

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA

Twelfth meeting of the Conference of the Parties
Santiago (Chile), 3-15 November 2002

Interpretation and implementation of the Convention

Species trade and conservation issues

Conservation of elephants and trade in elephant specimens

REVISION OF RESOLUTION CONF. 10.10 (REV.) IN RELATION TO QUOTAS AND TRADE IN IVORY

1. This document was prepared by the Secretariat.
2. The Secretariat became aware of some confusion amongst Parties concerning the establishment of export quotas for hunting trophies for African elephant, *Loxodonta africana*, as a result of the current wording in the section dealing with the establishment of quotas for and trade in raw ivory in Resolution Conf. 10.10 (Rev.) on Trade in elephant specimens. The Secretariat is of the opinion that the recommendations in this section should apply to commercial trade in and export quotas for raw ivory, and not to the export of hunting trophies or other specimens of elephants traded for non-commercial purposes. The Secretariat therefore suggests amending this section of the Resolution in order to clarify its scope and purpose, and to facilitate adherence to its recommendations. The resulting draft resolution is presented for adoption by the Conference of the Parties in Annex 2.
3. The proposed amendments to Resolution Conf. 10.10 (Rev.) on Trade in elephant specimens are contained in Annex 1. Proposed new wording appears in **bold**, and deleted parts are marked in ~~strikethrough~~.

DRAFT RESOLUTION OF THE CONFERENCE OF THE PARTIES

Revision of the section "Regarding quotas for and trade in raw ivory"
of Resolution Conf. 10.10 (Rev.) on Trade in elephant specimens

Regarding quotas for and trade in raw ivory for commercial purposes

RECOMMENDS that:

- a) each **range** State that has a population of African elephants and wishes to authorize export of raw ivory **for commercial purposes** establish, as part of its management of the population, an annual export quota for raw ivory expressed as a maximum number of tusks **and/or a maximum number or amount of cut pieces of ivory**;
- ~~b) each export quota be communicated to the CITES Secretariat in writing by 31 December for the next calendar year;~~
- ~~c) Parties ensure that significant amounts of confiscated ivory are notified separately to the Secretariat and are not incorporated in quota submissions;~~
- ~~be) the CITES Secretariat assist in the implementation of the quota system by: reviewing information submitted on each quota, together with any information received about the status of the population in question; discussing any concern with the relevant **range** State; and, if there is no cause for concern, communicating the current quota to the Parties ~~not later than 31 January of each year~~;~~
- ~~e) the Secretariat maintain its Ivory Trade Control Procedures Manual and that the Parties follow the procedures for quota submissions documented in this Manual;~~
- c) if ~~the no~~ quota is ~~not~~ submitted by the deadline, the **range** State in question have a zero **export quota for trade in raw ivory for commercial purposes** until such time as it communicates its quota in writing to the Secretariat and the Secretariat in turn notifies the Parties;
- ~~de) no export, re-export or import of raw ivory be authorized unless it is marked in accordance with this Resolution ~~or in accordance with the Secretariat Manual~~;~~
- e) Parties accept raw ivory **for commercial purposes** from **range producer** States only where the export permit was issued in a year for which a quota for the **range** State in question has been communicated to the Parties in accordance with this Resolution;
- f) Parties may accept raw ivory **for commercial purposes** from a ~~producer~~ non-party **range** State only if a quota for that **range** State has been reviewed by the Secretariat and communicated to the Parties ~~and if the Secretariat has received from the State an annual report on its ivory trade~~, and if the **range** State meets all the other conditions in this Resolution and Article X of the Convention (as interpreted by Resolutions of the Conference of the Parties);
- g) in compiling their annual reports, ~~Parties producer party and non party States~~ that have authorized the export of raw ivory **for commercial purposes** relate such exports to their quota for any given year, providing the Secretariat with as much relevant information as possible, including, as a minimum, the number of whole or substantially whole **tusks and cut pieces defined as raw ivory and recommended to be marked**, **and** their individual ~~marking weights and identification numbers~~; **and**

- h) all ~~range States Parties~~ Parties maintain an inventory of the stock of raw ivory held within their territory, and that they inform the Secretariat of the level of this stock each year before 31 January, indicating the source of the ivory; and
- ~~l) Parties assist the Secretariat to ensure that the duties set out in this Resolution are carried out; and~~

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RECOMMENDS that:

- a) each range State that has a population of African elephants and wishes to authorize export of raw ivory for commercial purposes establish, as part of its management of the population, an annual export quota for raw ivory expressed as a maximum number of tusks and /or a maximum number or amount of cut pieces of ivory;
- b) the CITES Secretariat assist in the implementation of the quota system by: reviewing information submitted on each quota, together with any information received about the status of the population in question; discussing any concern with the relevant range State; and, if there is no cause for concern, communicating the current quota to the Parties;
- c) if no quota is submitted, the range State in question have a zero export quota for trade in raw ivory for commercial purposes until such time as it communicates its quota in writing to the Secretariat and the Secretariat in turn notifies the Parties;
- d) no export, re-export or import of raw ivory be authorized unless it is marked in accordance with this Resolution;
- e) Parties accept raw ivory from range States only where the export permit was issued in a year for which a quota for the State in question has been communicated to the Parties in accordance with this Resolution;
- f) Parties may accept raw ivory from a non-party range State only if a quota for that range State has been reviewed by the Secretariat and communicated to the Parties, and if the range State meets all the other conditions in this Resolution and Article X of the Convention (as interpreted by Resolutions of the Conference of the Parties);
- g) in compiling their annual reports, Parties that have authorized the export of raw ivory for commercial purposes relate such exports to their quota for any given year, providing the Secretariat with as much relevant information as possible, including, as a minimum, the number of tusks and cut pieces defined as raw ivory and recommended to be marked in accordance with this Resolution, and their individual marking numbers; and
- h) all range States maintain an inventory of the stock of raw ivory held within their territory, and that they inform the Secretariat of the level of this stock each year before 31 January, indicating the source of the ivory; and