# Questionnaire on the conservation of and trade in [species X<sup>1</sup>]

# Please provide as much information as possible in answer to the questions below – the information should cover at least the last [y<sup>2</sup>] years<sup>3</sup>

#### Information is requested from all Parties to CITES, not just from range States of [species X]

The term 'specimen' as used in this questionnaire is as defined in Article I, paragraph b) i) and ii) of the Convention on International Trade in Endangered Species of Wild Fauna and Flora<sup>4</sup>

In a number of places in this report format questions have the same format as the annual trade report, illegal trade report, or implementation report. This is with the intention of streamlining between those reports and this questionnaire. Information which has already been provided in response to the CITES annual or implementation reports, or other notifications, should not need to be repeated in the completion of this questionnaire, which is intended to gather information that is more recent than the last of each of those reports which have been submitted. New information provided here should feed forward to the next annual trade report, illegal trade report, or implementation report.

Country	
Period covered in this report	
Function of agency completing this questionnaire <sup>5</sup>	
Contact details of agency/agencies completing this questionnaire	
Contact person (name, email, job title, function)	

#### PREVIOUSLY PROVIDED INFORMATION

If you have previously provided information to the CITES Secretariat with respect to [species X], please indicate how and when that was done: last annual trade report date submitted: last illegal trade report date submitted: last implementation report date submitted: In response to previous Notifications or otherwise – please list:

<sup>&</sup>lt;sup>1</sup> [species X] should be replaced throughout this format by the name of a species or group of species when the questionnaire is used. The nomenclature in the questionnaire should follow the standard nomenclature adopted by the Conference of the Parties to CITES.

<sup>&</sup>lt;sup>2</sup> Specify a time period – e.g. 5 years.

<sup>&</sup>lt;sup>3</sup> Please use additional sheets for any question, if needed.

<sup>&</sup>lt;sup>4</sup> (b) "Specimen" means:

<sup>(</sup>i) any animal or plant, whether alive or dead;

 <sup>(</sup>ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof; and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species

<sup>&</sup>lt;sup>5</sup> e.g. CITES Management Authority, CITES Scientific Authority, Wildlife Authority, Police, Customs, Other (please specify)

A.1	Is your country a range State of [species X]? Yes No					
	Species <sup>6</sup>		iu y.	Yes	No	
	If 'No', please proceed to Section B.					
A.2	Based on the best available information, d the last $[y^7]$ years:	id the wild pop	oulation of [sp	ecies X] in yo	ur country over	
	Species <sup>8</sup>	Increase	Stable	Decrease	Unknown	
	Please indicate the source(s) of information	your answer is	s based on			
A.3.1	If available, please provide data or information on the impact of (international and domestic) <u>illegal</u> trade, on the wild population of [species X] in your country.					
	Please indicate the source(s) of information your answer is based on:					
A3.2	If available, please provide data or information on the impact of (international and domestic) legal trade, on the wild population of [species X] in your country.					
	Please indicate the source(s) of information your answer is based on:					
A.4	Is your country a signatory to any bilatera [species X]?	al and/or mult	ilateral agreer	nents for co-n	nanagement of Yes	
	If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:					

### A. POPULATION STATUS

<sup>&</sup>lt;sup>6</sup> Working Group to list in this table the individual species covered by a group name to make it clear which species the questionnaire is asking about (add or delete rows as necessary).

<sup>&</sup>lt;sup>7</sup> Working Group to specify a time period - e.g. 5 years.

<sup>&</sup>lt;sup>8</sup> Working Group to list in this table the individual species covered by a group name to make it clear which species the questionnaire is asking about (add or delete rows as necessary).

A.5	Do you have any cooperative management plans, for shared populations of [species X]?	conservation measures, or recovery plans, in place Yes ☐ No ☐				
	If 'Yes', please list the species for which these plans are in place and provide a link or reference published plan for each species:					
	Species	Link or reference to a published plan				

## B. LEGISLATION / REGULATORY FRAMEWORK

B.1	Has your country adopted legislation to regulate international trade in native and non-native species of specimens of [species X]?					
	For native species Yes 🗌 No 🗍					
	For non-native species Yes 🗌 No 🗌					
	If 'Yes' please go to question B.1.1, if 'No', please go to question B1.2.					
B.1.1	If your answer to B.1 is 'Yes', please specify the titles and provisions of such lege between species, please provide details for separate species <sup>9</sup> ):	If your answer to B.1 is 'Yes', please specify the titles and provisions of such legislation; (if they differ between species, please provide details for separate species <sup>9</sup> ):				
	Species title, date of enactment, and relevant	provisions				
	of this legislation					
B.1.2	If your answer to B.1 is 'No', please explain how the national and international t regulated:	rade in [species X] is				
B.2	Is domestic use of specimens of [species X] regulated in your country?	Is domestic use of specimens of [species X] regulated in your country? Yes D No				
	If 'Yes' please go to question B.2.1.					
B.2.1	Please provide information on how domestic use of [species X] is regulated ar are permitted or forbidden in your country.	nd what forms of use				

<sup>&</sup>lt;sup>9</sup> Please add rows if necessary

# C.1 Have any specimens of [species X] been legally imported into your country since [date] that are not reflected in the CITES annual reports<sup>10</sup> submitted? Yes 🗍 No 🗌 Please indicate information about each import separately and refer to CITES codes for source and purpose<sup>11</sup>: (please add rows if necessary) Specimen Country of Unit of (please specify type<sup>12</sup>) Origin Purpose Species Quantity Date Source Last re-export measure

### C. INTERNATIONAL TRADE

<sup>&</sup>lt;sup>10</sup> Data already provided in CITES annual reports do not need to be included.

<sup>&</sup>lt;sup>11</sup> See Resolution Conf. 12.3 (Rev. CoP16) <u>http://www.cites.org/eng/res/12/12-03R16.php</u>.

<sup>&</sup>lt;sup>12</sup> Working Group to list a ppropriate types of specimen in footnote.

Have any spec submitted? <sup>13</sup>	imens of [species X] b Yes 🗌 No 🗌	een legally expo	orted or re-expo	orted from you	ur country si	ince [date] that are	not reflected in the	CITES annua
	e information about ead							
Species	Specimen (please specify type <sup>15</sup> )	Quantity	Unit of measure	Date	Origin	Destination	Source	Purpo

<sup>&</sup>lt;sup>13</sup> Data already provided in CITES annual reports do not need to be included.

<sup>&</sup>lt;sup>14</sup> Refer to CITES codes for Source and Purpose in Resolution Conf. 12.3 (Rev. CoP16) <u>http://www.cites.org/eng/res/12/12-03R16.php.</u>

<sup>&</sup>lt;sup>15</sup> Working Group to list appropriate types of specimen in footnote.

### D. ENFORCEMENT

D1	Have any seizures of specimens of [species X] been made in your country since [date]?				
	Yes 🗌 No 🗌				
	If 'Yes' please provide details in the spreadsheet format at [Annex 2 <sup>16</sup> ].				
D.1.1	Please indicate, if possible, how seized live specimens referred to in section D.1 were disposed of: Please tick all that apply				
	Return to country of export				
	Public zoos or botanical gardens				
	Designated rescue centres				
	Approved private facilities				
	Euthanasia				
	Other (please specify)				
D1.2	Have you encountered any challenges in disposing of confiscated specimens? Please provide details:				
D1.3	Do you have good practice with respect to seizures or disposal of confiscated specimens that you would like to share with other Parties?				
D.2	Has your country implemented any marking system for specimens of [s imported, exported or re-exported? If 'Yes', please provide details of the marking system that is used:	species X] that are to be Yes 🗌 No 🗌			
D.3	Do you experience in your country any enforcement challenges with re trade and other illegal activities concerning [species X] that you would like Yes No I If 'Yes' please provide details:				
D.4	Are there any enforcement best practices in your country with regard to p other illegal activities concerning [species X] that you would like to highligh Yes No No I If 'Yes' please provide details:				

<sup>&</sup>lt;sup>16</sup> Data already provided in CITES annual reports do not need to be included. Annex 2 should link to the draft seizure reporting format in Annex 5 of SC66 Doc xx.y.

D.5	Has your country implemented any national enforcement actions aimed at combating poaching, illegal trade and other illegal activities concerning [species X]? Yes No I If 'Yes', please describe these actions:				
D.6	Has your country implemented any measures to strengthen border controls to combat illegal import / export of [species X]? Yes No I If 'Yes', please describe these actions:				
D.7	Has your country collaborated with other countries and/or participated in international operations e.g. under INTERPOL, WCO, etc., aimed at combating poaching, illegal trade and other illega activities concerning [species X]? Yes				
D.8	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences? Yes No No information II If 'Yes', please indicate how many and for what types of offences. If available, please provide details:				
D.9	Have there been any criminal prosecutions of CITES-related offences? Yes No No information No information I If 'Yes', how many and for what types of offences? If available, please provide details:				
D.10	Have there been any other court actions against CITES-related offences? Yes  No  No information  If 'Yes', what were the offences involved and what were the results? Please provide details:				

### E. CAPTIVE-BREEDING ACTIVITIES

E.1	Are [species X] bred in captivity in your country? Yes No						
	lf 'Yes', please go	to question G.1.1					
E.1.1	If your answer to	If your answer to G.1 is 'Yes', please complete the table below: (please add rows if necessary)					
	Species	Number of facilities	Purpose of breeding <sup>17</sup>	Production per year			
E.2	If your answer to G.1 is 'Yes', please provide information on the management practices and controls that have been put in place to prevent parts and derivatives from entering illegal trade through these facilities:						

<sup>&</sup>lt;sup>17</sup> e.g. for food consumption, for leather, etc.

### F. SUPPLY & DEMAND MANAGEMENT

F.1 F.2	Have you implemented any supply-side activities to address illegal trade in [species X]?         Yes       No         Yes       No         If 'Yes', please provide a short summary:         Have you implemented any demand-side activities to address illegal trade in [species X]?         Yes       No         Yes       No         No       with activities to address illegal trade in [species X]?         Yes       No         No       with activities are under development         No       No, but activities are under development         No       information         If 'Yes', please provide a short summary:				
F.3	Do you set annual export quotas for [species X]? Yes No I If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:				
	Species     Population Survey?     Other, please specify       Image: Description of the system     Image: Description of the system       Image: Description of the system     Image: Description of the system       Image: Description of the system     Image: Description of the system       Image: Description of the system     Image: Description of the system       Image: Description of the system     Image: Description of the system       Image: Description of the system     Image: Description of the system				
F4	Have annual export quotas been set at levels which will ensure sustainable production and consumption of [species X]? Yes No I If 'Yes', please describe how this fits into your non-detriment finding process:				
F.5	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent [species X] from being unsustainably exploited through international trade? Yes No No information I If 'Yes', please provide details:				
F.6	Have you published any non-detriment findings that can be shared? Yes No I If 'Yes', please provide links or examples to the Secretariat within this report:				

G.1	Have any of the following activities to bring about better awareness of the Convention's requirements for [species X] by the wider public and relevant user groups taken place?				
		Public	User Groups		
	Press conferences				
	Press releases				
	Newspaper articles, brochures, leaflets				
	Television appearances				
	Radio appearances				
	Presentations				
	Public consultations / meetings				
	Market surveys				
	Displays				
	Information at border crossing points				
	Telephone hotline				
	Website(s) – if so please provide link(s)				
	Other (please specify)				
G.2	Have any measures been taken in your country to engage local communities in the conservation of [species X]? Yes 🗌 No 🗌				
	If 'Yes', please describe these measures:				
G.3	Have any strategies to encourage the general public to report illegal trade in [species X] to appropriate authorities for further investigation been implemented in your country?				
	Yes 🗌 No 🗌				
	If 'Yes', please describe these strategies:				

### G. EDUCATION AND AWARENESS RAISING