This Annex provides the list of Parties, intergovernmental organizations and non-governmental organizations that have provided comments in response to Notification to the Parties No. 2001/037, of 31 May 2001. Summaries of their comments are included in Annex 4 to this document and are identified by the ISO country code of the State or the acronym of the organization concerned.

**Parties**

Australia (AU)
Belgium (BE; on behalf of the EU)
Botswana (BW)
Canada (CA; comments provided by the Canadian Forest Service are identified as CA For)
Chile (CL)
Costa Rica (CR)
Ecuador (EC)
Germany (DE)
Hungary (HU)
India (IN)
Israel (IL)
Italy (IT)
Japan (JP)
Mexico (MX)
Namibia (NA)
Netherlands (NL)
New Zealand (NZ)
Norway (NO)
Romania (RO)
South Africa (ZA)
Spain (ES)
Slovakia (SK)
United Kingdom (GB)
United States of America (US)
Zimbabwe (ZW)

**Organizations**

European Hardwood Federation (UCBD)
Food and Agriculture Organization of the United Nations (FAO)
Greenpeace
International Tropical Timber Organization (ITTO)
International Wildlife Coalition (IWC, provided jointly with SSN)
Naturschutzbund Deutschland e.V. (NABU)
Safari Club International (SCI)
Species Survival Network (SSN)
The World Conservation Union (IUCN)
TRAFFIC International (TRAFFIC)
Wildlife Conservation Society (WCS)
World Conservation Trust (IWMC)
WWF International (WWF)
REPORT ON THE REVIEW OF THE CRITERIA FOR AMENDMENT OF APPENDICES I AND II

1. Soon after CoP11 Australia offered to host the meeting of the Criteria Working Group in Canberra. It was held from 2 to 4 August 2000. The report of that meeting of the Criteria Working Group (CWG) was circulated with Notification to the Parties 2000/51 of 31 August 2000. Parties were requested to provide comments on the report.

2. The comments received were compiled in a document that was discussed at the joint meeting of the Animals and Plants Committees held in Shepherdstown, United States of America from 7 to 9 December 2000.

3. At that joint meeting it was agreed that the CWG should meet again for a detailed discussion of the definitions, notes and guidelines in Annex 5 to Resolution Conf. 9.24 since new or amended definitions could only be proposed once the amendments to the text of the other Annexes had been agreed. The second meeting of the CWG was held in Sigüenza, Spain on 22-24 May 2001.

4. As announced at the joint meeting, the Chairmen of the Plants and Animals Committees and of the CWG met in Geneva from 19 to 21 April 2001 to prepare a report, including an amended draft of Resolution Conf. 9.24, based on comments received from Parties in response to Notification 2000/51 and discussions during the joint meeting. This report, including the definitions proposed by the second meeting of the CWG, was circulated to the Parties and interested organizations with Notification to the Parties 2001/37 of 31 May 2001, seeking their comments.

5. The Chairmen agreed to meet in Geneva from 17 to 21 November to prepare the final report. However, the Chairman of the Plants Committee did not attend. The comments provided by 22 of the 156 Parties and from 13 interested organizations were considered in detail. The draft of the resulting report was sent to the Chairman of the Plants Committee on 21 November, seeking her comments.

6. Although not suggested by any of the respondents, additional paragraphs have been proposed for the preambular and operative sections of the proposed revised Resolution that link it more directly to the general principles and objectives contained in the “Strategic Vision through 2005”.

7. The Chairmen of the Animals Committee and the Criteria Working Group believe that the final document, presented as Annex 3 to this document, presents a balanced set of criteria for amending Appendices I and II of the Convention and takes into account most of the comments and concerns expressed during meetings and in written reactions. The present draft retains the precautionary approach and removes ambiguities in the text of the present Resolution. We are convinced that the text, as presented here by us, in coming years will prove to be a useful tool to objectively and scientifically decide how and when to amend Appendices I and II of the Convention.