

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES  
OF WILD FAUNA AND FLORA

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Eleventh meeting of the Conference of the Parties  
Gigiri (Kenya), 10-20 April 2000

Strategic and administrative matters

Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties

EXTERNAL FUNDING

1. This document has been prepared by the Secretariat for the information of the Parties. It summarizes the contributions received, other than the regular (assessed) contributions to the CITES Trust Fund, over the period January 1997 to December 1999. It contains summaries of the special projects completed or initiated between January 1997 and December 1999.
2. At the time of writing (November 1999), the Secretariat had received more than CHF 2.2 million, at an exchange rate for USD of 1.5, from 22 donors. Other contributions (e.g. from the European Commission, assistance to MIKE, etc.) are currently being negotiated.
3. Table 1 shows the contributions received by the CITES Secretariat, as additional financial resources to assist in the implementation of the Convention, from various donors, during the period 1997-1999. The major donor was Japan, followed by the United Kingdom which contributed support for, among other things, the cost of an enforcement officer. China was third, and also contributed to support the cost of a secondee from China as well as enforcement seminars. The United States of America was fourth, contributing to enforcement workshops and MIKE-associated activities among others.
4. The Secretariat made a special effort to cover as many regions as possible in the implementation of projects and 43 projects were undertaken in Africa, Asia, Central and South America and the Caribbean, Europe, North America and Oceania. Tables 2 and 3 reflect the allocation of counterpart funds, including those from previous years, according to geographical distribution. These tables present a summary of the status of the CITES projects in terms of the cost of the projects and whether they are ongoing or completed, including projects that have been, or are being, implemented through direct contractual arrangements between the consultants and the donors, without any administrative support from the CITES Secretariat.
5. Table 4 reflects the total amount allocated by region and the corresponding percentage of the overall total received. During the period 1997-1999, the Secretariat used 28.65 per cent of its external resources on species-related projects. The rest of the funding was allocated to activities such as enforcement, capacity building and support to technical committees.
6. Regarding species, priority was given to supporting projects for species of fauna in relation to which the Animals Committee had made recommendations in the context of the review of significant trade, in accordance with Resolution Conf. 8.9.
7. In Table 5, the Secretariat presents a list of species-related projects that have been approved by the Standing Committee but, owing to a lack of funds, have not been implemented.
8. In Table 6, the Secretariat presents a list of species-related projects that have been presented to the Standing Committee but that require certain issues to be clarified. The approval of these projects is conditional on the resolution of all pending issues.

TABLE 1 – FUNDS RECEIVED PER YEAR IN CHF (1997-1999)

Region/Country	1997	1998	1999	Total
China – Hong Kong Special Administrative Region		247,500		247,500
France	10,134	12,294		22,428
France (Customs)	13,632			13,632
Germany		24,000		24,000
Italy			54,827	54,827
Japan	150,000	150,000	552,616	852,616
Netherlands	32,936	72,651		105,587
Norway	51,235			51,235
South Africa	1,408			1,408
Sweden	19,138			19,138
Switzerland	65,040	5,319		70,359
United Kingdom	279,790	49,355	189,946	519,091
United Republic of Tanzania	5,482			5,482
United States of America	167,900	8,631	23,977	200,508
European Commission		132,635		132,635
Conservation Treaty Support Fund	7,500	24,750		32,250
Fondation Roi Baudoin		14,306		14,306
International Fund for Animal Welfare	15,000			15,000
International Wood Products Association	1,500			1,500
Wildlife Preservation Trust	1,500			1,500
WWF International	2,586			2,586
<b>TOTAL</b>	<b>824,781</b>	<b>741,441</b>	<b>821,366</b>	<b>2,387,588</b>

TABLE 2 – CITES PROJECTS THAT WERE ONGOING, COMPLETED OR STARTED  
IN THE PERIOD 1997-1999

CITES No.	Title	Country	Cost in CHF
<b>AFRICA</b>			
S-072	<p>Survey of the status and management of <i>Python regius</i> in Ghana (completed)</p> <p>The objective of this study was to determine the conservation status and distribution of this species and to recommend to the Government of Ghana a programme for its conservation.</p> <p><u>Donors:</u> European Commission, Japan</p>	Ghana	64,500
S-102	<p>Management and sustainable use of the population of <i>Crocodylus niloticus</i> of Madagascar (completed)</p> <p>This project had as objectives to survey certain areas, to assess the importance of human/livestock-crocodile interactions in the country, to determine an approach to restock and to improve the monitoring of ranching operations.</p> <p><u>Donors:</u> Japan, Switzerland</p>	Madagascar	84,600
A-102	<p>Projects implemented in the United Republic of Tanzania through WWF with the funds provided by the 'beeswax' operation from Belgium (ongoing, cost figure shows available funds currently being committed)</p> <p>Key protected areas and ecosystems for elephant conservation are being identified. Specific anti-poaching operations are being implemented, long-term strategies will be developed to be used to raise further support for elephant conservation activities in the United Republic of Tanzania.</p> <p>Three projects have been delayed and the associated expenses were not justified. The Secretariat has temporarily stopped all activities until the current pending issues are solved. The Secretariat has informed the donor accordingly.</p> <p><u>Donor:</u> Belgium*</p> <p>* Funds provided before 1997.</p>	United Republic of Tanzania	972,382
A-99/11	<p>MIKE (Monitoring of Illegal Killing of Elephants) contribution to the central unit (ongoing)</p> <p><u>Donors:</u> Japan</p>	Various	37,500
A-99/12	<p>MIKE (Monitoring of Illegal Killing of Elephants) meeting in West Africa</p> <p><u>Donors:</u> IUCN Netherlands provided funding, requesting the Secretariat to forward funds to IUCN West Africa. Therefore, we are not reporting IUCN Netherlands as a donor in Table 1.</p>	Various	22,500

CITES No.	Title	Country	Cost in CHF
<b>ASIA</b>			
S-057	<p>Survey of the orchid trade in Thailand (ongoing)</p> <p>This project has as objectives to review the trade data available and to obtain information about the conservation of this species.</p> <p><u>Donors</u>: European Commission, Japan, United Kingdom</p>	Thailand	75,000
A-095	<p>CITES training seminar for the Arab-speaking countries (completed)</p> <p>A one week training seminar in Cairo with the following participants: Djibouti, Egypt, Jordan, Kuwait, Morocco, Oman, Saudi Arabia, Syria, Tunisia, Turkey, United Arab Emirates, Yemen</p> <p><u>Donors</u>: United States of America, Conservation Treaty Support Fund</p>	Various	69,750
A-99/05	<p>International consultation to propose a solution to the problem of illegal trade in Tibetan antelope (completed).</p> <p>This seminar was attended by China, India, Nepal, United States of America and France.</p> <p><u>Donor</u>: Hong Kong SAR, United Kingdom, WWF China*</p> <p>* Directly contributed to China.</p>	Various	61,500
A-99/13	<p>MIKE Pilot Programme in South East Asia (on going)</p> <p>To support the MIKE Pilot Project to be conducted in selected sites in South East Asia.</p> <p><u>Donor</u>: Japan</p>	Various	75,000
A-99/16	<p>Hong Kong enforcement seminar (completed)</p> <p>This seminar took place in Hong Kong: an enforcement seminar for the sub-region of Asia attended by 50 participants from China, Vietnam, the Republic of Korea and the Russian Federation.</p> <p><u>Donor</u>: China – Hong Kong SAR</p>	Various	82,500

CITES No.	Title	Country	Cost in CHF
<b>CENTRAL AND SOUTH AMERICA AND THE CARIBBEAN</b>			
S-058	<p>Study of internal trade in the blue-fronted amazon <i>Amazona aestiva</i> in Argentina (completed)</p> <p>The third phase of the project provided: additional information on the biology and the commercialization of this species; the establishment of a set of management norms to provide a basis for sustainable exploitation; the creation of preconditions for a pilot project allowing the testing of management norms and an increase in benefits to the local population; a report on the results of this work and the formulation of management recommendations based on this study to the national and provincial authorities responsible for administering wildlife resources; and the establishment of an experimental export quota.</p> <p><u>Donors:</u> European Commission, Switzerland, United States of America</p>	Argentina	78,150
S-077	<p>Management of <i>Tayassu tajacu</i> and <i>Tayassu pecari</i> in the Peruvian Amazon (completed)</p> <p>This project had the following objectives: to assess those populations for purposes of conservation and sustainable use through hunting quotas; to determine the hunting pressure on the species in various areas of the Peruvian Amazon; to do research on the breeding potential, feeding habits, behaviour, health, etc., of these species; to study the marketing of bush meat of these ungulates in the urban markets, as well as to recommend alternative utilization of products; to study the feasibility of processing the skins of peccaries in the area; to promote the development of international technical co-operation; to contribute to the socio-economic development of the area and of the country.</p> <p>The final report indicated that few of the objectives had in fact been studied. The report was rejected. The donor has requested that all funds previously provided be recovered.</p> <p><u>Donor:</u> European Commission</p>	Peruvian Amazon	30,000
S-078	<p>Evaluation of the status of populations of <i>Brotogeris pyrrhopterus</i> and <i>Aratinga erythrogenys</i> in Peru (completed)</p> <p>The project had the following objectives: to establish management programmes for sustainable and rational utilization of <i>Brotogeris pyrrhopterus</i> and <i>Aratinga erythrogenys</i> in northern Peru.</p> <p><u>Donor:</u> Spain</p>	Peru	60,000
S-089	<p>Sustainable utilization and management of <i>Caiman crocodilus</i> in Bolivia (completed)</p> <p>The project had the following objectives: to monitor wild populations; to establish an experimental harvest with required administrative controls and CITES controls on international shipments; and to train university students in long-term studies of the ecology and management.</p> <p><u>Donor:</u> European Commission</p>	Bolivia	70,029

CITES No.	Title	Country	Cost in CHF
S-065	<p>Survey of the status of macaws, parrots, parrotlets and parakeets (Psittacidae) in Guyana (completed)</p> <p>The main objectives of this project were: to make a general investigation of the present status and distribution of psittacines in Guyana, with emphasis on the present status and distribution of the parrots that have been exported in large numbers (<i>Ara araurana</i>, <i>Ara chloropterus</i>, <i>Ara manilata</i>, <i>Ara nobilis</i>, <i>Aratinga pertinax</i>, <i>Pionus menstruus</i>, <i>Amazona amazonica</i>, <i>Amazona farinosa</i>) and <i>Ara macao</i>, a CITES Appendix I species; to review the trade in psittacines as a local industry with a particular view to its importance in the European Union and its sociological importance, using polls and surveys of bird harvesters and traders; to make recommendations on the management of psittacines in Guyana, including harvest quotas, areas to be protected, analysis of the potential for reintroduction, or future exploitation of psittacines through captive breeding within Guyana or ranching.</p> <p><u>Donor</u>: European Commission</p>	Guyana	93,000
<b>EUROPE</b>			
A-086	<p>CITES Identification Manual, Russian version (completed).</p> <p>Through this activity a version of the CITES Identification Manual in Russian was developed.</p> <p><u>Donor</u>: Germany</p>	Russian Federation	45,000
<b>NORTH AMERICA</b>			
S-053	<p>Population studies on Mexican cacti, and evaluation of the possible and actual impact of legal and illegal trade on these populations (completed)</p> <p>This project had the following objectives: to assess the status of the populations of rare cacti as well as of more common cacti species; to estimate the amount of legal and illegal collecting that took place before Mexico acceded to CITES; and to formulate recommendations regarding the possible listing of species in Appendix I of CITES or the transfer of species to Appendix II.</p> <p><u>Donors</u>: European Commission, United States of America</p>	Mexico	123,114
<b>OCEANIA</b>			
A-121	<p>SIDS meeting Oceania (completed)</p> <p>Meeting attended by 22 participants from Australia, Cook Islands, Fiji, Kiribati, Federated States of Micronesia, New Zealand, Solomon Islands, Tonga, Vanuatu, Western Samoa, Switzerland</p> <p><u>Donors</u>: Japan, Australia</p>	Various	45,000

CITES No.	Title	Country	Cost in CHF
<b>GLOBAL</b>			
S-056	<p>Review of international trade in the orchid genus <i>Dendrobium</i> (completed)</p> <p>The main objectives of this project were: to collect detailed information on the species reported in trade, the quantities involved and the trade routes; to assess the extent to which wild plants are traded internationally and as far as possible the scale and impact of collection; to investigate the current level and scope for further development of commercial propagation, paying particular attention to the situation in range States; to liaise with the Plants Committee in relation to the preparation of the Checklist of <i>Dendrobium</i> species; to provide trade and conservation information as required for this; to provide information for use by CITES Authorities in making non-detriment findings under Article IV of the Convention and in reviewing import applications; to develop recommendations for field conservation measures for over-exploited species and to identify priority areas for further research.</p> <p><u>Donors:</u> European Commission, Japan</p>		38,476
A-99/08	<p>CITES Information Management Strategy (funds secured – ongoing).</p> <p>This activity is a small step towards the establishment of a common framework for information management within the CITES community. Through this activity a study of Internet connectivity in Africa is being developed. A seminar for West Africa was organized in December 1999. The seminar was attended by representatives of Benin, Burkina Faso, Ghana, Guinea Bissau, Liberia, Mali and Senegal. Suggestions for further development of the CITES IMS are presented in a report.</p> <p><u>Donor:</u> Japan</p>		75,000
A-101	<p>IUCN to review CoP10 proposals (completed)</p> <p>Through this activity, IUCN assisted with the scientific and technical review of the various proposals to amend the CITES appendices of the 10th meeting of the Conference of the Parties.</p> <p><u>Donor:</u> Japan</p>		35,000
A-99/15	<p>IUCN to review CoP11 proposals (ongoing)</p> <p>Through this activity, IUCN assists with the scientific and technical review of the various proposals to amend the CITES appendices of the 11th meeting of the Conference of the Parties.</p> <p><u>Donor:</u> Japan</p>		52,500
A-124	<p>Training seminar on plants and assistance to the Plants Committee meeting (completed)</p> <p><u>Donor:</u> The Netherlands, United Kingdom, United States of America</p>		26,325

CITES No.	Title	Country	Cost in CHF
A-117	Administration of the Conference of the Parties – CoP10 and sponsoring of delegates to attend CoP 10 (completed)  Donors: France, Norway, South Africa, Sweden, Switzerland, The Netherlands, United Kingdom, United States of America, Various NGOs		1,160,757
A-045	CITES Identification Manual, French version (ongoing)  <u>Donor:</u> France		24,748
A-098	Plants Identification Manual (ongoing)  <u>Donor:</u> The Netherlands, United Kingdom		61,126

**Projects that were implemented bilaterally without involving the CITES Secretariat**  
(associated costs are not included in the External Funding calculation)

CITES No.	Title	Country	Cost
S-113	Survey of population status of Hartmann's mountain zebra in Namibia (completed)  This project had the following objectives: to update data on the population, to compile and review domestic use and international trade data and to institute if required appropriate control measures to prevent any negative impact and to develop conservation policies.  This study was funded directly by Switzerland.	Namibia	75,000
S-109	Trade in medicinal plants (completed)  The purpose of the project was to collect and collate as much information as possible related to the trade in medicinal plants. This project was fully paid for by the Management Authority of Germany, through a direct contract with the consultants.  This study was funded and implemented directly by Germany	Various	75,000

TABLE 3 – CITES PROJECTS FOR WHICH FUNDING IS PARTIALLY SECURED  
THAT ARE WAITING FOR IMPLEMENTATION

CITES No.	Title	Country	Cost in CHF
<b>AFRICA</b>			
S-092	<p>Status survey of the grey parrot (<i>Psittacus erithacus</i>) and development of a management programme in Sierra Leone (funds secured, pending implementation)</p> <p><u>Donors:</u> Sierra Leone*, Switzerland, European Pet Organization*</p> <p>* Funds were provided before January 1997. Therefore, they can not be reported in the calculation table.</p>	SC 37	63,450

TABLE 4 – DISTRIBUTION OF FUNDS COMMITTED BY REGION

The following table shows the status for the period 1997-1999 of the amounts committed in each of the CITES regions and the percentage of the total allocated by region. These figures include funding carried-over from years previous to 1997.

<b>Region</b>	<b>Amount committed in CHF</b>	<b>Percentage of the total</b>
Africa	1,425,498	45%
Asia	378,750	12%
Central and South America and the Caribbean	370,929	12%
Europe	45,000	1%
North America	123,114	4%
Oceania	45,000	1%
Global	783,568	25%
<b>TOTAL</b>	<b>3,171,859</b>	<b>100%</b>

TABLE 5 – PROJECTS FOR WHICH FUNDING IS SOUGHT

The following table shows all projects approved by the CITES Standing Committee and for which the Secretariat is seeking funds. At the 42nd meeting of the Committee, it was recommended that Parties indicate whether any of the projects shown herein should be discontinued. The Secretariat has sent this information to the concerned Parties. Parties are encouraged to inform the Secretariat if a project is no longer a priority.

CITES No.	Title	Approved	Cost in CHF
<b>AFRICA</b>			
S-067	Survey and monitoring of the African monitor lizard ( <i>Varanus niloticus</i> ) population in the Sudan	SC29	80,700
S-068	Survey of the status and development of conservation management programme for the Nile crocodile in the Sudan	SC29	60,000
S-076	Status survey, management and conservation of the African grey parrot ( <i>Psittacus erithacus</i> ) in Nigeria	SC30	73,875
S-080	Status survey of the grey parrot ( <i>Psittacus erithacus</i> ) and development of a management programme in Guinea and Guinea Bissau	SC35	64,500
S-082	Conservation and management of African monitor lizards, ( <i>Varanus</i> spp.), Phase II: detailed studies of <i>Varanus niloticus</i> populations in Cameroon, Chad and Mali	SC35	120,600
S-090	Rehabilitation and reintroduction of Cape pangolins confiscated from traders	SC37	93,750
<b>ASIA</b>			
S-071	Status and management of argali ( <i>Ovis ammon</i> ) in China	SC30	97,500
S-093	Investigation of the possible existence of wild populations of <i>Aloe vera</i> in Eritrea, Oman, Saudi Arabia and Yemen	SC37	54,000
S-99/05	Assessment of status and sustainability of trade in the common rat snake ( <i>Ptyas mucosus</i> ) on Java, Indonesia	SC41	103,500
<b>CENTRAL AND SOUTH AMERICA AND THE CARIBBEAN</b>			
S-045	Study of the feasibility of shearing live guanacos <i>Lama guanicoe</i> in Argentina.	SC29	169,290
S-061	Management and conservation of <i>Boa constrictor</i> in Argentina	SC28	41,250
S-063	Experimental ranching of the broad-nosed caiman in Argentina	SC28	90,840
S-074	Survey of the Costa Rican crocodylian population	SC30	52,312
S-094	Study of the distribution and gathering of commercial trade in species of Cyatheaceae and Dicksoniaceae ( <i>Pteridophyta</i> ) in North-east Argentina, Brazil and Paraguay	SC37	91,822
S-079	Evaluation of the status of populations and a management strategy for the crocodylians of Peru	SC30	75,195
S-088	Conservation and management of the lesser rhea in Patagonia	SC37	148,350

CITES No.	Title	Approved	Cost in CHF
S-098	The tegu lizard in Venezuela: study of its status and ecology with a view to establishing a management and conservation plan	SC35	84,327
S-099	Current status of the Andean cat in South America	SC35	44,940
S-100	The reproductive biology of the maned wolf in the wild in Argentina	SC35	47,989
S-103	Conservation and management of peccaries in Argentina	SC36	125,430
S-99/07	Genetic identification of species of the genus <i>Crocodylus</i> in Colombia	SC41	18,000
S-99/08	Conservation of <i>Crocodylus intermedius</i> in Colombia	SC42	82,500
<b>NORTH AMERICA</b>			
S-105	Ecology, conservation and management of the freshwater turtles of Cuatrociénegas, Coahuila, Mexico	SC36	59,880
S-106	Nesting, breeding, feeding and use of space in the military macaw ( <i>Ara militaris</i> ) on the Pacific Coast of Jalisco, Mexico	SC36	100,386

TABLE 6 – PROJECTS CONDITIONALLY APPROVED

The following table indicates projects that have been presented to the CITES Standing Committee but for which the approval was conditional on resolving a series of issues. The Secretariat has requested the relevant Parties to resolve all pending issues, otherwise the required funding cannot be sought.

CITES No.	Title	Conditional approval
<b>AFRICA</b>		
S-101	Census of wild specimens of species subject to significant levels of trade in Togo	SC35
S-99/06	Study of the distribution and population of amphibian species of the genus <i>Mantella</i> traded from Madagascar on which to base a management plan	SC41
<b>ASIA</b>		
S-069	Survey of the status of <i>Tridacnidae</i> in the Philippines with a view to sustainable use	SC30
S-110	Study of population density of Burmese brown tortoise and yellow-headed tortoise in peninsular Malaysia	SC37
S-99/01	Field assessment of the conservation status and effects of trade on the black-winged lory ( <i>Eos cyanogenia</i> ) in Indonesia	SC41
S-99/02	Status assessment of Wallace's hanging-parrot ( <i>Loriculus flosculus</i> ) on Flores, Indonesia	SC41
S-99/03	Assessment of iris lorikeet ( <i>Psitteuteles iris</i> ) in the Lesser Sundas, Indonesia	SC41
S-99/04	Status assessment of the chattering lory ( <i>Lorius garrulus</i> ), in the Moluccas, Indonesia	SC41
<b>CENTRAL AND SOUTH AMERICA AND THE CARIBBEAN</b>		
S-104	Assessment of the swamp crocodile populations in the Biosphere Reserve of Central wetlands, Tabasco, Mexico	SC36
S-108	Sustainable management and conservation of crocodylians and their wetland habitats in Central America	SC36
<b>EUROPE</b>		
S-081	Survey of the population status and distribution of the brown bear ( <i>Ursus arctos</i> ) in the Russian Federation and development of a conservation management programme	SC35
<b>NORTH AMERICA</b>		
S-085	Biology of wild populations of scarlet macaws ( <i>Ara macao</i> ) and red-lored parrots ( <i>Amazona autumnalis</i> ) in Mexico	SC37
S-086	The release and integration of specimens of <i>Ara macao</i> and <i>Amazona autumnalis</i> into the Lacandona Jungle, Chiapas, Mexico	SC37
S-087	Adaptability and reproduction in captivity of <i>Ara macao</i> , <i>Amazona farinosa</i> and <i>Amazona autumnalis</i> in the Lacandona Jungle, Chiapas, Mexico	SC37