

Annex 3. Proposal for a new national report format under Article VIII, paragraph 7 (b)

The following is a proposal for a new CITES national report format under Article VIII, paragraph 7 (b), of the Convention developed by the Standing Committee Working Group on Special Reporting Requirements to replace the existing biennial report. The proposed new format has been presented in the structure of the CITES Strategic Vision (Res. Conf. 16.3¹) to make the input of the report to the Strategic Vision indicators more obvious.

This document uses a revised set of the Strategic Vision indicators, as mandated in Decision 16.44 paragraph g). These revisions are to take account of changes to the context in which the indicators were proposed – for example, under Strategic Vision Objective 1.7 on enforcement, the development of the International Consortium on Combating Wildlife Crime Wildlife and Forest Crime Analytic Toolkit, or to make the indicators more focused. This format only includes those indicators for which it is the source of information. Other information from other CITES processes, such as the National Legislation Project and CITES Directory will also be used to collect information for the indicators.

If the report can be implemented as an online format, it may be possible for previous responses to be pre-populated into the form, thereby speeding up the completion of the report by Parties, which would then only need to check previous responses and make changes if circumstances have changed. Whichever way the report is implemented, further work on layout of the questions will be necessary to ensure the format is easy to read and complete, for example by ensuring that sufficient space is allowed for textual answers to be provided.

Guidance to give more support on how to respond to the questions may be worth considering. This could be provided through a stand alone document, footnotes in this document, or, if an online report format is produced, via drop-down windows as required.

Note that green text has been used to distinguish the Strategic Vision Indicators from the questions being asked in the report format.

The proposed format is currently 18 pages long, however many of the questions are in check-box format and should be relatively easy for Parties to complete. In addition, some of the length is due to restating the CITES Strategic Vision: 2008 - 2020 goals, objectives and indicators. If Parties nevertheless feel the format is too long then they are invited to suggest which indicators or questions should be deleted.

¹ <http://www.cites.org/eng/res/16/16-03.php>

Draft Implementation Report structure

The format below follows the structure of the CITES Strategic Vision: 2008 - 2020 and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report (Article VIII paragraph 7 (a)), whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period from [xx to yy²]. Resolution Conf. 11.17³ (Rev. CoP16) urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties. The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP. The current report is therefore due to be submitted by Parties to the Secretariat by [date⁴].

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat
International Environment House
Chemin des Anémones 11-13
CH-1219 Châtelaine-Geneva
Switzerland

Email: info@cites.org

Tel: +41-(0)22-917-81-39/40

Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report please contact the CITES Secretariat at the address above.

² Edit required when report format issued.

³ <http://www.cites.org/eng/res/11/11-17R16.php>

⁴ Edit required when report format issued.

Party	
Period covered in this report	
Department or agency preparing this report	
Contributing departments, agencies and organisations	

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.

Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed since the last CoP? If Yes, have you shared information with the Secretariat If No, please provide details to the Secretariat with this report.	Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>
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Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

1.2.1a	Do you have standard operating procedures for application for and issuance of permits? Are they publicly available?	Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>
1.2.1b	Do you have a computerised permit / certificate system? Do you currently have an electronic permitting ⁵ system? If yes, please provide information on challenges faced or issues overcome: If no, do you have any plans to move towards e-permitting? Please explain what might help you to move towards e-permitting (if you want to):	Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>

⁵ e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, MA-SA consultations, permit issuance, notification to customs and reporting.

Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in Resolution Conf. 12.3⁶ (Rev. CoP16).

1.2.2a	Has your country developed simplified procedures for any of the following?	Tick all applicable		
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 of Resolution 12.3 are urgently required.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	For the issuance of pre-Convention certificates in accordance with Article VII, paragraph 2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5, or for the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	In other cases judged by a Management Authority to merit the use of simplified procedures.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Objective 1.3 Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties.

1.3.1a	Has your country responded to all relevant special reporting requirements that are active since the last Conference of the Parties? Yes <input type="checkbox"/> No <input type="checkbox"/> Partly <input type="checkbox"/>
1.3.1b	Were any difficulties encountered since the last CoP in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, which Resolution(s) or Decision(s), and what difficulties were / are being encountered?

Objective 1.4 The Appendices correctly reflect the conservation needs of species.

Information on progress with this objective to be gathered through existing processes.

⁶ <http://www.cites.org/eng/res/12/12-03R16.php>

Objective 1.5 Best available scientific information is the basis for non-detriment findings.

Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries of:

- a) the population status of Appendix-II species;
- b) the trends and impact of trade upon Appendix-II species; and
- c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses been undertaken in your country in relation to:	Yes	No	If Yes, How many?
	- the population status of Appendix II species	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the trends and impact of trade on Appendix II species	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the status of and trend in naturally-occurring Appendix I species	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the impact of any recovery plans on Appendix I species	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If Yes for any of the above, please provide			
	Species name (scientific)	A brief summary of the results of the survey, study or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.		
1.5.1b	How are the results of such surveys, studies or other analyses used in making non-detriment findings (NDFs)?			
1.5.1c	Do you have specific conservation measures or recovery plans for Appendix-I listed species?	Yes	No	No information
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If Yes, please provide a brief summary, including, if possible, an evaluation of their impact			
1.5.1d	Do you have examples of good practice in making non-detriment findings based upon implementation of Resolution Conf. 16.7 ⁷ that can be shared?	Yes	No	
	If yes, please provide links or examples to the Secretariat within this report.	<input type="checkbox"/>	<input type="checkbox"/>	

Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a	Do you have standard procedures for making non-detriment findings?	Yes	No	Partly?
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.5.2b	If Yes or Partly, please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:			
1.5.2c	How often do you review and/or change your non-detriment findings?	Case by case	Annually	Every two years
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Less frequently	A mix of the above	
		<input type="checkbox"/>	<input type="checkbox"/>	

⁷ <http://www.cites.org/eng/res/16/16-07.php>

Objective 1.6 Parties cooperate in managing shared wildlife resources.

Indicator 1.6.1: The number of bilateral and multilateral cooperative agreements that specifically provide for co-management of shared CITES listed species by range states.

1.6.1a	Is your country a signatory to any bilateral and/or multilateral cooperative agreements for co-management of shared species? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, please provide brief details :
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Indicator 1.6.2: The number of cooperative management plans including recovery plans in place for shared populations of CITES-listed species.

1.6.2a	Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, please list the species for which these plans are in place and provide a link or reference to a published plan for each species. Species Name (scientific) Link or reference to a published plan
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Indicator 1.6.3: Mechanisms used to collaborate to address the conservation and management needs of shared species.

1.6.3a	In what ways do you collaborate with other CITES Parties?				
	Yes	No	Some instances	No information	Further detail / examples
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (please provide details)				

Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade.

Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:

- international enforcement action plans;
- international enforcement networks;
- national enforcement action plans; and
- national inter-agency enforcement coordination networks.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No
	– international enforcement action plans?	<input type="checkbox"/>	<input type="checkbox"/>
	– international enforcement networks?	<input type="checkbox"/>	<input type="checkbox"/>
	– national or sub-national enforcement action plans?	<input type="checkbox"/>	<input type="checkbox"/>
	– national or sub-national interagency enforcement coordination networks?	<input type="checkbox"/>	<input type="checkbox"/>
	If Yes to any of the above, please specify level of engagement and provide additional details		

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and activities to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) or activities to implement your strategy(ies)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If yes, what do you do?		
	If yes, or under consideration, which tools do you find of value?		
	Have you used the International Consortium on Combating Wildlife Crime (ICWC) Wildlife and Forest Crime Analytic Toolkit or equivalent tools?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If yes, please provide feedback on the parts of the toolkit used and how useful the toolkit or equivalent tools have been. Specify improvements that could be made.		
	If no, please provide feedback on why not or what is needed to make the toolkit or equivalent tools useful to you.		

Indicator 1.7.3: The number of Parties that have criminal law and procedures and wildlife forensic capacity in place, and that use specialized investigation techniques, for investigating and penalizing CITES offences.

1.7.3a	Do you have law and procedures in place for investigating and penalizing CITES offences as a crime?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
1.7.3b	Do you have forensic capacity to apply to the investigation of CITES offences? If yes, please provide a brief summary of specific species to which forensic capacity applies and provide a brief summary of any wildlife forensic capacity used during this reporting period.	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
1.7.3c	Did your authorities participate in or initiate any major ⁸ wildlife law enforcement operation(s) in the past two years? If yes, please provide a brief summary, including any lessons learnt which might be helpful for other Parties.	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
1.7.3d	Do you have:	Yes	No	If yes, how many times was this used since the last CoP?
	Administrative measures to combat wildlife crime?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Potential to use general crime laws to prosecute wildlife crime?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	A standard operating procedure among relevant agencies for submitting information to INTERPOL?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Legislation in place which can be used for financial investigations to combat CITES-related money-laundering offences?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Institutional capacity to implement investigations to combat money-laundering for CITES offences?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Legislative provisions for asset forfeiture ⁹ ?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Legislative provisions against corruption?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Legislative provisions to facilitate international cooperation? (e.g. legislation through which a formal request for mutual legal assistance can be forwarded to another country)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Specific legislation to address organized crime?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If Yes, can it be used in the investigation and prosecution of wildlife crime?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Legal authority to use specialised investigation techniques ¹⁰ in the investigation of CITES offences?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If yes to any of the above, please explain - for each which applies - how is this used for CITES-related offences? Please provide a brief summary, including any lessons learnt which might be helpful for other Parties			

⁸ A major law enforcement effort should be interpreted as one where there has been a concentrated effort. It could be either national or international.

⁹ Forfeiture is: The seizure of assets obtained from criminal activities to ensure that criminals don't benefit from the proceeds of their crimes.

¹⁰ Definition of specialized investigation techniques needed – to include controlled delivery, covert operations, etc.

Indicator 1.7.4: The number of Parties using risk assessment and criminal intelligence to combat illegal trade in CITES-listed species.

1.7.4a	Do you use risk assessment to direct / target CITES enforcement effort?	Always Sometimes Not at all No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
1.7.4b	Do you use criminal intelligence to combat illegal trade in CITES-listed species?	Always Sometimes Not at all No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
1.7.4c	Do you have analysis capacity to use the criminal intelligence you gain?	Yes No Sometimes No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Objective 1.8 Parties and the Secretariat have adequate capacity-building programmes in place.

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have resources or training in place to support: The making of non-detriment findings? Permit officers? Enforcement officers?	Yes <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	No <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
1.8.1b	Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building ?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Indicator 1.8.2: The number of training and capacity-building programmes conducted, including those by assisted by the Secretariat.

1.8.2a	How many training and capacity building programmes have you run since the last CoP?	Without assistance from the Secretariat	Conducted or assisted by the Secretariat
	None	<input type="checkbox"/>	<input type="checkbox"/>
	1	<input type="checkbox"/>	<input type="checkbox"/>
	2-5	<input type="checkbox"/>	<input type="checkbox"/>
	6-10	<input type="checkbox"/>	<input type="checkbox"/>
	11-20	<input type="checkbox"/>	<input type="checkbox"/>
	More than 20	<input type="checkbox"/>	<input type="checkbox"/>
1.8.2b	What sorts of capacity building activities have taken place?		
1.8.2c	What capacity building needs do you have?		
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance
	Target group	Financial assistance	Training
		Other (specify)	Details
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>
	Traders / other user groups	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>

GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	How many full-time equivalent (FTE) staff work in your Management Authority(ies)? (add all Management Authorities together where appropriate)		
2.2.1b	<p>Do you have performance standards¹¹ for your Management Authority(ies)?</p> <p>If Yes, for what proportion of the time are those standards met?</p> <p>If the performance standard was met less than 90% of the time then was this shortfall a result of:</p> <ul style="list-style-type: none"> - availability of funding? - number of staff? - a shortage of skills <p>If yes to a shortage of skills, which skills do you need more of?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>	<p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>
2.2.1c	How many full-time equivalent (FTE) staff work in your Scientific Authority(ies) on CITES issues? (add all Scientific Authorities together where appropriate)		
2.2.1d	<p>Do you have performance standards for your Scientific Authority(ies)?</p> <p>If Yes, for what proportion of the time are those standards met?</p> <p>If the performance standard was met less than 90% of the time then was this shortfall a result of:</p> <ul style="list-style-type: none"> - availability of funding? - number of staff? - a shortage of skills <p>If yes to a shortage of skills, which skills do you need more of?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>	<p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>
2.2.1e	How many full-time equivalent (FTE) enforcement staff work on CITES issues? (add staff from all enforcement agencies together where appropriate)		
2.2.1f	<p>Do you have performance standards for your enforcement authority(ies)?</p> <p>If Yes, for what proportion of the time are those standards met?</p> <p>If the performance standard was met less than 90% of the time then was this shortfall a result of:</p> <ul style="list-style-type: none"> - availability of funding? - number of staff? - a shortage of skills <p>If yes to a shortage of skills, which skills do you need more of?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>	<p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>

¹¹ For example, to provide a response to applications for a permit within a certain time period.

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities since the last CoP:

- changed the budget for activities;
- hiring more staff;
- development of implementation tools;
- improvement of national networks;
- purchase of technical equipment for implementation, monitoring or enforcement;

2.2.2a	Have any of the following activities been undertaken since the last CoP to enhance the effectiveness of CITES implementation at the national level? Tick if applicable			
	Hiring of more staff	<input type="checkbox"/>	Improvement of national networks	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	Purchase of technical equipment for implementation, monitoring or enforcement	<input type="checkbox"/>
	Other (specify):			
2.2.2b	Since the last CoP, was the budget for your authorities	Increased	Stable	Decreased
	Management Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Scientific Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.2.2c	What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities ?			
	Activity	High	Medium	Low
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for implementation, monitoring or enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	e-permitting	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	<p>Does the Management Authority charge fees for permit issuance, registration or related CITES activities?</p> <ul style="list-style-type: none"> – Administrative procedures <input type="checkbox"/> – Issuance of CITES documents for import, or exports, re-export or introduction from the sea: <input type="checkbox"/> – Shipment clearance for the import, or export, re-export or introduction from the sea of CITES- listed species: <input type="checkbox"/> – Licensing or registration of operations that produce CITES species <input type="checkbox"/> – Harvesting of CITES-listed species <input type="checkbox"/> – Use of CITES-listed species <input type="checkbox"/> – Assignment of quotas for CITES-listed species <input type="checkbox"/> – Other (specify): <input type="checkbox"/> 	<p>Tick if applicable</p>
2.2.3b	<p>Is a fee schedule publicly available? If Yes, please provide an internet link, or a copy to the Secretariat</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
2.2.3c	<p>Have revenues from fees been used for the implementation of CITES or wildlife conservation?</p> <p>Comments:</p>	<p>Entirely <input type="checkbox"/> Partly <input type="checkbox"/> Not at all <input type="checkbox"/> Not relevant <input type="checkbox"/></p>
2.2.3d	<p>Do you raise funds for CITES management through charging user fees?</p> <p>Do your fees recover the full economic cost of issuing permits?</p> <p>Do you have case studies on charging or using fees? If yes, please provide details in an annex to this form.</p> <p>Do you use innovative financial mechanisms to raise funds for CITES implementation? If yes, please provide details in an appendix.</p>	<p>Yes No</p> <p><input type="checkbox"/> <input type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/></p> <p><input type="checkbox"/> <input type="checkbox"/></p>

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	<p>Do you use incentive measures to implement the Convention?</p> <p>If yes, please provide a summary or link to further information</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
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Objective 2.3 Sufficient resources are secured at the national and international levels to implement capacity-building programmes.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many capacity building activities have you run since the last CoP which were mandated by specific Resolutions or Decisions?	None <input type="checkbox"/> 1 <input type="checkbox"/> 2-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> 11-20 <input type="checkbox"/> More than 20 <input type="checkbox"/>
	Please list the Resolutions or Decisions involved	

GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements	Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/> No information <input type="checkbox"/>
	If Yes, please provide details	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided or co-ordinated technical or financial assistance to another country or countries or projects in relation to CITES?							Yes	<input type="checkbox"/>
								No	<input type="checkbox"/>
								No information	<input type="checkbox"/>
	If Yes, please tick boxes to indicate type of assistance provided								
	Country(ies)	Species Management ¹²	Habitat Management ¹³	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

Objective 3.2 Awareness of the role and purpose of CITES is increased globally.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups?		Tick if applicable
	– Press releases/conferences		<input type="checkbox"/>
	– Newspaper articles, radio/television appearances		<input type="checkbox"/>
	– Brochures, leaflets		<input type="checkbox"/>
	– Presentations		<input type="checkbox"/>
	– Public consultations / meetings		<input type="checkbox"/>
	– Market surveys		<input type="checkbox"/>
	– Displays		<input type="checkbox"/>
	– Information at border crossing points		<input type="checkbox"/>
	– Telephone hotline		<input type="checkbox"/>
– Website(s) – if so please provide link(s)		<input type="checkbox"/>	
– Other (specify):		<input type="checkbox"/>	
Please attach copies of any items or describe examples			

¹² Suggest use of species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution etc.

¹³ Suggest use of habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed etc.

Indicator 3.2.2: The number of visits to the CITES website.

3.2.3a	How regularly do your Authorities consult the CITES website?					
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).					
		Daily	Weekly	Monthly	Less frequently	Not known
	Target group					
	Staff of Management Authority	<input type="checkbox"/>				
	Staff of Scientific Authority	<input type="checkbox"/>				
	Staff of enforcement authorities	<input type="checkbox"/>				
3.2.3b	What has been your experience with using the CITES website (e.g. useful aspects, any difficulties encountered)?			Excellent	<input type="checkbox"/>	
				Good	<input type="checkbox"/>	
				Average	<input type="checkbox"/>	
				Poor	<input type="checkbox"/>	
				No information	<input type="checkbox"/>	
	Any further comments on the CITES Website? (e.g. which authorities find which functions/tools most useful, what is missing etc.)					

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1: The number of Parties which have achieved synergies in their implementation of biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
3.3.1b	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC ¹⁴) to which your country is party?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If Yes, please give a brief description.		

¹⁴ CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects has your country contributed towards which integrate CITES issues?		
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?		
3.3.2c	Have there been any efforts at a national scale for your CITES Authorities to collaborate with:	Yes	No
	Agencies for development	<input type="checkbox"/>	<input type="checkbox"/>
	Agencies for trade	<input type="checkbox"/>	<input type="checkbox"/>
	Provincial, state or territorial authorities	<input type="checkbox"/>	<input type="checkbox"/>
	Local authorities or communities	<input type="checkbox"/>	<input type="checkbox"/>
	Indigenous or local peoples	<input type="checkbox"/>	<input type="checkbox"/>
	Trade or other private sector associations	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>

Indicator 3.3.3: The number of Parties co-operating / collaborating with intergovernmental and non-governmental organisations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:		Which organisations?
		Tick if applicable	
	Inter-governmental organisations?	<input type="checkbox"/>	
	Non-governmental organisations?	<input type="checkbox"/>	

Objective 3.4 The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions.

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilised or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilised or improved?	Yes	No
	Appendix I	<input type="checkbox"/>	<input type="checkbox"/>
	Appendix II	<input type="checkbox"/>	<input type="checkbox"/>
	Appendix III	<input type="checkbox"/>	<input type="checkbox"/>
If Yes, please provide details			
Species name (scientific)		Changes observed	
3.4.1b	Do you have examples of specific examples of success stories or emerging problems with any of the listed species?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
If Yes, please provide details			

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

Objective 3.5 Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited.

Indicator 3.5.1: The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
If yes, please provide details			

Indicator 3.5.2: The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year that international organisations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organisations and issues consulted on
	Management Authority(ies)	<input type="checkbox"/>					
	Scientific Authority(ies)	<input type="checkbox"/>					
	Enforcement Authority(ies)	<input type="checkbox"/>					

General feedback

Please provide any additional comments you would like to make, including comments on this format.

Item		
Copy of full text of CITES-relevant legislation if changed Web link(s)	Enclosed	<input type="checkbox"/>
	Not available	<input type="checkbox"/>
	Previously provided	<input type="checkbox"/>
Fee schedule(s)	Enclosed	<input type="checkbox"/>
	Not available	<input type="checkbox"/>
	Not relevant	<input type="checkbox"/>
Details of nationally-produced brochures or leaflets on CITES developed for educational or public awareness purposes Please provide web link(s)	Enclosed	<input type="checkbox"/>
	Not available	<input type="checkbox"/>
	Not relevant	<input type="checkbox"/>
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance? If Yes, please describe the constraint and the type of attention or assistance that is required.	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	No Information	<input type="checkbox"/>
Are there examples of good practice you would like to share with other Parties? If so please provide details / links:	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	No Information	<input type="checkbox"/>
How could this report format be improved?		

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.