

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA

Eighth Meeting of the Conference of the Parties

Kyoto (Japan), 2 to 13 March 1992

Interpretation and Implementation of the Convention

Trade in Birds

Significantly Traded Species

THE TRADE IN LIVE WILD-CAUGHT BIRDS FOR COMMERCIAL PURPOSES

This document has been prepared and is submitted by the United States of America.

1. Article IV, paragraph 2(a), requires that a Scientific Authority of the State of export advise that an export will not be detrimental to the survival of a species listed in Appendix II, prior to the issuance of an export permit for specimens of such a species.
2. The critical scientific studies to address issues of detriment to populations, appropriate management of species, and sustainable levels of trade, have not been undertaken for most Appendix-II bird species in trade.
3. There is cause for serious concern that the international commercial trade in wild-caught birds is contributing to the decline in the wild of some species of birds listed in Appendix II.
4. It appears that many Parties either: have not designated a Scientific Authority, as required by Article IX, paragraph 1(b); issue permits without the Scientific Authority findings required by Article IV; or lack the necessary technical information and expertise to make findings of non-detrimental to species' populations both in the country of export and in neighbouring habitat countries.
5. Article IV, paragraph 3, requires that a Scientific Authority in each Party monitor export permits granted and actual exports, with the goal of limiting exports in order to maintain species throughout their range at a level consistent with their role in their ecosystems and well above the level at which they might become eligible for inclusion in Appendix I.
6. Resolution Conf. 4.7 notes that many Parties exporting Appendix-II wildlife are unable to effectively implement Article IV, paragraph 3, and recognizes that all Parties benefit from management of Appendix-II species that ensures the continued availability of these resources.
7. Resolution Conf. 6.1 established the Animals Committee and directed it to: 1) establish a list of those animal taxa included in Appendix II which are considered to be significantly affected by trade; 2) review and assess all available biological and trade information; and 3) formulate recommendations for remedial measures for those species for which trade is believed to be having a detrimental effect. Wild bird species represent a significant portion of the list produced by the Animals Committee.
8. Although some significant-trade projects are underway or have been carried out, the necessary projects for Appendix-II bird species are not being undertaken nor recommendations being implemented at a rate that would allay concern that irreparable harm to species may occur, which may necessitate their transfer to Appendix I.
9. Some Parties have outlawed the export of their wild birds for the pet trade, and uncontrolled trade by neighbouring Parties could have a detrimental effect on populations of species within their borders.

10. Some Parties have chosen to export their wild birds, but have not been able to design and implement scientifically based management plans effectively. When there is sufficient scientific information on which to base a management plan and to issue a finding of "no detriment" under Article IV, wild-caught specimens of birds may be used for commercial purposes.
11. Such utilization of wildlife is appropriate, while at the same time species should not be traded at levels that their populations can not sustain. The long-term survival of healthy populations of wild birds may necessitate significant restrictions in the number of birds in international trade.

Note from the Secretariat

As the attached draft resolution concerns the same subject as that attached to document Doc. 8.23.1 submitted by Honduras, the Secretariat recommends that the proponents of these draft resolutions join their efforts to submit a single text to the Conference of the Parties.

See also Note from the Secretariat in document Doc. 8.23.2.

DRAFT RESOLUTION OF THE CONFERENCE OF THE PARTIES

The Trade in Live Wild-Caught Birds for Commercial Purposes

RECALLING that Article IV, paragraph 2(a), of the Convention requires as a condition for the granting of an export permit that a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of the species concerned;

RECALLING that each Party, pursuant to Article XIV, paragraph 1, of the Convention has the right to take stricter domestic measures regarding the conditions for trade, including the complete prohibition thereof;

RECALLING that Resolution Conf. 4.7 adopted at the fourth meeting of the Conference of the Parties (Gaborone, 1983) notes that many Parties exporting Appendix-II wildlife are unable to implement Article IV, paragraph 3, effectively and recognizes that all Parties benefit from management of Appendix-II species that ensures the continued availability of these resources;

RECALLING that in adopting Resolution Conf. 6.1 at the sixth meeting of the Conference of the Parties (Ottawa, 1987), the Parties established the Animals Committee, with the charge that it: (1) establish a list of those animal taxa included in Appendix II which are considered as being significantly affected by trade; (2) review and assess all available biological and trade information; and (3) formulate recommendations for remedial measures for those species for which trade is believed to be having a detrimental effect;

CONCERNED that the necessary significant trade project for Appendix-II bird species is not being undertaken and recommendations not being implemented at a rate that would allay concerns that irreparable harm to the species may occur, which may necessitate their transfer to Appendix I;

RECOGNIZING that living wild-caught specimens of species of birds for which there is sufficient information to find "non-detriment" under Article IV, paragraph 2(a), may be used in the pet trade and for other commercial purposes;

NOTING that the suspensions of trade recommended in this Resolution do not apply to captive-bred specimens eligible for trade in accordance with Article VII, paragraph 4 or 5, and the Resolutions pertaining to those paragraphs;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS that all Parties suspend trade in shipments of wild-caught Appendix-II birds intended to be used for primarily commercial purposes, if they belong to species identified as significantly traded for which either: there is insufficient information available on which to base a judgement that the species is not being detrimentally affected by such trade; or remedial measures based on available information have been recommended but have not been implemented. The annex to this Resolution contains a list of such species;

DETERMINES that, based upon criteria adopted by the Standing Committee, in consultation with the Animals Committee and the Secretariat, the Standing Committee may recommend that such trade be resumed if it finds that sufficient scientifically based biological and trade information exists and that remedial measures based on such information have been implemented so that there is assurance that trade will not be detrimental to the survival of the species;

DIRECTS

- a) the Secretariat, in consultation with the Animals Committee and the Standing Committee as appropriate, to monitor the implementation of this Resolution and report its findings and recommendations to the ninth meeting of the Conference of the Parties, including the preparation of a survey of stricter domestic measures on export and import of wild-caught birds;
- b) the Animals Committee to give high priority to species of wild-caught Appendix-II birds in trade in establishing and assessing the status of Appendix-II species significantly affected by trade and in giving advice in accordance with Resolution Conf. 6.1, Annex 2, paragraphs ii), iv) and vi); and

- c) the Animals Committee to assess the biological and trade status of all species of birds in trade, including, when available, information on species that are not currently listed in Appendix I, II or III and, to advise the Parties as to whether any additional species should be listed in the appendices, applying the criteria of Article II and all relevant Resolutions; and

URGES the Parties and all organizations interested in the utilization and conservation of wildlife to provide the necessary financial support for studies pertaining to bird species subject to significant levels of international trade.

The Trade in Live Wild-Caught Birds for Commercial Purposes

The following is a PROVISIONAL list of species subject to this Resolution, being Appendix-II bird species that have been identified as significantly traded for which either: there is insufficient information available on which to base a judgement that the species is not being detrimentally affected by such trade; or for which remedial measures based on available information have been recommended but have not been implemented.

<i>Agapornis fischeri</i>	<i>Brotogeris versicolorus</i>
<i>Agapornis personata</i>	<i>Cacatua alba</i>
<i>Amazona albifrons</i>	<i>Cacatua galerita</i>
<i>Amazona amazonica</i>	<i>Cacatua goffini</i>
<i>Amazona autumnalis</i>	<i>Cacatua sanguinea</i>
<i>Amazona aestiva</i>	<i>Cacatua sulphurea</i>
<i>Amazona farinosa</i>	<i>Cyanoliseus patagonus</i>
<i>Amazona finschi</i>	<i>Deropterus accipitrinus</i>
<i>Amazona ochrocephala</i>	<i>Eclectus roratus</i>
<i>Amazona viridigenalis</i>	<i>Eos reticulata</i>
<i>Aprosmictus erythropterus</i>	<i>Forpus xanthops</i>
<i>Aprosmictus jonquillaceus</i>	<i>Loriculus pusillus</i>
<i>Ara ararauna</i>	<i>Lorius garrulus</i>
<i>Ara auricollis</i>	<i>Nandayus nenday</i>
<i>Ara chloroptera</i>	<i>Pionites leucogaster</i>
<i>Ara manilata</i>	<i>Pionus maximiliani</i>
<i>Ara nobilis</i>	<i>Poicephalus senegalus</i>
<i>Ara severa</i>	<i>Psittacula derbiana</i>
<i>Aratinga acuticaudata</i>	<i>Psittacula roseata</i>
<i>Aratinga aurea</i>	<i>Psittacus erithacus</i>
<i>Aratinga auricapilla</i>	<i>Pyrrhura frontalis</i>
<i>Aratinga mitrata</i>	<i>Trichoglossus goldiei</i>
<i>Aratinga solstitialis</i>	<i>Trichoglossus haematodus</i>

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The attached draft resolution (Annex) has been prepared and is submitted by Honduras.

Note from the Secretariat

As the attached draft resolution concerns the same subject as that attached to document Doc. 8.23 submitted by the United States of America, the Secretariat recommends that the proponents of these draft resolutions join their efforts to submit a single text to the Conference of the Parties.

See also Note from the Secretariat in document Doc. 8.23.2.

DRAFT RESOLUTION OF THE CONFERENCE OF THE PARTIES

The Trade in Live Wild-Caught Birds for Commercial Purposes

MINDFUL that Resolution Conf. 1.6 adopted at the first meeting of the Conference of the Parties (Berne, 1976), which was passed more than fifteen years ago, urges exporting countries to restrict gradually the collection of wild animals for the pet trade and that all Parties encourage the breeding of animals for this purpose, with the objective of eventually limiting the keeping of pets to those species that can be bred in captivity;

CONCERNED, however, that the number of wild birds exported for the pet trade has grown in the last decades, which is inconsistent with Resolution Conf. 1.6;

RECALLING that Article IV, paragraph 2(c), of the Convention requires as a condition for the granting of an export permit that a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment;

CONCERNED, however, that a number of investigations have demonstrated that many wild birds are prepared and shipped in a manner that causes suffering, injuries and high mortality, which is inconsistent with Article IV, paragraph 2(c);

RECALLING that Article IV, paragraph 2(a), requires as a condition for the granting of an export permit that a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of the species;

CONCERNED, however, that living wild specimens of species of birds for which there is insufficient information to make the "non-detriment" finding under Article IV, paragraph 2(a), are used in the pet trade and for other commercial purposes;

NOTING that little is known about the status of wild populations of many commercially traded species of birds;

RECALLING that Article IV, paragraph 3, requires each Scientific Authority to advise the Management Authority to limit exports so as to maintain species throughout their range at a level consistent with their roles in their ecosystems and well above the level at which the species might become eligible for inclusion in Appendix I;

RECALLING also that Resolution Conf. 4.7 adopted at the fourth meeting of the Conference of the Parties (Gaborone, 1983) notes that many Parties exporting Appendix-II wildlife are unable to implement Article IV, paragraph 3, effectively and recognizes that all Parties benefit from management of Appendix II species that ensures the continued availability of these resources;

CONCERNED however that, since the adoption of Resolution Conf. 1.6, numerous species of birds listed in Appendix II have been transferred to Appendix I, and further concerned that such transfers may continue;

RECALLING that Article IV, paragraph 2(b), requires as a condition for the granting of an export permit that a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State which protect fauna and flora;

CONCERNED, however, that producing Parties are often unable adequately to enforce laws that control the export of wild birds from their countries without the help of, and strong reciprocal enforcement within, consuming Parties;

RECALLING that each Party, pursuant to Article XIV, paragraph 1, has the right to take stricter domestic measures regarding the conditions for trade, including the complete prohibition thereof;

RECOGNIZING that at least 45 nations, the European Economic Community, and international airlines have taken and are taking unilateral action to restrict the commercial trade in wild-caught birds;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

URGES

- a) all Parties to take immediate action to comply with Resolution Conf. 1.6 by reducing or eliminating the export of wild-caught birds for the pet trade;
- b) all Parties and organizations to comply fully with and to support stricter domestic measures which any nations have adopted for the protection of their wild birds in accordance with Article XIV, paragraph 1, of the Convention and Resolution Conf. 1.6;
- c) all Parties that can not demonstrably comply with the requirements of Article IV, paragraphs 2(a), 2(b), 2(c) and 3, to voluntarily prohibit the exportation of wild birds; and
- d) the Scientific Authorities of importing Parties to establish mechanisms to determine the adequacy of Article IV findings made by exporter nations, and to prohibit the importation of wild-caught birds when the conditions of Article IV have not been met;

RECOMMENDS

- a) that all Parties refuse to accept commercial shipments of wild-caught birds of species listed in Appendix II where current international trade levels are known to be, strongly suspected to be, or probably are threatening the survival of the taxon on a local or global basis, or where there is insufficient information available on which to base a judgement that the species is not being detrimentally affected by such trade. The Annex to this Resolution contains a list of such species; and
- b) that all Parties refuse to accept shipments of all other wild-caught Appendix-II birds intended to be used for primarily commercial purposes for which current international trade levels are probably threatening the survival of the taxon on a local or global basis, or where there is insufficient information available on which to base a judgement that the species is not being detrimentally affected by such trade;

DIRECTS

- a) the Secretariat, in consultation with the Animals Committee and the Standing Committee as appropriate, to monitor the implementation of this Resolution and report its findings and recommendations to the ninth meeting of the Conference of the Parties, including the preparation of a survey of stricter domestic measures on export and import of wild-caught birds;
- b) the Secretariat, in consultation with the Animals Committee, IUCN/SSC specialist groups and other experts as appropriate, to develop and implement a system by 31 December 1992 for analyzing the adequacy of non-detriment and other findings made pursuant to Article IV; and
- c) the Animals Committee to give high priority to species of wild Appendix-II birds in trade in establishing and assessing the status of Appendix-II species affected by trade and in giving advice in accordance with Resolution Conf. 6.1, Annex 2, paragraphs iii), iv) and vi); and

URGES the Parties and all organizations interested in the utilization and conservation of wildlife to provide the necessary financial support for independent scientific studies pertaining to bird species subject to international trade.

ANNEX

<i>Agapornis corax</i> +	<i>Cacatua sanguinea</i> +°	<i>Psittacus dissimilis</i> o
<i>Agapornis fischeri</i> +°	<i>Cacatua sulphurea</i> +o	<i>Psittacula caniceps</i> o
<i>Agapornis lilianae</i> +	<i>Chalcopsitta ara</i> +	<i>Psittacula darbiliana</i> °
<i>Agapornis nigrigenis</i> o	<i>Charmosyna diadema</i> o	<i>Psittacula krameri</i> °
<i>Agapornis personata</i> +°	<i>Charmosyna josefinae</i> +	<i>Psittacula intermedia</i> o
<i>Allisterus amboinensis</i> +	<i>Charmosyna tacopel</i> o	<i>Psittacula roseata</i> +°
<i>Amazilia aestiva</i> +°	<i>Coracopsis vasa</i> +	<i>Psittaculirostris desmarestii</i> +
<i>Amazona amazonica</i> °	<i>Cyanoliseus palagonus</i> +°	<i>Psittaculirostris edwardsii</i> +
<i>Amazona europellata</i> +	<i>Cyanoramphus ulicolor</i> o	<i>Psittaculirostris salvadori</i> +o
<i>Amazona autumnalis</i> °	<i>Deropisus accipitrinus</i> °	<i>Psittacus erithacus</i> +°
<i>Amazona formosa</i> °	<i>Enicognathus leptorhynchus</i> o	<i>Psittinus cyanurus</i> +
<i>Amazona fischeri</i> +°	<i>Eos bornea</i> +o	<i>Pyrrhura albipennis</i> o
<i>Amazona mitrata</i> °	<i>Eos cyanoptera</i> +o	<i>Pyrrhura castroptera</i> o
<i>Amazona oratrix</i> +	<i>Eos histrio</i> o	<i>Pyrrhura frontalis</i> +°
<i>Amazona orthocephala</i> °	<i>Eos reticulata</i> +°o	<i>Pyrrhura hypoxantha</i> o
<i>Amazona viridigenalis</i> +o	<i>Eos squamata</i> +	<i>Pyrrhura perlata</i> +o
<i>Amazona stictoptera</i> o	<i>Forpus uucrotops</i> 1°	<i>Rhea americana albescens</i> +°
<i>Apraemictus erythropneus</i> +°	<i>Goura cristata</i> +°	<i>Tanygnathus heterurus</i> +°
<i>Apraemictus jonquillaceus</i> +°	<i>Hapalopsittaca amazonina</i> o	<i>Tanygnathus megalorhynchus</i> +
<i>Ara araucana</i> +°	<i>Leptositta brazicki</i> o	<i>Tauraco corythax (fischeri)</i> +
<i>Ara aricae</i> °	<i>Loriculus catantere</i> o	<i>Touit melanonota</i> o
<i>Ara chloroptera</i> +°	<i>Loriculus flavus</i> +	<i>Touit stictoptera</i> o
<i>Ara manillana</i> °	<i>Loriculus galgulus</i> +	<i>Touit surda</i> o
<i>Ara nobilis</i> °	<i>Loriculus philippensis</i> +	<i>Trichoglossus aeneus</i> o
<i>Ara severa</i> °	<i>Loriculus pusillus</i> °	<i>Trichoglossus goldiei</i> °
<i>Aratinga acuticaudata</i> +°	<i>Lorius domicellus</i> o	<i>Trichoglossus haematodus</i> °
<i>Aratinga aurea</i> °	<i>Lorius garulus</i> +°	<i>Trichoglossus iris</i> +
<i>Aratinga auricapilla</i> +o	<i>Myiopsitta monachus</i> °	<i>Tricharia malackiacea</i> o
<i>Aratinga breviceps</i> o	<i>Nandayus nenday</i> +°	<i>Vini kuhlii</i> o
<i>Aratinga erythrogenys</i> +°	<i>Neophema splendida</i> o	<i>Vini peruviana</i> o
<i>Aratinga euops</i> o	<i>Phoenicoparus chilensis</i> +°	<i>Vini stephani</i> o
<i>Aratinga holochlora</i> + /	<i>Pionus leucogaster</i> °	<i>Vini uluamarina</i> o
<i>Aratinga mitrata</i> +°	<i>Planus maximiliani</i> +°	
<i>Aratinga solstitialis</i> °	<i>Planus senilis</i> +	
<i>Brodiaeae pyrrhopterus</i> +o	<i>Poicephalus cryptocanthus</i> +	
<i>Brodiaeae versicoloris</i> +°	<i>Poicephalus meyeri</i> +	
<i>Cacatua alba</i> +°o	<i>Poicephalus rufirostris</i> 1	
<i>Cacatua galerita</i> +°	<i>Poicephalus senegalus</i> +°	
<i>Cacatua goffini</i> +°o	<i>Polydix alexandreae</i> o	
<i>Cacatua haemastropygia</i> +o	<i>Prioniturus lucionensis</i> o	
<i>Cacatua moluccensis</i> o	<i>Prioniturus mada</i> o	

+ 1991, IUCN/SSC, WCMC, Traffic, Draft: Review of Significant Trade in Animal Species Included in CITES Appendix II

° 1982, CITES Appendix II, Significant Trade Species (C2 'possible problem' species)

o 1982, International Council for Bird Preservation (ICBP), ICBP's World Checklist of Threatened Species