

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES  
OF WILD FAUNA AND FLORA



Twenty-ninth meeting of the Animals Committee  
Geneva (Switzerland), 18-22 July 2017

Interpretation and implementation matters

General compliance and enforcement

Captive-bred and ranched specimens

NON-DETRIMENT FINDINGS FOR SPECIMENS WITH SOURCE CODE W, R AND F

1. This document has been prepared by the Secretariat.
2. At its 17th meeting (CoP17, Johannesburg, 2016), the Conference of the Parties adopted Decisions 17.101 to 17.107 on *Captive-bred and ranched specimens* (see document AC29 Doc. 14.1), of which the following relate to non-detriment findings for such specimens:

***Directed to the Animals Committee***

17.104 *Subject to available resources, the Animals Committee shall review the differences in the nature of non-detriment findings made for specimens with source code W, R and F and provide guidance for Parties, to be sent to the Secretariat for inclusion in the section for non-detriment findings on the CITES website referred to in Resolution Conf. 16.7 (Rev. CoP17) on Non-detriment findings.*

17.105 *At its 30th meeting, the Animals Committee shall prepare a report of its observations and recommendations regarding the first iteration of Resolution Conf. 17.7 on Review of trade in animals specimens reported as produced in captivity, including opportunities for harmonisation with the process in Resolution Conf. 12.8 (Rev. CoP17) on Review of Significant Trade in specimens of Appendix-II species and other opportunities to achieve the aims of the Resolution most effectively and cost efficiently, taking into account the recommendations of the Secretariat arising from Decision 17.103, and transmit the report to the Standing Committee.*

3. The results of the review of ambiguities and inconsistencies in the application of Article VII paragraphs 4 and 5 and a number of Resolutions with significance to captive breeding and ranching as outlined in Decision 17.101 will be discussed under Decision 17.103 at the 30th meeting of the Animals Committee.

Implementation of Decision 17.104

4. Decision 17.104 is directly relevant to the work of the Animals Committee at this meeting. This Decision tasks the Animals Committee to review the differences in the nature of non-detriment findings made for specimens with source code W, R and F and provide guidance for Parties. This guidance will subsequently be included in the section for non-detriment findings on the CITES website referred to in Resolution Conf. 16.7 (Rev. CoP17) on *Non-detriment findings*.

5. The following definitions apply to the source codes referred to in Decision 17.104:

Source Code	Definition
W	Specimens taken from the wild,
R	Ranched specimens, which are specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
F	Animals born in captivity (F1 or subsequent generations) that do not fulfil the definition of 'bred in captivity' in Resolution Conf. 10.16 (Rev.), as well as parts and derivatives thereof

6. There is an obligation on the scientific authority of an exporting country to provide advice, or make a non-detriment finding (NDF) for trade in specimens using source codes W, R and F. However, the risks to, and impacts on wild populations may be different for the three sources and this should be reflected in the relevant NDF guidance.
7. The Animals Committee could initiate the implementation of Decision 17.104 by:
- collecting and reviewing examples of NDFs for specimens from source code F and R, and comparing these with the guidance available for specimens originating from the wild;
  - contrast the nature and quality of NDFs of specimens with source codes W, R and F;
  - consider guidance for NDFs for specimens from source code R and F, considering different types of ranching and F-breeding, including both terrestrial (e.g. reptiles, butterflies, aquaculture etc.) and marine species (e.g. corals, humphead wrasse, giant clam, queen conch, etc.); and
  - provide a summary of findings and recommendations for consideration by the Animals Committee through an intersessional working group.
8. In support of the Animals Committee's work outlined in paragraph 7 above, the Secretariat will try to secure funds for a consultant to collect a broad range of examples of NDFs for specimens from source code F and R, compare these with NDFs for species from wild origin, and formulate findings for consideration by the Animals Committee or its intersessional working group.

#### Recommendations

9. The Animals Committee is invited to:
- initiate the implementation of Decision 17.104, taking account of the suggestions in paragraph 7; and
  - decide on the membership and Terms of Reference for an intersessional working group to continue the implementation of Decision 17.104 and, in case it can be undertaken, assess the outcomes of the consultancy mentioned in paragraph 8.