Coordinate international cooperation and promote synergies including participation at meetings of relevant bodies (such as the conference of the Parties to related multilateral agreements, the Governing Council of UNEP etc.)	Effective and collaborative working relationships are established with other institutions, and outputs from cooperative activities provide added value.	Internal	82,403	60,000	75,302	60,000	89,986	60,000	427,690	427,690		
Total costs				337,453	60,000	328,409	60,000	368,507	60,000	1,214,369	1,214,369	0	0

Office equipment and services

Activity No	Activities	Expected outputs	Method of implementation internal/external	2009		2010		2011		Total funding required	Source of funding		
				staff costs	non-staff costs	staff costs	non-staff costs	staff costs	non-staff costs		CITES Trust Fund	External funding priority A	External funding priority B
54	Procurement of office equipment including computer software and hardware, required licenses, furniture and supplies; rental and maintenance of equipment including photocopiers and communications equipment; costs of office rental, electricity, cleaning and communication	Logistical and administrative support to the Secretariat's effectiveness in delivery of the programme of work is sustained	external service providers		320,000		320,000		320,000	960,000	960,000		
Total costs					320,000	0	320,000	0	320,000	960,000	960,000	0	0

Costed programme of work for the Secretariat for the triennium 2009-2011
Summary table of costs and requirements by goals and objectives
(in US dollars)

			2009	2010	2011	TOTAL	CITES Trust Fund	External Funding A	External Funding B
Activities	Goal 1 Ensure compliance with and implementation and enforcement of the Convention								
1-12	1.1	Parties comply with their obligation under the Convention through appropriate policies, legislation and procedures	2,674,402	3,840,815	2,466,673	8,981,890	7,223,890	840,000	918,000
13-14	1.2	Administrative procedures are practical, kept up to date and user-friendly	314,812	417,888	328,139	1,060,839	283,315	777,524	0
15	1.3	National wildlife trade policies are consistent with policies and regulations adopted at the international level	30,343	10,809	161,295	202,447	32,447	0	170,000
16-18	1.4	The Appendices correctly reflect the conservation needs of species	276,325	155,324	117,855	549,504	234,229	0	315,276
19-21	1.5	Robust scientific information is the basis for non-detriment findings	795,293	814,196	867,242	2,476,731	985,066	1,491,665	0
22-24	1.6	Parties cooperate in managing shared wildlife resources	256,965	259,528	758,692	1,275,185	178,700	1,096,485	0
26-30	1.7	Parties are enforcing the Convention to reduce illegal wildlife trade	557,049	521,858	528,987	1,607,894	755,677	852,217	0
31-39	1.8	Parties and the Secretariat have adequate capacity building programmes in place	1,125,452	1,073,174	1,288,684	3,487,311	1,797,311	465,000	1,225,000
	Sub-total Goal 1		6,030,641	7,093,591	6,517,567	19,641,800	11,490,633	5,522,891	2,628,276
	Goal 2 Secure the financial basis for the Convention								
40-41	2.1	Financial resources are sufficient to ensure full implementation of the Convention	285,260	267,852	307,830	860,941	860,941	0	0
	Sub-total Goal 2		285,260	267,852	307,830	860,941	860,941	0	0
	Goal 3 Ensure that CITES and other multilateral instruments and processes are coherent and mutually supportive								
42	3.1	Funding and common implementation of CITES related-conservation projects by international financial mechanisms and other relevant institutions is significantly increased	215,053	165,730	116,438	497,222	47,222	450,000	0
43-46	3.2	Awareness of CITES is increased and it is understood to be the proper global instrument to ensure the sustainability of wildlife trade	432,287	412,616	554,912	1,399,815	839,815	0	560,000
47-51	3.3	Strategic alliances are forged with environmental and trade organizations	173,011	177,990	189,205	540,206	300,206	90,000	150,000
	Sub-total Goal 3		820,351	756,337	860,555	2,437,243	1,187,243	540,000	710,000
52-53	Executive direction and management		397,453	388,409	428,507	1,214,369	1,214,369	0	0
54	Office equipment and services		320,000	320,000	320,000	960,000	960,000	0	0
	Programme requirements		7,853,705	8,826,189	8,434,459	25,114,353	15,713,186	6,062,891	3,338,276
	Programme support costs (13 %)		1,020,982	1,147,405	1,096,480	3,264,866	2,042,714	788,176	433,976
	Total programme requirements		8,874,686	9,973,594	9,530,939	28,379,219	17,755,901	6,851,066	3,772,252

CITES TRUST FUND
SCALE OF CONTRIBUTIONS FOR THE TRIENNIUM 2009-2011
(in US dollars)
Option 1

Party	UN scale for 2009 %	CITES adjusted scale %	2009-2011 contributions	Annual contributions
Afghanistan	0.00100	0.00100	178	59
Albania	0.00600	0.00601	1,068	356
Algeria	0.08500	0.08521	15,129	5,043
Antigua and Barbuda	0.00200	0.00200	356	119
Argentina	0.32500	0.32579	57,847	19,282
Australia	1.78700	1.79134	318,069	106,023
Austria	0.88700	0.88915	157,877	52,626
Azerbaijan	0.00500	0.00501	890	297
Bahamas	0.01600	0.01604	2,848	949
Bangladesh	0.01000	0.01002	1,780	593
Barbados	0.00900	0.00902	1,602	534
Belarus	0.02000	0.02005	3,560	1,187
Belgium	1.10200	1.10468	196,145	65,382
Belize	0.00100	0.00100	178	59
Benin	0.00100	0.00100	178	59
Bhutan	0.00100	0.00100	178	59
Bolivia	0.00600	0.00601	1,068	356
Botswana	0.01400	0.01403	2,492	831
Brazil	0.87600	0.87813	155,919	51,973
Brunei Darussalam	0.02600	0.02606	4,628	1,543
Bulgaria	0.02000	0.02005	3,560	1,187
Burkina Faso	0.00200	0.00200	356	119
Burundi	0.00100	0.00100	178	59
Cambodia	0.00100	0.00100	178	59
Cameroon	0.00900	0.00902	1,602	534
Canada	2.97700	2.98423	529,877	176,626
Cape Verde	0.00100	0.00100	178	59
Central African Republic	0.00100	0.00100	178	59
Chad	0.00100	0.00100	178	59
Chile	0.16100	0.16139	28,656	9,552
China	2.66700	2.67348	474,700	158,233
Colombia	0.10500	0.10526	18,689	6,230
Comoros	0.00100	0.00100	178	59
Congo	0.00100	0.00100	178	59
Costa Rica	0.03200	0.03208	5,696	1,899
Côte d'Ivoire	0.00900	0.00902	1,602	534
Croatia	0.05000	0.05012	8,900	2,967
Cuba	0.05400	0.05413	9,611	3,204
Cyprus	0.04400	0.04411	7,832	2,611
Czech Republic	0.28100	0.28168	50,015	16,672
Democratic Republic of the Congo	0.00300	0.00301	534	178
Denmark	0.73900	0.74080	131,535	43,845
Djibouti	0.00100	0.00100	178	59
Dominica	0.00100	0.00100	178	59
Dominican Republic	0.02400	0.02406	4,272	1,424
Ecuador	0.02100	0.02105	3,738	1,246
Egypt	0.08800	0.08821	15,663	5,221
El Salvador	0.02000	0.02005	3,560	1,187
Equatorial Guinea	0.00200	0.00200	356	119
Eritrea	0.00100	0.00100	178	59
Estonia	0.01600	0.01604	2,848	949
Ethiopia	0.00300	0.00301	534	178
Fiji	0.00300	0.00301	534	178
Finland	0.56400	0.56537	100,387	33,462
France	6.30100	6.31630	1,121,517	373,839
Gabon	0.00800	0.00802	1,424	475
Gambia	0.00100	0.00100	178	59
Georgia	0.00300	0.00301	534	178
Germany	8.57700	8.59783	1,526,622	508,874
Ghana	0.00400	0.00401	712	237
Greece	0.59600	0.59745	106,082	35,361
Grenada	0.00100	0.00100	178	59
Guatemala	0.03200	0.03208	5,696	1,899
Guinea	0.00100	0.00100	178	59
Guinea-Bissau	0.00100	0.00100	178	59
Guyana	0.00100	0.00100	178	59
Honduras	0.00500	0.00501	890	297
Hungary	0.24400	0.24459	43,430	14,477
Iceland	0.03700	0.03709	6,586	2,195
India	0.45000	0.45109	80,096	26,699
Indonesia	0.16100	0.16139	28,656	9,552

Party	UN scale for 2009 %	CITES adjusted scale %	2009-2011 contributions	Annual contributions
Iran (Islamic Republic of)	0.18000	0.18044	32,038	10,679
Ireland	0.44500	0.44608	79,206	26,402
Israel	0.41900	0.42002	74,578	24,859
Italy	5.07900	5.09134	904,012	301,337
Jamaica	0.01000	0.01002	1,780	593
Japan	16.62400	16.66438	2,958,910	986,303
Jordan	0.01200	0.01203	2,136	712
Kazakhstan	0.02900	0.02907	5,162	1,721
Kenya	0.01000	0.01002	1,780	593
Kuwait	0.18200	0.18244	32,394	10,798
Kyrgyzstan	0.00100	0.00100	178	59
Lao People's Democratic Republic	0.00100	0.00100	178	59
Latvia	0.01800	0.01804	3,204	1,068
Lesotho	0.00100	0.00100	178	59
Liberia	0.00100	0.00100	178	59
Libyan Arab Jamahiriya	0.06200	0.06215	11,035	3,678
Liechtenstein	0.01000	0.01002	1,780	593
Lithuania	0.03100	0.03108	5,518	1,839
Luxembourg	0.08500	0.08521	15,129	5,043
Madagascar	0.00200	0.00200	356	119
Malawi	0.00100	0.00100	178	59
Malaysia	0.19000	0.19046	33,818	11,273
Mali	0.00100	0.00100	178	59
Malta	0.01700	0.01704	3,026	1,009
Mauritania	0.00100	0.00100	178	59
Mauritius	0.01100	0.01103	1,958	653
Mexico	2.25700	2.26248	401,724	133,908
Monaco	0.00300	0.00301	534	178
Mongolia	0.00100	0.00100	178	59
Morocco	0.04200	0.04210	7,476	2,492
Mozambique	0.00100	0.00100	178	59
Myanmar	0.00500	0.00501	890	297
Namibia	0.00600	0.00601	1,068	356
Nepal	0.00300	0.00301	534	178
Netherlands	1.87300	1.87755	333,376	111,125
New Zealand	0.25600	0.25662	45,566	15,189
Nicaragua	0.00200	0.00200	356	119
Niger	0.00100	0.00100	178	59
Nigeria	0.04800	0.04812	8,544	2,848
Norway	0.78200	0.78390	139,188	46,396
Pakistan	0.05900	0.05914	10,501	3,500
Palau	0.00100	0.00100	178	59
Panama	0.02300	0.02306	4,094	1,365
Papua New Guinea	0.00200	0.00200	356	119
Paraguay	0.00500	0.00501	890	297
Peru	0.07800	0.07819	13,883	4,628
Philippines	0.07800	0.07819	13,883	4,628
Poland	0.50100	0.50222	89,173	29,724
Portugal	0.52700	0.52828	93,801	31,267
Qatar	0.08500	0.08521	15,129	5,043
Republic of Korea	2.17300	2.17828	386,773	128,924
Republic of Moldova	0.00100	0.00100	178	59
Republic of Montenegro	0.01000	0.01002	1,780	593
Romania	0.07000	0.07017	12,459	4,153
Russian Federation	1.20000	1.20291	213,588	71,196
Rwanda	0.00100	0.00100	178	59
Saint Kitts and Nevis	0.00100	0.00100	178	59
Saint Lucia	0.00100	0.00100	178	59
Saint Vincent and the Grenadines	0.00100	0.00100	178	59
Samoa	0.00100	0.00100	178	59
San Marino	0.00300	0.00301	534	178
Sao Tome and Principe	0.00100	0.00100	178	59
Saudi Arabia	0.74800	0.74982	133,137	44,379
Senegal	0.00400	0.00401	712	237
Serbia	0.02100	0.02105	3,738	1,246
Seychelles	0.00200	0.00200	356	119
Sierra Leone	0.00100	0.00100	178	59
Singapore	0.34700	0.34784	61,763	20,588
Slovakia	0.06300	0.06315	11,213	3,738
Slovenia	0.09600	0.09623	17,087	5,696
Solomon Islands	0.00100	0.00100	178	59
Somalia	0.00100	0.00100	178	59
South Africa	0.29000	0.29070	51,617	17,206
Spain	2.96800	2.97521	528,275	176,092
Sri Lanka	0.01600	0.01604	2,848	949
Sudan	0.01000	0.01002	1,780	593
Suriname	0.00100	0.00100	178	59

Party	UN scale for 2009 %	CITES adjusted scale %	2009-2011 contributions	Annual contributions
Swaziland	0.00200	0.00200	356	119
Sweden	1.07100	1.07360	190,628	63,543
Switzerland	1.21600	1.21895	216,436	72,145
Syrian Arab Republic	0.01600	0.01604	2,848	949
Thailand	0.18600	0.18645	33,106	11,035
The former Yugoslav Republic of Macedonia	0.00500	0.00501	890	297
Togo	0.00100	0.00100	178	59
Trinidad and Tobago	0.02700	0.02707	4,806	1,602
Tunisia	0.03100	0.03108	5,518	1,839
Turkey	0.38100	0.38193	67,814	22,605
Uganda	0.00300	0.00301	534	178
Ukraine	0.04500	0.04511	8,010	2,670
United Arab Emirates	0.30200	0.30273	53,753	17,918
United Kingdom of Great Britain and Northern Ireland	6.64200	6.65813	1,182,211	394,070
United Republic of Tanzania	0.00600	0.00601	1,068	356
United States of America	22.00000	22.00000	3,906,297	1,302,099
Uruguay	0.02700	0.02707	4,806	1,602
Uzbekistan	0.00800	0.00802	1,424	475
Vanuatu	0.00100	0.00100	178	59
Venezuela (Bolivarian Republic of)	0.20000	0.20049	35,598	11,866
Viet Nam	0.02400	0.02406	4,272	1,424
Yemen	0.00700	0.00702	1,246	415
Zambia	0.00100	0.00100	178	59
Zimbabwe	0.00800	0.00802	1,424	475
Total	99.81100	100.00000	17,755,897	5,918,626

DRAFT RESOLUTION OF THE CONFERENCE OF THE PARTIES

Costed programme of work for the Secretariat for the triennium 2009-2011

RECALLING that the financial amendment to the Convention, adopted in Bonn in 1979, entered into force on 13 April 1987;

RECALLING Resolution Conf. 13.1 adopted at the 13th meeting of the Conference of the Parties (Bangkok, 2004);

HAVING NOTED the 2004-2006 actual expenditures ~~presented~~ incurred by the Secretariat [document CoP14 Doc. 7.1 (Rev. 1)];

HAVING NOTED the revised estimates of expenditures for 2007 presented by the Secretariat [document CoP14 Doc. 7.2 (Rev. 1)];

HAVING REVIEWED the costed programme of work for the triennium 2009-2011, submitted by the Secretariat [document CoP14 Doc. 7.3 (Rev. 1)];

RECOGNIZING the continuing need for administrative and financial arrangements between the Parties and the Executive Director of UNEP;

NOTING the considerable increase in the number of Parties to the Convention; the need for greater assistance to the Parties to achieve more effective implementation; the necessity to provide adequately for implementation of all Decisions and Resolutions of the Conference of the Parties as well as the Strategic Plan Vision for 2008-2013, and the resulting increasing expenditure incurred by the Secretariat;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

ACCEPTS the report of expenditures for the triennium 2004-2006 and ~~TAKES NOTE of~~ APPROVES the estimates of expenditure for the year 2007;

~~APPROVES the costed programme of work for the amount of USD XXX for 2009; USD XXX for 2010 and USD XXX for 2011 in Annex 1 to this Resolution;~~

~~APPROVES five new professional posts at the P-3 level;~~

DECIDES that the costed programme of work for the triennium 2009-2011 in Annex 1 to this Resolution shall be covered by contributions from the Parties for the amount of USD 5,623,609 for 2009, USD 6,218,762 for 2010 and USD 5,913,526 for 2011 and ADOPTS the scale of assessment for the apportionment of expenses for 2009, 2010 and 2011 as contained in Annex 2;

INSTRUCTS the Secretariat to revise the costed programme of work based on the Strategic Vision adopted at CoP14 and submit it for consideration of the Standing Committee at its 57th meeting;

INSTRUCTS the Secretariat where activities that are to be externally-funded are concerned, to proactively seek funds, preferably non-earmarked, for the implementation of those with priority A and to subsequently seek funds for those with priority B;

REQUESTS the Secretariat to maintain an operating cash reserve of USD 700,000 to ensure financial liquidity and AUTHORIZES the Secretariat to draw additional funds from the CITES Trust Fund balance at the end of each year, provided that it is not reduced below USD 700,000 at the commencement of each year;

APPROVES the Terms of Reference for the Administration of the Trust Fund, in Annex 3, for the financial period beginning on 1 January 2009 and ending on 31 December 2011;

AGREES that:

- a) contributions to the Trust Fund shall be based on the United Nations scale of assessment, as amended from time to time, adjusted to take account of the fact that not all members of the United Nations are Parties to the Convention;
- b) any other basis of assessment of contributions shall not be used without the consent of all Parties present and voting at a meeting of the Conference of the Parties;
- c) any change in the basic scale of contributions which would increase the liability of a Party to contribute, or would impose a new such liability, shall not apply to that Party without its consent, and that any proposal to change the basic scale of contributions from that currently in use shall only be considered by the Conference of the Parties if notice of such proposal has been communicated by the Secretariat to all Parties at least 150 days before the meeting; and
- d) Parties should pay their contributions to the Trust Fund in accordance with the agreed scale and, whenever possible, should make special contributions to the Trust Fund above their assessed contributions;

REQUESTS all Parties to pay their contributions as far as possible during the year prior to the one to which they relate or, otherwise, promptly by the beginning of the calendar year to which the contributions apply;

NOTES with concern that a number of Parties have not paid their contributions to the core budget (CITES Trust Fund) for 2006 and prior years which were due on 1 January of each year thus affecting adversely the implementation of the Convention;

[URGES all Parties who have ratified the Amendment to the Convention adopted on 22 June 1979 with arrears to pay without delay and, in the event that there is no improvement in the payment of contributions by Parties, INVITES the Secretary-General to submit proposals for dealing with non-payment of contributions by Parties for consideration and review by the Conference of the Parties at its 15th meeting];

URGES all Parties that have not yet done so to deposit as soon as possible an instrument of acceptance of the amendments to the Convention adopted on 22 June 1979 and 30 April 1983;

INVITES States not Parties to the Convention, other governmental, inter-governmental and non-governmental organizations, and other sources to contribute to the CITES Trust Fund;

INVITES all Parties to support, through their representatives in UNEP, UNDP and the World Bank, the requests for additional funding of CITES-related projects by the Global Environment Facility;

DECIDES that the standard participation charge for all observer organizations other than the United Nations and its specialized agencies shall be set at a minimum of USD 600 (except as otherwise decided by the Secretariat as required) and URGES such organizations to make a greater contribution if possible at least to meet their effective costs of participation;

AGREES that:

- a) all meetings of the Conference of the Parties and all regular meetings of the Standing Committee, the Animals Committee and the Plants Committee should be held in Geneva unless a candidate host country pays the difference in costs between its proposed venue and Geneva; and
- b) no more than two regular meetings each of the Standing Committee, and the Animals and Plants Committees should be convened between regular meetings of the Conference of the Parties;

DECIDES that the CITES Trust Fund should not be used to cover travel costs and per diem of Committee members and other representatives from developed countries;

INSTRUCTS the Standing Committee:

- a) to establish a Finance and Budget Subcommittee for the consideration of all financial and budgetary matters, which shall;
 - i) meet one day prior to the start of every regular meeting of the Standing Committee, and shall work intersessionally by electronic or other means;
 - ii) work with the Secretariat to prepare all financial and budgetary documents for consideration by the Standing Committee;
- b) to develop the terms of reference for the Finance and Budget Subcommittee under which it will operate until these terms of reference are endorsed at the 15th meeting of the Conference of the Parties; and
- c) to report to the meetings of the Conference of the Parties, making recommendations on all financial and budgetary documents and proposals developed through this process;

INSTRUCTS the Secretariat to make provisions for all the costs the Secretariat incurs, including staff costs, when seeking funds for the implementation of externally funded projects;

DECIDES that:

- a) in respect of the review of activities in any work unit, the Secretary-General shall have the authority to make staffing decisions as necessary to implement the priorities of the Parties within the overall budget and in accordance with the UN rules; and
- b) any change in the costed programme of work of the Secretariat deriving from a new resolution or decision shall be made only if the source of the necessary additional funds ~~are approved~~ has been decided or if that programme is reprioritized at the time such a resolution or decision is adopted by the Conference of the Parties; and

REPEALS the following Resolutions, which however remain on the record as an indication of the agreed levels of annual contributions for Parties that have not paid the amount due:

- a) Resolution Conf. 2.1 (San José, 1979) – Financing of the Secretariat and of Meetings of the Conference of the Parties;
- b) Resolution Conf. 3.2 (New Delhi, 1981) – Financing of the Secretariat and of Meetings of the Conference of the Parties;
- c) Resolution Conf. 4.3 (Gaborone, 1983) – Financing and Budgeting of the Secretariat and of Meetings of the Conference of the Parties;
- d) Resolution Conf. 5.1 (Buenos Aires, 1985) – Financing and Budgeting of the Secretariat and of Meetings of the Conference of the Parties;
- e) Resolution Conf. 6.2 (Ottawa, 1987) – Financing and Budgeting of the Secretariat and of Meetings of the Conference of the Parties;
- f) Resolution Conf 7.2 (Rev. CoP12) (Lausanne, 1989, as amended at Santiago, 2002) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties;
- g) Resolution Conf 8.1 (Rev. CoP12) (Kyoto, 1992, as amended at Santiago, 2002) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties;
- h) Resolution Conf. 9.2 (Rev. CoP12) (Fort Lauderdale, 1994, as amended at Santiago, 2002) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties;

- i) Resolution Conf. 10.1 (Rev. CoP12) (Harare, 1997, as amended at Santiago, 2002) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties;
- j) Resolution Conf. 11.2 (Rev. CoP12) (Gigiri, 2000, as amended at Santiago, 2002) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties;
- k) Resolution Conf. 12.1 (Santiago, 2002) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties; and
- l) Resolution Conf. 13.1 (Bangkok, 2004) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties.

Annex

TERMS OF REFERENCE FOR THE ADMINISTRATION OF THE TRUST FUND FOR THE CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

1. The Trust Fund for the Convention on International Trade in Endangered Species of Wild Fauna and Flora (hereinafter referred to as the Trust Fund) shall be further continued for a period of three calendar years (~~1 January 2009–31 December 2011~~), which begins on 1 January 2009 and ends on 31 December 2011, to provide financial support for the aims of the Convention.
2. Pursuant to the Financial Regulations and Rules of the United Nations, the Executive Director of the United Nations Environment Programme (UNEP), with the approval of the Governing Council of UNEP and the Secretary-General of the United Nations, shall continue the Trust Fund for the administration of the Convention.
- ~~3. The Trust Fund shall cover the financial period of three calendar years which begins on 1 January 2009 and ends on 31 December 2011.~~
- 4.3. The appropriations of the Trust Fund shall be financed from:
 - a) the contributions made by the Parties by reference to the attached Table including contributions from any new Parties which are to be added to the Table;
 - b) contributions from States not Parties to the Convention, other governmental, inter-governmental and non-governmental organizations and other sources; and
 - c) any uncommitted appropriations from any of the financial periods prior to 1 January 2009.
- ~~5.4.~~ The costed programme of work covering the income and expenditure for each of the calendar years constituting the financial period to which it relates, prepared in US dollars, shall be submitted for approval to the regular meeting of the Conference of the Parties to the Convention.
- ~~6.5~~ The estimates for each of the calendar years covered by a financial period shall be specified in a costed programme of work and shall be accompanied by such information as may be required by, or on behalf of, the contributors and such further information as the Executive Director of UNEP may deem useful and advisable.
- ~~7.6~~ The proposed costed programme of work, including all the necessary information, shall be dispatched by the Secretariat to all Parties at least 150 days before the date fixed for the opening of the regular meeting of the Conference of the Parties.
- ~~8.7~~ The costed programme of work shall be adopted by a 3/4 majority of the Parties present and voting at the regular meeting.
- ~~9.8~~ In the event that the Executive Director of UNEP expects that there might be a shortfall in resources, over the year as a whole, he shall consult with the Secretary-General of the Convention, who shall seek the advice of the Standing Committee as to its priorities for expenditure.
- ~~10.11.~~ The Secretary-General of the Convention is authorized, to the extent consistent with the Financial Regulations and Rules of the United Nations, to transfer resources within the costed programme of work up to a maximum of 20% over and above the annual amount foreseen in the costed programme of work under any main appropriation line provided that such action does not negatively affect any high-priority activities. When any such transfers are made, these shall be reported to the Standing Committee at its following meeting. Any budget adjustments over and above the 20% flexibility mentioned above may be made only after they have been agreed by the Standing Committee. However, the total costed programme of work approved by the Parties for that financial period shall not be exceeded unless this is specifically sanctioned in writing by the Standing Committee.

- ~~44.12~~ Commitments against the resources of the Trust Fund may be made only if they are covered by the necessary income of the Convention.
- ~~42.13~~ All contributions shall be paid in any convertible currency. The amount of any payment, however, shall be at least equal to the amount payable in US dollars on the day the contribution is made. Contributions from States that become Parties after the beginning of the financial period should be made on a pro-rata basis for the balance of the financial period.
- ~~43.14~~ At the end of each calendar year of a financial period, the Executive Director of UNEP shall submit to the Parties the accounts for the year. He shall also submit, as soon as practicable, the audited accounts for the financial period.
- ~~44.15~~ The Secretary-General of the Convention shall provide the Standing Committee with an estimate of proposed expenditure over the coming calendar year simultaneously with, or as soon as possible after, distribution of the accounts and reports referred to in the preceding paragraph.
- ~~45.16~~ The general procedures governing the operations of the Fund of UNEP and the Financial Regulations and Rules of the United Nations shall govern the financial operations of the Trust Fund for the Convention.
- ~~46.17~~ These Terms of Reference shall be effective for the financial period of 1 January 2009 to 31 December 2011 subject to amendments at the 15th meeting of the Conference of the Parties.

**Summary budget table for the triennium 2009-2011
Option 2**

Description	CITES Trust Fund 2009 core budget	CITES Trust Fund 2010 core budget	CITES Trust Fund 2011 core budget	Total
Personnel costs				
Professional staff * 12 posts	2,278,702	2,381,241	2,488,400	7,148,343
Support staff * 7 posts	762,125	796,418	832,258	2,390,801
Staff travel	60,000	60,000	60,000	180,000
Total	3,100,827	3,237,659	3,380,658	9,719,144
Office maintenance costs				
Office supplies	30,000	30,000	30,000	90,000
Non-expendable equipment	20,000	20,000	20,000	60,000
Maintenance of the office (rent, electricity, cleaning)	110,000	110,000	110,000	330,000
Maintenance of office equipment	45,000	45,000	45,000	135,000
IT services provided by UNOG	20,000	20,000	20,000	60,000
Communications (telephone, fax, mail)	80,000	80,000	80,000	240,000
Bank charges	10,000	10,000	10,000	30,000
Hospitality	5,000	5,000	5,000	15,000
Total	320,000	320,000	320,000	960,000
CoP meeting				
External translation of pre-session documents	0	150,000	0	150,000
Salary/travel of Conference staff*	0	405,000	0	405,000
Travel of Secretariat's staff*	0	0	0	0
Logistics	0	0	0	0
Printing of in-session documents	0	120,000	0	120,000
African Elephant Panel of Experts	0	0	0	0
Support to delegates	0	0	0	0
Total	0	675,000	0	675,000
SC meeting				
External translation of documents	10,000	0	10,000	20,000
Salary/travel of Conference staff to SC mtg	35,000	10,000	35,000	80,000
Travel of SC members	40,000	10,000	40,000	90,000
Logistics	10,000	0	10,000	20,000
Total	95,000	20,000	95,000	210,000
AC meeting				
External translation of documents	10,000	0	10,000	20,000
Salary/travel of Conference staff to AC mtg	35,000	0	35,000	70,000
Travel of AC members	24,000	0	24,000	48,000
Logistics	10,000	0	10,000	20,000
Travel of Secretariat's staff	0	0	0	0
Total	79,000	0	79,000	158,000

Description	CITES Trust Fund 2009 core budget	CITES Trust Fund 2010 core budget	CITES Trust Fund 2011 core budget	Total
PC meeting				
External translation of documents	10,000	0	10,000	20,000
Salary/travel of Conference staff to PC mtg	35,000	0	35,000	70,000
Travel of PC members	24,000	0	24,000	48,000
Logistics	10,000	0	10,000	20,000
Travel of Secretariat's staff	0	0	0	0
Total	79,000	0	79,000	158,000
Publications				
Identification Manual	0	0	0	0
Checklist of CITES species	0	0	0	0
Newsletter	0	0	0	0
In-house printing	15,000	15,000	15,000	45,000
Other publications/documents	10,000	10,000	10,000	30,000
Total	25,000	25,000	25,000	75,000
Other activities				
Scientific support	150,000	150,000	150,000	450,000
Capacity building	60,000	60,000	60,000	180,000
Trade monitoring and support	110,000	110,000	110,000	330,000
Legal affairs and trade policy	10,000	0	0	10,000
Enforcement	0			0
Total	330,000	320,000	320,000	970,000
TOTAL DIRECT COSTS	4,028,827	4,597,659	4,298,658	12,925,144
Programme support costs (13%)	523,748	597,696	558,826	1,680,269
GRAND TOTAL	4,552,575	5,195,355	4,857,484	14,605,413

2009-20011 Trust Fund budget 14,605,413
2006-2008 Trust Fund budget 14,606,429

Increase in contributions to the
Trust Fund for the triennium 2009-2011 -0.01%

CITES TRUST FUND
SCALE OF CONTRIBUTIONS FOR THE TRIENNIUM 2009-2011
(in US dollars)
Option 2

Party	UN scale for 2009 %	CITES adjusted scale %	2009-2011 contributions	Annual contributions
Afghanistan	0.00100	0.00100	146	49
Albania	0.00600	0.00601	878	293
Algeria	0.08500	0.08521	12,445	4,148
Antigua and Barbuda	0.00200	0.00200	293	98
Argentina	0.32500	0.32579	47,583	15,861
Australia	1.78700	1.79134	261,633	87,211
Austria	0.88700	0.88915	129,865	43,288
Azerbaijan	0.00500	0.00501	732	244
Bahamas	0.01600	0.01604	2,343	781
Bangladesh	0.01000	0.01002	1,464	488
Barbados	0.00900	0.00902	1,318	439
Belarus	0.02000	0.02005	2,928	976
Belgium	1.10200	1.10468	161,343	53,781
Belize	0.00100	0.00100	146	49
Benin	0.00100	0.00100	146	49
Bhutan	0.00100	0.00100	146	49
Bolivia	0.00600	0.00601	878	293
Botswana	0.01400	0.01403	2,050	683
Brazil	0.87600	0.87813	128,254	42,751
Brunei Darussalam	0.02600	0.02606	3,807	1,269
Bulgaria	0.02000	0.02005	2,928	976
Burkina Faso	0.00200	0.00200	293	98
Burundi	0.00100	0.00100	146	49
Cambodia	0.00100	0.00100	146	49
Cameroon	0.00900	0.00902	1,318	439
Canada	2.97700	2.98423	435,859	145,286
Cape Verde	0.00100	0.00100	146	49
Central African Republic	0.00100	0.00100	146	49
Chad	0.00100	0.00100	146	49
Chile	0.16100	0.16139	23,572	7,857
China	2.66700	2.67348	390,473	130,158
Colombia	0.10500	0.10526	15,373	5,124
Comoros	0.00100	0.00100	146	49
Congo	0.00100	0.00100	146	49
Costa Rica	0.03200	0.03208	4,685	1,562
Côte d'Ivoire	0.00900	0.00902	1,318	439
Croatia	0.05000	0.05012	7,320	2,440
Cuba	0.05400	0.05413	7,906	2,635
Cyprus	0.04400	0.04411	6,442	2,147
Czech Republic	0.28100	0.28168	41,141	13,714
Democratic Republic of the Congo	0.00300	0.00301	439	146
Denmark	0.73900	0.74080	108,196	36,065
Djibouti	0.00100	0.00100	146	49
Dominica	0.00100	0.00100	146	49
Dominican Republic	0.02400	0.02406	3,514	1,171
Ecuador	0.02100	0.02105	3,075	1,025
Egypt	0.08800	0.08821	12,884	4,295
El Salvador	0.02000	0.02005	2,928	976
Equatorial Guinea	0.00200	0.00200	293	98
Eritrea	0.00100	0.00100	146	49
Estonia	0.01600	0.01604	2,343	781
Ethiopia	0.00300	0.00301	439	146
Fiji	0.00300	0.00301	439	146
Finland	0.56400	0.56537	82,575	27,525
France	6.30100	6.31630	922,522	307,507
Gabon	0.00800	0.00802	1,171	390
Gambia	0.00100	0.00100	146	49
Georgia	0.00300	0.00301	439	146
Germany	8.57700	8.59783	1,255,749	418,583
Ghana	0.00400	0.00401	586	195
Greece	0.59600	0.59745	87,260	29,087
Grenada	0.00100	0.00100	146	49
Guatemala	0.03200	0.03208	4,685	1,562
Guinea	0.00100	0.00100	146	49
Guinea-Bissau	0.00100	0.00100	146	49
Guyana	0.00100	0.00100	146	49
Honduras	0.00500	0.00501	732	244
Hungary	0.24400	0.24459	35,724	11,908
Iceland	0.03700	0.03709	5,417	1,806

Party	UN scale for 2009 %	CITES adjusted scale %	2009-2011 contributions	Annual contributions
India	0.45000	0.45109	65,884	21,961
Indonesia	0.16100	0.16139	23,572	7,857
Iran (Islamic Republic of)	0.18000	0.18044	26,354	8,785
Ireland	0.44500	0.44608	65,152	21,717
Israel	0.41900	0.42002	61,345	20,448
Italy	5.07900	5.09134	743,611	247,870
Jamaica	0.01000	0.01002	1,464	488
Japan	16.62400	16.66438	2,433,901	811,300
Jordan	0.01200	0.01203	1,757	586
Kazakhstan	0.02900	0.02907	4,246	1,415
Kenya	0.01000	0.01002	1,464	488
Kuwait	0.18200	0.18244	26,646	8,882
Kyrgyzstan	0.00100	0.00100	146	49
Lao People's Democratic Republic	0.00100	0.00100	146	49
Latvia	0.01800	0.01804	2,635	878
Lesotho	0.00100	0.00100	146	49
Liberia	0.00100	0.00100	146	49
Libyan Arab Jamahiriya	0.06200	0.06215	9,077	3,026
Liechtenstein	0.01000	0.01002	1,464	488
Lithuania	0.03100	0.03108	4,539	1,513
Luxembourg	0.08500	0.08521	12,445	4,148
Madagascar	0.00200	0.00200	293	98
Malawi	0.00100	0.00100	146	49
Malaysia	0.19000	0.19046	27,818	9,273
Mali	0.00100	0.00100	146	49
Malta	0.01700	0.01704	2,489	830
Mauritania	0.00100	0.00100	146	49
Mauritius	0.01100	0.01103	1,610	537
Mexico	2.25700	2.26248	330,445	110,148
Monaco	0.00300	0.00301	439	146
Mongolia	0.00100	0.00100	146	49
Morocco	0.04200	0.04210	6,149	2,050
Mozambique	0.00100	0.00100	146	49
Myanmar	0.00500	0.00501	732	244
Namibia	0.00600	0.00601	878	293
Nepal	0.00300	0.00301	439	146
Netherlands	1.87300	1.87755	274,224	91,408
New Zealand	0.25600	0.25662	37,481	12,494
Nicaragua	0.00200	0.00200	293	98
Niger	0.00100	0.00100	146	49
Nigeria	0.04800	0.04812	7,028	2,343
Norway	0.78200	0.78390	114,492	38,164
Pakistan	0.05900	0.05914	8,638	2,879
Palau	0.00100	0.00100	146	49
Panama	0.02300	0.02306	3,367	1,122
Papua New Guinea	0.00200	0.00200	293	98
Paraguay	0.00500	0.00501	732	244
Peru	0.07800	0.07819	11,420	3,807
Philippines	0.07800	0.07819	11,420	3,807
Poland	0.50100	0.50222	73,351	24,450
Portugal	0.52700	0.52828	77,157	25,719
Qatar	0.08500	0.08521	12,445	4,148
Republic of Korea	2.17300	2.17828	318,147	106,049
Republic of Moldova	0.00100	0.00100	146	49
Republic of Montenegro	0.01000	0.01002	1,464	488
Romania	0.07000	0.07017	10,249	3,416
Russian Federation	1.20000	1.20291	175,691	58,564
Rwanda	0.00100	0.00100	146	49
Saint Kitts and Nevis	0.00100	0.00100	146	49
Saint Lucia	0.00100	0.00100	146	49
Saint Vincent and the Grenadines	0.00100	0.00100	146	49
Samoa	0.00100	0.00100	146	49
San Marino	0.00300	0.00301	439	146
Sao Tome and Principe	0.00100	0.00100	146	49
Saudi Arabia	0.74800	0.74982	109,514	36,505
Senegal	0.00400	0.00401	586	195
Serbia	0.02100	0.02105	3,075	1,025
Seychelles	0.00200	0.00200	293	98
Sierra Leone	0.00100	0.00100	146	49
Singapore	0.34700	0.34784	50,804	16,935
Slovakia	0.06300	0.06315	9,224	3,075
Slovenia	0.09600	0.09623	14,055	4,685
Solomon Islands	0.00100	0.00100	146	49
Somalia	0.00100	0.00100	146	49
South Africa	0.29000	0.29070	42,459	14,153
Spain	2.96800	2.97521	434,542	144,847
Sri Lanka	0.01600	0.01604	2,343	781

Party	UN scale for 2009 %	CITES adjusted scale %	2009-2011 contributions	Annual contributions
Sudan	0.01000	0.01002	1,464	488
Suriname	0.00100	0.00100	146	49
Swaziland	0.00200	0.00200	293	98
Sweden	1.07100	1.07360	156,804	52,268
Switzerland	1.21600	1.21895	178,033	59,344
Syrian Arab Republic	0.01600	0.01604	2,343	781
Thailand	0.18600	0.18645	27,232	9,077
The former Yugoslav Republic of Macedonia	0.00500	0.00501	732	244
Togo	0.00100	0.00100	146	49
Trinidad and Tobago	0.02700	0.02707	3,953	1,318
Tunisia	0.03100	0.03108	4,539	1,513
Turkey	0.38100	0.38193	55,782	18,594
Uganda	0.00300	0.00301	439	146
Ukraine	0.04500	0.04511	6,588	2,196
United Arab Emirates	0.30200	0.30273	44,215	14,738
United Kingdom of Great Britain and Northern Ireland	6.64200	6.65813	972,448	324,149
United Republic of Tanzania	0.00600	0.00601	878	293
United States of America	22.00000	22.00000	3,213,191	1,071,064
Uruguay	0.02700	0.02707	3,953	1,318
Uzbekistan	0.00800	0.00802	1,171	390
Vanuatu	0.00100	0.00100	146	49
Venezuela (Bolivarian Republic of)	0.20000	0.20049	29,282	9,761
Viet Nam	0.02400	0.02406	3,514	1,171
Yemen	0.00700	0.00702	1,025	342
Zambia	0.00100	0.00100	146	49
Zimbabwe	0.00800	0.00802	1,171	390
Total	99.81100	100.00000	14,605,413	4,868,478

DRAFT RESOLUTION OF THE CONFERENCE OF THE PARTIES

Costed programme of work for the Secretariat for the triennium 2009-2011

RECALLING that the financial amendment to the Convention, adopted in Bonn in 1979, entered into force on 13 April 1987;

RECALLING Resolution Conf. 13.1 adopted at the 13th meeting of the Conference of the Parties (Bangkok, 2004);

HAVING NOTED the 2004-2006 actual expenditures ~~presented~~ incurred by the Secretariat [document CoP14 Doc. 7.1 (Rev. 1)];

HAVING NOTED the revised estimates of expenditures for 2007 presented by the Secretariat [document CoP14 Doc. 7.2 (Rev. 1)];

HAVING REVIEWED the costed programme of work for the triennium 2009-2011, submitted by the Secretariat [document CoP14 Doc. 7.3 (Rev. 1)];

RECOGNIZING the continuing need for administrative and financial arrangements between the Parties and the Executive Director of UNEP;

NOTING the considerable increase in the number of Parties to the Convention; the need for greater assistance to the Parties to achieve more effective implementation; the necessity to provide adequately for implementation of all Decisions and Resolutions of the Conference of the Parties as well as the Strategic Plan Vision for 2008-2013, and the resulting increasing expenditure incurred by the Secretariat;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

ACCEPTS the report of expenditures for the triennium 2004-2006 and ~~TAKES NOTE of~~ APPROVES the estimates of expenditure for the year 2007;

~~APPROVES the costed programme of work for the amount of USD XXX for 2009; USD XXX for 2010 and USD XXX for 2011 in Annex 1 to this Resolution;~~

~~APPROVES five new professional posts at the P-3 level;~~

DECIDES that the costed programme of work for the triennium 2009-2011 in Annex 1 to this Resolution shall be covered by contributions from the Parties for the amount of USD 4,552,575 for 2009, USD 5,195,355 for 2010 and USD 4,857,484 for 2011 and ADOPTS the scale of assessment for the apportionment of expenses for 2009, 2010 and 2011 as contained in Annex 2;

INSTRUCTS the Secretariat to develop a costed programme of work based on the Strategic Vision adopted at CoP14, taking into account available staffing resources and financial means and to submit it for consideration of the Standing Committee at its 57th meeting;

INSTRUCTS the Secretariat where activities that are to be externally-funded are concerned, to proactively seek funds, preferably non-earmarked, for the implementation of those with priority A and to subsequently seek funds for those with priority B;

REQUESTS the Secretariat to maintain an operating cash reserve of USD 700,000 to ensure financial liquidity and AUTHORIZES the Secretariat to draw additional funds from the CITES Trust Fund balance at the end of each year, provided that it is not reduced below USD 700,000 at the commencement of each year;

APPROVES the Terms of Reference for the Administration of the Trust Fund, in Annex 3, for the financial period beginning on 1 January 2009 and ending on 31 December 2011;

AGREES that:

- a) contributions to the Trust Fund shall be based on the United Nations scale of assessment, as amended from time to time, adjusted to take account of the fact that not all members of the United Nations are Parties to the Convention;
- b) any other basis of assessment of contributions shall not be used without the consent of all Parties present and voting at a meeting of the Conference of the Parties;
- c) any change in the basic scale of contributions which would increase the liability of a Party to contribute, or would impose a new such liability, shall not apply to that Party without its consent, and that any proposal to change the basic scale of contributions from that currently in use shall only be considered by the Conference of the Parties if notice of such proposal has been communicated by the Secretariat to all Parties at least 150 days before the meeting; and
- d) Parties should pay their contributions to the Trust Fund in accordance with the agreed scale and, whenever possible, should make special contributions to the Trust Fund above their assessed contributions;

REQUESTS all Parties to pay their contributions as far as possible during the year prior to the one to which they relate or, otherwise, promptly by the beginning of the calendar year to which the contributions apply;

NOTES with concern that a number of Parties have not paid their contributions to the core budget (CITES Trust Fund) for 2006 and prior years which were due on 1 January of each year thus affecting adversely the implementation of the Convention;

[URGES all Parties who have ratified the Amendment to the Convention adopted on 22 June 1979 with arrears to pay without delay and, in the event that there is no improvement in the payment of contributions by Parties, INVITES the Secretary-General to submit proposals for dealing with non-payment of contributions by Parties for consideration and review by the Conference of the Parties at its 15th meeting];

URGES all Parties that have not yet done so to deposit as soon as possible an instrument of acceptance of the amendments to the Convention adopted on 22 June 1979 and 30 April 1983;

INVITES States not Parties to the Convention, other governmental, inter-governmental and non-governmental organizations, and other sources to contribute to the CITES Trust Fund;

INVITES all Parties to support, through their representatives in UNEP, UNDP and the World Bank, the requests for additional funding of CITES-related projects by the Global Environment Facility;

DECIDES that the standard participation charge for all observer organizations other than the United Nations and its specialized agencies shall be set at a minimum of USD 600 (except as otherwise decided by the Secretariat as required) and URGES such organizations to make a greater contribution if possible at least to meet their effective costs of participation;

AGREES that:

- a) all meetings of the Conference of the Parties and all regular meetings of the Standing Committee, the Animals Committee and the Plants Committee should be held in Geneva unless a candidate host country pays the difference in costs between its proposed venue and Geneva; and
- b) no more than two regular meetings each of the Standing Committee, and the Animals and Plants Committees should be convened between regular meetings of the Conference of the Parties;

DECIDES that the CITES Trust Fund should not be used to cover travel costs and per diem of Committee members and other representatives from developed countries;

INSTRUCTS the Standing Committee:

- a) to establish a Finance and Budget Subcommittee for the consideration of all financial and budgetary matters, which shall;
 - i) meet one day prior to the start of every regular meeting of the Standing Committee, and shall work intersessionally by electronic or other means;
 - ii) work with the Secretariat to prepare all financial and budgetary documents for consideration by the Standing Committee;
- b) to develop the terms of reference for the Finance and Budget Subcommittee under which it will operate until these terms of reference are endorsed at the 15th meeting of the Conference of the Parties; and
- c) to report to the meetings of the Conference of the Parties, making recommendations on all financial and budgetary documents and proposals developed through this process;

INSTRUCTS the Secretariat to make provisions for all the costs the Secretariat incurs, including staff costs, when seeking funds for the implementation of externally funded projects;

DECIDES that:

- a) in respect of the review of activities in any work unit, the Secretary-General shall have the authority to make staffing decisions as necessary to implement the priorities of the Parties within the overall budget and in accordance with the UN rules; and
- b) any change in the costed programme of work of the Secretariat deriving from a new resolution or decision shall be made only if the source of the necessary additional funds ~~are approved~~ has been decided or if that programme is reprioritized at the time such a resolution or decision is adopted by the Conference of the Parties; and

REPEALS the following Resolutions, which however remain on the record as an indication of the agreed levels of annual contributions for Parties that have not paid the amount due:

- a) Resolution Conf. 2.1 (San José, 1979) – Financing of the Secretariat and of Meetings of the Conference of the Parties;
- b) Resolution Conf. 3.2 (New Delhi, 1981) – Financing of the Secretariat and of Meetings of the Conference of the Parties;
- c) Resolution Conf. 4.3 (Gaborone, 1983) – Financing and Budgeting of the Secretariat and of Meetings of the Conference of the Parties;
- d) Resolution Conf. 5.1 (Buenos Aires, 1985) – Financing and Budgeting of the Secretariat and of Meetings of the Conference of the Parties;
- e) Resolution Conf. 6.2 (Ottawa, 1987) – Financing and Budgeting of the Secretariat and of Meetings of the Conference of the Parties;
- f) Resolution Conf 7.2 (Rev. CoP12) (Lausanne, 1989, as amended at Santiago, 2002) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties;
- g) Resolution Conf 8.1 (Rev. CoP12) (Kyoto, 1992, as amended at Santiago, 2002) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties;
- h) Resolution Conf. 9.2 (Rev. CoP12) (Fort Lauderdale, 1994, as amended at Santiago, 2002) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties;

- i) Resolution Conf. 10.1 (Rev. CoP12) (Harare, 1997, as amended at Santiago, 2002) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties;
- j) Resolution Conf. 11.2 (Rev. CoP12) (Gigiri, 2000, as amended at Santiago, 2002) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties;
- k) Resolution Conf. 12.1 (Santiago, 2002) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties; and
- l) Resolution Conf. 13.1 (Bangkok, 2004) – Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties.

Annex

TERMS OF REFERENCE FOR THE ADMINISTRATION OF THE TRUST FUND FOR THE CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

1. The Trust Fund for the Convention on International Trade in Endangered Species of Wild Fauna and Flora (hereinafter referred to as the Trust Fund) shall be further continued for a period of three calendar years (~~1 January 2009–31 December 2011~~), which begins on 1 January 2009 and ends on 31 December 2011, to provide financial support for the aims of the Convention.
2. Pursuant to the Financial Regulations and Rules of the United Nations, the Executive Director of the United Nations Environment Programme (UNEP), with the approval of the Governing Council of UNEP and the Secretary-General of the United Nations, shall continue the Trust Fund for the administration of the Convention.
- ~~3. The Trust Fund shall cover the financial period of three calendar years which begins on 1 January 2009 and ends on 31 December 2011.~~
- 4.3. The appropriations of the Trust Fund shall be financed from:
 - a) the contributions made by the Parties by reference to the attached Table including contributions from any new Parties which are to be added to the Table;
 - b) contributions from States not Parties to the Convention, other governmental, inter-governmental and non-governmental organizations and other sources; and
 - c) any uncommitted appropriations from any of the financial periods prior to 1 January 2009.
- ~~5.4.~~ The costed programme of work covering the income and expenditure for each of the calendar years constituting the financial period to which it relates, prepared in US dollars, shall be submitted for approval to the regular meeting of the Conference of the Parties to the Convention.
- ~~6.5~~ The estimates for each of the calendar years covered by a financial period shall be specified in a costed programme of work and shall be accompanied by such information as may be required by, or on behalf of, the contributors and such further information as the Executive Director of UNEP may deem useful and advisable.
- ~~7.6~~ The proposed costed programme of work, including all the necessary information, shall be dispatched by the Secretariat to all Parties at least 150 days before the date fixed for the opening of the regular meeting of the Conference of the Parties.
- ~~8.7~~ The costed programme of work shall be adopted by a 3/4 majority of the Parties present and voting at the regular meeting.
- ~~9.8~~ In the event that the Executive Director of UNEP expects that there might be a shortfall in resources, over the year as a whole, he shall consult with the Secretary-General of the Convention, who shall seek the advice of the Standing Committee as to its priorities for expenditure.
- ~~10.11.~~ The Secretary-General of the Convention is authorized, to the extent consistent with the Financial Regulations and Rules of the United Nations, to transfer resources within the costed programme of work up to a maximum of 20% over and above the annual amount foreseen in the costed programme of work under any main appropriation line provided that such action does not negatively affect any high-priority activities. When any such transfers are made, these shall be reported to the Standing Committee at its following meeting. Any budget adjustments over and above the 20% flexibility mentioned above may be made only after they have been agreed by the Standing Committee. However, the total costed programme of work approved by the Parties for that financial period shall not be exceeded unless this is specifically sanctioned in writing by the Standing Committee.

- ~~44.12~~ Commitments against the resources of the Trust Fund may be made only if they are covered by the necessary income of the Convention.
- ~~42.13~~ All contributions shall be paid in any convertible currency. The amount of any payment, however, shall be at least equal to the amount payable in US dollars on the day the contribution is made. Contributions from States that become Parties after the beginning of the financial period should be made on a pro-rata basis for the balance of the financial period.
- ~~43.14~~ At the end of each calendar year of a financial period, the Executive Director of UNEP shall submit to the Parties the accounts for the year. He shall also submit, as soon as practicable, the audited accounts for the financial period.
- ~~44.15~~ The Secretary-General of the Convention shall provide the Standing Committee with an estimate of proposed expenditure over the coming calendar year simultaneously with, or as soon as possible after, distribution of the accounts and reports referred to in the preceding paragraph.
- ~~45.16~~ The general procedures governing the operations of the Fund of UNEP and the Financial Regulations and Rules of the United Nations shall govern the financial operations of the Trust Fund for the Convention.
- ~~46.17~~ These Terms of Reference shall be effective for the financial period of 1 January 2009 to 31 December 2011 subject to amendments at the 15th meeting of the Conference of the Parties.