

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA

Twenty-ninth meeting of the Animals Committee
Geneva (Switzerland), 18-22 July 2017

Interpretation and implementation matters

Compliance and enforcement

Review of Significant Trade in specimens of Appendix-II species

OVERVIEW OF THE REVIEW OF SIGNIFICANT TRADE

1. This document has been prepared by the Secretariat.
2. In Resolution Conf. 12.8 (Rev. CoP17) on *Review of Significant Trade in specimens of Appendix-II species*, the Conference of the Parties:
 5. *DIRECTS the Secretariat, for the purpose of monitoring and facilitating the implementation of this Resolution and the relevant paragraphs of Article IV:*
 - a) *to report at each meeting of the Animals or Plants Committee on the implementation by the range States concerned of the recommendations made by the Committee; and*
 - b) *to maintain a database of species/country combinations that are included in the review process set out in this Resolution including a record of progress with the implementation of recommendations;*

Implementation by range States of recommendations made by the Animals Committee

3. The last update of the status of the Review of Significant Trade for fauna species was reported to the Animals Committee at its 28th meeting [AC28, Tel Aviv, August 2015; see document [AC28 Doc. 9.2 \(Rev.1\)](#)]. Twelve species/range State reviews were subsequently considered at the 66th and 67th meetings of the Standing Committee (SC66, Geneva, January 2016; SC67, Johannesburg, September 2016). The following four reviews were concluded at SC66 and SC67; Tajikistan/*Testudo horsfieldii* and Thailand/*Hippocampus kelloggi*, *H. kuda* and *H. spinosissimus*.
4. The most recent list of countries under a recommendation to suspend trade for certain species selected for the Review of Significant Trade, and following non-compliance with Article IV of the Convention was published in Notification to the Parties [No. 2016/018](#) of 15 March 2016. The recommendations and decisions were made in accordance with Resolution Conf. 12.8 (Rev. CoP13) valid at the time of the Notification, or its predecessor Resolution Conf. 8.9 (Rev.). The cases involving a suspension of trade are kept under regular review by the Standing Committee in line with paragraph 1 p) of Resolution Conf. 12.8 (Rev. CoP17).
5. The Annex to the present document provides an updated overview of all these ongoing cases (fauna species / range State combinations), with an indication of their current status under the review process, and the reference documents that provide detailed information for the cases in question. It also indicates the reviews that were completed since AC28.
6. The Review of Significant Trade concerning *Psittacus erithacus* (selected following CoP12 and CoP15), and *Manis gigantea* and *M. tricuspis* (selected following CoP16) was stopped after the 17th meeting of the

Conference of the Parties (CoP17; Johannesburg, 2016) because these species were transferred from Appendix II to Appendix I.

7. Further information on species selected by the Animals Committee for the Review of Significant Trade following the 16th meeting of the Conference of the Parties (CoP 16, Bangkok, 2013) is provided in document AC29 Doc. 13.2.
8. At its 17th meeting (CoP17, Johannesburg, 2016), the Conference of the Parties adopted amendments to Resolution Conf. 12.8 (Rev. CoP13) on *Review of Significant Trade in specimens of Appendix-II species*, as outlined in document [CoP17 Doc. 33](#), allowing for a more transparent, timely and simple process for conducting the reviews. The revised Resolution provides clear guidance and recommendations for the selection of species/country combinations to be reviewed, the consultation process with the range States, the compilation of information, the categorization and recommendations from the Animals and Plants Committees, measures to be taken regarding the implementation of recommendations and support to the range States. The new process will be initiated at this meeting with the selection of new species for reviews post CoP17 (see document AC29 Doc. 13.3).

Decisions 17.108 to 17.110 on *Review of Significant Trade*

9. Decision 17.108 requests the Secretariat, within six months of the adoption of Resolution Conf. 12.8 (Rev. CoP17) and building on the work done to date, to develop, test and establish a Review of Significant Trade Tracking and Management database as an essential tool for the effective implementation and transparency of the process. The Secretariat has carefully reviewed the best approaches to implement Decision 17.108 by inventorying and identifying problems with the existing database that need to be adjusted or modified to suit the new Review of Significant Trade process; discussing options for improvements with the authors of the existing database; and developing a realistic project plan and timeframe. Progress depends on the availability of external funds.
10. Decisions 17.109 and 17.110 are both directed to the Secretariat, calling it, subject to the availability of funds, to develop respectively: a user-friendly guide to the Review of Significant Trade that can also be included in the initial letter to range States; and a comprehensive training module on the Review of Significant Trade (including case studies as appropriate). Thanks to the generous support from the European Union, the necessary resources have now been secured to move these Decisions forward.
11. The Secretariat intends to provide oral updates at the present meeting on progress regarding the implementation of Decisions 17.108 to 17.110.

Recommendation

12. The Animals Committee is invited to note this document.

PROGRESS IN THE IMPLEMENTATION OF THE
REVIEW OF SIGNIFICANT TRADE IN ANIMAL SPECIES

Key: AC = Animals Committee; SC = Standing Committee

Table 1: Species selected for the Review of Significant Trade prior to CoP11 (before 2000)

Taxon selected	SC recommendation to suspend trade or Reference document(s)	Status of review
First Notification to the Parties on the SC recommendation to suspend trade (No. 737) issued on 20 April 1993 (SC29)		
<i>Agapornis fischeri</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for the United Republic of Tanzania . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Malacochersus tornieri</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for the United Republic of Tanzania . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
First Notification to the Parties on the SC recommendation to suspend trade (No. 833) issued on 20 January 1995 (SC32)		
<i>Coracopsis vasa</i> , <i>Calumma</i> spp./ <i>Furcifer</i> spp. (certain species only) <i>Phelsuma</i> spp. (certain species only)	SC66 Doc. 31.2 Notification 2016/018	Ongoing for Madagascar . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Ornithoptera urvillianus</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for Solomon Islands . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Ornithoptera victoriae</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for Solomon Islands . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p)."
First Notification to the Parties on the SC recommendation to suspend trade (No. 2007/043) issued on 9 July 2001 (SC45)		
<i>Poicephalus robustus</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for the Democratic Republic of Congo, Mali and Togo . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Stigmochelys</i> (formerly <i>Geochelone) pardalis</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for the Democratic Republic of the Congo . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Cordylus tropidosternum</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for Mozambique . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).

Taxon selected	SC recommendation to suspend trade or Reference document(s)	Status of review
<i>Corucia zebrata</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for Solomon Islands . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
First Notification to the Parties on the SC recommendation to suspend trade (No. 2004/028) issued on 30 March 2004 (SC50)		
<i>Naja spp.</i> (= <i>N. atra</i> , <i>N. kaouthia</i> , <i>N. siamensis</i>)	SC66 Doc. 31.2 Notification 2016/018	Ongoing for the Lao People's Democratic Republic . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
Intersessional decision, September 2003		
<i>Strombus gigas</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for Grenada and Haiti . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).

Table 2: Species selected by the Animals Committee for the Review of Significant Trade following CoP11 (2000)

Taxon selected	SC recommendation to suspend trade or Reference document(s)	Status of review
<i>Cuora galbinifrons</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for the Lao People's Democratic Republic . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).

Table 3: Species selected by the Animals Committee for the Review of Significant Trade following CoP12 (2002)

Taxon selected	SC recommendation to suspend trade or Reference document(s)	Status of review
<i>Falco cherrug</i>	SC66 Doc. 31.2	Removed from the review.
<i>Phelsuma comorensis</i>	SC66 Doc. 31.2	Removed from the review.
<i>Phelsuma v-nigra</i>	SC66 Doc. 31.2	Removed from the review.
<i>Psittacus erithacus</i>	SC66 Doc. 31.2 Notification 2016/018 Notification 2016/068	Review stopped. Species transferred to Appendix I at CoP17.
<i>Uromastyx dispar</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for Mali . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).

Table 4: Species selected by the Animals Committee for the Review of Significant Trade following CoP13 (2004)

Taxon selected	SC recommendation to suspend trade or Reference document(s)	Status of review
<i>Mantella crocea</i>	SC62 Doc. 27.1	Ongoing for Madagascar . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Mantella viridis</i>	SC62 Doc. 27.1	Ongoing for Madagascar . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Mantella aurantiaca</i>	SC65 Doc.26.1	Removed from the review.

Table 5: Species selected by the Animals Committee for the Review of Significant Trade following CoP14 (2007)

Taxon selected	SC recommendation to suspend trade or Reference document(s)	Status of review
<i>Tursiops aduncus</i> ¹	SC65 Doc. 26.1	Removed from the review.
<i>Hippopotamus amphibius</i>	SC67 Doc. 15 SC66 Doc. 31.2 Notification 2016/018	Removed from the review for Cameroon . Quota of 10 trophies per year established. Ongoing for Mozambique . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Balearica pavonina</i> ¹	SC66 Doc. 31.2 Notification 2016/018	Ongoing for Guinea, South Sudan and Sudan . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Balearica regulorum</i> ¹	SC65 Doc.26.1 SC66 Doc. 31.2 Notification 2016/018	Removed from review for Uganda and Rwanda . Ongoing for United Republic of Tanzania . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Heosemys annandalii</i>	SC66 Doc. 31.2 SC66 SR Notification 2016/018	Ongoing for the Lao People's Democratic Republic . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Heosemys grandis</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for the Lao People's Democratic Republic . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Testudo horsfieldii</i>	SC66 Sum Rec	Removed from the review.
<i>Amyda cartilaginea</i>	SC65 Doc.26.1	Removed from the review.

¹ Taxa included in the Review of Significant Trade at the 24th meeting of the Animals Committee (Geneva, April 2009) in accordance with paragraph c) of Resolution Conf. 12.8 (Rev. CoP13).

Taxon selected	SC recommendation to suspend trade or Reference document(s)	Status of review
<i>Chamaeleo africanus</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for Niger . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Trioceros (Chamaeleo) feae</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for Equatorial Guinea . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Cordylus mossambicus</i>	SC66 Doc. 31.2 Notification 2016/018	Ongoing for Mozambique . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Huso huso</i> ¹	SC65 Doc. 26.1 SC66 Doc. 31.2	Removed from the review.
<i>Hippocampus kelloggi</i> ¹	SC67 Doc. 15	Removed from the review.
<i>Hippocampus kuda</i> ¹	SC67 Doc. 15 SC66 Doc. 31.2 Notification 2016/018	Removed from the Review for Thailand . Ongoing for Viet Nam . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Hippocampus spinosissimus</i> ¹	SC67 Doc. 15	Removed from the review.
<i>Pandinus imperator</i> ¹	SC65 Doc. 26.1 SC66 Doc. 31.2 Notification 2016/018	Ongoing for Benin, Ghana and Togo . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Tridacna</i> spp. ¹	SC66 Doc. 31.1 Notification 2016/018	Ongoing for Solomon Islands . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).

Table 6: Species selected by the Animals Committee for the Review of Significant Trade following CoP15 (2010)

Taxon selected	Reference document(s)	Status of review
<i>Macaca fascicularis</i>	AC28 Com.8 SC66 Doc. 31.1 Notification 2016/018 SC67 SR	Removed from the Review for Cambodia² and Viet Nam² Ongoing for Lao People's Democratic Republic² Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Psittacus erithacus</i>	Notification 2016/068 Notification 2016/018	Review stopped. Species transferred to Appendix I at CoP17.
<i>Chamaeleo gracilis</i>	SC66 Doc. 31.1 Notification 2016/018	Ongoing for Benin² and Ghana . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).

² Species-range State combinations for which issues not related to the implementation of Article IV, paragraph 2 (a), 3 or 6 (a) were identified that should be referred to the Secretariat, and addressed by other provisions of the Convention and relevant Resolutions.

Taxon selected	Reference document(s)	Status of review
	SC67 Doc. 15	Ongoing for Togo ; quotas established. Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Chamaeleo senegalensis</i>	SC66 Doc. 31.1 Notification 2016/018	Ongoing for Benin² and Ghana . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Triceros melleri</i>	SC66 Doc. 31.1	Ongoing for Mozambique . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Triceros quadricornis</i>	SC66 Doc. 31.1 Notification 2016/018	Ongoing for Cameroon . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Kinyongia fischeri</i>	SC66 Doc. 31.1 Notification 2016/018	Ongoing for United Republic of Tanzania . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Kinyongia tivetana</i>	SC66 Doc. 31.1 Notification 2016/018	Ongoing for United Republic of Tanzania . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Ptyas mucosus</i>	SC66 Doc. 31.1 Notification 2016/018	Ongoing for Lao People's Democratic Republic . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Naja sputatrix</i>	AC27 Sum Rec	Removed from the review.
<i>Python reticulatus</i>	SC66 Doc. 31.1 Notification 2016/018	Ongoing for Lao People's Democratic Republic² and Malaysia . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Podocnemis unifilis</i>	AC28 Com.8 SC66 Doc. 31.1	Removed from the review.
<i>Kinixys homeana</i>	SC66 Doc. 31.1 Notification 2016/018 SC67 Doc. 15	Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Hippocampus algiricus</i>	SC66 Doc. 31.1 Notification 2016/018	Ongoing for Guinea and Senegal . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Hippocampus barbouri</i>	AC27 Sum Rec	Removed from the Review.
<i>Hippocampus histrix</i>	AC27 Sum Rec	Removed from the Review.
<i>Hippocampus trimaculatus</i>	AC27 Sum Rec	Ongoing for Thailand . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).

Taxon selected	Reference document(s)	Status of review
<i>Antipatharia</i> spp.	AC27 Sum Rec	Ongoing for Taiwan, Province of China ² . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Catalaphyllia jardinei</i>	AC27 Sum Rec	Removed from the Review.
<i>Euphyllia cristata</i>	AC27 Sum Rec	Removed from the Review.
<i>Plerogyra simplex</i>	SC66 Doc. 31.1 Notification 2016/018	Ongoing for Fiji . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Plerogyra sinuosa</i>	SC66 Doc. 31.1 Notification 2016/018	Ongoing for Fiji . Action with the range State as per paragraph 1 o) of Resolution 12.8 (Rev. CoP17); trade suspension under review by SC as per paragraph 1, p).
<i>Trachyphyllia geoffroyi</i>	AC27 Sum Rec	Removed from the Review.

Table 7: Species selected by the Animals Committee for the Review of Significant Trade following CoP16³ (2013)

Taxon selected	Reference document(s)	Status of review
<i>Tayassu pecari</i>	AC28 Com.8 (Rev. by Sec.)	Removed from the Review.
<i>Ursus maritimus</i>	AC28 Com.8 (Rev. by Sec.)	Removed from the Review.
<i>Manis gigantea</i>	Notification 2016/018	Review stopped. Species transferred to Appendix I at CoP17
<i>Manis tricuspis</i>	Notification 2016/018	Review stopped. Species transferred to Appendix I at CoP17
<i>Amazona festiva</i>	AC28 Com.8 (Rev. by Sec.)	Ongoing for Guyana . Action required from the AC.
<i>Uromastix aegyptia</i>	AC28 Com.8 (Rev. by Sec.)	Ongoing Jordan and Syrian Arab Republic . Action required from the AC.
<i>Uromastix omate</i>	AC28 Com.8 (Rev. by Sec.)	Removed from the Review.
<i>Triceros montium</i>	AC28 Com.8 (Rev. by Sec.)	Ongoing for Cameroon . Action required from the AC.
<i>Varanus ornatus</i>	AC28 Com.8 (Rev. by Sec.)	Ongoing for Togo . Action required from the AC.
<i>Ophiophagus hannah</i>	AC28 Com.8 (Rev. by Sec.)	Ongoing for Indonesia and Malaysia

³ The list of range States was compiled based on the distribution of the taxa concerned as shown in the June 2014 Species+ list. The Species+ list has since then been updated. This update accounts for the differences between the range States in this table and those currently listed in Species+.

Taxon selected	Reference document(s)	Status of review
<i>Malayemys subtrijuga</i>	AC28 Com.8 (Rev. by Sec.)	Ongoing for Indonesia, Lao People's Democratic Republic and Malaysia . Action required from the AC.
<i>Notochelys platynota</i>	AC28 Com.8 (Rev. by Sec.)	Ongoing for Indonesia . Action required from the AC.
<i>Chelonoidis denticulata</i>	AC28 Com.8 (Rev. by Sec.)	Ongoing for Guyana and Suriname . Action required from the AC.
<i>Geochelone sulcata</i> (= <i>Centrochelys sulcata</i>)	AC28 Com.8 (Rev. by Sec.)	Ongoing for Benin, Ghana, Guinea, Mali, Sudan and Togo . Action required from the AC.
<i>Testudo graeca</i>	AC28 Com.8 (Rev. by Sec.)	Ongoing for Jordan and Syrian Arab Republic . Action required from the AC.
<i>Hippocampus erectus</i>	AC28 Com.8 (Rev. by Sec.)	Removed from the Review.
<i>Ornithoptera croesus</i>	AC28 Com.8 (Rev. by Sec.)	Ongoing for Indonesia . Action required from the AC.
<i>Ornithoptera meridionalis</i>	AC28 Com.8 (Rev. by Sec.)	Removed from the Review.
<i>Ornithoptera rothschildi</i>	AC28 Com.8 (Rev. by Sec.)	Ongoing for Indonesia . Action required from the AC.
<i>Hirudo medicinalis</i>	AC28 Com.8 (Rev. by Sec.)	Ongoing for Turkey . Action required from the AC.