CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA

Seventy-fourth meeting of the Standing Committee
Lyon (France), 7 - 11 March 2022

SUMMARY
THURSDAY 10 MARCH
AFTERNOON

57. Review of trade in animal specimens
reported as produced in captivity ................................................................. SC74 Doc. 57

The Committee recommended that the Secretariat convene a workshop for the Standing Committee to update the review of the provisions of Resolution Conf. 17.7 in the first half of 2022, either in person or online, and invite Members of the Standing Committee, Animals Committee and other interested Parties, including those that have been involved in the process. The Committee requested that the Secretariat submit the results of the workshop to CoP19.

For Centrochelys sulcata from Benin, the Committee agreed that Benin be retained in the review and requested to

a) provide documentation for the justification of the legal origin of the founder stock;

b) amend the published quota to include only specimens with a maximum carapace length of 15 cm; and

c) provide information (e.g. in the form of stud books, pictures or other documentation) that allows the assessment of the ability of the breeding facilities to produce F1/F21 offspring in the reported numbers and the ability of the facilities in its territory to produce F2 specimens or manage the species in a manner demonstrated to be capable of doing so by 1 June 2022.

For Centrochelys sulcata from Ghana, the Committee agreed that Ghana be retained in the review and requested to respond to the Standing Committee’s recommendations by 1 June 2022.

For Varanus exanthematicus from Ghana, the Committee agreed that Ghana be retained in the review and requested to respond to the Standing Committee’s recommendations by 1 June 2022.

For Cacatua alba from Indonesia, the Committee agreed that Indonesia be retained in the review and requested to provide by 1 June 2022 a more detailed explanation of the practices and production at facility 1, stud books/pedigrees or other documentation that the breeding facilities are able to produce F1/F2 generations and specimens in the numbers claimed.

For Centrochelys sulcata from Mali, the Committee agreed that Mali be retained in the review and requested to:

1 As per paragraph 2 b) ii) C. of Resolution Conf. 10.16 (Rev.) on Specimens of animal species bred in captivity, “the breeding stock […] has produced offspring of second generation (F2) or subsequent generation (F3, F4, etc.) in a controlled environment; or is managed in a manner that has been demonstrated to be capable of reliably producing second-generation offspring in a controlled environment.”
a) provide evidence of the legal acquisition of the stock,

b) provide documentation and evidence in the form of stud books, pictures or other documentation that allows the assessment of the ability of the breeding facilities to produce F1/F2 offspring in the reported numbers,

c) amend the published quota to include only specimens with a maximum carapace length of 15 cm and the scientific basis by which it has been established that the quantities of Centrochelys sulcata taken from the wild and used as breeding stock would not be detrimental to the survival of the species by 1 June 2022.

For Centrochelys sulcata from Togo, the Committee agreed that Togo be retained in the review and requested to provide an update on the Standing Committee’s recommendations by 1 June 2022. The concerns of the Animals Committee will be included in the letter sent to Togo following this meeting.

For Hippocampus comes from Viet Nam, the Committee agreed that Viet Nam be retained in the review and that, by 1 June 2022, Viet Nam should confirm that if export of specimens recommence from these or similar facilities with source code “W” or “F” it will make legal acquisition and non-detriment findings prior to authorising export.

For Vulpes zerda from Sudan, the Committee agreed that Sudan be retained in the review until Sudan confirms the purpose of the breeding facility set up by the Management Authority of Sudan. If that purpose should be commercial for international trade, then a NDF for the offtake of the founder stock would need to be provided.

For Centrochelys sulcata from Sudan, the Committee agreed that Sudan be retained in the review until Sudan confirms the purpose of the breeding facility set up by the Management Authority of Sudan. If that purpose should be commercial for international trade, then a NDF for the offtake of the founder stock would need to be provided.

For Geochelone elegans from Jordan, the Committee agreed that Jordan be retained in the review until that Party provides clarifications, particularly on the identification of species held at the breeding facility and requested the Secretariat to report back to SC75.

For Testudo hermanni from North Macedonia, the Committee agreed that North Macedonia be retained in the review until the Secretariat provides a legal perspective at SC75 about the NDF requirement when the founder stock of a captive-breeding facility has been taken from the wild before the Party joined the Convention.

For Ornithoptera croesus from Indonesia, the Committee agreed that Indonesia be removed from the review. Should Indonesia want to resume trade in the species, an appropriate NDF for the eventual founder stock of a captive breeding facility or a facility producing specimens with source code R are provided to the Secretariat, for review by the Secretariat and by the Animals Committee.

For Varanus timorensis from Indonesia, the Committee agreed that Indonesia be removed from the review. Should Indonesia want to resume trade in the species, an appropriate NDF for the eventual founder stock of a captive breeding facility or a facility producing specimens with source code R are provided to the Secretariat, for review by the Secretariat and by the Animals Committee.

For Oophaga pumilio from Nicaragua, the Committee agreed that Nicaragua be removed from the review.

For Oophaga pumilio from Panama, the Committee agreed that Panama be removed from the review. Should Panama want to resume trade in the species, an appropriate NDF for the eventual founder stock of a captive breeding facility or a facility producing specimens with source code R are provided to the Secretariat, for review by the Secretariat and by the Animals Committee.
30. Review of Significant Trade in specimens of Appendix-II species

30.1 Implementation of recommendations of the Animals and Plants Committees .................................................................................................. SC74 Doc. 30.1

For Amazona festiva/Guyana, the Committee:

a) requested Guyana to share the results of its population study of psittacines;

b) requested Guyana to maintain a zero-export quota for A. festiva until it provides information (including an NDF) to justify a higher quota to be agreed with the AC Chair; and

c) urged Guyana to provide information on the implementation of recommendations c) to f) no later than three months before the documentation deadline for SC77.

For Chelonia denticulata/Guyana, the Committee:

a) requested the Secretariat to publish a zero export quota for C. denticulata until Guyana provides information (including an NDF) to justify a higher quota to be agreed with the AC Chair; and

b) urged Guyana to provide an update on the implementation of recommendations d) to f) no later than three months before the documentation deadline for SC77.

For Macaca fascicularis/Lao People’s Democratic Republic, the Committee agreed to lift the current recommendation to suspend trade and recommend the removal of the species/country combination Macaca fascicularis/Lao PDR from the review of significant trade process, subject to the publication of a zero export quota for specimens of source codes W, F and R. Should Lao PDR wish to resume trade under any of these source codes, it must communicate this to the Secretariat and Chair of the Animals Committee along with a justification (including an NDF), for their agreement.

For Amazona farinosa/Guyana, the Committee:

a) requested Guyana to share the results of its population study of psittacines; and

b) urged Guyana to provide information on the implementation of recommendations b) to k) no later than three months before the documentation deadline for SC77.

For Amazona farinosa/Suriname, the Committee:

a) requested the Secretariat to publish a zero export quota for A. farinosa until Suriname provides information (including an NDF) to justify a higher quota to be agreed with the AC Chair; and

b) urged Suriname to provide an update on the implementation of recommendations b) to m) no later than three months before the documentation deadline for SC77.

For Ara ararauna/Guyana, the Committee:

a) requested Guyana to share the results of its population study of psittacines;

b) requested the Standing Committee to consider the proposed increase in the quota at SC75 following a review by the Animals Committee; and

C) urged Guyana to provide information on the implementation of the outstanding recommendations no later than three months before the documentation deadline for SC77.

For Ara ararauna/Suriname, the Committee:

a) requested the Secretariat to publish a zero-export quota for A. ararauna until Suriname provides information (including an NDF) to justify a higher quota to be agreed with the AC Chair; and
b) urged Suriname to provide an update on the implementation of recommendations b) to m) no later than three months before the documentation deadline for SC77.

For *Ara chloropterus*/Guyana, the Committee:

a) noted that recommendation a) has been complied with;

b) requested Guyana to share the results of its population study of psittacines; and

c) urged Guyana to provide information on the implementation of recommendations b) to k) no later than three months before the documentation deadline for SC77.

For *Ara chloropterus*/Suriname, the Committee:

a) requested the Secretariat to publish a zero-export quota for *A. chloropterus* until Suriname provides information (including an NDF) to justify a higher quota to be agreed with the AC Chair; and

b) urged Suriname to provide an update on the implementation of recommendations b) to m) no later than three months before the documentation deadline for SC77.

For *Poicephalus gulielmi*/Democratic Republic of the Congo, the Committee:

a) commended DRC for implementing recommendations a) and b) in a timely manner; and

b) urged DRC to provide an update on the implementation of the remaining recommendations c) to g) no later than three months before the documentation deadline for SC77.

For *Uromastyx geyri*/Mali, the Committee:

a) requested the Secretariat to publish an interim zero export quota for specimens of *Uromastyx geyri* from Mali traded under source codes W, F and R, which shall remain in place until Mali provides information (including an NDF) to justify a higher quota to be agreed with the AC Chair;

b) requested Mali to explain the discrepancies, including the lack of source codes, in the trade data; and

c) urged Mali to provide an update on the implementation of recommendations b) to j) no later than three months before the documentation deadline for SC77.

For *Cuora amboinensis*/Indonesia, the Committee:

a) noted that recommendation a) has been complied with; and

b) urged Indonesia to provide an update on the implementation of recommendations b) to k) no later than three months before the documentation deadline for SC77.

For *Anguilla anguilla*/Algeria, the Committee:

a) noted that recommendations a) and b) have been complied with;

b) invited Algeria to submit the scientific justification for the proposed increase in the quota;

c) commended Algeria for the progress made to-date in implementing the remaining recommendations c) to l); and

d) requested the Secretariat to produce a detailed summary of the information provided by Algeria for review by the Animals Committee and the IUCN anguillid specialist group, to be further considered by SC75.
For *Anguilla anguilla*/Morocco, the Committee:

a) acknowledged that the situation for production of *A. anguilla* in Morocco is different from that of Algeria and Tunisia;

b) commended Morocco for the detailed response it has provided and the measures it has put in place to manage the species and ensure a strong traceability system is in place; and

c) requested the Secretariat to produce a detailed summary of the information provided by Morocco for review by the Animals Committee and the IUCN anguillid specialist group, to be further considered by SC75.

For *Anguilla anguilla*/Tunisia, the Committee:

a) noted that recommendations a) and b) have been complied with;

b) commended Tunisia for the progress made to-date in implementing the remaining recommendations c) to f); and

c) requested the Secretariat to produce a detailed summary of the information provided by Tunisia for review by the Animals Committee and the IUCN anguillid specialist group, to be further considered by SC75.

For *Prunus africana*/Cameroon, the Committee:

a) noted that Cameroon had complied with recommendations a) and b) of the Plants Committee and associated recommendations of the Standing Committee;

b) encouraged Cameroon to continue the implementation of remaining recommendations c) to e) of the Plants Committee by consolidating the information it has accrued on NDFs, as well as through the implementation of the relevant project under the CITES Tree Species Programme; and,

c) encouraged Cameroon to complete implementation of all outstanding recommendations, including recommendation m) of the Standing Committee, in time for the matter to be considered at SC77.

For *Pericopsis elata*/Congo, the Committee:

a) commended Congo in the progress achieved in the implementation of recommendations a) to f) of the Plants Committee;

b) encouraged Congo to finalise implementation of recommendations a) and b) by clarifying pending aspects relating to the quotas for 2019 and 2021 onwards;

c) encouraged Congo to submit updated NDFs covering all forestry concessions licensed for export and associated information to justify the sustainability of quotas, in support of the implementation of decisions c) to f) of the Plants Committee; and,

d) encouraged Congo to complete implementation of all outstanding recommendations in time for the matter to be considered at SC77.

For *Prunus africana*/Democratic Republic of the Congo, the Committee:

a) acknowledged that the Democratic Republic of the Congo has complied with recommendation a) of the Plants Committee;

b) acknowledged the progress made by the Democratic Republic of the Congo in the implementation of recommendations b) to d) of the Plants Committee, and encouraged it to share with the Chair of the Plants Committee the outcomes of the relevant project under the CITES Tree Species Programme (CTSP) in furtherance of fully implementing said recommendations;
c) recommended the Democratic Republic of the Congo to present monitoring information on the impacts of the agreed annual export quotas for 2019 and 2020 on the population status of *P. africana* in harvested regions;

d) recommended that the Democratic Republic of the Congo, prior to fully implementing the outstanding recommendations, any increases in the currently published quota, communicate to the Secretariat and the Chair of the Plants Committee as to the scientific basis by which it has established that exports are not detrimental to the survival of the species and are compliant with Article IV, paragraphs 2(a), 3 and 6(a) of the Convention;

e) encouraged the Democratic Republic of the Congo to establish and communicate to the Secretariat a zero-export quota for regions in which it does not intend to undertake harvest of *Prunus africana*; and,

f) encouraged the Democratic Republic of the Congo to implement all outstanding recommendations in time for the matter to be considered at SC77.

For *Pericopsis elata*/Democratic Republic of the Congo, the Committee:

a) acknowledged that the Democratic Republic of the Congo has complied with recommendations a) and b) of the Plants Committee;

b) acknowledged the progress made by the Democratic Republic of the Congo in the implementation of recommendations c) to e) of the Plants Committee, in light of the outcomes of the relevant project under the CITES Tree Species Programme (CTSP);

c) recommended that the Democratic Republic of the Congo continue to report to the Secretariat and the Chair of the Plants Committee on the implementation of recommendations c) to e) of the Plants Committee and consult with them on conservative *P. elata* quotas for 2022 onwards, supported by relevant findings of upcoming outcomes under the CTSP project; and,

d) encouraged the Democratic Republic of the Congo to finalize the implementation of all outstanding recommendations in time for the matter to be considered at SC77.

For *Pterocarpus santalinus*/India, the Committee:

a) noted that recommendation a) of the Plants Committee has been complied with;

b) requested clarification from India as to how the study submitted under recommendation b) of the Plants Committee will translate into the publication of quotas for artificially propagated specimens for 2020 onwards;

c) encouraged India to provide an update of the remaining stock of the one-time export of confiscated specimens from 2019 onwards, in order to complete implementation of recommendations c) and e) of the Standing Committee;

d) encouraged India to finalize the implementation of all outstanding recommendations in time for the matter to be considered at SC77; and

e) requested the Secretariat to review the additional information provided by India in consultation with the Plants Committee, through its Chair, and to provide an update to SC75.

For *Nardostachys grandiflora*/Nepal, the Committee:

a) commended Nepal in its commitment to establish precautionary export quotas for *Nardostachys grandiflora*;

b) requested Nepal to continue to consult with the Secretariat and the Chair of the Plants Committee any quota for 2022 onwards; and,

c) requested the Secretariat to report progress on this case to SC75, including recommendations regarding its potential removal from the review of significant trade process.
For *Dalbergia retusa*/Nicaragua, the Committee:

a) commended Nicaragua in its timely implementation of the Plants Committee’s recommendations a) and b);

b) requested Nicaragua to clarify how the NDFs thus far produced will translate into the establishment of sustainable annual quotas; and,

c) requested Nicaragua to finalise implementation of recommendations c) and d) of the Plants Committee by three months before the documentation deadline for SC77.

For *Dalbergia retusa*/Panama, the Committee:

a) urged Panama to implement recommendations a) to e) by three months before the documentation deadline for SC77; and,

b) if Panama fails to meet that deadline, request the Secretariat to publish a zero-export quota as an interim measure, and encourage Panama to implement outstanding recommendations in time for the matter to be considered at SC77.

For *Bulnesia sarmientoi*/Paraguay, the Committee:

a) commend Paraguay in its commitment to formulate NDFs and precautionary export quotas for *Bulnesia sarmientoi*;

b) requested Paraguay to continue to consult with the Secretariat and the Chair of the Plants Committee any quota for 2022 onwards; and,

c) requested the Secretariat to report progress on this case to SC75, including recommendations regarding its potential removal from the review of significant trade process.

### 30.2 Review of Standing Committee recommendations to suspend trade made more than two years ago

The Committee:

a) withdrew its recommendation to suspend trade for *Cycas thouarsii* from Mozambique, noting that the botanical nomenclature expert should look into whether Mozambique is a range State for that species, and if appropriate recommend updates to relevant databases;

b) withdrew its recommendation to suspend trade for *Plerogyra simplex* and *P. sinosa* from Fiji, subject to the publication of voluntary zero export quotas, recalling the provisions of paragraph k) i) of Resolution Conf. 12.8 (Rev. CoP18) that in such circumstances any change to the quota should be communicated to the Secretariat and Chair of the relevant Committee along with a justification (including an NDF), for their agreement;

c) withdrew its recommendation to suspend trade for *Kinyongia fischeri* and *K. tavetana* from the United Republic of Tanzania, subject to the publication of voluntary zero export quotas, recalling the provisions of paragraph k) i) of Resolution Conf. 12.8 (Rev. CoP18) that in such circumstances any change to the quota should be communicated to the Secretariat and Chair of the relevant Committee along with a justification (including an NDF), for their agreement;

d) agreed to retain the recommendations to suspend trade for the following species/country combinations; and
### Range State | Taxon
---|---
Belize | *Myrmecophila tibicinis*
Benin | *Chamaeleo gracilis*
 | *Chamaeleo senegalensis*
 | *Kinixys homeana*
Cameroon | *Trioceros quadricornis*
Côte d'Ivoire | *Pericopsis elata*
Equatorial Guinea | *Prunus africana*
Ghana | *Chamaeleo gracilis*
 | *Chamaeleo senegalensis*
Guinea | *Hippocampus algiricus*
Lao People's Democratic Republic | *Dendrobium nobile*
Senegal | *Hippocampus algiricus*
Solomon Islands | *Tridacna derasa,*
 | *Tridacna crocea*
 | *Tridacna gigas*
 | *Tridacna maxima*
 | *Tridacna ningaloo*<sup>2</sup>
 | *Tridacna noae*<sup>3</sup>
 | *Tridacna squamosa*

e) requested the Secretariat to write to the exporting and importing Parties referred to in paragraph 16 a) in relation to potential non-compliance with the Standing Committee’s recommendations to suspend trade, to check the accuracy of the data and remind them of their obligations under the Convention and report back to the Standing Committee where non-compliance is confirmed.

21. **Livelihoods**

21.1 **Report of the working group** .................................................. SC74 Doc. 21.1

and

21.2 **Report of the Secretariat** .................................................. SC74 Doc. 21.2

The Committee noted documents SC74 Doc. 21.1 and SC74 Doc. 21.2 and its annexes and requested the Secretariat to work with the Standing Committee Chair and the Chair of the working group on livelihoods to draft revised decisions to submit to CoP19.

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<sup>2</sup> Recognized as a new species at CoP17

<sup>3</sup> Split from Tridacna maxima at CoP17