CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA



Sixty-sixth meeting of the Standing Committee Geneva (Switzerland), 11-15 January 2016

Strategic matters

Capacity building

PROPOSAL FOR CONSOLIDATING RESOLUTIONS AND DECISIONS ON CAPACITY-BUILDING

- 1. This document has been prepared by the Secretariat.
- 2. At its 16th meeting (CoP16, Bangkok, 2013), the Conference of the Parties adopted Decision 16.29 as follows:

Directed to the Secretariat

- 16.29 The Secretariat shall, subject to the availability of external funding, where needed:
 - a) undertake a review of capacity-building activities found in Resolutions and Decisions to assess whether and how they could be rationalized and consolidated, and report its findings and recommendations at the 66th meeting of the Standing Committee, and report on proposed revisions to Resolutions and Decisions, and, if considered appropriate, present a draft resolution on capacity building at the 17th meeting of the Conference of the Parties;
- 3. For the purpose of the review, the Secretariat first undertook a keyword-search of the Resolutions and Decisions to gather references to capacity-building, using the following keywords: capacity-building, training, support, tools, guides, materials, and technical assistance. The resultant list of Resolutions and Decisions is attached to this document as Annex 1. The Secretariat notes that there may be other references which indirectly refer to capacity-building and were not captured in this review.
- 4. The Secretariat notes that the definition of 'capacity-building' has not been agreed within the framework of CITES. The Secretariat therefore makes use of an informal, working definition of capacity-building, which constitutes the development of activities and materials that support the acquisition of specific knowledge and skillset to better understand and implement the provisions of the Convention. Much of the core work of the CITES Secretariat could therefore be qualified as capacity-building.
- 5. In order to assist in the review, the references to capacity-building found in Resolutions and Decisions were then categorized into four distinct groups (Annex 2):
 - a) general reference to capacity building needs with no particular type of activities mentioned;
 - b) web-based information sharing among Parties and other stakeholders;
 - c) technical assistance, targeted training, workshops and other direct support; and
 - d) development of capacity-building tools and materials, including guidance for species identification.

- 6. The CITES Secretariat has provided different types of capacity-building support to Parties for over 40 years. The implementation of CITES covers a wide range of disciplines and involves a large number of partners from CITES Authorities, other government agencies, intergovernmental organizations, private sector, and civil society organizations. The main focus of the Secretariat's capacity-building efforts is targeted to Parties; however a number of Resolutions and Decisions also address, both directly and indirectly, a variety of other stakeholders as targets of the Secretariat's capacity-building efforts. While the opportunities for capacity-building remain abundant as ever, careful consideration must be given to ensure that the limited financial and human resources of the CITES Secretariat are used effectively and efficiently to bring maximum impact.
- 7. In light of the above, the Secretariat assessed whether and how the references in Decisions and Resolutions to capacity-building could be rationalized and consolidated. The Secretariat is of the view that a full consolidation and centralization of elements related to capacity-building may be difficult. The Secretariat has, however, identified a number of possible areas that may contribute to the rationalization and consolidation of the capacity-building:
 - a) Requests by Parties for training, workshops, and other direct support activities could benefit from a more coordinated approach. Parties may be interested in having access to information on different activities implementing the Decisions and Resolutions related to capacity-building. The coordination and communication among programme teams in the Secretariat, Parties and other stakeholders regarding the development and implementation of such activities and materials could also be improved.
 - b) It would be helpful to ensure that the focus of capacity-building efforts and the provision of services, tools and materials addresses recognized priority areas and target beneficiaries. Duplication of efforts across different taxa, topics or geographical areas through parallel Resolutions and Decisions should also be avoided.

Given the role already given, through a number of Decisions, to the CITES website and the CITES Virtual College for exchanging relevant information and tools, all information regarding capacitybuilding activities on the implementation of Resolutions and Decisions could be collected and consolidated through a portal, page or a mechanism in the CITES website, so as to facilitate the process of bringing focus and avoiding duplication.

c) CITES Parties have already been providing information on capacity-building programmes conducted, including those assisted by the Secretariat, through the biennial reports. The analysis of biennial reports considered at CoP14 (see document CoP14 Inf. 15) and CoP15 (see document CoP15 Inf. 43) contain some analysis on the capacity-building section of the reports.

The biennial report, as well as the CITES implementation report that will supersede it, would be a useful source of information to monitor ongoing capacity-building efforts. It may be necessary to revisit the questions and categories in the revised draft CITES implementation report format (Notification to the Parties No. 2015/032 of 29 May 2015) so as to better serve the purpose.

- d) Resolution Conf. 3.4 on *Technical Cooperation* seems to capture the basic aspects of capacitybuilding. A revision of this Resolution may be useful in order to better address the current and future needs of Parties for implementing the Convention and some of the issues above.
- 8. The CITES Animals and Plants Committees established joint intersessional working groups on capacitybuilding pursuant to Decision 16.29, and on identification and guidance materials pursuant to Decision 16. 59. These working groups have been in operation since 2013. At their 28th and 22nd meeting respectively (AC28, Tel Aviv, August 2015; PC22, Tbilisi, October 2015), the Animals and Plants Committees recommended that these two working groups should work jointly in future, and that a merged working group should give equal attention to plants and animals in its work. It is expected that the Animals and Plants Committees will seek mandates from CoP17 to this effect.
- The Animals and Plants Committees also noted the need for the Secretariat (see documents <u>AC28 Doc.</u> <u>7.1</u> and <u>PC22 Doc. 8.1</u>) to identify additional funding and in-kind support for the development of new targeted capacity-building activities which could include:

- a) the continued updating and revision of existing training materials in the CITES Virtual College in compliance with Decision 16.29, including monitoring of uptake and success rate, and adaptations as necessary;
- b) a review and gap analysis of available capacity-building materials for Scientific Authorities [Resolution Conf. 11.1 (Rev. CoP16)] and guidance on making NDFs (Resolution Conf. 16.7) with the aim to update materials as necessary, address deficiencies and develop new materials and guidance as needed;
- c) promoting and adapting the NDF portal in response to feedback, needs and inputs provided by Parties and stakeholders (Resolution Conf. 16.7) and
- d) assisting Parties in implementing capacity-building activities related to the making of NDFs (Resolution Conf. 16.7).
- 10. The Secretariat also notes that the International Consortium to Combat Wildlife Crime (ICCWC) provides a framework for the provision of assistance to enforcement authorities on the implementation of enforcement-related Resolutions and Decisions. ICCWC Strategic Mission 2014-2016 focus area 3 Building capacity to prevent and respond to wildlife and forest crime contains the following strategies:
 - 3.1 Develop and deliver capacity-building programmes across the entire enforcement chain;
 - 3.2 Develop and deliver training events and materials to facilitate the increased use of the specialized investigation techniques used to combat other serious crimes against wildlife and forest crime;
 - 3.3 Develop and deliver training events and materials to facilitate the increased use of forensic technology to combat wildlife and forest crime;
 - 3.4 Develop and deliver training events and materials to facilitate the increased use of the tools and databases available through ICCWC organizations;
 - 3.5 Develop and deliver programmes to combat corruption; and
 - 3.6 Provide investigative assistance in support of national and regional investigations.
- 11. Considering that there are mechanisms to support the capacity-building activities of the Scientific Authorities and enforcement authorities, Parties may also consider the need for a dedicated framework for capacity-building support to Management Authorities. Such a framework may also complement the National Legislation Project.

Recommendations

- 12. The Secretariat invites the Standing Committee to:
 - a) take note of the present document;
 - b) comment on the draft decision in the Annex to the present document;
 - c) advise the Working Group on Special Reporting Requirements on the capacity-building section of the draft revised CITES implementation report format so as to better support the monitoring of capacity-building activities;
 - d) take into account the suggestions in paragraph 7 of this document and provide additional guidance on how to better consolidate and rationalize the capacity-building activities;
 - e) take note of the considerations of the Animals and Plants Committees concerning their future work on capacity-building in paragraphs 8 and 9; and
 - f) provide guidance also on the need of an enhanced framework for capacity-building support to Management Authorities.

DRAFT DECISIONS OF THE CONFERENCE OF THE PARTIES

Capacity-building

Directed to Parties

- 17.XX Parties are encouraged to:
 - a) support and provide financial and in-kind resources for capacity-building activities, particularly those in support of Goal 1 Ensure compliance with and implementation and enforcement of the Convention, and Goal 3 Contribute to significantly reducing the rate of biodiversity loss and to achieving relevant globally-agreed goals and targets by ensuring that CITES and other multilateral instruments and processes are coherent and mutually supportive, of the CITES Strategic Vision;
 - b) use the CITES Virtual College to support capacity-building activities;
 - c) participate in reviewing the contents of the CITES Virtual College through their Management and Scientific Authorities, as appropriate;
 - d) translate the contents of the CITES Virtual College into national languages, and continue to provide translations for revisions, as well as for new courses and materials;
 - e) consider whether a revision of Resolution Conf.3.4 on *Technical Cooperation* is needed to better reflect its guiding role in capacity-building; and
 - f) provide suggestions on how capacity-building activities may be consolidated, rationalized and prioritized.

Directed to the Animals and Plants Committees

- 17.XX The Animals and Plants Committees shall:
 - a) consider extending the mandate of the joint intersessional working group established by the Animals and Plants Committees on capacity-building and identification materials, so that it continues to strengthen the scientific guidance for implementing on CITES-relevant capacitybuilding activities; undertake a review and gap analysis of tools available to the Secretariat for science-related capacity-building, including *inter alia* identification and training materials for Scientific Authorities, and guidance on the making of non-detriment findings; and develop, update, expand or improve these materials as necessary; and
 - b) ensure that the above-mentioned joint intersessional working group give equal attention to plants and animals in its work.

Directed to the Secretariat

- 17.XX The Secretariat shall, subject to the availability of external funding, where needed:
 - a) Make best use of the CITES website to facilitate the exchange of information on capacity-building;
 - b) continue to develop and enhance the CITES Virtual College as the primary electronic means of providing capacity-building support to Parties;
 - c) incorporate in the CITES website a list of references to capacity-building found in current Resolutions and Decisions, as well as the relevant sections of the CITES implementation reports, so as to enhance the continued monitoring of the capacity-building activities and to consider how their implementation may benefit from greater coherence;
 - d) incorporate also in the CITES website a list of possible financial resources and mechanisms to support the implementation of CITES (such as the Global Environment Facility and the African

Elephant Fund) and take steps, where possible, to encourage financial mechanisms and others to invest in CITES capacity-building initiatives;

- e) within the mandate of Goals 1 and 3 of the CITES Strategic Vision, provide targeted capacitybuilding support to CITES Management and Scientific Authorities, customs and law enforcement entities, the judiciary, legislators and other stakeholders, particularly in new Parties and Small Island Developing States;
- f) assist and support the Parties' own capacity-building efforts to implement the Convention, through provision of technical support as well as the delivery of general and specialized training, e.g. through regional workshops and the CITES Virtual College; and
- g) further enhance partnerships with institutions and organizations that provide Parties with capacitybuilding assistance of relevance to CITES, for example through the Food and Agricultural Organization of the United Nations (FAO), the Intergovernmental Platform on Biodiversity and Ecosystem Services (IPBES), the International Consortium on Combating Wildlife Crime (ICCWC) (including each of its partners), the International Trade Centre (ITC), the International Tropical Timber Organization (ITTO), the International University of Andalusia, the United Nations Conference on Trade and Development (UNCTAD), and the United Nations Development Programme (UNDP).

List of Decisions and Resolutions

Decisions	Capacity-building activities relevant to this review	Progress to date
Dec. 16.3 – 16.8 Access to other sources of funding	Directed to Parties 16.3 All Parties, governmental, intergovernmental and non-governmental organizations and other entities are invited to provide financial or technical assistance for ensuring the <u>effective implementation of the Decisions and Resolutions</u> adopted by the Conference of the Parties.	
Dec. 16.28 & 16.29 <i>Capacity-</i> <i>Building</i>	 16.29 The Secretariat shall, subject to the availability of external funding, where needed: a) <u>undertake a review of capacity-building activities found in Resolutions and Decisions</u> to assess whether and how they could be rationalized and consolidated, and report its findings and recommendations at the 66th meeting of the Standing Committee, and report on proposed revisions to Resolutions and Decisions, and, if considered appropriate, present a draft resolution on capacity building at the 17th meeting of the Conference of the Parties; b) collaborate with the Animals and Plants Committees on relevant capacity-building activities, inter alia to <u>improve and expand the Secretariat's training materials on the making of non-detriment findings</u>, including those available through the CITES Virtual College; c) within the mandate of Goals 1 and 3 of the CITES Strategic Vision, provide <u>targeted capacity-building support to CITES Management and Scientific Authorities, Customs and law enforcement entities, the judiciary, legislators and other stakeholders, particularly in new Parties and Small Island Developing States;</u> d) <u>assist and support the Parties' own capacity-building efforts</u> to implement the Convention at the national level; e) deliver <u>general and specialized training</u> though regional workshops and the CITES Virtual College; f) develop and further enhance <u>partnerships with institutions and organizations providing Parties with capacity-building assistance of relevance to CITES, for example through the International Consortium on Combating Wildlife Crime, the International Tropical Timber Organization and the International University of Andalusia;</u> g) provide <u>guidance to Parties on accessing financial resources to support</u> the implementation of CITES (such as the Global Environment Facility and the African Elephant Fund); and h) undertake <u>needs assessments and gap analyses</u> to improve CITES capacity-building efforts. 	AC/PC working group on capacity- building is reviewing the new NDF Portal; discussion on revision of existing training materials Targeted capacity- building support provided on an <i>ad hoc</i> basis, depending on availability of funds
Dec. 16.30- 16.32 <i>Needs</i> <i>assessment in</i> <i>developing</i> <i>countries</i>	 16.30 The Secretariat shall, before the 65th meeting of the Standing Committee (SC65): a) <u>issue a Notification</u> to the Parties containing a questionnaire on the needs identified in the Annex to document CoP16 Doc. 22 (Rev. 1), entitled Technological, Logistical and Equipment Needs Assessment to Strengthen the Implementation of CITES in Developing Countries. The Secretariat shall seek input from all Parties, revise the questionnaire, and report its findings at SC65; b) subject to the availability of external resources, and in order to assist Parties in determining how best to access and utilize new technologies, <u>undertake a study of new and appropriate information and communication technologies needed to implement the Convention</u>, including but not limited to, the use of hand-held devices and tablets, and off-the-shelf or ready-made electronic permitting systems that are affordable, such as UNEP-WCMC's Electronic Permit Information eXchange (EPIX); and 	Notification No. 2014/013 issued; report at SC65 and SC66.

Dec. 16.33 –	Directed to Parties	CITES
16.38 National laws for implementation of the	16.35 Parties whose legislation is in Category 1 under the National Legislation Project are encouraged to <u>provide technical or financial assistance</u> to one or more Parties whose legislation is in Category 2 or 3 under the National Legislation Project.	provided technical assistance to a number of countries in the
Convention	Directed to the Secretariat	2013-2014 period, with
	16.38 The Secretariat shall:	financial
	 b) subject to external funding, <u>provide legal advice and assistance to Parties on the</u> <u>development of appropriate measures for effective implementation of the Convention,</u> including legislative guidance for and training of CITES authorities, legal drafters, policymakers, the judiciary, parliamentarians and other relevant government officials 	support from Japan and the UK. Progress was reported at SC65. Since May
	responsible for the formulation and adoption of CITES-related legislation; c) cooperate, <u>in the provision of legislative assistance</u> , with the legal programmes of United Nations bodies and intergovernmental organizations,	2015, UNEP and the CITES Secretariat have initiated a collaborative initiative under the National Legislation Project, to provide assistance to priority countries and territories. The initiative is funded by Japan, the UK, and USA, and a dedicated web portal is available on the CITES website.
Dec. 16.52 Introduction from the sea: capacity building	16.52 The Secretariat shall <u>develop capacity-building tools and materials for use by</u> <u>Parties</u> (e.g. a module in the CITES Virtual College) related to the implementation of the Convention for specimens taken from the marine environment not under the jurisdiction of any State.	Standard PowerPoint developed and other tools are planned under the EU-CITES capacity- building project on marine species.
		Suggestion to revise NDF course on Virtual College to include a section on the introduction from the sea.

Detiminental Findings a) Invite Fattles to submit their experiences and the results from Morshops, DOREGOD, and publications related to the making of non-detiment findings for inclusion in the CITES website; and developed of the CITES website; and Image: the set of the	_		
being review by the ACPR WG on capacity- building. Dec. 16.54 E-permitting 16.54 Information Technologies and Electronic Systems in order for it to perform the following tasks: d) work with the CITES Secretariat to <u>ensure that the CITES toolkit is updated as necessary</u> and No funding h to service and tasks: No funding h to service and tasks: No funding h to service and tasks: ITTO is providing support Dec. 16.58 Physical inspection of imber shipments 16.58 by the 65th meeting of the Standing Committee, the Secretariat shall: a) <u>obtain information and materials from those Parties that have reported that they have developed tools and procedures for the identification and measurement of CITES-listed plant inspection on the <u>CITES website</u> so that it can be accessed by CITES plant inspection and enforcement authorities; and c) incorporate this information into the capacity-building activities related to timber trade. Interestion and enforcement authorities; and c) incorporate this information and guidance material as well as other material produced by Parties, non-governmental organizations and intergovernmental organizations; b) determine, in collaboration with Parties, the <u>current availability of identification and guidance material fornited and electronic) to increase accessibility to Parties; c) consult with Parties and requested in Decisions; b) determine, in collaboration with Parties, other availability of external funding interestion of the Secretariat shall, subject to the availability of external funding interestion with partner organizations; c) consult with Partner organizations; b) determine, as appropriate, other experts and organizations; c) arrange antical seminates in <u>Appendix I Asian big cat range States</u>, involving all relevant enforcement agencies, to promote a multi-disciplinary approach t</u></u>	Non Detrimental	a) invite Parties to submit their <u>experiences and the results from workshops</u> , <u>projects or</u> <u>publications related to the making of non-detriment findings for inclusion in the CITES</u> <u>website</u> ; and	web portal was developed on the CITES website under the EU-CITES CoP16 Decisions
E-permitting Information Technologies and Electronic Systems in order for it to perform the following tasks: been availab for this activit of the total set of the secretariat shall: 0 work with the CITES Secretariat to ensure that the CITES toolkit is updated as necessary; and ITTO is providing support the following total information and materials from those Parties that have reported that they have reported that they have developed tools and procedures for the identification and measurement of CITES-listed through a contractual agreement is 0 publish the information on the CITES website so that it can be accessed by CITES plant inspection and enforcement authorities; and comported to timber trade. ITTO is providing support through a contractual agreement with the CITES secretariat. The activity is funded under the EU-CITES website so that it can be accessed by CITES agreement with the CITES secretariat. 0 0 incorporate this information into its capacity-building activities related to timber trade. The work of the EU-CITES website so that it can be accessed by CITES agreement agreement of existing CITES identification and guidance material as well as other material information into its capacity-building activities related to timber trade. The work of the EU-CITES identification and guidance material as well as other material at AC28 and produced by Parties, non-governmental organizations; 10 b) determine, in collaboration with Parties, the current availability of identification and guidance material, including material under production by Parties and requested in Decisions; Activities are planned in 2016. 10 16.70 The Secretariat shall, subject to the availability of			capacity-
Dec. 16.58 Physical inspection of timber shipments 16.58 By the 65th meeting of the Standing Committee, the Secretariat shall: ITTO is providing developed tools and procedures for the identification and measurement of CITES-listed tax by port through a contractual agreement. b) publish the information on the CITES website so that it can be accessed by CITES plant inspection and enforcement authorities; and ITTO is providing agreement. c) incorporate this information into its capacity-building activities related to timber trade. Interesting agreement. dentification Manual 16.59 The Animals and Plants Committees shall: a) form an intersessional working group comprising at least one representative from each region of both Committees to assist in identifying CITES-listed taxa, taking produced by Parties, non-governmental organizations and intergovernmental organizations; The work of the entoring on a nintersessional working group comprising at least one representative from each region of both Committees to assist in identifying CITES-listed taxa, taking produced by Parties, non-governmental organizations and intergovernmental organizations; The work of the entoring to increase accessibility to Parties; b) determine, in collaboration with Parties to assess the need for additional identification material, including material under production by Parties and requested in Decisions; Activities are regering and requested in Decisions; c) consult with Parties to assess the need for additional identification and representing and relevant agencies, to promote a multi-discipinary approach that will well and the redunded in electron,		Information Technologies and Electronic Systems in order for it to perform the following tasks: d) work with the CITES Secretariat to <u>ensure that the CITES toolkit is updated as</u>	No funding has been available for this activity.
Physical inspection of timber shipments a) obtain information and materials from those Parties that have reported that they have developed tools and procedures for the identification and measurement of CITES-listed through a plant inspection and enforcement authorities; and providing support b) publish the information on the CITES website so that it can be accessed by CITES agreement c) incorporate this information into its capacity-building activities related to timber trade. The activity its funded unde the EU-CITE Dec. 16.59- 16.61 Identification Manual 16.59 The Animals and Plants Committees shall: a) form an intersessional working group comprising at least one representative from each region of both Committees to assist in identifying CITES-listed taxa, taking arcount of existing CITES identification and quidance material as well as other material produced by Parties, non-governmental organizations and intergovernmental organizations; The work of the intersessional working group account of existing CITES identification and quidance material, including group was merged with the intersessional working group comparises and requested in Decisions; Dec. 16.68- 16.70 Asian Big Cats 16.70 The Secretariat shall, subject to the availability of external funds, in cooperation with partner organizations in the International Consortium on Combating Wildlife Crime and, as appropriate, other experts and organizations: a) <u>arrange national semirars in Appendix-I Asian big cat range States</u> , involving all relevant enforcement agencies, to promote a multi-disciplinary approach that will facilitate improved coordination and cooperation in the detection, investigation and prevent enforcement agencies, to promote a multi-disciplinary approach that will fa		· · · · · · · · · · · · · · · · · · ·	
Dec. 16.59- 16.61 Identification Manual16.59 The Animals and Plants Committees shall: 16.61 a) form an intersessional working group comprising at least one representative from each region of both Committees to assist in identifying CITES-listed taxa, taking account of existing CITES identification and guidance material as well as other material produced by Parties, non-governmental organizations and intergovernmental organizations; b) determine, in collaboration with Parties, the current availability of identification and guidance material (printed and electronic) to increase accessibility to Parties; c) consult with Parties to assess the need for additional identification material, including material under production by Parties and requested in Decisions; The work of the intersessiona working grou was reported at AC28 and PC22, where the working group was merged with the intersessional working grou on capacity- building.Dec. 16.68- 16.70 Asian Big Cats16.70 The Secretariat shall, subject to the availability of external funds, in cooperation with partner organizations in the International Consortium on Combating Wildlife Crime and, as appropriate, other experts and organizations: a) arrange national seminars in Appendix-I Asian big cat range States, involving all relevant enforcement agencies, to promote a multi-disciplinary approach that will facilitate improved coordination and cooperation in the detection, investigation and prosecution of wildlife crime offences; b) develop a leaflet containing simple illustrations and guidance, to increase awareness of illegal trade in Asian big cats and the endangered status of the species amongst front-line law enforcement staff and border control staff;The work of the	Physical inspection of timber	 a) <u>obtain information and materials from those Parties that have reported that they have developed tools and procedures</u> for the identification and measurement of CITES-listed tree species, and the physical inspection of timber shipments; b) <u>publish the information on the CITES website</u> so that it can be accessed by CITES plant inspection and enforcement authorities; and 	providing support through a contractual agreement with the CITES Secretariat. The activity is funded under the EU-CITES CoP16 Decisions
16.70 Asian Big Catscooperation with partner organizations in the International Consortium on Combating Wildlife Crime and, as appropriate, other experts and organizations: a) arrange national seminars in Appendix-I Asian big cat range States, involving all relevant enforcement agencies, to promote a multi-disciplinary approach that will facilitate improved coordination and cooperation in the detection, investigation and prosecution of wildlife crime offences;Description Decisions project.Description Decisions project.b)develop a leaflet containing simple illustrations and guidance, to increase awareness of illegal trade in Asian big cats and the endangered status of the species amongstThe activity we be funded under the EL CITES CoP1 Decisions project.	16.61 Identification Manual	 a) form an intersessional working group comprising at least one representative from each region of both Committees to assist in identifying CITES-listed taxa, taking account of existing CITES identification and guidance material as well as other material produced by Parties, non-governmental organizations and intergovernmental organizations; b) determine, in collaboration with Parties, the <u>current availability of identification and guidance material (printed and electronic) to increase accessibility to Parties;</u> c) consult with Parties to assess the <u>need for additional identification material</u>, including material under production by Parties and requested in Decisions; 	The work of the intersessional working group was reported at AC28 and PC22, where the working group was merged with the intersessional working group on capacity-
	16.70 Asian	 cooperation with partner organizations in the International Consortium on Combating Wildlife Crime and, as appropriate, other experts and organizations: a) <u>arrange national seminars in Appendix-I Asian big cat range States</u>, involving all relevant enforcement agencies, to promote a multi-disciplinary approach that will facilitate improved coordination and cooperation in the detection, investigation and prosecution of wildlife crime offences; b) <u>develop a leaflet containing simple illustrations and guidance</u>, to increase awareness of illegal trade in Asian big cats and the endangered status of the species amongst front-line law enforcement staff and border control staff; 	2016. The activity will be funded under the EU- CITES CoP16 Decisions

Dec. 16.78 Monitoring of illegal trade in ivory and other elephant specimens	 16.78 The Secretariat shall, subject to external funding: c) convene a workshop for Parties on the use of controlled deliveries, in collaboration with ICCWC partner organizations, with the aim of expanding the application of this investigation technique, particularly in Africa and Asia; and d) develop, in cooperation with the World Bank and other ICCWC partners, an anti-money-laundering and asset recovery manual with a specific focus on wildlife crime, that can be used for the training of investigators, prosecutors and judges. 	Project WAYLAY and INAMA on controlled deliveries are currently underway (see SC66 document on ICCWC).
		Anti-money- laundering and asset recovery manual is being developed in cooperation with World Bank and other ICCWC partners.
		The activity is funded under the EU-CITES CoP16 Decisions project with co- financing from the UK.
Dec. 14.82-85 Grey parrot	14.84 The Secretariat shall <u>seek funding for and organize workshops in West and</u> <u>Central Africa to assist range States</u> in the development and implementation of regional management plans for the conservation of and trade in <i>P. erithacus erithacus</i> and <i>P. erithacus timneh</i> .	No funding has been available for this activity.
Dec. 16.89 Rhinoceroses	 16.89 The Secretariat shall: b) subject to external funding, <u>develop, in conjunction with relevant institutions and experts, a manual containing guidelines on best practices, protocols and operational procedures</u>, that will promote the use of wildlife forensic technology; 	South Africa is leading the development of standard operating procedures for the collection and analysis of samples from seized rhinoceros specimens and the submission of samples or DNA profiles.
Dec. 16.122 Tortoises and freshwater turtles	 16.122 The Secretariat shall: b) subject to external funding, contract a consultant to identify and evaluate tortoises and freshwater turtle identification and capacity-building materials, and assist with developing additional materials as deemed necessary, including the preparation and distribution of multilingual [Bahasa Indonesia, Bahasa Malaysia (Melayu), Bengali, Burmese, Chinese, English, Hindi, Khmer, Lao, Thai, Urdu, Vietnamese and other languages as appropriate] identification materials focused on the shells and shell pieces of Asian tortoises and freshwater and terrestrial turtles; 	No funding has been available for this activity.

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Dec. 16.141- 16.148 <i>Queen conch</i>	 16.147 The Secretariat shall: a) <u>invite FAO and other relevant international and regional bodies to provide assistance</u> to range States of S. gigas in order to enhance the capacity of their Scientific Authorities to make non-detriment findings, through the provision of training, technical support, the establishment and promotion of best practices and standards, and research support; b) make existing examples of best practices, guidance and other relevant information for the making of non-detriment findings for trade in <i>S. gigas</i> <u>available on the CITES</u> <u>website</u>, and notify Parties accordingly; 	A regional workshop was organized in cooperation with the FAO. The outcome of the workshop was reported at AC28.
		Plan is underway to develop a dedicated web portal/page on the CITES website.
		The activities are funded by support from the UK.
Dec. 16.155 – 16.158 <i>Agarwood</i>	 16.155 To facilitate the implementation of the annotation to agarwood-producing taxa, based on document CoP16 Inf. 3 and further information available, exporting and importing countries of agarwood-producing taxa should <u>produce an identification</u> <u>manual for agarwood products and communicate it to the Secretariat</u>. 16.158 The Secretariat shall, upon receipt of the identification manual mentioned in Decision 16.155, <u>make it available to Parties via the CITES website</u>. 	Plan is underway to develop a dedicated web portal/page on the CITES website under the EU-CITES CoP16 Decisions project
Dec. 14.148- 14.149 &15.53 Annotations for tree species included in Appendices II and III	Dec.14.149 Subject to the availability of external funding, the Secretariat shall <u>prepare a</u> <u>glossary with definitions and training materials</u> to illustrate the content of the amended annotations, the terms used and their practical implementation when applying the law and controls.	ITTO is providing support through a contractual agreement with the CITES Secretariat. Initial results reported at PC22.
		The activity is funded under the EU-CITES CoP16 Decisions project.

Resolutions	Capacity-building activities relevant to this review
Conf. 3.4 Technical cooperation	CALLS on all Parties to ensure the inclusion of technical assistance, in matters relating to this <u>Convention</u> , in the bilateral and multilateral programmes of development aid in which they participate;
	URGES Parties to <u>make special funding and qualified staff available, possibly by way of</u> <u>'associate expert' assignments to the Secretariat and to developing countries, to carry out such</u> <u>technical assistance projects for the benefits of the other Parties; and</u>
	REQUESTS the Secretariat to continue to seek external funding for this purpose, in consultation with the Standing Committee, and to execute the projects so funded.
Conf. 10.3 Designation and role SAs	ENCOURAGES the Parties, the Secretariat and interested non-governmental organizations to <u>develop and support workshops/seminars</u>

Conf. 10.10 (Rev. CoP16) <i>Trade in</i> elephant specimens	URGES all Parties to assist elephant range States to improve their capacity to manage and conserve their elephant populations, including through community-based actions, improved law enforcement, surveys, habitat protection and monitoring of wild populations, and taking account of the African elephant action plan and relevant measures agreed by Asian elephant range States;
Conf. 10.19 <i>Traditional medicines</i>	 RECOMMENDS that the Parties: a) work closely with groups of traditional-medicine practitioners and consumers in <u>developing public education and awareness programmes</u> towards the elimination of illegal use of endangered species, and developing awareness of the need to avoid over-exploitation of other wild species;
Conf. 11.1 Establishment of committees	the Animals and Plants Committees shall: d) cooperate with the Secretariat on the implementation of its programme of work to assist Scientific Authorities and <u>provide scientific advice on training materials used in</u> <u>capacity-building;</u>
Conf. 11.3 (Rev. CoP16) Compliance/Enforcement	 RECOMMENDS that Parties: c) use the data acquired during monitoring activities to establish strategies regarding enforcement, capacity building and public awareness; e) use the CITES Virtual College, which provides access to courses and training materials to build enforcement capacity; f) explore innovative means of increasing and improving national enforcement capacity; g) carry out focused national and regional capacity-building activities with particular focus on fostering inter-agency cooperation and improving knowledge of legislation; species identification; risk analysis and investigation of criminal actions; URGES the Parties and the donor community to provide financial support to ICCWC, to ensure that the Consortium can achieve its goals of bringing coordinated support to national wildlife law enforcement agencies and to subregional and regional networks, and of delivering capacity-building activities;
Conf. 11.10 <i>Trade in stony corals</i>	 URGES: a) interested Parties and other bodies from range and consumer States to collaborate and provide support, coordinated by the Secretariat, to produce as a priority accessible and practical guides to recognizing corals and coral rock in trade and to make these widely available to Parties through appropriate media; and
Conf. 12.5 (Rev. CoP16) Conservation of and trade in tigers and other Appendix-I Asian big cat species	f) Parties to contribute financial and technical assistance to enable range States to comply with the implementation of this Resolution and enhance capacity building, improvement of conservation measures and sustainable livelihoods, so as to contribute towards the conservation of Asian big cats;
Conf. 12.6 (Rev. CoP16) Conservation and management of sharks	ENCOURAGES Parties to assist in building financial and technical capacity in developing countries for shark and ray activities under CITES, and for the implementation of the IPOA-Sharks;
Conf. 13.4 (Rev. CoP16) Conservation of and trade in great apes	CALLS UPON all governments, intergovernmental organizations, international aid agencies and non-governmental organizations, as a matter of urgency, to assist the range States in any way possible in supporting the conservation of great apes including: b) assistance with enforcement, training, capacity building and education;
Conf. 14.3 Annex CITES compliance procedures	 29. If a compliance matter has not been resolved, the Standing Committee decides to take one or more of the following measures: a) provide advice, information and appropriate facilitation of assistance and other capacity building support to the Party concerned;

Conf. 14.4 Cooperation between CITES and ITTO regarding trade in tropical timber	ENCOURAGES Parties to support and facilitate the work of ITTO and CITES to build increased capacity and improve implementation of CITES timber listings;
Conf. 16.7 Non Detriment Findings	 ENCOURAGES Parties: d) to offer, on request, cooperative assistance to developing countries, for improvement of capacity to make non-detriment findings, based on nationally identified needs. Such cooperative assistance could take multiple forms, including financial and technical support;
	DIRECTS the Secretariat:
	 a) to maintain a prominent section for non-detriment findings on the CITES website and to update it regularly with information from the Animals and Plants Committees, Parties and other sources;
	 b) to implement a user-friendly mechanism on the CITES website that would allow Parties to easily submit relevant information to be considered for inclusion in the website;
	 c) to ensure that this information is accessible in the appropriate sections of the CITES Virtual College; and
	 d) to assist in identifying possible funding sources to help Parties implementing capacity- building activities related to the making of non-detriment findings.
Conf. 16.10 Implementation of the Convention for agarwood-producing taxa	DIRECTS Parties and the Secretariat to use the agarwood NDF guidance in capacity-building workshops and relevant training materials;

Review of capacity-building activities found in CITES Resolutions and Decisions: assessment on their rationalization/consolidation (Decision 16.29 Capacity-building)

General references

Resolution Conf. 3.4 Technical cooperation
Resolution Conf. 11.3 (Rev. CoP16) Compliance/Enforcement
Resolution Conf. 12.5 (Rev. CoP16) Conservation of and trade in tigers and other Appendix-I Asian big cat species
Resolution Conf. 12.6 (Rev. CoP16) Conservation and management of sharks
Resolution Conf. 13.4 (Rev. CoP16) Conservation of and trade in great apes
Resolution Conf. 14.3 Annex CITES compliance procedures
Resolution Conf. 14.4 Cooperation between CITES and ITTO regarding trade in tropical timber
Decision 16.3 Access to other sources of funding

Technical assistance, targeted training, workshops, and other direct support

Resolution Conf. 10.3 Designation and role SAs Resolution Conf. 10.19 Traditional medicines Resolution Conf. 11.3 Compliance/Enforcement Resolution Conf. 16.7 Non Detriment Findings Resolution Conf. 16.10 Implementation of the Convention for agarwood-producing taxa Decision 16.29 Capacity Building paragraphs c) , d), e) Decision 16.38 National laws for implementation of the Convention paragraphs b), c) Decision 16.58 Physical inspection of timber shipments paragraph c) Decision 16.70 Asian Big Cats paragraph a) Decision 16.78 Monitoring of illegal trade in ivory and other elephant specimens paragraph c) Decision 14.84 Grey parrot Deci 16.147 Queen conch paragraph a)

Development of capacity-building tools and materials for use by Parties

Resolution Conf. 11.1 Establishment of committees Resolution Conf. 11.10 Trade in stony corals Decision 16.29 Capacity Building paragraph b) Decision 16.52 Introduction from the sea: capacity building Decision 16.54 E-permitting Decision 16.70 Asian Big Cats paragraph b) Decision 16.78 Monitoring of illegal trade in ivory and other elephant specimens paragraph d) Decision 16.89 Rhinoceroses paragraph b) Decision 16.155 Agarwood Decision 16.122 Tortoises and freshwater turtles paragraph b)

Decision 14.149 Annotations for tree species included in Appendices II and III

Web-based information sharing

Resolution Conf. 16.7 *Non Detriment Findings* Decision 16.53 *Non Detrimental Findings* Decision 16.58 *Physical inspection of timber shipments* paragraphs a), b) Decision 16.59 *Identification manual* paragraph a) Decision v 16.147 *Queen conch* paragraph b) Decision 16.158 *Agarwood*