CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA

Forty-second meeting of the Standing Committee
Lisbon (Portugal), 28 September-1 October 1999

TRANSPORT OF LIVE ANIMALS

1. In Resolution Conf. 10.21, the Conference of the Parties

    URGES all Parties that permit imports of live animals: to maintain records of the number of
live specimens per shipment and of mortalities in transport of species listed in the
appendices; to note obvious causes of mortality, injury or damage to health; and to provide
these data relating to the previous calendar year along with their annual reports;

    DECIDES that non-submission of these data will be noted in a report from the Secretariat to
the Standing Committee.

2. Rather than reporting on non-submission, however, the Secretariat prefers to report on
submission of the reports concerned.

   a) Belgium has provided detailed reports for 1994, 1995 and 1996 on mortality of birds at
      Brussels National Airport.

   b) Austria has reported on a regular basis that it has not detected any mortality during
      transport.

   c) Germany has carried out a detailed study on mortality in shipments of live animals
      originating in the United Republic of Tanzania.

3. No more information has been received by the Secretariat.

4. Resolution Conf. 10.21 also DIRECTS the Animals Committee in consultation with the
Secretariat:

    to establish the format for the presentation of data on mortality and injury or damage to
health in transport;

5. The Transport Working Group of the Animals Committee discussed this subject during the
14th meeting of the Committee (Caracas, Venezuela, May 1998) and at a meeting in
Washington, D.C, United States of America (January 1999). Following further postal consultation
of the members of the working group and of the members of the Animals Committee, agreement
was reached about the design of an abbreviated reporting form. This form was approved by the
members of the Animals Committee.

6. Taking into account the disappointingly low response to the form distributed with Notification to
the Parties No. 848 of 18 April 1995, the Transport Working Group decided to select a number of
species on which to focus their attention, expecting that this might achieve a better reaction from
the Parties.
7. For reasons of expediency, rather than submitting this abbreviated reporting form to the Standing Committee, the Animals Committee requested that it be distributed to the Parties. It was consequently sent with Notification to the Parties No. 1999/48 of 1 July 1999 (see Annex).

8. Resolution Conf. 10.21 further RECOMMENDS that:

   *The IATA Live Animals Regulations be incorporated into the domestic legislation of the Parties.*

9. At the request of the Animals Committee, the Secretariat asked the Parties through Notification No. 1998/43 of 6 August 1998 to inform them whether this has been done.

10. The responses were, again, disappointingly low, although a number of Parties might have thought that, having sent their legislation to the Secretariat on an earlier occasion, there was no need to respond to this Notification.

11. Ten responses were received, of which eight (including seven from Parties in the European Union) were from Parties that had specific provisions implementing the IATA LAR in their legislation; one had an indirect reference by requiring that the relevant phrase be included in the permit and one had strict veterinary legislation, without specific reference to the IATA LAR.
Notification to the Parties No. 1999/48 of 1 July 1999

MORTALITY OF LIVE ANIMALS IN TRADE

1. Through Notification to the Parties No. 848 of 18 April 1995, the Secretariat requested the Parties to provide data on mortality of live specimens during transport.

2. Reference was made to paragraph j) of Resolution Conf. 9.23 (now replaced by Resolution Conf. 10.21), which recommended that “Parties maintain records of the number of live specimens per shipment and the mortalities in transport of species listed in the appendices, particularly birds, and note obvious causes of mortality, and that they publish these data annually, providing a copy to the Chairman of the Animals Committee”.

3. Only a few Parties responded to this Notification. The Secretariat is grateful to those Parties that provided information. However, the limited number of responses did not permit a meaningful evaluation of the information received.

4. The Transport Working Group of the Animals Committee met in Washington, D.C., United States of America, on 15 January 1998, to reconsider this issue. It concluded that mortality during transport is an important issue that needs to be investigated and monitored continuously. Because there had been so few responses from Parties to the previous Notification, it decided to concentrate on a limited number of species.

5. At the above-mentioned meeting, the following species were selected, using the number of animals traded annually as the main selection criterion.

<table>
<thead>
<tr>
<th>Mammals</th>
<th>Chlorocebus aethiops</th>
<th>Macaca fascicularis</th>
<th>Saimiri sciureus</th>
</tr>
</thead>
<tbody>
<tr>
<td>Birds</td>
<td>Amazona amazonica</td>
<td>Eos borneo</td>
<td>Psittacus erithacus</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Trochilidae spp.</td>
</tr>
<tr>
<td>Reptiles</td>
<td>Chamaeleo senegalensis</td>
<td>Python sebae</td>
<td>Testudo horsfieldii</td>
</tr>
<tr>
<td>Amphibians</td>
<td>Dendrobates pumilio</td>
<td>Mantella aurantiaca</td>
<td></td>
</tr>
</tbody>
</table>

6. Annexed to this Notification is an abbreviated reporting form.

7. The Secretariat would be grateful if the Parties would distribute this form in sufficient copies to all agencies involved in inspecting shipments of live specimens, at the time of export/import, and to companies trading in live specimens, and request them to complete the form for each inspected shipment.

8. The Secretariat would be grateful if these forms could be returned on a monthly basis.

9. This Notification replaces Notification to the Parties No. 848 of 18 April 1995.
**Questionnaire form on causes of injury and/or mortality**

**Name inspecting officer/badge no.:** ________________________________

### Mammals
- Chlorocebus aethiops
- Macaca fascicularis
- Saimiri sciureus

### Birds
- Amazona amazonica
- Eos borneo
- Psittacus erithacus
- Trochilidae spp.

### Reptiles
- Chamaeleo senegalensis
- Python sebae
- Testudo horsfieldii

### Amphibians
- Dendrobates pumilio
- Mantella aurantiaca

**Other species:** ________________________________

**Other causes:** ________________________________

**Date:**

**Country of (re-) export:**

**Country of import:**

**No. of bags per box:**

**Size of bag:**

**No. of specimens per bag:**

**No. of solid containers per box:**

**Size of container:**

**No. of specimens per container:**

**Injured and/or damaged:**

**Dead on arrival:**

**No. of boxes:**

**No. of boxes:**

**Condition of animals:**

**Good:**

**Average:**

**Poor:**

**%**

**%**

**%**

**Principal causes for injury or mortality (more than one answer possible):**

- Improper ventilation
- Improper temperature
- Over-crowding
- Unplanned delay of transport
- Structural failure of shipping container
- Improper packing within shipping container
- Inappropriate health conditions at the time of departure
- Time of transport process too long
- Other: ________________________________

**Function of inspecting officer:**

- Customs
- Veterinary service
- Scientific Authority
- Other: ________________________________

**If applicable: Place and duration of transit (if known):**

**No. of days in quarantine:**

**No. of dead during quarantine:**

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**Please return to CITES Secretariat:** 15, chemin des Anémones, CH-1219 Châtelaine/Genève, Switzerland • Tel.: (4122) 917-8139/140 • Fax: (4122) 797-3417