

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA

Eleventh meeting of the Conference of the Parties
Gigiri (Kenya), 10-20 April 2000

Consideration of proposals for amendment of Appendices I and II

PROPOSALS TO AMEND APPENDICES I AND II

1. In accordance with the provisions of sub-paragraph a) of paragraph 1 of Article XV of the Convention, any Party may propose an amendment to Appendix I or II for consideration at the next meeting of the Conference of the Parties. Any proposal for amendment shall be communicated to the Secretariat at least 150 days before the meeting of the Conference.
2. By 12 November 1999, i.e. 150 days before the opening date of the 11th meeting of the Conference of the Parties, 25 Parties had communicated to the Secretariat their proposals for amendment of Appendices I and II, for consideration at the 11th meeting. These were Argentina, Australia, Bolivia, Botswana, Chile, China, Cuba, Dominica, France, Georgia, Germany, India, Japan, Kenya, Mexico, Namibia, Nepal, the Netherlands, Norway, the Russian Federation, Sri Lanka, South Africa, Switzerland, the United Kingdom, the United Republic of Tanzania, the United States of America and Zimbabwe. Most of the proposals were accompanied by supporting statements presented in accordance with provisions of Decision 10.15 and in the format recommended by the Conference of the Parties (Annex 6 of Resolution Conf. 9.24, adopted at the ninth meeting, Fort Lauderdale, 1994).
3. The proposals are divided into the following three categories and the supporting statements are in the documents specified in the Annex:
 - a) proposals resulting from the periodic review by the Plants Committee;
 - b) proposals concerning export quotas for specimens of species in Appendix I or II; and
 - c) other proposals.
4. No proposals were received pursuant to the Resolution on Ranching and no proposals resulted from the periodic review of the appendices by the Animals Committee.
5. The list of all these proposals for amendments is in the Annex to this document.
6. The "proposals resulting from the periodic review by the Plants Committee" and the "other proposals" are listed in the same taxonomic and alphabetical order as that followed for the establishment of Appendices I and II of the Convention. The names of the proposing Parties are given between brackets.
7. The supporting statements for all the proposals are numbered Prop. 11.1, Prop. 11.2, etc., following the sequence in the Annex to this document.

Consideration of proposals for amendment of Appendices I and II

PROPOSALS RESULTING FROM THE PERIODIC REVIEW BY THE PLANTS COMMITTEE

F L O R A

ASCLEPIADACEAE	Prop. 11.1	<i>Ceropegia</i> spp.	Deletion from Appendix II (Switzerland)
	Prop. 11.2	<i>Frerea indica</i>	Deletion from Appendix II (Switzerland)
BYBLIDACEAE	Prop. 11.3	<i>Byblis</i> spp.	Deletion from Appendix II (Australia)
CACTACEAE	Prop. 11.4	<i>Disocactus macdougallii</i>	Transfer from Appendix I to Appendix II (Switzerland)
	Prop. 11.5	<i>Sclerocactus mariposensis</i>	Transfer from Appendix I to Appendix II (Switzerland)
CEPHALOTACEAE	Prop. 11.6	<i>Cephalotus follicularis</i>	Deletion from Appendix II (Australia)
CRASSULACEAE	Prop. 11.7	<i>Dudleya stolonifera</i> <i>Dudleya traskiae</i>	Transfer from Appendix I to Appendix II (Switzerland)
CYATHEACEAE & DICKSONIACEAE	Prop. 11.8	<i>Cyathea</i> spp.	a) Change the current listings of Cyatheaceae spp. to <i>Cyathea</i> spp. (including <i>Alsophila</i> , <i>Nephelea</i> , <i>Sphaeropteris</i> , <i>Trichipteris</i>) (Switzerland)
		<i>Cibotium barometz</i> <i>Dicksonia</i> spp.	b) Change the current listing of Dicksoniaceae spp. to <i>Dicksonia</i> spp. (originating in the Americas only) and <i>Cibotium barometz</i> (Switzerland)
DIAPENSIACEAE	Prop. 11.9	<i>Shortia galacifolia</i>	Deletion from Appendix II (Switzerland)
PORTULACACEAE	Prop. 11.10	<i>Lewisia cotyledon</i> <i>Lewisia maguirei</i> <i>Lewisia serrata</i>	Deletion from Appendix II (Switzerland)
SARRACENIACEAE E	Prop. 11.11	<i>Darlingtonia californica</i>	Deletion from Appendix II (Switzerland)

PROPOSALS CONCERNING EXPORT QUOTAS FOR SPECIMENS OF APPENDIX-I OR -II SPECIES

F A U N A

CHORDATA

REPTILIA

CROCODYLIA

Crocodylidae	Prop. 11.12	<i>Crocodylus niloticus</i>	Maintenance of the Tanzanian population in Appendix II, in accordance with paragraph B2c) of Annex 4 of Resolution Conf. 9.24, subject to an annual export quota of no more than 1600 wild specimens (including hunting trophies). This quota does not include ranched specimens. (United Republic of Tanzania)
--------------	-------------	-----------------------------	---

OTHER PROPOSALS

F A U N A

CHORDATA

MAMMALIA

PHOLIDOTA

Manidae	Prop. 11.13	<i>Manis crassicaudata</i> <i>Manis pentadactyla</i> <i>Manis javanica</i>	Transfer from Appendix II to Appendix I (India, Nepal, Sri Lanka, United States of America)
---------	-------------	--	---

CETACEA

Delphinidae	Prop. 11.14	<i>Tursiops truncatus ponticus</i>	Transfer from Appendix II to Appendix I (Georgia, United States of America)
Eschrichtiidae	Prop. 11.15	<i>Eschrichtius robustus</i>	Transfer of the Eastern North Pacific stock from Appendix I to Appendix II (Japan)
Balaenopteridae	Prop. 11.16	<i>Balaenoptera acutorostrata</i>	Transfer of the Southern Hemisphere stock from Appendix I to Appendix II (Japan)
	Prop. 11.17	<i>Balaenoptera acutorostrata</i>	Transfer of the Okhotsk Sea – West Pacific stock from Appendix I to Appendix II (Japan)
	Prop. 11.18	<i>Balaenoptera acutorostrata</i>	Transfer of the North-east Atlantic stock and the North Atlantic Central stock from Appendix I to Appendix II (Norway)

CARNIVORA

Hyaenidae	Prop. 11.19	<i>Parahyaena (Hyaena) brunnea</i>	Deletion from Appendix II (Namibia, Switzerland)
-----------	-------------	------------------------------------	--

PROBOSCIDEA

Elephantidae	Prop. 11.20	<i>Loxodonta africana</i>	Transfer of the South African population from Appendix I to Appendix II to allow: <ul style="list-style-type: none">a) trade in raw ivory under an experimental quota of a maximum of 30 tonnes of whole tusks of government owned stock originating from the Kruger National Park, subject to the provisions as set out in Resolution Conf. 10.10; Decision 10.1 and document Doc. SC.41.6.4 (Rev. 2);b) trade in live animals for re-introduction purposes into protected areas formally proclaimed in terms of legislation of the importing country;c) trade in hides and leather goods;d) trade in hunting trophies for non-commercial purposes; ande) all other specimens shall be deemed to be specimens of species in Appendix I and the trade in them shall be regulated accordingly (South Africa)
	Prop. 11.21	<i>Loxodonta africana</i>	Maintenance of the population of Botswana in Appendix II; Amendment of annotation °604 regarding the population of <i>Loxodonta africana</i> of Botswana to read: °604: For the exclusive purpose of allowing in the case of the population of Botswana: <ul style="list-style-type: none">a) trade in registered stocks of raw ivory (whole tusks and pieces) of Botswana origin owned by the Government of Botswana for commercial purposes only

		to CITES-approved trading partners who will not re-export and subject to an annual quota of 12 tonnes (12,000 kg) ivory;
		b) trade in live animals to appropriate and acceptable destinations;
		c) international trade in hunting trophies; and
		d) trade in hides and leather goods (Botswana)
Prop. 11.22	<i>Loxodonta africana</i>	Maintenance of the Namibian population in Appendix II; Amendment of annotation °604 regarding the Namibian population of <i>Loxodonta africana</i> to read: °604: For the exclusive purpose of allowing, in the case of the population of Namibia:
		a) trade in hunting trophies for non-commercial purposes;
		b) trade in live animals for non-commercial purposes to appropriate and acceptable destinations (as determined by the national legislation of the country of import);
		c) trade in hides and leather goods; and
		d) trade in registered stocks of raw ivory (whole tusks and pieces) of Namibian origin owned by the Government of the Republic of Namibia for commercial purposes, to trading partners that have been verified by the CITES Secretariat to have sufficient national legislation and domestic trade controls to ensure that ivory imported from Namibia will not be re-exported and will be managed according to all requirements of Resolution Conf. 10.10 concerning domestic manufacturing and trade, and subject to a maximum annual quota of 2,000 kg ivory (Namibia)
Prop. 11.23	<i>Loxodonta africana</i>	Maintenance of the Zimbabwean population in Appendix II; Amendment of annotation °604 regarding the Zimbabwean population of <i>Loxodonta africana</i> to read: °604: For the exclusive purpose of allowing in the case of the population of Zimbabwe:
		a) trade in registered stocks of raw ivory (whole tusks and pieces) of Zimbabwean origin stored at the central government store for commercial purposes, to trading partners with adequate controls and enforcement measures; that will not re-export, and subject to a maximum annual quota of 10,000 kg ivory;

- b) trade in hunting trophies for non-commercial purposes;
- c) trade in live animals for non-commercial purposes to appropriate and acceptable destinations;
- d) trade in hides; and
- e) trade in leather goods and ivory carvings for non-commercial purposes (Zimbabwe)

Prop. 11.24 *Loxodonta africana*

Transfer to Appendix I of the populations currently listed in Appendix II (India, Kenya)

Prop. 11.25 *Loxodonta africana*

Amendment of the annotation °604 concerning Appendix II populations of *Loxodonta africana*

In the event of proposals being adopted with the provision that trade in live animals is allowed for non-commercial purposes, or to "appropriate and acceptable destinations" only, the following paragraph shall be added to the annotation:

To ensure that where a) destinations for live animals are to be "appropriate and acceptable" and/or b) the purpose of the import is to be "non-commercial", export permits and re-export certificates may be issued only after the issuing Management Authority has received, from the Management Authority of the State of import, a certification to the effect that:

in case a), in analogy to Article III, paragraph 3(b) of the Convention, the holding facility has been reviewed by the competent Scientific Authority, and the proposed recipient has been found to be suitably equipped to house and care for the animals; and/or

in case b), in analogy to Article III, paragraph 3(c), the Management Authority is satisfied that the specimens will not be used for primarily commercial purposes

(Switzerland)

SIRENIA

Dugongidae

Prop. 11.26 *Dugong dugon*

Transfer of the Australian population from Appendix II to Appendix I in accordance with the provisions of Resolution Conf. 9.24, Annex 3 (Australia)

ARTIODACTYLA

Camelidae

Prop. 11.27 *Vicugna vicugna*

Transfer of all populations that are in Appendix I to Appendix II, for the exclusive purpose of allowing international trade in cloth made with wool sheared from live animals, under the name VICUÑA-BOLIVIA (Bolivia)

Prop. 11.28 *Vicugna vicugna*

Deletion of the zero quota for populations listed in Appendix II for trade in cloth made of wool sheared from live animals, under the name VICUÑA-BOLIVIA (Bolivia)

Moschidae	Prop. 11.29	<i>Moschus</i> spp.	Transfer to Appendix I of all populations listed in Appendix II (India, Nepal, United States of America)
Bovidae	Prop. 11.30	<i>Ovis vignei</i>	Inclusion in Appendix I of all subspecies not yet listed in the apendices (Germany)
<u>AVES</u>			
RHEIFORMES			
Rheidae	Prop. 11.31	<i>Rhea pennata</i> (<i>Pterocnemia pennata pennata</i>)	Transfer of Argentine populations from Appendix I to Appendix II, in accordance with Annex 4, paragraph B2b) of Resolution Conf. 9.24 (Argentina)
FALCONIFORMES			
Falconidae	Prop. 11.32	<i>Falco rusticolus</i>	Transfer of the North American populations from Appendix I to Appendix II, with a zero quota for export of wild birds (United States of America)
PSITTACIFORMES			
Psittacidae	Prop. 11.33	<i>Eunymphicus cornutus cornutus</i>	Transfer from Appendix II to Appendix I (France)
	Prop. 11.34	<i>Eunymphicus cornutus uvaeensis</i>	Transfer from Appendix II to Appendix I (France)
PASSERIFORMES			
Muscicapidae	Prop. 11.35	<i>Garrulax canorus</i>	Inclusion in Appendix II (China)
<u>REPTILIA</u>			
TESTUDINATA			
Emydidae	Prop. 11.36	<i>Cuora</i> spp.	Inclusion in Appendix II in accordance with Article II, paragraph 2(a), of the Convention and criterion B of Resolution Conf. 9.24 Annex 2a <i>Cuora amboinensis</i> <i>Cuora flavomarginata</i> <i>Cuora galbinifrons</i> <i>Cuora trifasciata</i> , and in accordance with Article II, paragraph 2(a), of the Convention, criterion A of Resolution Conf. 9.24, Annex 2a and/or Article II, paragraph 2(b), of the Convention, criterion B of Resolution Conf. 9.24 the species: <i>Cuora aurocapitata</i> <i>Cuora mccordi</i> <i>Cuora pani</i> <i>Cuora yunnanensis</i> <i>Cuora zhoui</i> (Germany, United States of America)
	Prop. 11.37	<i>Clemmys guttata</i>	Inclusion in Appendix II (United States of America)
Testudinidae	Prop. 11.38	<i>Geochelone sulcata</i>	Transfer from Appendix II to Appendix I (France)
	Prop. 11.39	<i>Malacochersus tornieri</i>	Transfer from Appendix II to Appendix I (Kenya, United States of America)
Cheloniidae	Prop. 11.40	<i>Eretmochelys imbricata</i>	Transfer from Appendix I to Appendix II of that part of the Caribbean population of <i>Eretmochelys imbricata</i> inhabiting Cuban waters, pursuant to Resolution Conf. 9.24, for the exclusive purposes of allowing:

			<ol style="list-style-type: none"> 1. the export in one shipment of all existing registered management stocks of shell accumulated from Cuba's management programme between 1993 and March 2000 (up to 6,900 kg) to Japan for total consumption within Japan with no re-export; and 2. the export each year thereafter, to Japan or to other Parties with equivalent controls which will not re-export, of not more than 500 specimens (Cuba, Dominica)
	Prop. 11.41	<i>Eretmochelys imbricata</i>	<p>Transfer from Appendix I to Appendix II of that part of the Caribbean population of <i>Eretmochelys imbricata</i> inhabiting Cuban waters, pursuant to Resolution Conf. 9.24, for the exclusive purposes of allowing the export in one shipment of registered management stocks of shell accumulated legally in Cuba from a national management programme between 1993 and March 2000 (up to 6,900 kg) to Japan for total consumption within Japan with no re-exports</p> <p>No further annual export from the traditional harvest is sought and all other specimens of <i>E. imbricata</i>, including wild stocks in Cuban waters, will be treated as specimens of species in Appendix I and international trade in them shall be regulated accordingly (Cuba)</p>
CROCODYLIA			
Crocodylidae	Prop. 11.42	<i>Crocodylus moreletii</i>	<p>Transfer of populations of Sian Ka'an, Quintana Roo from Appendix I to Appendix II (Mexico)</p> <p>Withdrawn</p>
SAURIA			
Varanidae	Prop. 11.43	<i>Varanus melinus</i>	<p>Transfer from Appendix II to Appendix I, in accordance with criteria Ai), Bi) and iv), Cii) and D of Resolution Conf. 9.24, Annex 1 (Germany)</p>
SERPENTES			
Viperidae	Prop. 11.44	<i>Crotalus horridus</i>	<p>Inclusion in Appendix II (United States of America)</p>
<u>AMPHIBIA</u>			
ANURA			
Bufo	Prop. 11.45	<i>Bufo retiformis</i>	<p>Deletion from Appendix II (United States of America)</p>
Ranidae	Prop. 11.46	<i>Mantella</i> spp.	<p>Inclusion in Appendix II in accordance with Article II, paragraph 2(a), and Resolution Conf. 9.24 (Netherlands, United States of America)</p>
<u>PISCES</u>			
ORECTOLOBIFORMES			
Rhincodontidae	Prop. 11.47	<i>Rhincodon typus</i>	<p>Inclusion in Appendix II in accordance with Article II, paragraph 2(a) (United States of America)</p>

LAMNIFORMES

Lamnidae	Prop. 11.48	<i>Carcharodon carcharias</i>	Inclusion in Appendix I in accordance with Article II, paragraph 1 (Australia, United States of America)
Cetorhinidae	Prop. 11.49	<i>Cetorhinus maximus</i>	Inclusion in Appendix II (United Kingdom)

COELACANTHIFORMES

Latimeriidae	Prop. 11.50	<i>Latimeria</i> spp.	Inclusion in Appendix I in accordance with Article II, paragraph 1, and criteria Ai) and ii), Bi) and iv), Ci) and ii), Annex 1 of Resolution Conf. 9.24 (France, Germany)
	Prop. 11.51	<i>Latimeria menadoensis</i>	Inclusion in Appendix I (Indonesia)

ARTHROPODA**ARACHNIDA****ARANEAE**

Theraphosidae	Prop. 11.52	<i>Poecilotheria</i> spp.	Inclusion in Appendix II (Sri Lanka, United States of America)
---------------	-------------	---------------------------	--

F L O R A

	Prop. 11.53		To harmonize exemptions related to medicinal products by combining the current annotation #2 for <i>Podophyllum hexandrum</i> and <i>Rauvolfia serpentina</i> with annotation #8 for <i>Taxus wallichiana</i> in the Interpretation of Appendices I and II (Switzerland)
ARALIACEAE	Prop. 11.54	<i>Panax ginseng</i>	Inclusion in Appendix II of roots in accordance with the provisions of Article II, paragraph 2(a) (Russian Federation)
ARAUCARIACEAE	Prop. 11.55	<i>Araucaria araucana</i>	Transfer from Appendix II to Appendix I of the Argentine population (Argentina)
CACTACEAE	Prop. 11.56	CACTACEAE spp.	Exempt up to three specimens of rainsticks (Cactaceae, <i>Echinopsis</i> and <i>Eulychnia</i>) per person from CITES controls (Chile)
ERICACEAE	Prop. 11.57	<i>Kalmia cuneata</i>	Deletion from Appendix II (United States of America)
NYSSACEAE	Prop. 11.58	<i>Camptotheca acuminata</i>	Inclusion in Appendix II in accordance with the provisions of Article II, paragraph 2(a) (China)
OROBANCHACEAE	Prop. 11.59	<i>Cistanche deserticola</i>	Inclusion in Appendix II (China)
PEDALIACEAE	Prop. 11.60	<i>Harpagophytum procumbens</i>	Inclusion in Appendix II in accordance with Article II, paragraph 2(a) (Germany)
		<i>Harpagophytum zeyheri</i>	Inclusion in Appendix II in accordance with Article II, paragraph 2(b) (Germany)
RANUNCULACEAE	Prop. 11.61	<i>Adonis vernalis</i>	Inclusion in Appendix II in accordance with Article II, paragraph 2(a). Potted live plants to be excluded (Germany)
ZYGOPHYLLACEAE	Prop. 11.62	<i>Guaiacum sanctum</i>	Transfer from Appendix II to Appendix I (United States of America)