

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Sixty-ninth meeting of the Standing Committee
Geneva (Switzerland), 27 November - 1 December 2017

Interpretation and implementation matters

General compliance and enforcement

National reports

ANNUAL ILLEGAL TRADE REPORTS:
REPORT OF THE SECRETARIAT

1. This document has been prepared by the Secretariat.
2. In Resolution Conf. 11.17 (Rev. CoP17) on *National reports*, the Conference of the Parties:
 3. *URGES all Parties, commencing in 2017, to submit an annual illegal trade report by 31 October each year covering actions in the preceding year and in accordance with the report format distributed by the Secretariat, as may be amended by the Secretariat from time to time with the concurrence of the Standing Committee;*
 4. *DIRECTS the Secretariat, unless otherwise specified by the reporting Party, to share the data collected in the annual illegal trade report with the members of the International Consortium on Combating Wildlife Crime (ICWC), to allow data to be used in ICWC global research and analysis studies on wildlife and forest crime;*
3. In Resolution Conf. 11.3 (Rev. CoP17) on *Compliance and enforcement*, the Conference of the Parties:
 14. *DIRECTS the Secretariat to, subject to available resources:*
 - a) *analyse, in collaboration with ICWC partners, the annual reports on illegal wildlife trade;*
 - b) *share with Parties information relating to the analysis to support further enforcement activities; and*
 - c) *submit a report at each meeting of the Standing Committee and the Conference of Parties based on the analysis and other relevant information available through ICWC partners;*
4. At its 17th meeting (CoP17, Johannesburg, 2016), the Conference of the Parties adopted *inter alia* Decisions 17.121 and 17.122 on *Reporting requirements*.

Directed to the Secretariat

17.121 *The Secretariat shall engage with appropriate bodies, such as, but not limited to, the United Nations Environment Programme-World Conservation Monitoring Centre (UNEP-WCMC) and/or the United Nations Office on Drugs and Crime (UNODC), regarding the establishment of a sustainable global framework to store and manage illegal trade data collected through the Parties' annual illegal trade reports, including identifying the associated cost implications and*

how such costs could be met, and report to the Standing Committee with its findings and recommendations.

Directed to the Standing Committee

17.122 *The Standing Committee shall review the findings and recommendations of the Secretariat reported under Decision 17.121 and prepare its own findings and recommendations for the consideration of the 18th meeting of the Conference of the Parties.*

Guidelines for the preparation and submission of CITES annual illegal trade reports

5. At its 66th meeting (SC66, Geneva, January 2016), the Standing Committee adopted a new annual illegal trade report. The new annual illegal trade report format was made available to Parties in the Annex to Notification to the Parties No. 2016/007¹ of 5 February 2016.
6. The Secretariat subsequently prepared draft *Guidelines for the preparation and submission of CITES annual illegal trade reports*, using as far as possible, terms consistent with those used in the *Guidelines for the preparation and submission of CITES annual reports*, to promote ease of use. These draft guidelines were made available to Parties in the Annex to Notification to the Parties No. 2017/040 of 16 May 2017, inviting comments on it.²
7. The Secretariat received comments from four Parties and two observers³ on the guidelines, as well as on the format for the illegal trade report. The Secretariat would like to thank these Parties and observers for the inputs provided. The Secretariat has taken comments and suggestions received into account as appropriate, and revised the draft guidelines, which are subject to approval by the Standing Committee, accordingly. The revised draft guidelines, including a sample reporting format, are available in Annex 1 to the present document, for consideration by the Committee.

Submission and sharing of annual illegal trade reports

8. In accordance with Resolution 11.17 (Rev. CoP17) paragraph 3, the first annual illegal trade reports from Parties are due on 31 October 2017, covering data from 2016. The annual illegal trade report is mandatory, but not subject to compliance procedures.
9. At the time of writing (September 2017), the Secretariat had already received reports from 13 Parties. The Secretariat would like to thank these Parties for the reports submitted. It should however be noted that seven of these annual illegal trade reports were forwarded to the Secretariat by the United Nations Environment Programme – World Conservation Monitoring Centre (UNEP-WCMC), who received the reports directly from Parties. Parties are reminded that annual illegal trade reports should be submitted to the Secretariat, preferably by email, using the following email address: reporting@cites.org
10. Unless otherwise specified by the reporting Party, the Secretariat will in accordance with Resolution Conf. 11.17 (Rev. CoP17), paragraph 4, share the annual illegal trade reports received with UNODC, to be used in the ICCWC global research and analysis studies on wildlife and forest crime. The reports received at the time of writing, have been shared accordingly.

Storage and management of illegal trade data

11. The Secretariat engaged and consulted with the UNEP-WCMC and UNODC regarding the “sustainable global framework” to store and manage illegal trade data collected through the Parties’ annual illegal trade reports, as outlined in Decision 17.121.
12. On the basis of these consultations, and given UNODC’s extensive experience in global data collection on crime and criminal justice and in the maintenance of a wide range of crime and seizure databases, the

¹ <https://cites.org/sites/default/files/notif/E-Notif-2016-007.pdf>

² <https://cites.org/sites/default/files/notif/E-Notif-2017-040.pdf>

³ *The European Union, Switzerland, the United States of America, Venezuela, the International Air Transport Association (IATA), and the United Nations Environment Programme – World Conservation Monitoring Centre (UNEP-WCMC).*

Secretariat recommends that UNODC be engaged to establish a sustainable global framework to store and manage illegal trade data collected through annual illegal trade reports.

13. More information on the UNODC expertise, as well as a preliminary proposal by UNODC for the development of a database to store and manage illegal trade data collected through the annual illegal trade report, can be found in Annex 2 to the present document. During consultations with UNODC and UNEP-WCMC, the latter also indicated its willingness to act in an advisory role to UNODC, in particular to help ensure that the database will apply the same nomenclature references and data standards as for the annual trade report, and be compatible with the CITES legal trade database.
14. The cost for the development of the data platform, and for storage and maintenance of data collected through annual illegal trade reports is estimated to be approximately USD 204,017 for the first year, and USD 159,945 for the second and subsequent years.
15. The funding required for the development of the data platform and the storage and management of the CITES illegal trade data has not yet been budgeted, and the funds would therefore need to be secured, either through a decision of the Conference of the Parties to fund this work from the CITES Trust Fund (CTL), or alternatively through extra budgetary resources to the CITES external Trust Fund (QTL). The annual illegal trade report is mandatory and the importance attached to this reporting should be reflected in the budget. In order to guarantee the sustainability of the framework, subject to funding being available, the Secretariat considers that it would be preferable for these costs to be built into the core budget of the Secretariat, which is equivalent to what is done for the annual legal trade report. If agreed, this would need to be added to the costed programme of work and agreed by the Conference of the Parties. Such a proposal can be reflected in the draft CITES Trust Fund (CTL) budget for the years 2020-2022 to be considered by the Conference of the Parties at its 18th meeting.
16. The Secretariat also prepared a document containing the requirements it believes should be met concerning the storage and management of illegal trade data collected through the CITES annual illegal trade report, available as Annex 3 to the present document. The Committee is invited to endorse these requirements, which can serve as the basis to be used by the Secretariat in any future engagement on the development of a sustainable global framework to store and manage illegal trade data, as foreseen by Decision 17.121 .

Analysis of illegal trade reports

17. Due to the fact that the first annual illegal trade reports are only due on 31 October 2017, it is not possible to prepare a report for the present meeting in accordance with the provisions of Resolution Conf. 11.3 (Rev. CoP17), paragraph 14 c). The Secretariat will, subject to available resources and in cooperation with its ICCWC partners, work to prepare such a report for the 70th meeting of the Standing Committee. It should be noted that no funding for such work have been received at the time of writing, and funding support for such work would be welcomed by the Secretariat.

UNGA Resolution on *Tackling illicit trafficking in wildlife*

18. In September 2017, at its 71st session, the United Nations General Assembly adopted a Resolution on *Tackling illicit trafficking in wildlife*.⁴ The Resolution, among other things, encourages member States to enhance their enforcement efforts, including through recording and monitoring both seizures and successful prosecutions, in order to more effectively counter and deter the illegal trade in wildlife. It further requests UNODC, within its mandate and resources, and in close cooperation and collaboration with member States, to continue and to strengthen the collection of information on patterns and flows of illicit trafficking in wildlife and to report thereon biennially. The Resolution also requests the Secretary-General of the UN to report to the General Assembly at its seventy-third session on the global status of illicit trafficking in wildlife, including poaching and illegal trade, and to make proposals for possible future action.
19. The CITES annual illegal trade reports will directly contribute to implementing the UN General Assembly Resolution.

⁴ https://cites.org/eng/news/pr/CITES_welcomes_new_powerful_UN_resolution_to_tackle_wildlife_trafficking_12092017

Recommendations

20. The Standing Committee is invited to:

- a) approve the *Guidelines for the preparation of the CITES illegal trade report*, contained in Annex 1 to the present document, and request the Secretariat to make it available to Parties through a Notification to the Parties, in the three working languages of the Convention; and
- b) in implementing Decision 17.122:
 - i) note the information and the preliminary proposal by UNODC for the development of a database to store and manage illegal trade data collected through the annual illegal trade reports, in Annex 2 of the present document;
 - ii) recommend to the Conference of the Parties at its 18th meeting, that UNODC be requested to establish and host a database for the storage and management of illegal trade data collected through annual illegal trade reports;
 - iii) request the Secretariat to include the cost associated with the storage and management of illegal trade data, in its draft CITES Trust Fund (CTL) budget for the years 2020-2022 to be considered by the Conference of the Parties at its 18th meeting;
 - iv) endorse the requirements to be met concerning the storage and management of illegal trade data collected through the annual illegal trade reports, as prepared by the Secretariat and presented in Annex 3 to the present document; and
 - v) request the Secretariat to work with UNODC to prepare a detailed proposal for the development of a database to store and manage illegal trade data collected through CITES annual illegal trade reports, incorporating the requirements referred to in recommendation b) iv) above and including the budget breakdown, for consideration by the Committee at its 70th meeting, and subsequent submission to the 18th meeting of the Conference of the Parties for consideration.

Guidelines for the preparation and submission of the CITES annual illegal trade report

1. Introduction

- a) At its 17th meeting (CoP17, Johannesburg, 2016), the Conference of the Parties to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) decided to introduce a new CITES illegal trade report by inserting paragraph 3 in [Resolution Conf. 11.17 \(Rev. CoP17\)](#) on *National reports*. The Conference of the Parties in paragraph 3:

3. *URGES all Parties, commencing in 2017, to submit an annual illegal trade report by 31 October each year covering actions in the preceding year and in accordance with the report format distributed by the Secretariat, as may be amended by the Secretariat from time to time with the concurrence of the Standing Committee.*

- b) The present guidelines for the preparation and submission of CITES annual illegal trade reports were prepared by the Secretariat and approved by the Standing Committee at its 69th meeting (SC69, Geneva, 2017).
- c) The standard format for the CITES annual illegal trade report agreed by the Standing Committee is included at the end of this guidelines.
- d) The guidelines also include a sample report, titled *SAMPLE ILLEGAL TRADE REPORT (hypothetical examples)*, containing fictive examples prepared by the Secretariat to illustrate the guidance. This sample report containing the fictive examples can be found immediately after the standard format for the CITES annual illegal trade report at the end of this document.
- e) It is recalled that Resolution Conf. 11.17 (Rev. CoP17) directs the Secretariat to, unless otherwise specified by the reporting Party, share the data collected in the CITES annual illegal trade report with the members of the International Consortium on Combating Wildlife Crime (ICWC),⁵ to allow data to be used in ICCWC global research and analysis studies on wildlife and forest crime. Each Party should indicate in the annual illegal trade report it submit, whether the data provided in the report may be used in the ICCWC-supported global research and analysis on wildlife and forest crime. This must be done by ticking the appropriate box provided in the format for reporting. In the event that no box is ticked, the Secretariat will consider that the report can be shared with the members of ICCWC.
- f) Resolution Conf. 11.3 (Rev. CoP17) on *Compliance and Enforcement* in paragraph 14, DIRECTS the Secretariat to, subject to available resources:
- a) *analyse, in collaboration with ICCWC partners, the annual reports on illegal wildlife trade;*
 - b) *share with Parties information relating to the analysis to support further enforcement activities; and*
 - c) *submit a report at each meeting of the Standing Committee and the Conference of Parties based on the analysis and other relevant information available through ICCWC partners.*

2. General principles

- a) The annual illegal trade report must be sent to the CITES Secretariat in Geneva, Switzerland, preferably by email. Only the following email address must be used for this purpose: reporting@cites.org
- b) Each annual illegal trade report must cover the period 1 January to 31 December.

⁵ <https://cites.org/eng/prog/icwc.php>

- c) The standard format for the CITES annual illegal trade report in Excel is available from the CITES website: <https://cites.org/sites/default/files/reports/E-illegal%20trade%20reporting%20format.xlsx>
- d) The annual illegal trade report should, where possible, be submitted in electronic format (ideally in electronic tabular form, such as tables within Microsoft Excel or Microsoft Word documents). Submission of PDF files should preferably be avoided as these cannot be easily converted.
- e) The annual illegal trade report should be submitted to the Secretariat by **31 October** of the year following the year to which it relate, i.e. the first annual illegal trade report was due on 31 October 2017, covering data from 2016.
- f) The annual illegal trade report should be prepared in one of the three working languages of the Convention: English, French or Spanish.
- g) The annual illegal trade report should include information on all seizures for violations involving CITES-listed species, irrespective of whether the seizure was made at an international border, or at domestic level for example during the search of a private or business property or during inspections at domestic markets.
- h) The illegal trade report should, to the extent possible, only include incidents that involve a violation of CITES. If the reason for the seizure is for example exclusively the violation of a national health regulation restricting import of certain live animals and not related to CITES, it should preferably not be included in the report. In cases of doubt, please include the incident.

3. Specific instructions

The headings below refer to the column headings in the standard format for the CITES annual illegal trade report provided at the end of this guidance.

National reference number (optional)

It is optional to include a national reference number or case number and no particular format for such a number is required. A national reference number could facilitate communication with Parties should further information or clarification be needed concerning a specific seizure or incident.

Date of seizure

The date of the incident as recorded in the official report on the seizure must be stated. The date should be indicated in the following format: DD/MM/YEAR or DD-MM-YEAR. Example: 9 July 2016 should be indicated as follows: 09/07/2016 or 09-07-2016. If it is not possible to establish a precise date, enter the month and year in which the seizure occurred, as follows: XX/07/2016 or XX-07-2016.

For seizures made in a previous year, which were not included in the report for that year, it is suggested that such seizures are included and consolidated at the bottom of the illegal trade report, immediately after the last entry relevant to the actual year of reporting. Parties are encouraged to make every effort to limit such reporting to exceptional cases.

Species

Enter the scientific name of the species or subspecies. The scientific names used should correspond to those recorded in the CITES Appendices or, for species included in the Appendices as part of a higher-taxon listing, those included in the standard lists of names approved by the Conference of the Parties (approved names can also be found in the [Checklist of CITES species](#) and in [Species+](#), on the CITES website⁶). Abbreviations (e.g. "*F. cherrug*") and common names should only be used if no other information is available.

If the specimens/species cannot be identified the name of the genus or a higher taxon should be indicated.

⁶ <https://www.cites.org/>

Only one species should be included per row of the illegal trade report. If more than one species and/or more than one type of specimen for a specific species were seized during the same incident, these should be recorded on separate rows, each with corresponding quantity and unit, method of concealment, etc.

Description of specimen

Seized specimens should be described as precisely as possible. For this purpose, reporting Parties are invited to use the trade term codes, listed in section 6 a) below. If it is not clear which is the correct term to use, or if the specimens are not apparently covered by any of the terms in section 6 a), Parties should describe the seized specimens directly in this column.

Quantity

Enter the numerical value for the quantity of items seized. Quantity and unit should be indicated in separate columns. See the next section for details on reporting units.

The use of thousand separators (e.g. comma, point or space/period) in the quantity field should be avoided, and the use of either a point or a comma as a decimal separator should be consistent throughout the report.

Unit

Enter the appropriate unit of measurement for the description of the specimens that have been seized using the units provided in section 6 a).

As far as possible, units for weight, volume and length should be recorded in metric measures. Quantities should always be recorded in standard units of measure and never in non-standard units such as 'boxes', 'cartons,' 'containers' or 'bales'.

If no unit is specified, this will be assumed to mean "number" (e.g. number of live animals).

Location of incident

The location where the seizure took place should be indicated. If possible, this should be specified by the name of a port of entry, the name of an airport, a city/town or a specific border crossing. The address of the location is not required.

If no information on the location of the seizure is available, the ISO2-code of the country in which the seizure took place should be indicated. Do not use any other country codes or country names than the ones listed in section 6 b) of this guidance document.

Detecting agency

The agency that discovered the offence should be indicated. In the electronic format for reporting, the following options have been pre-selected: police, customs or wildlife agency. These three would include all agencies administratively linked to them. For instance, the customs authorities may have specific units in airports, in ports and harbours and at border crossings. However, as they are all part of the customs, that option should be selected. If none of the pre-selected authorities seized the specimen, please indicate the authority in question (this could for instance be the fisheries inspection or veterinary services).

Method of detection (optional)

It is optional to provide information on the method of detection. However, Parties are encouraged to provide the information if available. Understanding which methods of detection are used successfully could contribute to addressing illegal trafficking of wildlife. If the information is not available, or if the Party is not in a position to share the information for other reasons, the column can be left empty.

The following methods of detection have been pre-selected:

Scanning images

Risk assessment

Random check

Sniffer dog

Third-Party information

Physical inspection

Other (specify):

Reason for seizure

As noted above, the data should only include violations involving CITES-listed species. Seizures made for other reasons, e.g. violation of national hunting legislation, should preferably not be included in the report.

The pre-selected options for the legal reason for the seizure are: No CITES permit, mis-declared, illegal crossing, other (please specify).

No CITES permit: This means that the specimen seized should have been accompanied by a CITES permit or a CITES certificate but that no documents were provided upon request. It would also cover situations where the specimen was found unaccompanied.

Mis-declared: This covers cases of invalid permits (e.g. expired), fraudulent permits (e.g. fraudulent signature), inexact permits (wrong species, quantity, listing), insufficient permits (e.g. no import permit for App. I listed species), or more species than indicated on the permit.

Illegal crossing: Most Parties have designated points and ports of entry in their national CITES legislation in accordance with paragraph 3 of Article VIII of the Convention. Illegal crossing refers to passage outside those designated points, even with valid CITES documents.

Other (please specify): This could for instance cover incidents of trade involving a Party that is currently under a recommendation to suspend trade.

Mode of transport

This refer to the mode of transport that was used at the time of the seizure. The five possible modes of transport that have been pre-selected are:

air,

mail

maritime,

rail, and

road.

If several modes of transport were used in the transaction that led to the seizure, the latest mode should be selected. E.g. if a specimen had travelled from country A to country B by air but was seized as it crossed the border from country B to country C by road, "road" should be selected as the mode of transport.

If the seizure took place before any transport or after the transport of the illegal consignment and no information is available on the mode of transport, please leave the cell empty.

Method of concealment

Please describe in a concise manner the way the specimen was concealed. The method of concealment will often depend on the means of transport and on the type of specimens. There are unlimited ways of concealing illegally traded specimens and therefore no pre-selected options are proposed in the format. If useful and available, add information on the mode of transport. Please see sample report at the end of this guidance for examples.

Alleged country of origin

If available, the name of the country of origin should be indicated by the use of two-letter ISO codes for the representation of names of countries, in accordance with the list in section 6 b) below. If the country of origin is unknown, the cell should be left empty. Do not use any other country codes or country names than the ones listed in section 6 b).

Country(ies) of transit

All known countries of transit should be indicated, and multiple transit countries should as far as possible be listed in the actual trade order separated by a comma (,). This is critically important information to better understand illegal trade routes. Please use the two-letter ISO codes for the representation of names of countries, in accordance with the list in section 6 b) below. If more detailed information is available concerning the trade route (i.e. name of port or airport, or border crossing), this may be provided in the column for additional information. If the countries of transit are unknown or not possible to credibly establish, leave the cell empty.

Alleged final destination

If available, the country of final destination should be indicated by the use of two-letter ISO codes for the representation of names of countries, in accordance with the list in section 6 b) below. If additional information about the final destination is available (e.g. region or city), this may be provided in the column for additional information. If the final destination is unknown or not possible to credibly establish, leave the cell empty.

Estimated value in country (optional)

The estimated value in the country of seizure should be indicated, if possible. If different from a declared value, it is estimated value that should be indicated. The value of the entire consignment should be indicated. E.g., if a shipment of 90 specimens each worth approximately USD 200 has been seized, the estimated value to indicate would be USD 18000. If several species were seized in one shipment (see above), they need to be reported on separate lines and information about their value must be provided separately as well.

If an estimated value of the seized shipment is not available, provide where possible a small range, e.g. USD 500-600 (keep the range as small as possible). Even an estimated range will be helpful to assess the total value of global illegal trade in specimens of CITES-listed species. It is preferable to provide the value in a globally recognized currency, such as USD or EUR, but if not possible, indicate in the currency in which the value has been provided. Please indicate the currency in the column to the left and the amount in the column to the right.

The use of thousand separators (e.g. comma, point or space/period) in the value field should be avoided.

Nationality of offenders (optional)

Where possible and if available, provide the nationality of each offender against whom administrative measures, criminal prosecutions or other court actions associated with the seizure have been initiated or imposed. If an offender has more than one nationality, please indicate all of them. Please see sample report at the end of this guidance for examples.

If no offender was identified, please indicate "N/A".

Only one offender should be included per row of the illegal trade report. If more than one offender were convicted or fined, this information should be provided on separate rows, each with corresponding law and sanction.

Please do NOT include the names of any offenders in the report. Parties who wish to bring the names of offenders to the attention of the CITES Secretariat should do so through separate correspondence.

Information on the offence related to the seizure

The last three columns relate to a subsequent case under which the offenders are brought to trial and sentenced as well as the final disposal of the confiscated specimens. These are marked as desirable because the information requested may only become available much later in time than the actual seizure. If information is not available because the case has not yet been finalized in court, please indicate this. If the case has been withdrawn, please indicate this, including the reasons for the withdrawal if possible.

Please note that information that becomes available subsequently to the submission of the annual illegal trade report should be reported in the Implementation report. See Notification No. 2016/006, and in particular section 1.7.5 on *inter alia* criminal prosecutions and other court actions for CITES-related offenses. The same seizure should not be reported again in the year the court actions take place, in cases where proceedings span more than one calendar year.

If a sanction, e.g. a fine or confiscation, has been imposed without a court case, please indicate the sanction.

Law under which the charges were brought (desirable)

If no charges have been brought, please indicate this.

If charges have been brought but the case not yet heard or concluded, information on the law under which the charges were brought can be provided. If several laws have been invoked in the charges, please indicate all of them.

Sanction (desirable)

If the case has been heard and a sentence pronounced, please indicate the sanction(s) (imprisonment, fine, confiscation, etc.) If there are more elements in the sanction, please include all of them. If an appeal is pending, please note this as well, but indicate the sanction pronounced in the first instance. If a sanction, such as a fine or confiscation, has been imposed without a court case, please indicate the sanction.

If the case has not (yet) been heard, please indicate this, using the term 'pending'.

Disposal of confiscated specimens (desirable)

Information in this column should include disposal of seized specimens in the instances where the seizure is final. In cases where disposal of the seized specimens can only take place after a court decision on confiscation, the information should only be provided when the final court decision has occurred. In such cases please indicate "Pending court decision".

Reference is made to [Resolution Conf. 17.8 on Disposal of illegally traded and confiscated specimens of CITES-listed species](#) and the provisions set out therein. The following pre-selected options are available to indicate the final disposal of the confiscated/seized specimens:

Returned to the country of export

Public zoos or botanical gardens

Designated rescue centres

Approved, private facilities

Euthanasia/destruction

Storage/Safekeeping

Sale/transformation

Educational purposes

Other (please specify):

Note that Resolution Conf. 17.8 contains guidance on disposal of confiscated specimens.

Additional information (optional)

If more information pertaining to the seizure is available and relevant in understanding and preventing illegal trade in wildlife, this could be included here. This could be further details regarding the methods of concealment or of detection or further details on the mode of transport, i.e. transported by air cargo. This section could also include further details on the exact location of the incident.

6. Terminology

a) Description of specimens and units of quantity

Description	Code	Preferred unit	Alternative unit	Explanation
baleen	BAL	kg	no.	whalebone
bark	BAR	kg		tree bark (raw, dried or powdered; unprocessed)
body	BOD	no.	kg	substantially whole dead animals, including fresh or processed fish, stuffed turtles, preserved butterflies, reptiles in alcohol, whole stuffed hunting trophies, etc.
bone	BON	kg	no.	bones, including jaws
calipee	CAL	kg		calipee or calipash (turtle cartilage for soup)
carapace	CAP	no.	kg	raw or unworked whole shells of Testudines species
carving	CAR	kg	no.	carved products other than ivory, bone or horn – for example coral and wood (including handicrafts). N.B: Ivory carvings should be specified as such (see below – “IVC”). Also, for species from which more than one type of product may be carved (e.g. horn and bone), the trade term code should indicate the type of product in trade (e.g. bone carving “BOC” or horn carving – “HOC”), where possible.
carving - bone	BOC	kg	no.	bone carving
carving - horn	HOC	kg	no.	horn carving
carving - ivory	IVC	kg	no.	ivory carvings, including e.g. smaller worked pieces of ivory (knife handles, chess sets, marjoram sets etc). N.B. Whole carved tusk should be reported as tusks (see “TUS” below). Jewellery made from carved ivory should be reported as ‘jewellery – ivory’ (see IJW below).
caviar	CAV	kg		unfertilized dead processed eggs from all species of Acipenseriformes; also known as roe
chips	CHP	kg		chips of timber, especially <i>Aquilaria</i> spp., <i>Gyrinops</i> spp. and <i>Pterocarpus santalinus</i>
claw	CLA	no.	kg	claws – e.g. of Felidae, Ursidae or Crocodylia (NB: ‘turtle claws’ are usually scales and not real claws)

Description	Code	Preferred unit	Alternative unit	Explanation
cloth	CLO	m ²	kg	cloth – if the cloth is not made entirely from the hair of a CITES species, the weight of hair of the species concerned should instead, if possible, be recorded under 'HAI'
coral (raw)	COR	no.	kg	raw or unworked coral and coral rock (also live rock and substrate) [as defined in Resolution Conf. 11.10 (Rev. CoP15)]. Coral rock should be recorded as 'Scleractinia spp.' NB: the trade should be recorded by number of pieces only if the coral specimens are transported in water. Live rock (transported moist in boxes) should be reported in kg; coral substrate should be reported as number of pieces (since these are transported in water as the substrate to which non-CITES corals are attached).
cosmetics	COS	g	ml	cosmetics which include extracts of CITES-listed species. The quantity should reflect the amount of CITES-listed species present.
culture	CUL	no. of flasks, etc.		cultures of artificially propagated plants
derivatives	DER	kg	l	derivatives (other than those included elsewhere in this table)
dried plant	DPL	no.		dried plants – e.g. herbarium specimens
ear	EAR	no.		ears – usually elephant
egg	EGG	no.	kg	whole dead or blown eggs (see also 'caviar')
egg (live)	EGL	no.	kg	live fertilized eggs – usually birds and reptiles but includes fish and invertebrates
eggshell	ESH	g/kg		raw or unworked eggshell except whole eggs
extract	EXT	kg	l	extract – usually plant extracts
feather	FEA	kg/ no. of wings	no.	feathers – in the case of objects (e.g. pictures) made of feathers, record the number of objects
fibre	FIB	kg	m	fibres – e.g. plant fibre but includes strings of tennis rackets
fin	FIN	kg	no.	fresh, frozen or dried fins and parts of fins (including flippers)
fingerlings	FIG	kg	no.	juvenile fish of one or two years of age for the aquarium trade, hatcheries or for release operations
flower	FLO	kg		flowers
flower pot	FPT	no.		flower pots made from parts of a plant – e.g. treefern fibres (NB: live plants traded in so-called 'community pots' should be recorded as 'live plants', not as flower pots)
frog legs	LEG	kg	no.	frog legs
fruit	FRU	kg		fruit

Description	Code	Preferred unit	Alternative unit	Explanation
foot	FOO	no.		feet – e.g. of elephant, rhinoceros, hippopotamus, lion, crocodile, etc.
fur products (large)	FPL	no.		large manufactured products of fur – e.g. bear or lynx fur blankets or other fur products of a substantial size.
fur product (small)	FPS	no.		small manufactured products of fur– including handbags, key fobs, purses, pillows, trim, etc.
gall	GAL	kg	no.	gall
gall bladder	GAB	no.	kg	gall bladder
garment	GAR	no.		garments – including gloves and hats but not shoes. Includes trimming or decoration on garments
genitalia	GEN	kg	no.	castrates and dried penes
gill plates	GIL	no.		gill plates (e.g. for sharks)
graft rootstock	GRS	no.		graft rootstocks (without the grafts)
hair	HAI	kg	g	hair – includes all animal hair, e.g. of elephant, yak, vicuña, guanaco
hair products	HAP	no.	g	products made of hair (e.g. elephant hair bracelets)
horn	HOR	no.	kg	horns – includes antlers
jewellery	JWL	no.	g	jewellery – including bracelets, necklaces, and other items of jewellery from products other than ivory (e.g. wood, coral, etc.)
jewellery - ivory	IJW	no.	g	jewellery made of ivory
leather product (large)	LPL	no.		large manufactured products of leather – e.g. briefcases, furniture, suitcases, travel trunks
leather product (small)	LPS	no.		small manufactured products of leather – e.g. belts, braces, bicycle saddles, cheque book or credit card holders, handbags, key fobs, notebooks, purses, shoes, tobacco pouches, wallets, watch-straps and trim
live	LIV	no.	kg	live animals and plants
leaf	LVS	kg	no.	leaves
logs	LOG	m ³		all wood in the rough, whether or not stripped of bark or sapwood, or roughly squared, for processing notably into sawn wood, pulpwood or veneer sheets. NB: trade in logs of special purpose timbers traded by weight (e.g. lignum vitae, <i>Guaiaicum</i> spp.) should be recorded in kg
meat	MEA	kg		meat, including flesh of fish if not whole (see 'body'), fresh or unprocessed meat as well as processed meat (e.g. smoked, raw, dried, frozen or tinned)
medicine	MED	kg	l	medicine
musk	MUS	g		musk
oil	OIL	kg	l	oil – e.g. from turtles, seals, whales, fish, various plants

Description	Code	Preferred unit	Alternative unit	Explanation
pearl	PRL	no.		pearl (e.g. for <i>Strombus gigas</i>)
piano keys	KEY	no.		ivory piano keys (e.g. one standard piano would be 52 ivory piano keys)
piece – bone	BOP	kg		pieces of bone, not manufactured
piece – horn	HOP	kg		pieces of horn, not manufactured – includes scrap
piece – ivory	IVP	kg		ivory pieces, not manufactured – includes scrap
plate	PLA	m ²		plates of fur skins – includes rugs if made of several skins
plywood	PLY	m ²	m ³	material consisting of three or more sheets of wood glued and pressed one on the other and generally disposed so that the grains of successive layers are at an angle
powder	POW	kg		powder
pupae	PUP	no.		butterfly pupae
root	ROO	no.	kg	roots, bulbs, corms or tubers NB: For the agarwood-producing taxa <i>Aquilaria</i> spp. and <i>Gyrinops</i> spp., the preferred unit is 'kilograms'. The alternative unit is 'number'.
rug	RUG	no.		rugs
sawfish rostrum	ROS	no.	kg	sawfish rostrum
sawn wood	SAW	m ³		wood simply sawn lengthwise or produced by a profile-chipping process; normally exceeds 6mm in thickness. NB: trade in sawn wood of special purpose timbers traded by weight (e.g. <i>lignum vitae</i> , <i>Guaiacum</i> spp.) should be recorded in kg
scale	SCA	kg		scales – e.g. of turtle, other reptiles, fish, pangolin
seed	SEE	kg	no.	seeds
shell	SHE	no.	kg	raw or unworked shell of molluscs
side	SID	no.		sides or flanks of skins; does not include crocodilian Tinga frames (see under 'skin')
skeleton	SKE	no.		substantially whole skeletons
skin	SKI	no.		substantially whole skins, raw or tanned, including crocodilian Tinga frames, external body lining, with or without scales
skin piece	SKP	kg		skin pieces – including scraps, raw or tanned
skull	SKU	no.		skulls
soup	SOU	kg	l	soup – e.g. of turtle
specimen (scientific)	SPE	kg/l/ml/ no.		scientific specimens – includes blood, tissue (e.g. kidney, spleen, etc.), histological preparations, preserved museum specimens, etc.

Description	Code	Preferred unit	Alternative unit	Explanation
stem	STE	no.	kg	plant stems NB: For the agarwood-producing taxa <i>Aquilaria</i> spp. and <i>Gyrinops</i> spp., the preferred unit is 'kilograms'. The alternative unit is 'number'.
swim bladder	SWI	kg		hydrostatic organ, including isinglass / sturgeon glue
tail	TAI	no.	kg	tails – e.g. of caiman (for leather) or fox (for garment trimming, collars, boas, etc.), also includes flukes of cetaceans.
tooth	TEE	no.	kg	teeth – e.g. of whale, lion, hippopotamus, crocodile, etc.
timber	TIM	m ³	kg	raw timber except saw-logs and sawn wood
trophy	TRO	no.		trophy – all the trophy parts of one animal if they are exported together: e.g. horns (2), skull, cape, backskin, tail and feet (i.e. ten specimens) constitute one trophy. But if, for example, the skull and horns are the only specimens of an animal that are exported, then these items together should be recorded as one trophy. Otherwise the items should be recorded separately. A whole stuffed body is recorded under 'BOD'. A skin alone is recorded under 'SKI'. Trade in 'full mount', 'shoulder mount' and 'half mount', along with any corresponding parts of the same animal exported together on the same permit, should be reported as '1 TRO'
trunk	TRU	no.	kg	elephant trunk. N.B.: An elephant trunk exported with other trophy items from the same animal on the same permit as part of a hunting trophy should be reported as 'TRO'.
tusk	TUS	no.	kg	substantially whole tusks, whether or not worked. Includes tusks of elephant, hippopotamus, walrus, narwhal, but not other teeth
veneer sheets – rotary veneer – sliced veneer	VEN VEN	m ³ m ²	kg kg	thin layers or sheets of wood of uniform thickness, usually 6mm or less in thickness, usually peeled (rotary veneer) or sliced (sliced veneer), for use in making plywood, for veneering furniture, veneer containers, etc.
wax	WAX	kg		wax
wood product	WPR	no.	kg	manufactured wood products, including finished wood products such as furniture and musical instruments.

Key to units of measure

Unit of Measure	Unit Code
Grams (g)	GRM
Kilograms (kg)	KIL
Litres	LTR
Millilitres (ml)	MLT
Metres (m)	MTR
Cubic centimetres (cm ³)	CCM
Square metres (m ²)	SQM
Cubic metres (m ³)	CUM
Number of specimens	"Number" or leave blank

NB. If no unit is specified, this will be assumed to mean "number" (e.g. number of live animals).

b) Names of countries and territories

The countries and entities listed below are designated in accordance with the *English country names and code elements* published by the International Organization for Standardization (ISO). Out-of-date names of countries and territories are retained for purposes of recording re-exports of specimens originating in those places.

The ISO list is based on the list included in the *United Nations Standard Country or Area Code for Statistical Use* established by the Statistical Office of the United Nations. The names of countries, territories or other areas correspond to those given in the United Nations *Terminology Bulletin*, issued by the Department of Conference Services. Some additional entities are included in the [ISO International Standard⁷](#) with a view to providing more complete global coverage.

However, the designations do not imply the expression of any opinion whatsoever on the part of the CITES Secretariat or the Secretariat of the United Nations concerning the legal status of any country, territory, city or area, or of its authorities, or concerning the delimitation of its frontiers or boundaries.

Code	Name
AF	Afghanistan
AX	Åland Islands
AL	Albania
DZ	Algeria
AS	American Samoa
AD	Andorra
AO	Angola
AI	Anguilla
AQ	Antarctica
AG	Antigua and Barbuda
AR	Argentina
AM	Armenia
AW	Aruba
AU	Australia
AT	Austria
AZ	Azerbaijan

Code	Name
BS	Bahamas
BH	Bahrain
BD	Bangladesh
BB	Barbados
BY	Belarus
BE	Belgium
BZ	Belize
BJ	Benin
BM	Bermuda
BT	Bhutan
BO	Bolivia, Plurinational State of
BQ	Bonaire, Saint Eustatius and Saba
BA	Bosnia and Herzegovina
BW	Botswana
BV	Bouvet Island
BR	Brazil

⁷ See <https://www.iso.org/obp/ui/#search>

Code	Name
IO	British Indian Ocean Territory
BN	Brunei Darussalam
BG	Bulgaria
BF	Burkina Faso
BI	Burundi
CV	Cabo Verde
KH	Cambodia
CM	Cameroon
CA	Canada
KY	Cayman Islands
CF	Central African Republic
TD	Chad
CL	Chile
CN	China
CX	Christmas Island
CC	Cocos (Keeling) Islands
CO	Colombia
KM	Comoros
CG	Congo
CK	Cook Islands
CR	Costa Rica
CI	Côte d'Ivoire
HR	Croatia
CU	Cuba
CW	Curaçao
CY	Cyprus
CZ	Czech Republic
KP	Democratic People's Republic of Korea
CD	Democratic Republic of the Congo
DK	Denmark
DJ	Djibouti
DM	Dominica
DO	Dominican Republic
EC	Ecuador
EG	Egypt
SV	El Salvador

Code	Name
GQ	Equatorial Guinea
ER	Eritrea
EE	Estonia
ET	Ethiopia
FK	Falkland Islands (Malvinas)*
FO	Faroe Islands
FJ	Fiji
FI	Finland
FR	France
GF	French Guiana
PF	French Polynesia
TF	French Southern Territories
GA	Gabon
GM	Gambia
GE	Georgia
DE	Germany
GH	Ghana
GI	Gibraltar
GR	Greece
GL	Greenland
GD	Grenada
GP	Guadeloupe
GU	Guam
GT	Guatemala
GG	Guernsey
GN	Guinea
GW	Guinea-Bissau
GY	Guyana
HT	Haiti
HM	Heard Island and McDonald Islands
VA	Holy See
HN	Honduras
HK	Hong Kong SAR
HU	Hungary
IS	Iceland
IN	India

* A dispute exists between the Governments of Argentina and the United Kingdom of Great Britain and Northern Ireland

concerning sovereignty over the Falkland Islands (Islas Malvinas).

Code	Name
ID	Indonesia
IR	Iran, Islamic Republic of
IQ	Iraq
IE	Ireland
IM	Isle of Man
IL	Israel
IT	Italy
JM	Jamaica
JP	Japan
JE	Jersey
JO	Jordan
KZ	Kazakhstan
KE	Kenya
KI	Kiribati
KW	Kuwait
KG	Kyrgyzstan
LA	Lao People's Democratic Republic
LV	Latvia
LB	Lebanon
LS	Lesotho
LR	Liberia
LY	Libya
LI	Liechtenstein
LT	Lithuania
LU	Luxembourg
MO	Macao
MG	Madagascar
MW	Malawi
MY	Malaysia
MV	Maldives
ML	Mali
MT	Malta
MH	Marshall Islands
MQ	Martinique
MR	Mauritania
MU	Mauritius
YT	Mayotte
MX	Mexico

Code	Name
FM	Micronesia, Federated States of
MC	Monaco
MN	Mongolia
ME	Montenegro
MS	Montserrat
MA	Morocco
MZ	Mozambique
MM	Myanmar
NA	Namibia
NR	Nauru
NP	Nepal
NL	Netherlands
NC	New Caledonia
NZ	New Zealand
NI	Nicaragua
NE	Niger
NG	Nigeria
NU	Niue
NF	Norfolk Island
MP	Northern Mariana Islands
NO	Norway
OM	Oman
PK	Pakistan
PW	Palau
PA	Panama
PG	Papua New Guinea
PY	Paraguay
PE	Peru
PH	Philippines
PN	Pitcairn
PL	Poland
PT	Portugal
PR	Puerto Rico
QA	Qatar
KR	Republic of Korea
MD	Republic of Moldova
RE	Réunion
RO	Romania

Code	Name
RU	Russian Federation
RW	Rwanda
BL	Saint Barthelemy
SH	Saint Helena, Ascension and Tristan da Cunha
KN	Saint Kitts and Nevis
LC	Saint Lucia
MF	Saint Martin
PM	Saint Pierre and Miquelon
VC	Saint Vincent and the Grenadines
WS	Samoa
SM	San Marino
ST	Sao Tome and Principe
SA	Saudi Arabia
SN	Senegal
RS	Serbia
SC	Seychelles
SL	Sierra Leone
SG	Singapore
SX	Sint Maarten
SK	Slovakia
SI	Slovenia
SB	Solomon Islands
SO	Somalia
ZA	South Africa
GS	South Georgia and the South Sandwich Islands*
SS	South Sudan
ES	Spain
LK	Sri Lanka
SD	Sudan
SR	Suriname
SJ	Svalbard and Jan Mayen
SZ	Swaziland
SE	Sweden
CH	Switzerland
SY	Syrian Arab Republic

Code	Name
TW	Taiwan, Province of China
TJ	Tajikistan
TH	Thailand
MK	The former Yugoslav Republic of Macedonia
TL	Timor-Leste
TG	Togo
TK	Tokelau
TO	Tonga
TT	Trinidad and Tobago
TN	Tunisia
TR	Turkey
TM	Turkmenistan
TC	Turks and Caicos Islands
TV	Tuvalu
UG	Uganda
UA	Ukraine
AE	United Arab Emirates
GB	United Kingdom of Great Britain and Northern Ireland
TZ	United Republic of Tanzania
UM	United States Minor Outlying Islands
US	United States of America
UY	Uruguay
UZ	Uzbekistan
VU	Vanuatu
VE	Venezuela, Bolivarian Republic of
VN	Viet Nam
VG	Virgin Islands, British
VI	Virgin Islands, United States
WF	Wallis and Futuna Islands
EH	Western Sahara
YE	Yemen
ZM	Zambia
ZW	Zimbabwe

* A dispute exists between the Governments of Argentina and the United Kingdom of Great Britain and Northern Ireland

concerning sovereignty over the Falkland Islands (Islas Malvinas).

SAMPLE ILLEGAL TRADE REPORT (hypothetical examples)

Select option:
Police; Customs; Wildlife Agency;
Other (please specify):

Select option: Scanning images;
Risk assessment; Random check;
Sniffer dog; Third-Party
information, Physical inspection,
Other (specify):

Select option: No CITES
Permit; Mis-declared;
Illegal crossing; Other
(please specify):

Select option: Maritime;
Rail; Road; Air; Mail

Select option:
Returned to country of export; Public zoos or botanical gardens;
Designated rescue centres; Approved, private facilities;
Euthanasia;/destruction; storage/safekeeping; sale/transformation;
educational purposes; Other (please specify):

Reporting Party: XX (In this example where XX is used it indicates the reporting Party)

National reference number*	Date of seizure	Species	Description of specimen	Quantity	Unit	Location of incident	Detecting agency ¹	Method of detection ²⁺	Reason for seizure ³	Mode of transport ⁴	Method of concealment	Alleged country of origin	Country(ies) of transit	Alleged final destination	Estimated value in country* (currency and amount)	Nationality of offenders*	Law under which charges were brought (desirable)	Sanction (desirable)	Disposal of confiscated specimens ⁵ (desirable)	Additional information*	
CAS 01//01/16	01/01/2016	Pan troglodytes	LIV	1	no.	Airport name	Customs	Risk assessment	Mis-declared	Air	Not concealed	CD	JO, TR, IQ	XX	USD	2000	AF, AL	Name of Law, No.	N/A	Designated rescue centre	Air cargo, incorrect permit (wrong species).
CAS 01/01/16	01/01/2016	Psittacus erithacus	LIV	90	no.	Airport name	Customs	Risk assessment	Mis-declared	Air	Not concealed	CD	JO, TR, IQ	XX	USD	18000	AF, AL	Name of Law, No.	N/A	Public zoo	Same shipment: Ref. no. CAS 01/01/16
CAS 05/01/16	02/01/2016	Manis spp.	SCA	1500	kg	Border crossing name	Customs	Physical inspection	Mis-declared	Air	Not concealed	IN	NP	XX	USD	700000	DZ	Name of Law, No.	X year imprisonment	Destruction	Fraudulent permit. Known smuggling route.
CAS 05/01/16	02/01/2016															AD	Name of Law, No.	X year imprisonment			Offender number 2: Same case: Ref. no.CAS 05/01/16
CAS 05/01/16	02/01/2016															AS	Name of Law, No.	X month imprisonment			Offender number 3: Same case: Ref. no.CAS 05/01/16
CAS 03/02/16	05/02/2016	Manis tricuspis	SCA	40	kg	City/town name	Police	Sniffer dog	No CITES Permit	Mail	Postal parcel	NL		XX	USD	18-20000	N/A	No charges	N/A	Educational purposes	Insufficient evidence
CAS 07/03/16	04/03/2016	Loxodonta africana	IJW	5	no.	Town name	Wildlife Agency	Third Party information	No CITES Permit	Road	Under traveller's clothing		TH	XX	USD	100	AI	Name of Law, No.	Fine: USD XXXXX	Safekeeping	Ivory wrapped in foil. Confiscation without court case.
CAS 44/05/16	14/05/2016	Dalbergia cochinchinensis	LOG	1000	m3	Seaport name	Customs	Random check	No CITES Permit	Maritime	Hidden in fuel tanker truck			XX	USD	40-50000	AG	Name of Law, No.	Appeal is pending	Sale	X year imprisonment in first instance
CAS 29/07/16	11/07/2016	Diceros bicornis	HOR	20	no.	Airport name	Customs	Scanning images	No CITES Permit	Air	Under cashew nuts			XX	USD	500000	AR	Name of Law, No.	N/A	Destruction	Final destination: Insert name of province/town
CAS 11/09/16	XX-09-2016	Hylobates agilis	LIV	2	no.	Border crossing name	Customs	Physical inspection	Illegal crossing	Road	Hidden in a shipment of dogs	TH	LA, DE	XX	USD	2000	AM	Name of Law, No.	Withdrawn	Euthanasia	Insufficient evidence
CAS 19/09/16	XX-09-2016	Ursus arctos	SKI	1	no.	Airport name	Customs	Random check	No CITES Permit	Air	Hidden in check in luggage	RU		XX		AU	Name of Law, No.	Pending			
CAS 33/10/16	XX-10-2016	Falco cherrug	LIV	1	no.	Town name	Police	Third Party information	No CITES Permit		Not concealed	XX				AZ	Name of Law, No.	X year imprisonment	Returned to country of export		The seizure was made at a hotel
CAS 55/12/16	07/12/2016	Callithrix pygmaea	LIV	1	no.	Airport name	Wildlife Agency	Third Party information	No CITES Permit	Air	Smuggling vest	CZ		XX		BS	Name of Law, No.	Fine: USD XXXXX	Approved private facility		A fine has been imposed without a court case
CAS 79/12/16	08/12/2016	Panthera tigris	MED	0,2	kg	Airport name	Customs	Random check	No CITES Permit	Air	Hidden in hand luggage	AR		XX		BH	Name of Law, No.	Pending			Repeated offender

¹ Select option: Police; Customs; Wildlife Agency; Other (please specify)

² Select option: Scanning images; Risk assessment; Random check; Sniffer dog; Third-Party information, Physical inspection, Other (specify):

³ Select option: No CITES Permit; Mis-declared; Illegal crossing; Other (please specify)

⁴ Select option: Maritime; Rail; Road; Air; Mail

⁵ Select option: Returned to country of export; Public zoos or botanical gardens; Designated rescue centres; Approved, private facilities; Euthanasia/destruction; Storage; Safekeeping; Educational purposes; Other (please specify):

*means that it is optional to provide the information, even if it is available

Please select one of the following options:

Yes, the information provided in this report may be used in the ICCWC-supported global research and analysis on wildlife and forest crime.

No, the information provided in this report may not be used in the ICCWC-supported global research and analysis on wildlife and forest crime.

UNODC's experience in establishing and maintaining illegal trade databases, and preliminary proposal for the development of a database to store and manage illegal trade data collected through CITES annual illegal trade reports

1. The United Nations Office on Drugs and Crime (UNODC) has been conducting global data collection on crime and criminal justice (UN-CTS) since the 1970s, and its Data Development and Dissemination Unit (DDDU) at present maintains a wide range of crime and seizure databases. UNODC has also the statistical capacity and framework needed to host, manage and disseminate statistical data on criminal events/practices and on seizures of illicit goods. In particular, UNODC has significant experience in maintaining data collected across the years, to ensure the production of consistent time series and the consolidation of national data into regional and global aggregates in a correct manner. Considerable experience also exists in managing communication with member States through the phases of collection, processing and validation of data to ensure the highest possible quality of data and a transparent link with national data producers. Finally, expertise is solid on the use of data on crime and trafficking, which needs to be interpreted in conjunction with existing legal frameworks, law enforcement/criminal justice practices, national recording systems rules and possible capacity constraints at country level.
2. In preparation of the 2016 World Wildlife Crime Report, the first global wildlife crime assessment, with the support of ICCWC, UNODC compiled the World Wildlife Seizure data platform "World WISE", which is an international data repository for monitoring trends and patterns in wildlife crime. The database contains 164,000 seizures from 120 countries over a 15 year period, and was compiled from a variety of sources. UNODC is able to improve and expand the current "World WISE" database into a new dataset which could include the CITES annual illegal trade data. Data sourced from CITES annual illegal trade reports would be identifiable at any given point and the process used would be transparent to all data users, including the CITES Secretariat and the Parties. UNODC plans to continue to gather illicit trade data also from other sources in order to improve overall quality and coverage of wildlife seizure data. Consistently with UNODC practices, it is proposed that data from other sources will be used in the validation process of CITES data and, when necessary and relevant, used to fill data gaps.
3. Assuring a sustainable and solid infrastructure for the maintenance of illegal trade data is also key to ensure the long-term production of the UN Sustainable Development Goals (SDG) indicator '*Proportion of traded wildlife that was poached or trafficked*' (Target 15.7), for which UNODC and CITES are custodian agencies.

Activities needed to establish a sustainable global framework to store and manage illegal trade data

4. To develop a sustainable global framework to store and manage illegal trade data, UNODC has proposed that the following activities be implemented:

First year:

- a) Establishment of database
 - i) Define data contents and metadata as per requirements of CITES illegal trade report
 - ii) Design and set up the new data platform
- b) Gather and process data from CITES annual illegal trade reports
- c) Devise and test data validation rules
- d) Integrate all available data in the new database
- e) Clean and validate data systematically
- f) Share all available data with member States for their technical review
- g) Integrate countries' reviews and further inputs
- h) Build consolidated data series for analytical purposes, inclusive of metadata

- i) Create and maintain documentation on data, metadata, national sources and validation process

Second year and subsequent years

- j) Based on first year experience, review and update the platform
- k) Conduct all data collection, processing and validation activities

Cost implications

5. The associated cost implications for the overall data management and supervision of the entire process, development of the data platform and costs for storage and maintenance of data are approximately for the first year: USD 204,017, and the second and subsequent years: USD 159,945. The costs includes:

Description	Year 1	Year 2 and subsequent years
<u>One-time costs</u>		
Contractual services for development of new data platform (consultant) (4 months)	24,000	
<i>Sub-total:</i>	<i>24,000</i>	
<u>Recurrent costs</u>		
Statistical Assistant for overall data management	100,000	102,100
Statistical expert to maintain supervision of the entire process (2 months)	25,970	26,633
Standard costs for data storage and maintenance	12,500	9,540
Support cost to UNEP-WCMC to help ensure that nomenclature reference and data standards are applied as for the annual trade report and to be compatible with the CITES Trade database	28,200	11,208
<i>Sub-total:</i>	<i>190,670</i>	<i>149,481</i>
PSC(7,0%)	13,347	10,464
Grand-total:	204,017	159,945

Requirements to be met concerning the storage and management of illegal trade data collected through the CITES annual illegal trade report:

In Resolution Conf. 11.17 (Rev. CoP17), paragraph 3, the Conference of the Parties URGES all Parties, commencing in 2017, to submit an annual illegal trade report by 31 October each year covering actions in the preceding year and in accordance with the report format distributed by the Secretariat, as may be amended by the Secretariat from time to time with the concurrence of the Standing Committee. Data obtained from these reports stored and managed on behalf of the CITES Secretariat, should be dealt with in accordance with the following requirements:

1. The ownership of the data stored in the illegal trade database and managed on behalf of the CITES Secretariat remains with the Party that reported the data to the CITES Secretariat.
2. The data must be stored on a secure platform and managed in a secure manner.
3. The host of the database must ensure that data, which can be shared with members of ICCWC to be used in ICCWC global research and analysis of wildlife and forest crime, can be easily separated from data that cannot be shared with ICCWC in accordance with the provisions of Resolution Conf. 11.17 (Rev. CoP17), paragraph 4. The database must allow separate download of these data sets, to be used by ICCWC in accordance with the necessary data sharing protocol, to conduct analyses and research to identify patterns and flows of illicit trafficking (e.g. identification of high risk geographic areas / routes / transport methods / species / allocation of enforcement resources).
4. The database must be directly and fully accessible to the CITES Secretariat.
5. Direct access to the database containing data for which Parties agreed that this data can be shared with members of ICCWC for research and analysis will be granted by UNODC to any ICCWC partner agency, subject to approval by the CITES Secretariat. This will facilitate the coherent use of data and enable the Secretariat to, as appropriate, keep track of and manage such use. Such access will be granted for the period needed by the requesting ICCWC partner agency to conduct the agreed research and analyses, and will be restricted to identified users as agreed with the ICCWC partner agency requesting access.
6. The system must provide a user interface that presents, sorts and aggregates data in a meaningful manner.
7. To facilitate analyses of annual illegal trade data, the illegal trade database must enable searches to be made using different parameters, e.g. Party, Appendices, species, specimen, etc.
8. The data shall be stored and be made available using data fields and data elements that correspond to the codes and formats set out in the *Guidelines for the preparation and submission of the CITES annual illegal trade report* agreed by the Standing Committee, and the *Guide to using the CITES Trade Database*⁸ Updates must be made as necessary to the database, to incorporate changes to the *Guidelines* or the *Guide*.
9. The latest nomenclature references adopted by the CITES Conference of the Parties must be used.
10. The data will be processed and validated according to procedures to be agreed to ensure the highest possible quality of data.
11. The database must be able to clearly differentiate between data originating from the CITES annual illegal trade reports and data from other sources, and allow a separate download of the dataset from the CITES annual illegal trade reports.

⁸ <https://www.cites.org/sites/default/files/common/resources/TradeDatabaseGuide.pdf>

12. The host of the database must engage with UNEP-WCMC to help ensure that the database will apply the same nomenclature references and data standards as for the annual trade report, and be compatible with the CITES Trade database.
13. Roles and responsibilities for staff of the host of the database on the management and use of the data will be developed through consultation between the host of the database and the CITES Secretariat, and agreed prior to the start of the project.