

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES  
OF WILD FAUNA AND FLORA

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Fifty-third meeting of the Standing Committee  
Geneva (Switzerland), 27 June-1 July 2005

Interpretation and implementation of the Convention

TRADE IN APPENDIX-I SPECIES

This document has been prepared by the Secretariat, at the request of the Standing Committee, on the basis of document SC53 Doc. 16 Annex, following discussion of that document. Differences from the original document are marked.

Draft terms of reference for the review by UNEP-WCMC  
of trade in Appendix-I plant and animal species

1. From the CITES trade database, produce summary tables of all records of trade in Appendix-I species for the years ~~1994-2003~~ 1999-2003, indicating the taxon, the type of specimen, the quantity traded (including units of measurement), and the source and ~~the purpose codes~~ of the trade.
2. Separate-out the data relating to captive-bred and artificially propagated specimens.
3. Regarding the data on trade under Article III in wild-taken specimens (and others not declared with specimens declared as anything other than source 'C', 'D', or 'A' and presumed to be wild-taken), conduct an analysis in order to identify where possible:
  - a) species traded at levels that appear to UNEP-WCMC to give cause for concern about the wild population; and
  - b) indications of trade that ~~appears to~~ could be commercial in nature (e.g. transactions declared as commercial or transactions involving large numbers of specimens); and
  - ~~c) indications of trade that appears to be illegal (using permit information where available).~~
4. Regarding the data on trade under the exemptions provided for in Article VII, paragraph 4, 5 or 7 (in captive-bred and artificially propagated specimens with source 'A', 'C' and 'D'), conduct an analysis in order to:
  - a) identify indications of false declarations that the specimens traded were bred in captivity or artificially propagated (e.g. noteworthy levels of trade in taxa not commonly bred in captivity, taking account of document AC16 Inf. 15 [list of commonly-bred Appendix-I species] and other appropriate sources);
  - b) identify indications that specimens of Appendix-I animal species produced in breeding operations not included in the Secretariat's register are being traded for commercial purposes;
  - c) determine what conclusions can be drawn from the data indicating the levels of trade in specimens that were bred in captivity or artificially propagated (including conclusions regarding the levels of commercial and non-commercial trade); and
  - ~~d) identify indications of trade that appears to be illegal (using permit information where available).~~
5. Identify indications of trade that appears to be illegal (e.g. where the reported imports of a species into one country from another exceeds the reported level of exports, or where a permit number

reported by the importing and exporting Parties refer to different species, source codes or quantities, or where there are other significant inconsistencies).

[6. Determine whether the absence of specific instructions, definitions or guidelines for the use of certificates of captive breeding has any substantive influence on the overall effectiveness and legality of this particular option]

75. Prepare a report summarizing the findings indicated above and with explanations in each case , for consideration by the Standing Committee, at its 54th meeting.