

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Twenty-ninth meeting of the Animals Committee
Geneva (Switzerland), 18 – 22 July 2017

Strategic matters

Animals Committee strategic planning for 2017-2019 (CoP17-CoP18)

RESOLUTIONS AND DECISIONS DIRECTED TO THE ANIMALS COMMITTEE

1. This document has been prepared by the Secretariat.
2. Annex 1 to this document provides an overview of the currently valid Resolutions that require actions from, or contain references to, the Animals Committee.
3. Annex 2 lists all currently valid Decisions of the Conference of the Parties directed to the Animals Committee, or that may need consultation with, or assistance from the Committee.
4. The Animals Committee is invited to take these instructions into consideration in developing its working programme for 2017-2019 (see document AC29 Doc. 5.2).

RESOLUTIONS CONTAINING INSTRUCTIONS THAT ARE DIRECTED OR RELEVANT
TO THE ANIMALS COMMITTEE

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<p>Conf. 8.13 (Rev. CoP17) Use of coded-microchip implants for marking live animals in trade</p>	<p>2. DIRECTS</p> <p>b) the Animals Committee to monitor developments in microchip-implant technology and application techniques and to advise the Secretariat about such developments, for the information of the Parties.</p>
<p>Conf. 9.24 (Rev. CoP17) Criteria for amendment of Appendices I and II</p>	<p>5. URGES Parties that are considering the submission of a proposal to amend the Appendices, in cases where there is any doubt regarding the nomenclature to follow, to consult the nomenclature specialist of the Animals Committee or the Plants Committee as early as possible in advance of submitting the proposal;</p> <p>9. RESOLVES that, to monitor the effectiveness of protection offered by the Convention, the status of species included in Appendices I and II should be regularly reviewed by the range States and proponents, in collaboration with the Animals Committee or the Plants Committee, subject to the availability of funds;</p> <p>Annex 4: Precautionary measures</p> <p>B. The following review procedures shall apply when a species is transferred to Appendix II pursuant to paragraph A. 2. c) above.</p> <p>1. Where the Plants Committee, the Animals Committee or a Party becomes aware of problems in compliance with the management measures and export quotas of another Party, the Secretariat shall be informed and, if the Secretariat fails to resolve the matter satisfactorily, it shall inform the Standing Committee which may, after consultation with the Party concerned, recommend to all Parties that they suspend trade with that Party in specimens of CITES-listed species, and/or request the Depository Government to prepare a proposal to transfer the population back to Appendix I.</p> <p>2. If, on review of a quota and its supporting management measures, the Animals or Plants Committee encounters any problems with compliance or potential detriment to a species, the relevant Committee shall request the Depository Government to prepare a proposal for appropriate remedial action.</p> <p>Annex 6: Format for proposals to amend the Appendices</p> <p>10. <u>Consultations</u></p> <p>Provide details of the consultation undertaken to secure comments on the proposal from the range States of the species, either through direct contact or via the CITES Secretariat. Comments received from each country should be provided. Where comments were sought but not received in sufficient time to enable their inclusion in the supporting statement, this should be noted, as well as the date of the request.</p> <p>In cases of proposals to transfer Appendix-II species that are subject to the Review of Significant Trade to Appendix I, the proponent should consult the affected range State(s) and, as appropriate, the Animals Committee or Plants Committee. The proponent should state the reasons to justify why the amendment proposal was made. In cases of consultation with Parties via the CITES Secretariat, information from range States and non-range States should be separated.</p> <p>In the case of species that are also managed through other international agreements or intergovernmental bodies, provide details of the consultations undertaken to obtain the comments of those organizations or bodies, and</p>

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	<p>indicate how those comments have been addressed in the supporting statement. Where comments were sought but not received in sufficient time to enable their inclusion in the supporting statement, this should be noted, as well as the date of the request.</p>
<p>Conf. 9.25 (Rev. CoP17) Inclusion of species in Appendix III</p>	<p>2. RECOMMENDS that, when considering the inclusion of a species in Appendix III, a Party:</p> <ul style="list-style-type: none"> c) inform the Management Authorities of other range States, the known major importing countries, the Secretariat and the Animals Committee or the Plants Committee that it is considering the inclusion of the species in Appendix III and seek their opinion on the potential effects of such inclusion; g) consult with the Secretariat, the Standing Committee and, as appropriate, the Animals Committee or Plants Committee, to ensure that any proposed annotation that is part of a request to include a species in Appendix III (and any definitions developed that define terms in the annotation, as appropriate) is clear and unambiguous, and likely to be understood by enforcement personnel and user groups; <p>6. REQUESTS the Animals Committee and the Plants Committee to assist Parties if necessary in reviewing the status of species in Appendix III, subject to available funding;</p> <p>7. URGES Parties having included species in Appendix III to review periodically the status of these species and, taking into account these guidelines and any recommendations of the Animals and Plants Committees, to consider the necessity to maintain them in that Appendix;</p>
<p>Conf. 10.21 (Rev. CoP16) Transport of live specimens</p>	<p>1. DIRECTS the Standing Committee and the Animals and Plants Committees to deal with matters related to the transport of live specimens;</p> <p>2. RECOMMENDS that:</p> <ul style="list-style-type: none"> e) the Standing Committee and the Secretariat, in consultation with the Animals and Plants Committees and IATA, regularly review, revise and approve amendments to the <i>CITES guidelines for the non-air transport of live wild animals and plants</i>; <p>3. DIRECTS the Standing Committee and the Animals and Plants Committees, in consultation with the Secretariat:</p> <ul style="list-style-type: none"> a) to participate in meetings of the Live Animals and Perishables Board of IATA in order to amplify or update the <i>Live Animals Regulations, the Perishable Cargo Regulations and the CITES guidelines for the non-air transport of live wild animals and plants</i>; b) to examine new or additional references for transport of live specimens for incorporation into the present Resolution, if appropriate; c) to examine developments related to the transport of live plant specimens for incorporation into the present Resolution, if appropriate; and d) to examine when appropriate, any high mortality shipments of live specimens and make recommendations to relevant Parties, exporters, importers and transport companies on how to avoid this in the future;
<p>Conf. 11.1 (Rev. CoP17) Establishment of Committees</p>	<p><i>Regarding the establishment of committees</i></p> <p>2. RESOLVES that:</p> <ul style="list-style-type: none"> b) there shall be an Animals Committee and a Plants Committee, which shall report to the Conference of the Parties at its meetings and, if so requested, to the Standing Committee between meetings of the Conference of the Parties; d) the Conference of the Parties, the Standing Committee and the Animals and Plants Committees may appoint working groups with specific terms of reference as required to address specific problems. These working groups shall have a defined life span which shall not exceed the period until the

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	<p>next meeting of the Conference of the Parties, at which time they may be re-established if necessary. Working Groups established by the Conference of the Parties shall report to the Conference of the Parties and, if so requested, to the Standing Committee. Working groups should use the <i>Guidelines for improving species specific reporting requests by CITES working groups</i> and the <i>Template for species based reporting</i> as adopted and amended from time to time by the Standing Committee and distributed by the Secretariat;</p> <p>f) the Standing Committee and the Animals and Plants Committees may appoint subcommittees with specific terms of reference to implement the tasks that are given to them. Unless otherwise agreed by through a Resolution or Decision of the Conference of the Parties, these subcommittees shall have a defined lifespan, which shall not exceed the period until the next meeting of the Conference of the Parties, at which time they may be re-established if necessary;</p> <p>h) the Animals and Plants Committees shall adopt their own Rules of Procedure, which shall, however, be in accordance with the Rules of Procedure of the Standing Committee as far as is practicable;</p> <p>j) the Secretariat shall make provision for the payment, if requested, of reasonable and justifiable travel expenses of members, including attendance at the relevant committee meetings, and other expenses of the Chairs of the Standing Committee, the Animals Committee and the Plants Committee, other than members from developed countries;</p> <p>m) when back-to-back meetings of the Animals and Plants Committees include a joint session, the duration of the separate committee meetings should be four days, but that when not back-to-back, the length of each meeting should be five days; and</p> <p>n) the Secretariat shall ensure that any vacancies in the Animals and Plants Committees are brought immediately to the attention of the Standing Committee, in order to fill the vacancies as soon as possible;</p> <p>Regarding regional sessions at meetings of the Conference of the Parties</p> <p>4. AGREES that:</p> <p>c) each region has specific tasks to fulfil, as follows:</p> <p>ii) selection of the members and alternate members of the Animals and Plants Committees. In accordance with Annex 2 of this Resolution the members and alternate members of the Animals and Plants Committees are persons. The persons to be chosen should be experts in animals or plants in general and with regard to the region they are representing in particular;</p> <p>Regarding representation in the Animals and Plants Committees</p> <p>5. RECOMMENDS that the following guidelines be implemented:</p> <p>a) <u>Election of the candidates</u></p> <p>i) Parties proposing candidates as representatives should confirm, at the time of nomination, that the candidate will be supported and that they will obtain the necessary means to undertake their activities;</p> <p>ii) The names of the proposed candidates, and their <i>curricula vitae</i>, should be circulated to the Parties of the region concerned at least 120 days before the meeting of the Conference of the Parties at which the representatives will be elected. Although not regional representatives the same process should apply to candidates seeking election as specialists on botanical and zoological nomenclature;</p> <p>iii) Ideally the candidates should be associated with a Scientific Authority, have adequate knowledge of CITES and receive sufficient institutional support to carry out their duties. This information should also be included in the <i>curricula vitae</i>; and</p>

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	<p>iv) As long as the representatives are persons, a Party shall not be accepted as a proposed candidate subject to a later identification of the person concerned by the Party; and</p> <p>b) <u>Timing of replacement of regional members and alternate members</u></p> <p>i) The procedure should be the same as that described above for the Standing Committee;</p> <p>ii) As alternate members are alternates of specified members, they should be elected at the same time as the members;</p> <p>iii) If a region wishes to re-elect a member or alternate member, there is nothing to prevent it from doing so; and</p> <p>iv) in the event that no nominations be received before the deadline the incumbent shall remain, if so willing and able, as an representative until a replacement is elected; and</p> <p>c) <u>Conflict of interest</u></p> <p>A “conflict of interest”¹ refers to any current financial interest which could significantly impair the individual’s impartiality, objectivity or independence in carrying out his or her duties as a member of the Committee. A candidate’s employment by itself does not automatically constitute a conflict of interest:</p> <p>i) Parties proposing candidates as members or alternate members are to request that, together with their names and <i>curriculae vitae</i>, each candidate provides a declaration of interest which should be circulated to the Parties of the region concerned at least 120 days before the meeting of the Conference by the Parties at which the representatives will be elected. In that declaration, the candidate should disclose any current financial interest that might call into question his or her impartiality, objectivity or independence in carrying out his or her duties as a member or alternate member of the Committee;</p> <p>ii) Following an election, the declaration of interest and the <i>curriculum vitae</i> of each member and alternate member shall be made available by the Secretariat to the Chair and the members of the Committee concerned, and to the Chair of the Standing Committee;</p> <p>iii) Each member shall, at the beginning of each meeting of the Committee, declare any financial interests that he or she considers calls into question his or her impartiality, objectivity or independence regarding any subject on the agenda for that meeting of the Committee. If a member has declared such an interest, he or she may take part in discussions but not in the decision-making regarding the agenda item in question. If the member is the subject of the potential conflict of interest, he or she shall not chair the meeting or sub-meeting for the agenda item in question; and</p> <p>iv) When taking part in meetings or seminars outside the CITES framework, the members and alternate members should specify that their interventions are not made on behalf of the Committee or of any CITES body, unless specific instructions have been issued by the Committee to that effect;</p> <p>Regarding regional communication and representation</p> <p>6. RESOLVES that the Secretariat shall:</p> <p>a) publish on the CITES website forthcoming deadlines relevant to the work of the Animals and Plants Committees;</p> <p>b) explore funding options to ensure that the regional representatives and the nomenclature specialists of the Animals and Plants Committees from</p>

¹ Conflict-of-interest policies in scientific assessment bodies typically make a distinction between “conflict of interest” and “bias”, which refers to a point of view or perspective that is strongly held regarding a particular issue or set of issues.

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	<p>developing countries and countries with economies in transition can attend the meetings of the Conference of the Parties and fully participate in the committees' work; and</p> <p>c) seek funding to support the holding of regional meetings in association with regional seminars or other related meetings organized by the Secretariat. The regional representatives should prepare the agenda and chair the meeting; and</p> <p>Annex 2 Establishment of the Animals and Plants Committees of the Conference of the Parties</p> <p>1. RESOLVES to re-establish the Animals and Plants Committees of the Conference of the Parties, with the following terms of reference:</p> <p>within the policy agreed to by the Conference of the Parties, the Animals and Plants Committees shall:</p> <p>a) provide scientific advice and guidance to the Conference of the Parties, the other committees, working groups and the Secretariat, on all matters relevant to international trade in animal and plant species included in the Appendices, which may include proposals to amend the Appendices;</p> <p>b) deal with nomenclatural issues by carrying on the following tasks:</p> <p>i) cause standardized nomenclatural references for animal and plant taxa, to the level of subspecies or botanical variety and including synonyms, to be prepared, or propose for adoption existing nomenclatural references, as appropriate, for all species listed in the Appendices to the Convention;</p> <p>ii) upon its acceptance of a new or updated reference (or part thereof) for a given taxon, after following the procedure described below, present this to the Conference of the Parties for adoption as the standard reference for that taxon;</p> <p>iii) ensure that the highest priorities in developing the standard reference lists of animal and plant names and synonyms be:</p> <p>A. species names of animals and plants listed at the species level in the Appendices;</p> <p>B. generic names of animals and plants listed at the genus or family level in the Appendices; and</p> <p>C. family names of animals and plants listed at the family level in the Appendices;</p> <p>iv) review the existing Appendices with regard to the correct use of zoological and botanical nomenclature;</p> <p>v) upon request, provide advice to Parties concerning nomenclature issues related to proposals to amend the Appendices;</p> <p>vi) upon request from the Secretariat, review proposals to amend the Appendices to ensure that correct names for the species and other taxa in question are used;</p> <p>vii) ensure that changes in nomenclature recommended by a Party do not alter the scope of protection of the taxon concerned; and</p> <p>viii) make recommendations on nomenclature to the Conference of the Parties, other committees, working groups and the Secretariat;</p> <p>c) assist the Secretariat with the implementation of the Resolution on the Identification Manual and Decisions related to it and, upon request of the Secretariat, review proposals to amend the Appendices with regard to possible identification problems;</p> <p>d) cooperate with the Secretariat on the implementation of its programme of work to assist Scientific Authorities and provide scientific advice on training materials used in capacity building;</p>

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	<ul style="list-style-type: none"> e) develop regional directories that list the botanists and zoologists in each region who are experts in CITES-listed species; f) establish a list of those taxa included in Appendix II that are considered as being significantly affected by trade, and review and assess all available biological and trade information including comments by the range States on these taxa to: <ul style="list-style-type: none"> i) exclude all species for which there is adequate information to conclude that trade is not having a significant detrimental effect on their populations; ii) formulate recommendations for remedial measures for those species for which trade is believed to be having a detrimental effect; and iii) establish priorities for projects to collect information for those species for which there is insufficient information available on which to base a judgement as to whether the level of trade is detrimental; g) assess information on those species for which there is evidence of a change in the volume of trade or for which specific information is available to indicate the necessity for review; h) undertake a periodic review of animal or plant species included in the CITES Appendices by: <ul style="list-style-type: none"> i) establishing a schedule for reviewing the biological and trade status of these species; ii) identifying problems or potential problems concerning the biological status of species being traded; iii) consulting the Parties on the need to review specific species, working directly with the range States in the selection process, and seeking their assistance in such reviews; and iv) preparing and submitting amendment proposals resulting from the review, through the Depositary Government, for consideration at meetings of the Conference of the Parties; i) make available advice on management techniques and procedures for range States requesting such assistance; j) draft resolutions on scientific matters related to animals or plants, for consideration by the Conference of the Parties, with a budget for the work involved and an indication of the source of funding; k) perform any other functions that may be entrusted to them by the Conference of the Parties or the Standing Committee; and l) report to the Conference of the Parties and, if so requested, to the Standing Committee, on the activities they have carried out or supervised between meetings of the Conference; <p>2. AGREES that in giving instructions to the Animals and Plants Committees, the Conference of the Parties should pay due attention to whether the nature of the work is within their mandate and whether the committees have the time and personnel to undertake such work;</p> <p>3. DETERMINES that:</p> <ul style="list-style-type: none"> a) the membership of the Animals and Plants Committees shall consist of: <ul style="list-style-type: none"> i) a person chosen by each of the major geographic regions consisting of North America and Oceania; ii) two persons chosen by each of the major geographic regions consisting of Africa, Asia, Central and South America and the Caribbean, and Europe; and iii) a specialist on zoological nomenclature (Animals Committee) and a specialist on botanical nomenclature (Plants Committee) appointed by

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	<p style="text-align: center;">the Conference of the Parties who would be <i>ex-officio</i> and non-voting;</p> <ul style="list-style-type: none"> b) each person chosen as an alternate member for a member described in paragraph a) i) or ii), shall be represented at meetings as a regional member only in the absence of the member for whom he/she is the alternate; c) the membership of the Committees shall be reviewed at every regular meeting of the Conference of the Parties. The terms of office of the members shall commence at the close of the regular meeting at which they are elected and shall expire at the close of the second regular meeting thereafter; d) any Party shall be entitled to be represented at meetings of the Committees as an observer; e) a chair and a vice-chair shall be elected by the Committee. The Chair should be replaced in his/her role of regional member by his/her alternate. In the absence at a meeting of the alternate in question, the Chair shall serve also as regional member for his/her region on an <i>ad hoc</i> basis; and f) the Chair may invite any person or representative of any other country or organization to participate in meetings of the Committee as an observer; <p>4. RESOLVES that the duties of members elected by the regions of the Animals and Plants Committees and their alternates are as follows:</p> <ul style="list-style-type: none"> a) each member should, to the best of their abilities, act as impartially as possible and endeavour to base their judgements and opinions upon an objective, scientific assessment of the available evidence; b) each member should collaborate with his/her alternate regarding the work to be done between the meetings of the Committee; c) each member should maintain regular communication with the Parties in his/her region; d) when a region has more than one representative, the representatives should also agree which Parties each represents. Contact persons should be identified in those countries. Contact should also be established with non-Parties in the region; e) each member should increase the awareness of the role and function of the Committee, its mandate and the issues of concern to his/her region through mechanisms such as participation in seminars or in related meetings organized by the Secretariat and by other organizations at regional or sub-regional level; f) before the meetings of the Committee, the members should inform and consult the Parties in their regions about the agenda and in particular on matters specifically relevant to the countries of the region; g) the members should submit a written report, covering the preceding period, to each meeting of the Committee; h) the members should inform the Parties in their regions of the results of each meeting of the Committee; i) the members must inform the alternates well in advance if they will not attend a forthcoming Committee meeting; and j) the members should provide to their successors all relevant information on the activities in the region; <p>5. FURTHER RESOLVES that the zoological and botanical nomenclature specialists of the Animals and Plants Committees shall coordinate, monitor and analyse the input needed from specialists in fulfilling the responsibilities assigned by the Parties;</p> <p>6. DETERMINES further the following principles for the payment of travel expenses to members of the Plants or Animals Committee:</p> <ul style="list-style-type: none"> a) the Secretariat shall make provisions in its budget for the payment, if

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	<p>requested, of reasonable and justifiable travel expenses of members, to attend no more than two meetings of the Committee concerned between meetings of the Conference of the Parties, other than for members from developed countries;</p> <p>b) the Secretariat shall also make provision for the participation of the Committees' Chairs at meetings of the Standing Committee and at other meetings that the Chairs are instructed to attend by the Conference of the Parties;</p> <p>c) members should make every effort to pay their own travel expenses; and</p> <p>d) travel arrangements for sponsored members will be made by the Secretariat in accordance with the Rules and Regulations of the United Nations and, where applicable, claims for refunds must be supported by receipts, and be submitted to the Secretariat within 30 days from the completion of the travel;</p> <p>7. URGES Parties and regions to utilize or develop long-term sustainable funding mechanisms to support their representatives in the Committees; and</p> <p>8. DIRECTS the Secretariat, to the extent possible, to provide funding from outside sources for the production costs of publications prepared by the Committees.</p>
<p>Conf. 11.12 (Rev. CoP15) Universal tagging system for the identification of crocodylian skins</p>	<p>2. DIRECTS the Secretariat to report deficiencies of the system or specific instances of concern to the Animals Committee and the relevant Parties, as appropriate;</p>
<p>Conf. 11.16 (Rev. CoP15) Ranching and trade in ranched specimens of species transferred from Appendix I to Appendix II</p>	<p><i>Regarding proposals to transfer populations from Appendix I to Appendix II for ranching</i></p> <p>2. RECOMMENDS that</p> <p>e) in order to be discussed at the next meeting of the Conference of the Parties, any proposal for amendment of the Appendices pursuant to this Resolution be received by the Secretariat at least 330 days before that meeting. In consultation with the Animals Committee, the Secretariat shall seek appropriate scientific and technical advice to verify that the criteria specified in paragraph 2 d) above have been met and to review the information and assurances in the proposal that are specified in paragraph b) above. If in the opinion of the Secretariat further information concerning the criteria is required, the Secretariat shall request information from the proposing Party within 150 days after receipt. Thereafter, the Secretariat shall communicate with the Parties in accordance with Article XV of the Convention;</p> <p><i>Regarding changes to the ranching programme described in the proposal to transfer a species from Appendix I to Appendix II</i></p> <p>3. RECOMMENDS that:</p> <p>b) any Party with an approved ranching proposal submit any changes to the information supplied in paragraph 2 c) above to the Secretariat. The Secretariat, in consultation with the Animals Committee, should determine whether the changes proposed substantially alter the original ranching programme, and undermine or jeopardize the conservation of the wild population. The Secretariat should advise the Party of its determination accordingly; and</p> <p>c) in cases where the Secretariat, in consultation with the Animals Committee, concludes that changes to the ranching programme that are proposed in accordance with paragraph h) would result in substantial changes to management of the species, the proposed management be treated as a new proposal, requiring the submission of a proposal pursuant to this Resolution and to the requirements of Article XV of the Convention;</p>

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Conf. 11.19 (Rev. CoP16) Identification Manual	1. DIRECTS the Secretariat to: h) inform the Standing, Animals and Plants Committees on the progress made whenever appropriate;
Conf. 11.21 (Rev. CoP17) Use of annotations in Appendices I and II	7. URGES Parties submitting proposals that contain substantive annotations to consult with the Secretariat, the Standing Committee and, as appropriate, the Animals Committee or Plants Committee, to ensure that the annotation is appropriate and can be readily implemented; 8. DIRECTS: a) the Standing Committee, in consultation with the Animals Committee or the Plants Committee, to agree on interim definitions between meetings of the Conference of the Parties in cases where significant differences in the interpretation of terms in annotations exist among trading countries and are causing implementation difficulties, and then include these definitions in its report to the Conference of the Parties for adoption;
Conf. 12.3 (Rev. CoP17) Permits and certificates	X. Regarding permits and certificates for coral specimens 17. RECOMMENDS that: a) on permits and certificates issued to authorize trade in specimens of hard corals of the genera included in the most recent CITES list of <i>Coral taxa where identification to genus level is acceptable</i> ² , where the species cannot be readily determined, the specimens may be recorded at the genus level. This list is maintained by the Secretariat and may be amended with the concurrence of the Animals Committee;
Conf. 12.6 (Rev. CoP17) Conservation and management of sharks	2. DIRECTS the Animals Committee to examine new information provided by range States on trade and other available relevant data and information, and report their analyses at meetings of the Conference of the Parties; 3. ENCOURAGES Parties to obtain information on implementation of NPOA-Sharks or regional plans, and to report directly on progress to the CITES Secretariat and at future meetings of the Animals Committee; 9. DIRECTS the Animals Committee to make species-specific recommendations at meetings of the Conference of the Parties if necessary on improving the conservation status of sharks; 14. DIRECTS the Animals Committee to report progress on shark and ray activities at the meetings of the Conference of the Parties;
Conf. 12.7 (Rev. CoP17) Conservation of and trade in sturgeons and paddlefish³	4. DIRECTS the Secretariat, in cases where range States of shared wild stocks have agreed on export quotas in the previous year, to provide to the subsequent meeting of the Animals Committee a written report, based on information submitted by the range States concerned as requested in subparagraph 3 a) iv) above, including references to relevant documents, on its activities related to the conservation of and trade in sturgeons and paddlefish; 5. DIRECTS the Animals Committee, in collaboration with the Secretariat, interested Parties, international organizations and relevant experts, to monitor progress on the relevant provisions of this Resolution and to inform the Standing Committee about new developments or problems as and when required; 6. URGES range States to cooperate with the Animals Committee and the Secretariat with a view to implementing the provisions under subparagraph 3 a) and paragraph 5 above; 7. CALLS UPON range States, importing countries and other experts and appropriate organizations, such as the IUCN/SSC Sturgeon Specialist Group, in

² At the time of entry into force of the present Resolution (12 June 2013), the most recent list had been published in Notification to the Parties No. 2012/047.

³ For the purposes of this Resolution, the term "shark" is taken to include all species of sharks, skates, rays and chimaeras, in alignment with the Food and Agriculture Organization (FAO) International Plan of Action for the Conservation and Management of Sharks (IPOA-Sharks).

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	<p>consultation with the Secretariat and the Animals Committee, to continue to explore the development of a uniform DNA-based identification system for parts and derivatives and aquaculture stocks of Acipenseriformes species to assist in the subsequent identification of the origin of specimens in trade and the development and application of methods for differentiating wild from aquaculture origin caviar in cases where DNA-based methods are not useful;</p>
<p>Conf. 12.8 (Rev. CoP17) Review of Significant Trade in specimens of Appendix-II species</p>	<p>Regarding conduct of the Review of Significant Trade</p> <p>1. DIRECTS the Animals and Plants Committees, in cooperation with the Secretariat and experts, and in consultation with range States, to review the biological, trade and other relevant information on Appendix-II species subject to significant levels of trade, to identify problems and solutions concerning the implementation of Article IV, paragraphs 2 (a), 3 and 6 (a), in accordance with the following procedure and as outlined in Annex 1 of this Resolution:</p> <p>Stage 1: Selection of species/country combinations to be reviewed</p> <p>a) the Secretariat shall, within 90 days after each meeting of the Conference of Parties, commence, or appoint consultants to commence, preparation of a summary from the CITES Trade Database of annual report statistics showing the recorded level of direct exports for Appendix-II species over the five most recent years, and an extended analysis of trade to inform the preliminary selection of species/country combinations, to be completed in sufficient time for the first regular meeting of the Animals or Plants Committee following that meeting of the Conference of the Parties (see Annex 2 of this Resolution);</p> <p>b) on the basis of recorded levels of direct exports and information available to the Animals or Plants Committee, the Secretariat, Parties or other relevant experts, a limited number of species/country combinations of greatest concern shall be included in Stage 2 of the review process by the Animals or Plants Committee at their first regular meeting following a meeting of the Conference of the Parties; and</p> <p>c) in exceptional cases, outside of steps 1 a) and b) above, and where new information provided to the Secretariat by a proponent indicates that rapid action may be needed concerning problems relating to the implementation of Article IV (for a species/country combination), the Secretariat:</p> <p>i) will verify that the proponent has provided a justification for the exceptional case, including supporting information;</p> <p>ii) may produce, or request a consultant produce a summary of trade from the CITES Trade Database in relation to the species/country combination concerned as necessary; and</p> <p>iii) will, as soon as possible, provide the justification and, if appropriate, a trade summary to the Animals or Plants Committee for their intersessional review and decision on whether or not to include the species/country combination in Stage 2 of the review process;</p> <p>Stage 2: Consultation with the range States and compilation of information</p> <p>d) the Secretariat shall:</p> <p>i) within 30 days after the meeting of the Animals or Plants Committee at which species/country combinations are selected, or within 30 days after the Committee has selected a species/country combination on an exceptional basis, notify selected range States that their species has been selected, providing an overview of the review process and an explanation for the selection. The Secretariat shall request range States to provide the scientific basis by which it is established that exports from their country are not detrimental to the survival of the species concerned and are compliant with Article IV, paragraphs 2 (a), 3 and 6 (a) of the Convention. In its letter, the Secretariat shall provide guidance to range States on how to respond, explain the consequences of not responding to the request, and inform the range</p>

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	<p>States that the responses will be made available on the CITES website as part of the agenda for meetings of the Animals or Plants Committee. Range States shall be given 60 days to respond; and</p> <ul style="list-style-type: none"> ii) compile, or appoint consultants to compile, a report about the biology and management of and trade in the species, including any relevant information provided by the range States, to be made available for the next meeting of the Animals or Plants Committee. In doing so, the Secretariat (or consultants) shall actively engage with the range States and relevant experts in the compilation of the report; <p>e) the report required under subparagraph 1 d) ii) shall include conclusions about the effects of international trade on the selected species/country combinations, the basis on which such conclusions are made and problems concerning the implementation of Article IV, and shall provisionally divide the selected species/country combinations into three categories:</p> <ul style="list-style-type: none"> i) 'action is needed' shall include species/country combinations for which the available information suggests that the provisions of Article IV, paragraph 2 (a), 3 or 6 (a), are not being implemented; ii) 'unknown status' shall include species/country combinations for which the Secretariat (or consultants) could not determine whether or not these provisions are being implemented; and iii) 'less concern' shall include species/country combinations for which the available information appears to indicate that these provisions are being met; and <p>f) once the report is completed, the Secretariat shall draw the attention of the relevant range States to the report prepared under subparagraph d) ii) and invite them to provide any additional information for consideration at the second meeting of the Animals or Plants Committee following the Conference of the Parties;</p> <p>Stage 3: Categorization and Recommendations by the Animals or Plants Committee</p> <ul style="list-style-type: none"> g) the Animals or Plants Committee shall, at their second meeting following the Conference of the Parties, review the report of the Secretariat or the consultants, and the responses and additional information received from the range States concerned. For each selected species/country combination the Animals or Plants Committee shall recategorize species/country combinations of 'unknown status' as either 'action is needed' or 'less concern' and provide a justification for such recategorization. Additionally, if appropriate, the Animals and Plants Committee shall revise the preliminary categorization proposed for species/country combinations of those where 'action is needed' or those of 'less concern' and provide a justification for the revision; <ul style="list-style-type: none"> i) species/country combinations determined by the Animals or Plants Committee to be of less concern shall be removed from the review process and the Secretariat shall notify the range States accordingly within 30 days; in cases where the species/country combination is of less concern due to the establishment of a zero export quota, any change to this quota should be communicated by the range State to the Secretariat and the Chair of the relevant Committee along with a justification; and ii) species/country combinations determined by the Animals or Plants Committee to be those for which 'action is needed' shall be retained in the review process. The Animals or Plants Committee shall, in consultation with the Secretariat, formulate time-bound, feasible, measurable, proportionate, and transparent recommendations directed to the range States retained in the review process, using the principles outlined in Annex 3 of this Resolution. The recommendations should aim to build the range State's long term capacity to implement Article

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	<p data-bbox="619 208 1214 237">IV, paragraphs 2 (a), 3 and 6 (a) of the Convention;</p> <ul style="list-style-type: none"> <li data-bbox="512 248 1433 338">h) the Secretariat shall, within 30 days of the meeting of the Animals or Plants Committee, transmit these recommendations to the range States concerned; and <li data-bbox="512 349 1374 501">i) the Animals or Plants Committee shall formulate separate recommendations directed to the Standing Committee for problems identified in the course of the review that are not directly related to the implementation of Article IV paragraph 2(a), 3 or 6(a), following the principles outlined in Annex 3 of this Resolution; <p data-bbox="459 512 1246 573">Stage 4: Measures to be taken regarding the implementation of recommendations</p> <ul style="list-style-type: none"> <li data-bbox="512 584 1437 645">j) the Secretariat shall monitor progress against the recommendations, taking account of the different deadlines; <li data-bbox="512 656 1433 808">k) once the range State has reported on the implementation of recommendations or the deadlines have passed, whichever is first and, following timely intersessional consultation with members of the Animals or Plants Committee through the Chairs, the Secretariat shall determine whether the recommendations referred to above have been implemented; <ul style="list-style-type: none"> <li data-bbox="564 819 1433 1182">i) where the recommendations have been met, the Secretariat shall, following consultation with the Chair of the Standing Committee, notify the range States concerned that the species/country combination was removed from the review process and include the rationale for its evaluation, noting where relevant, specific commitments made by the range States in question and, in the case where a species/country combination was removed from the review process on the basis of the establishment of an interim precautionary export quota (including a zero export quota) in the place of implementing the recommendations, any change to this quota should be communicated to the Secretariat and Chair of the relevant Committee along with a justification, for their agreement; or <li data-bbox="564 1193 1433 1375">ii) when the recommendations are not deemed to have been met (and no new information is provided), the Secretariat shall, in consultation with the members of the Animals or Plants Committee through the Chairs, recommend to the Standing Committee appropriate action, which may include, as a last resort, a suspension of trade in the affected species with that State; or <li data-bbox="564 1386 1433 1659">iii) where the recommendations are not deemed to have been met or have been partially met, and there is new information suggesting the recommendation may require updating, the Secretariat shall, in a timely fashion, request the members of the Animals or Plants Committee, through the Chairs, to prepare a revised recommendation, keeping in mind the principles that recommendations should be time-bound, feasible, measurable, proportionate, transparent, and should promote capacity building. The Secretariat shall provide the revised recommendation to the range States within 30 days of its drafting; <li data-bbox="512 1671 1433 1912">l) the Secretariat shall report to the Standing Committee on its evaluation of the implementation of the recommendations, including the rationale for its evaluation and, where relevant, specific commitments made by the range States in question, and a summary of the views expressed by the Animals or Plants Committees. The Secretariat shall additionally report on any further actions taken by the Animals or Plants Committee in the case of range States where new information has resulted in revised recommendations; <li data-bbox="512 1924 1433 2076">m) for range States where recommendations are not deemed to have been met, the Standing Committee shall decide, at its next regular meeting or intersessionally as appropriate, on necessary action and make recommendations to the range State concerned, or to all Parties, keeping in mind that these recommendations should be time-bound, feasible,

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	<p>measurable, proportionate, transparent, and should promote capacity building. In exceptional circumstances, where the range State under consideration provides new information on the implementation of the recommendations to the Standing Committee, the Standing Committee through the Secretariat, shall consult in a timely fashion with the members of the Animals or Plants Committee through the Chair, prior to making a decision on necessary action;</p> <p>n) the Secretariat shall notify all Parties of any recommendations or actions taken by the Standing Committee;</p> <p>o) a recommendation to suspend trade in the affected species with the range State concerned should be withdrawn only when that range State demonstrates to the satisfaction of the Standing Committee through the Secretariat, which shall act, through the Chair, in consultation with the members of the Animals or Plants Committee, in compliance with Article IV, paragraph 2 (a), 3 or 6 (a); and</p> <p>p) the Standing Committee, in consultation with the Secretariat and the Chair of the Animals or Plants Committee, shall review recommendations to suspend trade that have been in place for longer than two years, evaluate the reasons why this is the case in consultation with the range State, and, if appropriate, take measures to address the situation;</p> <p>Regarding capacity building, monitoring, reporting, and evaluating the review process</p> <p>5. DIRECTS the Secretariat, for the purpose of monitoring and facilitating the implementation of this Resolution and the relevant paragraphs of Article IV:</p> <p>a) to report at each meeting of the Animals or Plants Committee on the implementation by the range States concerned of the recommendations made by the Committee; and</p> <p>b) to maintain a database of species/country combinations that are included in the review process set out in this Resolution including a record of progress with the implementation of recommendations;</p> <p>6. DIRECTS the Secretariat to include training on the Review of Significant Trade process as part of its capacity building activities related to the making of non-detriment findings;</p> <p>7. DIRECTS the Animals or Plants Committee, in consultation with the Secretariat, to undertake a regular review of the outcomes of the Review of Significant Trade by, for example, examining a sample of past species/country combinations to assess whether implementation of Article IV paragraph 2 (a), 3 or 6 (a) was improved. The Animals or Plants Committee should consider the results of this review and revise the Review of Significant Trade process as necessary. In doing so, feedback should be obtained from range States (including their Scientific Authorities) who have been through the review process;</p>
<p>Conf. 12.10 (Rev. CoP15)</p> <p>Registration of operations that breed Appendix-I animal species in captivity for commercial purposes</p>	<p>Annex 2: Procedure to be followed by the Secretariat before registering new operations</p> <p>3. If any Party objects to the registration, the Secretariat shall refer the documentation to the Animals Committee to review the objection. The Animals Committee shall comment on the objection within 60 days. The Secretariat shall forward the comments made by the Animals Committee to the Parties concerned and allow a further 30 days for resolution of the identified problem(s).</p>
<p>Conf. 12.11 (Rev. CoP17)</p> <p>Standard nomenclature</p>	<p>2. RECOMMENDS that:</p> <p>c) where there are domesticated forms of listed taxa, the Animals and Plants Committees recommend names for the wild and domestic forms;</p> <p>e) upon receiving proposals to amend the Appendices to the Convention, the Secretariat seek, where appropriate, the advice of the Animals and Plants</p>

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	<p>Committees on the correct names to use for the species or other taxa in question;</p> <p>f) whenever a change in the name of a taxon included in the Appendices is proposed, the Secretariat, in consultation with the Animals or Plants Committee, determine whether this change would alter the scope of protection for fauna or flora under the Convention. In the case where the scope of a taxon is redefined, the Animals or Plants Committee shall evaluate whether acceptance of the taxonomic change would cause additional species to be included in the Appendices or listed species to be deleted from the Appendices and, if that is the case, the Depository Government should be requested to submit a proposal to amend the Appendices in accordance with the recommendation of the Animals or Plants Committee, so that the original intent of the listing is retained. Such proposals should be submitted for consideration at the next regular meeting of the Conference of the Parties, at which the recommendations of the Animals and Plants Committees will be considered;</p> <p>g) if the Animals or Plants Committee proposes nomenclatural changes relating to taxa included in Appendix-III, they should advise the Secretariat whether these changes would also result in changes in distribution that would affect the determination of which countries would be required to issue certificates of origin;</p> <p>h) if there is conflict regarding the choice of taxonomic authority for taxa for which no standard references have been adopted by the Conference of the Parties, countries authorizing export of animals or plants (or parts or derivatives thereof) of such taxa inform the CITES Secretariat and prospective importing countries of their preferred published taxonomic authority. 'Taxonomic authority' means a recent published paper or monograph that reviews the nomenclature of the taxon being exported and that has been reviewed by professionals in the pertinent discipline. In cases where specimens of the taxon are exported from several countries and the exporting countries do not agree, or the exporting and importing countries do not agree, on the taxonomic authority, the Animals or Plants Committee should determine the most appropriate taxonomic authority, until a formal recommendation to the Conference of the Parties is made. The Animals or Plants Committee shall include this interim decision in its report to the Conference of the Parties, for adoption. The Secretariat shall notify the Parties about the interim decision;</p> <p>k) when the Animals or Plants Committee recommends a change in the name of a taxon to be used in the Appendices, it also provide an evaluation of the implications for the implementation of the Convention;</p> <p>3. RECOMMENDS the following procedure for updating current standard nomenclatural references and adoption of new ones:</p> <p>a) the process for updating current standard nomenclatural references and adoption of new ones shall be initiated directly by the Animals or Plants Committee on their own initiative or by the submission of a proposal to the Committees by:</p> <p>i) one or more Parties; or</p> <p>ii) the Secretariat, on its own initiative or in response to information it has received from the Parties; and</p> <p>b) proposed changes shall be based on recognized taxonomic publications. In the case where a proposed amendment to the nomenclatural status of a taxon is still under discussion, the new taxonomy should not be adopted;</p> <p>4. RESOLVES that the Secretariat, in consultation with the Animals or Plants Committee, may make orthographical changes in the lists of species included in the Appendices to the Convention, without consulting the Conference of the Parties, and shall inform the Parties of those changes;</p> <p>5. DIRECTS the Secretariat, in close cooperation with the nomenclature specialists</p>

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	<p>of the Animals and Plants Committees, and in the implementation of its memoranda of understanding or cooperation, or programmes of work with other biodiversity-related multilateral environmental agreements, to consider ways of harmonizing the taxonomy and nomenclature of species included in their respective provisions;</p> <p>7. RECOGNIZES the <i>Checklist of CITES species</i>, compiled by the UNEP World Conservation Monitoring Centre, 2005, and its updates, as an official digest of scientific names contained in the standard references, that fully reflects the taxonomy and nomenclature contained in the original species proposals, the recommendations of the Animals or Plants Committee and all accepted names included in the standard references that have been adopted by the Conference of the Parties for species included in the Appendices;</p> <p>9. URGES Parties to assign to their Scientific Authorities the principal responsibility for:</p> <ol style="list-style-type: none"> a) interpretation of the listings; b) consultation with the Animals or Plants Committee as appropriate; c) identification of nomenclatural issues that may warrant further review by the appropriate CITES committee and preparation of proposals to amend the Appendices if appropriate; and d) supporting and cooperating in the development and maintenance of the checklists; <p>11. DIRECTS the Secretariat, in close cooperation with the nomenclature specialists of the Animals and Plants Committees, to promote harmonization of the taxonomy and nomenclature used by biodiversity-related multilateral environmental agreements;</p>
<p>Conf. 13.2 (Rev. CoP14)</p> <p>Sustainable use of biodiversity: Addis Ababa Principles and Guidelines</p>	<p>1. URGES the Parties to:</p> <ol style="list-style-type: none"> a) make use of the <i>Principles and Guidelines for the Sustainable Use of Biodiversity</i>, also taking into account scientific, trade and enforcement considerations determined by national circumstances, as well as the recommendations of the Animals and Plants Committees (see Annex 2) when adopting non-detriment-making processes and making CITES non-detriment findings;
<p>Conf. 13.4 (Rev. CoP16)</p> <p>Conservation of and trade in great apes</p>	<p>4. URGES the Secretariat, the Standing Committee and the Animals Committee to work closely with GRASP, and to explore and implement other measures through which the Convention can contribute to the conservation of great apes and to the promotion of public awareness of the threat posed to great ape populations by illegal trade;</p>
<p>Conf. 14.3</p> <p>CITES compliance procedures</p>	<p>Annex: Guide to CITES compliance procedures</p> <p><i>The various bodies and their compliance-related tasks</i></p> <p>13. The Animals and Plants Committees, acting in accordance with instructions from and authority delegated by the Conference of the Parties, advise and assist the Standing Committee and the Conference of the Parties with regard to compliance matters, <i>inter alia</i>, by undertaking necessary reviews, consultations, assessments and reporting. These Committees are entrusted with specific tasks in the handling of matters related to the Review of Significant Trade.</p>
<p>Conf. 14.8 (Rev. CoP17)</p> <p>Periodic Review of species included in Appendices I and II</p>	<p>1. AGREES that the Animals and Plants Committees shall conduct a periodic review of species listed in Appendix I and II, seeking information, participation and support from the range States. The regional representatives of the Animals and Plants Committees shall seek assistance from range States within their region to support the taxon reviews;</p> <p>2. FURTHER AGREES that the review will be conducted in accordance to the following process:</p> <ol style="list-style-type: none"> a) normally, after every second meeting of the Conference of the Parties, the Animals and Plants Committees shall establish a schedule for the periodic

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	<p>review of the Appendices and identify a list of taxa they propose to review during the next two intersessional periods. The list should be established at the first meetings of the Committees after the meeting of the Conference of the Parties (CoP) that initiates the review period;</p> <p>b) the Animals and Plants Committees shall select a subset of CITES-listed species of flora or fauna for analysis pursuant to the following methods and guidance;</p> <p>i) the Secretariat shall, subject to availability of funding, undertake or appoint consultants to undertake the assessment outlined in the Annex and prepare the resulting outputs for consideration by the Scientific Committees at their first meeting after the meeting of the Conference of the Parties that initiates the review period (Note: if no funding is available, the Secretariat shall inform the Parties and the Scientific Committees' Chairs);</p> <p>ii) the following taxa should not be considered for review:</p> <p>A. species that were the subject of listing proposals at the previous three meetings of the Conference of the Parties (CoP) (whether or not the proposals were adopted);</p> <p>B. species subject to ongoing reviews, under the Review of Significant Trade [Resolution Conf. 12.8 (Rev. CoP17)], or periodic reviews conducted within the last 10 years; or</p> <p>C. species subject to other reviews targeted by valid Decisions and Resolutions of the CoP; and</p> <p>D. species for which it is clear that there has been no change in the status, range or trade and for which there is no possibility to need to amend the Appendices; and</p> <p>iii) outputs resulting from the assessment conducted in accordance with the Annex to this Resolution shall contain the following information in summary tables that include:</p> <p>A. a summary of trade data since the initial inclusion of that taxon in the Appendices;</p> <p>B. current conservation status, including the International Union for Conservation of Nature (IUCN) category of the species, if assessed;</p> <p>C. current listing in the CITES Appendices, criteria under which it was listed (if known), date of first listing; and</p> <p>D. the distribution of the species (range States);</p> <p>c) at the first meetings of the Committees after the meeting of the CoP that initiates the review period and based on the outputs prepared in subparagraph 2 b) above, the Animals and Plants Committees will identify the list of taxa to be considered for periodic review;</p> <p>d) the Secretariat shall send a copy of the proposed list of taxa to be reviewed to all Parties, and request range States of the taxa to comment within 60 days on whether they support a review of the taxa and express their interest in undertaking the reviews. The responses shall be relayed by the Secretariat to the Animals or Plants Committee. If no volunteer offers to undertake a review within two intersessional periods between CoPs, those taxa shall be deleted from the list of species to be reviewed;</p> <p>e) each review (ideally in the format of a proposal used to amend the Appendices) is to be submitted as a working document to the Animals or Plants Committee for review, clearly specifying the recommendation with reference to the criteria in Resolution Conf. 9.24 (Rev. CoP17). The Secretariat shall notify the relevant range States of these working documents in advance of the meeting of the Committee;</p> <p>f) the Animals or Plants Committees may also evaluate reviews undertaken</p>

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	<p>independently by Parties and submitted for their consideration;</p> <p>g) based on the information as per subparagraph 2 e) above, the Animals or Plants Committee is to make a recommendation on whether it would be appropriate to retain a taxon in the Appendix in which it is currently listed, transfer a taxon from one Appendix to another, or to delete a taxon from the Appendices;</p> <p>h) the Animals or Plants Committee shall draft its recommendation with reference to the criteria in Resolution Conf. 9.24 (Rev. CoP17). The Committee shall report its conclusions to the Conference of the Parties, and the Secretariat shall inform the range State(s) for the species reviewed of the conclusion of the Committee; and</p> <p>i) in the event that the Committee recommends a change in the CITES listing status for the species reviewed:</p> <p>i) the Secretariat shall invite the range State(s) of the species reviewed to submit a proposal to the next Conference of the Parties; and</p> <p>ii) if no range State expresses a willingness to submit the proposal, the Secretariat may request the Depository Government to submit it as specified in Resolution Conf. 11.1 (Rev. CoP17) and to include the comments of the range States in the supporting statement, if so requested by the Animals or Plants Committee;</p> <p>3. RECOMMENDS the Animals and Plants Committees should share their experience, especially during joint meetings, regarding the undertaking of periodic reviews of taxa included in the Appendices (including financing of reviews, processes, format and outputs);</p> <p>4. ENCOURAGES the Animals and Plants Committees and Parties to facilitate periodic reviews by:</p> <p>a) collaborating with university graduate students, including those from the CITES Master's Programme at the International University of Andalusia;</p> <p>b) collaborating with other non-Party reviewers including species experts such as IUCN Species Survival Commission Specialist Groups;</p> <p>c) using readily available information on species' conservation status from organizations (e.g. IUCN, BirdLife, etc.) and Parties;</p> <p>d) seeking financial support for reviews, including from importing countries, as appropriate; and</p> <p>e) increasing communication between the Chairs of the Animals and Plants Committees and suggest coordination with Parties when animal and plant species' ranges overlap;</p> <p>5. DIRECTS the Chairs of the Animals and Plants Committees to keep the Standing Committee informed about the conduct of periodic reviews, noting that Standing Committee approval is not required to initiate the process;</p>
<p>Conf. 16.3 (Rev. CoP17) CITES Strategic Vision: 2008-2020</p>	<p>This document provides a framework for the future development of the existing body of Resolutions and Decisions. This Resolution should provide guidance on how the goals and objectives are to be achieved. The Conference of the Parties, the Committees or the Secretariat as appropriate will take required action.</p>
<p>Conf. 16.7 (Rev. CoP17) Non-detriment findings</p>	<p>3. DIRECTS the Secretariat:</p> <p>a) to maintain a prominent section for non-detriment findings on the CITES website and to update it regularly with information from the Animals and Plants Committees, Parties and other sources;</p> <p>b) to implement a user-friendly mechanism on the CITES website that would allow Parties to easily submit relevant information to be considered for inclusion in the website;</p> <p>c) to ensure that this information is accessible in the appropriate sections of the CITES Virtual College; and</p>

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	<p>d) to assist in identifying possible funding sources to help Parties implementing capacity-building activities related to the making of non-detriment findings</p>
<p>Conf. 17.2 Financing and the costed programme of work for the Secretariat for the triennium 2017-2019</p>	<p>28. REQUESTS the Secretariat:</p> <p>d) in consultation with the Chairs of the Animals and Plants Committees, to assign scientific consultants and define terms of reference for specific science-based projects. Such a process should be implemented in a fashion that would not have a negative impact on the budget, but rather effectively utilize the scientific expertise of the Parties which is available to the Secretariat via the Chairs of the technical committees;</p>
<p>Conf. 17.7 Review of trade in animal specimens reported as produced in captivity</p>	<p>2. DIRECTS the Animals and Standing Committees, in cooperation with the Secretariat, relevant experts and in consultation with Parties, to review biological, trade and other relevant information regarding animal species subject to significant levels of trade using source codes C, D, F or R, to identify problems associated with the implementation of the Convention and to develop solutions in accordance with the following procedure.</p> <p>Stage 1 – Identification of species-country combinations for review</p> <p>a) The Secretariat shall produce a summary from the CITES Trade Database of annual report statistics of species traded, derived from the five most recent years, under source codes C, D, F or R and will undertake, or appoint consultants to undertake, an analysis of such data to identify species-country combinations for review using the following criteria:</p> <ul style="list-style-type: none"> i) significant increases in trade in specimens declared as captive-produced (source codes C, D, F and R); ii) trade in significant numbers of specimens declared as produced in captivity; iii) shifts and fluctuations between different captive-production source codes; iv) inconsistencies between source codes reported by exporting and importing Parties for specimens declared as produced in captivity; v) apparent incorrect application of captive production codes such as: 'A' for animal species or 'D' for Appendix-I species that have not been registered in compliance with the provisions of Resolution Conf. 12.10 (Rev. CoP15) on <i>Registration of operations that breed Appendix-I animal species in captivity for commercial purposes</i>; and vi) trade from non-range States of specimens declared as produced in captivity with no evidence of lawful acquisition of parental breeding stock (i.e. no recorded imports); <p>b) The Secretariat shall also compile any other relevant information made available to it with respect to concerns about captive production, including any cases identified from the Review of Significant Trade under Resolution Conf. 12.8 (Rev. CoP17) on <i>Review of Significant Trade in specimens of Appendix-II species</i>, referred to it by Parties or available in relevant reports, including the global conservation status by species published in the IUCN Red List of threatened species or noted as not evaluated;</p> <p>c) The Secretariat shall provide the outcome of the analyses in subparagraph 2 a) and a compilation of information from subparagraph 2 b) to the first regular meeting of the Animals Committee following a meeting of the Conference of the Parties. The Animals Committee may select a limited number of species-country combinations for review, taking into account the biology of the species, for which it should draft general or specific questions and a brief explanation of the selection, to be addressed by the Secretariat to the Parties concerned in accordance with Stage 2, subparagraph 2 e). The Animals Committee will determine for which species the short reviews provided for in Stage 2, subparagraph 2 f) are required; urgent enforcement matters identified at this stage should be referred to the Secretariat and the</p>

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	<p>country concerned and subsequently reported to the Standing Committee; and</p> <p>d) In exceptional cases, outside steps in subparagraphs 2 a)-c) above, and where new information provided to the Secretariat indicates that urgent action may be needed concerning problems relating to the implementation of provisions under the Convention for captive production of specimens, the Secretariat:</p> <ul style="list-style-type: none"> i) shall verify that the proponent has provided a justification for the exceptional case, including supporting information; ii) shall produce a summary and analysis of trade from the CITES Trade Database in relation to the species-country combination; and iii) provide the information from i) and ii) above, as soon as possible to the Animals Committee or Standing Committee, as appropriate, for their intersessional review and decision on whether to include the species-country combination in the next stage of the review process. <p>Stage 2 – Consultation with countries and compilation of information</p> <ul style="list-style-type: none"> e) The Secretariat shall, within 30 days after the relevant meeting of the Animals Committee, notify the country or countries concerned that species produced in captivity in their country have been selected for review, and provide them with an overview of the review process and an explanation for the selection provided by the Animals Committee. The Secretariat shall ask the country or countries to provide information, within 60 days, in response to general or specific questions, developed by the Animals Committee, to determine if the correct source codes have been used, under the applicable Resolutions, for specimens claimed to be produced in captivity; and f) The Secretariat shall also commission, if requested by the Animals Committee, a short review of the species concerned, in consultation with relevant countries and specialists, to compile and summarise known information relating to the breeding biology and captive husbandry and any impacts, if relevant, of removal of founder stock from the wild. <p>Stage 3 – Review and recommendation by the Animals and Standing Committee</p> <ul style="list-style-type: none"> g) The Animals Committee shall, at their second meeting following a regular meeting of the Conference of the Parties, review the responses from countries, any review commissioned by the Secretariat and any additional relevant information, and determine if trade is in compliance with Article III and Article IV of the Convention, as well as Article VII, paragraphs 4 and 5. If trade is in compliance, the species-country combination will be excluded from the review and the Secretariat will inform the country or countries of this outcome within 60 days; h) Where a species-country combination is retained within the review and the Animals Committee identifies concerns appropriately within its remit, the Animals Committee shall, in consultation with the Secretariat, formulate draft recommendations directed to the relevant country which are time-bound, feasible, measurable, proportionate, transparent and aimed at ensuring long-term compliance which, where appropriate, aim to promote capacity building and enhance the ability of the country to implement relevant provisions of the Convention. The Secretariat shall transmit these draft recommendations and supporting information, from the Animals Committee to the next meeting of the Standing Committee for their review, revision if necessary, and endorsement; i) Where a species-country combination is retained within the review and the Animals Committee identifies concerns that are more appropriately considered by the Standing Committee, the Secretariat shall refer the issue to the next meeting of the Standing Committee, including any observations from the Animals Committee; j) The Standing Committee shall review the draft recommendations and

Resolution	Instruction
	<p>supporting information from the Animals Committee and prepare any required recommendations for the country or the countries concerned; and</p> <p>k) The Secretariat shall, within 30 days of the meeting of the Standing Committee in subparagraphs 2 h) and j), transmit the combined recommendations of the Standing and Animals Committees to the country or countries concerned and also provide the country or countries with relevant guidance, such as on the correct application of source codes, and means by which their capacity to deal with captive production issues might be enhanced.</p> <p>Stage 4: Measures to be taken regarding the implementation of recommendations</p> <p>l) The Secretariat shall monitor progress against the recommendations, taking account of the different deadlines, and, following timely intersessional consultation with the members of the Standing and Animals Committees through their Chairs, determine whether the recommendations referred to above have been implemented:</p> <p>i) where the recommendations have been met, the Secretariat shall, following consultation with the Chair of the Standing Committee, notify the Parties that the species-country combination was removed from the review process; or</p> <p>ii) when the recommendations are not deemed to have been met, the Secretariat shall, in consultation with the members of the Standing and Animals Committees through their Chairs, recommend to the Standing Committee appropriate action, which may include, as a last resort, a recommendation to suspend trade in the affected species with that State;</p> <p>m) The Secretariat shall report to the Standing Committee on its evaluation of the implementation of the recommendations, including the rationale for its evaluation, and a summary of the views expressed by the Animals Committee;</p> <p>n) For countries where recommendations are not deemed to have been met, the Standing Committee shall decide on appropriate actions and make recommendations to the country or countries concerned, keeping in mind that these recommendations should be time-bound, feasible, measurable, proportionate, transparent, and should, if appropriate, promote capacity building. In exceptional circumstances, where the country under consideration provides new information on the implementation of the recommendations to the Standing Committee, the Standing Committee shall consult intersessionally with the Animals Committee through the Chair prior to making a decision on appropriate action;</p> <p>o) The Secretariat shall notify the Parties of any recommendations or actions taken by the Standing Committee;</p> <p>p) Any recommendation by the Standing Committee to suspend trade in the affected species with the country concerned should be withdrawn only when that country demonstrates to the satisfaction of the Standing Committee, through the Secretariat, in consultation, where appropriate, with the members of the Animals Committee through their Chair, compliance with the provisions of the Convention with respect to the captive production of specimens; and</p> <p>q) The Standing Committee, in consultation with the Secretariat and the Chair of the Animals Committee, shall, where appropriate, review recommendations to suspend trade that have been in place for longer than two years, consult with the relevant country, evaluate the reasons why this is the case, and, if appropriate, take measures to address the situation.</p> <p><i>Regarding capacity building, monitoring, reporting, and evaluating the review process</i></p>

Resolution	Instruction
	<p>3. DIRECTS the Secretariat, for the purpose of monitoring and facilitating the implementation of this Resolution and the relevant provisions of the Convention:</p> <ul style="list-style-type: none"> a) To report to the Standing Committee on the implementation, by the countries concerned, of the recommendations made by the Standing and Animals Committees; and b) To maintain a record of species-country combinations that are included in the review process set out in this Resolution, including a record of progress with implementation of recommendations; <p>4. DIRECTS the Secretariat to include training on this review process of specimens produced through captive production as part of its capacity-building activities related to the implementation of the Convention; and</p> <p>5. DIRECTS the Standing and Animals Committees, in consultation with the Secretariat, to periodically evaluate the outcomes of this Review by, for example, examining a sample of past species-country combinations to assess whether the desired result was achieved. Based on these evaluations, the Standing and Animals Committees should propose revisions to the review process as necessary. In these periodic evaluations, feedback should be sought from countries that have been through the review process.</p>
<p>Conf. 17.12 Conservation, sustainable use of and trade in snakes</p>	<p>3. URGES Parties and the Secretariat to use the general NDF guidance contained in Resolution Conf. 16.7 (Rev. CoP17) and any further guidance recommended by the Animals and Standing Committees in capacity-building workshops and relevant training materials;</p>

DECISIONS DIRECTED TO THE ANIMALS COMMITTEE
OR THAT MAY REQUIRE ITS INPUTS OR ASSISTANCE

Administrative and financial matters

Rules of Procedure

Directed to the Standing Committee

- 17.3 Resolution Conf. 11.1 (Rev. CoP17) on *Establishment of committees* contains provisions that are relevant for the Rules of Procedure of the Committees. In reviewing the Rules of Procedure, the Standing Committee at its 70th meeting, with input from the Animals and Plants Committees, shall identify possible inconsistencies and overlap and make such suggestions as appropriate to revise Resolution Conf. 11.1 (Rev. CoP17), for consideration at the 18th meeting of the Conference of the Parties.

Directed to the Animals and Plants Committees

- 17.4 Based on a proposal prepared by the Secretariat, the Animals and Plants Committees shall review their respective rules of procedure and align them as closely as possible with the Rules of Procedure of the Conference of the Parties and the Standing Committee, taking into account the unique composition and role of the Scientific Committees.
- 17.5 The Animals and Plants Committees shall also identify possible inconsistencies and overlap between Resolution Conf. 11.1 (Rev. CoP17) on *Establishment of committees* and their own Rules of Procedure and submit to the 70th meeting of the Standing Committee any required revisions to the Resolution for consideration at the 18th meeting of the Conference of the Parties.
- 17.9 The Animals and Plants Committee shall review their terms of reference as contained in Resolution Conf. 11.1 (Rev. CoP17) on *Establishment of Committees* in order to, as necessary: remove redundancies with other activities directed to the Committees by Resolutions adopted by the Conference of the Parties; reflect current practice including provision of scientific advice upon request of Parties; and otherwise clarify the functions of the Committees in their role as science advisory bodies to the Conference of the Parties. The Animals and Plants Committees shall present any proposed amendments to Resolution Conf. 11.1 (Rev. CoP17) arising from their review to the Standing Committee for inclusion into their proposed amendments, as appropriate, pursuant to Decision 17.3, and for consideration by the Conference of the Parties.

Directed to the Secretariat

- 17.7 In order to assist the review of the Animals and Plants Committees referred to in Decision 17.4 and 17.5, the Secretariat shall prepare draft amendments to the Rules of Procedure of the Animals and Plants Committees and recommend revisions, as appropriate, to Resolution Conf. 11.1 (Rev. CoP17) to address possible inconsistencies and overlap between the Resolution and the Rules of Procedure of the Animals and Plants Committees for consideration at the 29th meeting of the Animals Committee and 23rd meeting of the Plants Committee respectively.
- 17.8 The Secretariat shall maintain and publish on the CITES website a list of active intersessional working groups, established by the Standing Committee, and the Animals and the Plants Committees, including Chairs and members of such groups.

Strategic matters

CITES Strategic Vision

Directed to the Standing Committee

17.18 The Standing Committee shall:

- a) establish a Strategic Plan Working Group, with representation from all regions and from the Animals and Plants Committees, to develop, with the assistance and cooperation of the Secretariat, a proposal for a strategic vision for the period after 2020 and any associated action plan and indicators to measure progress;
- b) through the working group, review progress in implementing the *CITES Strategic Vision: 2008-2020* against the adopted indicators, taking account of the *2030 Agenda for Sustainable Development*, its Sustainable Development Goals and Targets, and of any emerging replacement for the *Strategic Plan for Biodiversity 2011-2020 and the Aichi Targets*; and
- c) submit a proposal for a CITES strategic vision for the period after 2020 and any associated action plan and indicators, in time for consideration at the 18th meeting of the Conference of the Parties.

Appendix-I listed species

Directed to the Secretariat

17.22 Subject to available resources, the Secretariat shall contract IUCN, UNEP-WCMC or other consultants, as appropriate, to make a rapid assessment of the conservation status of, and legal and illegal in trade in species included in Appendix I, with an indication of conservation priorities based on levels of threats caused by trade and resource availability to address these threats, for consideration by the Animals and Plants Committees, following consultation with range States. The Secretariat shall make recommendations to the Animals and Plants Committees on how the findings can contribute to the implementation of Aichi Target 12.

Directed to the Animals and Plants Committees

17.24 The Animals Committee and the Plants Committees shall review the report and recommendations submitted by the Secretariat pursuant to Decision 17.22, and formulate recommendations, as appropriate, for communication to the Parties and consideration of the Conference of the Parties at its 18th meeting.

Potential conflicts of interest in the Animals and Plants Committees

Directed to the Standing Committee

16.9 (Rev. CoP17) The Standing Committee shall, at its 69th and 70th meeting and on the basis of a review from the Secretariat, assess the functioning of the conflict of interest policy set out in paragraph c) under Regarding representation in the Animals and Plants Committees of Resolution Conf. 11.1 (Rev. CoP17) on *Establishment of committees*, and make recommendations for refining the definition of conflict of interest, if appropriate, and for a mechanism to deal with such conflicts, having reference to such mechanisms developed in other multilateral environmental agreements or relevant international organizations and bodies, for consideration at the 18th meeting of the Conference of the Parties.

Directed to the Secretariat

16.10 (Rev. CoP17) The Secretariat shall compile examples of conflicts of interest procedures under other relevant agreements and organizations, and shall prepare a report for the 69th meeting of the Standing Committee.

Capacity building

Directed to the Animals and Plants Committees and the Secretariat

- 17.32 The Animals and Plants Committees shall establish a joint working group on capacity-building and identification materials to undertake the following tasks, in consultation with the Secretariat:
- a) provide assistance to Parties in identifying CITES-listed taxa and in undertaking capacity-building activities that contribute to the enhanced implementation of the Convention;
 - b) determine the current availability of capacity building materials, including identification guides and other tools, and enhance their accessibility;
 - c) review selected capacity building and identification materials, and assess the need for their revision and improvement, taking into account the materials that are being developed or have already been developed by Parties and materials requested in Decisions;
 - d) undertake, or provide scientific guidance to the Secretariat's undertaking of the revision and development of selected capacity building and identification materials, including materials on the development, establishment and implementation of voluntary national export quotas;
 - e) review the project proposal on Improvements to the CITES identification materials: Options to promote accuracy and availability of identification material to the Parties to CITES, drafted by UNEP-WCMC, as well as any other projects or programmes identified by the Secretariat, and consider whether funding should be actively sought to support the activities;
 - f) review Resolution Conf. 3.4 on *Technical Cooperation* and Resolution Conf. 11.19 (Rev. CoP16) on *Identification Manual*, and make recommendations, including possible amendments to these Resolutions if appropriate, to promote accuracy and availability of capacity building and identification materials; and
 - g) report on progress with these activities at the next meetings of the Animals and Plants Committees as well as at the 18th meeting of the Conference of the Parties.
- 17.33 The Animals and Plants Committees shall inform the Standing Committee, as necessary, on the progress made in implementing Decision 17.32.

Directed to the Secretariat

- 17.34 The Secretariat shall, subject to the availability of external funding where needed:
- a) continue to develop and enhance the CITES website as well as the CITES Virtual College as an electronic means of providing capacity-building support to Parties, including the incorporation of the following information:
 - i) a list of references to capacity building found in the current Resolutions and Decisions, as well as the relevant sections of the CITES implementation reports, so as to enhance the continued monitoring of capacity-building activities; and
 - ii) a list of possible financial resources and mechanisms to support the implementation of CITES (such as the Global Environment Facility and the African Elephant Fund);
 - b) within the scope of Goals 1 and 3 of the CITES Strategic Vision, provide targeted technical capacity-building support and deliver general and specialized training, to: CITES Management and Scientific Authorities, Customs and law enforcement entities, the judiciary, legislators and other stakeholders, particularly in new Parties, developing country Parties, Parties identified through the compliance mechanism and Small Island Developing States.
 - c) in consultation and cooperation with the Animals and Plants Committees, undertake the revision and development of selected capacity building and identification materials, including

materials related to the development, establishment and implementation of voluntary national export quotas;

- d) issue a Notification to the Parties inviting developing countries and countries with economies in transition to provide to the Secretariat precise information on their capacity-building needs, and report to the Standing Committee at its 69th meeting on the answers received; and
- e) further cooperate with institutions and organizations to provide Parties with joint capacity-building assistance of relevance to CITES, for example through the Food and Agricultural Organization of the United Nations, the Intergovernmental Platform on Biodiversity and Ecosystem Services, the International Consortium on Combating Wildlife Crime (including each of its partners), the International Trade Centre, the International Tropical Timber Organization, the International University of Andalusia, the United Nations Conference on Trade and Development, the United Nations Development Programme, the United Nations Environment Programme and the World Organisation for Animal Health (OIE).

Directed to the Standing Committee

17.35 The Standing Committee shall:

- a) monitor the implementation of activities related to capacity building found in the current Resolutions and Decisions through information provided by the Secretariat in accordance with Decision 17.34 a) i);
- b) review the results of the survey initiated through the Notification to the Parties referred to in Decision 17.34 d), as well as information submitted in the relevant sections of the CITES implementation reports;
- c) review the work of the Animals and Plants Committees in the implementation of Decision 17.32, and provide guidance, as necessary; and
- d) make recommendations to the Conference of the Parties, as appropriate, on how capacity-building activities specified in Resolutions and Decisions may be consolidated, rationalized and made more coherent.

Strategic matters / Cooperation with organizations and MEAs

Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES)

Directed to the Standing Committee

16.14 (Rev. CoP17) The Standing Committee shall establish a working group on IPBES, including the Chairs of the Animals and Plants Committees and the Secretariat, to assist its efforts to ensure that:

- a) there is a two-way relationship between CITES and IPBES in which CITES is a user or beneficiary of IPBES as well as a contributor to IPBES;
- b) communication between CITES and IPBES is effective;
- c) the work of IPBES takes into account the needs of national scientific and management authorities to foster the use of applied science for the implementation of CITES, including the making of non-detriment and legal acquisition findings, and related trade decisions; and
- d) CITES requests and input to intersessional and regular work undertaken by IPBES are provided in accordance with relevant timelines.

Any inputs to IPBES developed by the intersessional working group shall, with the endorsement of the Chair of the Standing Committee after consultation with the Committee, be conveyed to IPBES by the Secretariat on behalf of the Standing Committee.

The Standing Committee shall consider the need for drafting a resolution which specifically recognizes the relationship between CITES and IPBES.

The Standing Committee shall report at the 18th meeting of the Conference of the Parties on the results of this work.

Directed to the Animals and Plants Committees

16.15 (Rev. CoP17) The Chairs of the Animals and Plants Committees shall:

- a) assist the Standing Committee with the implementation of Decision 16.14 (Rev. CoP17);
- b) subject to external funds, participate as observers in the IPBES Multidisciplinary Expert Panel (MEP) and thereby reinforce linkages between the MEP and the CITES scientific committees; and
- c) report regularly to the Standing Committee on their activities under paragraph a) above.

Directed to the Secretariat

16.16 (Rev. CoP17) The Secretariat shall:

- a) under the policy guidance provided by the Conference of the Parties, and in cooperation with the Standing Committee's intersessional Working Group on IPBES, established pursuant to Decision 16.14 (Rev. CoP17), continue to track and contribute to the intersessional and regular work of IPBES bodies;
- b) subject to external funds, participate as an observer in the governing body of IPBES and thereby reinforce linkages between that body and the governing bodies of CITES;
- c) seek external funding to support attendance at IPBES meetings by the Chairs of the Animals and Plants Committees and the Secretariat; and
- d) report regularly to the Standing Committee, as well as at the 18th meeting of the Conference of the Parties, on the results of this work.

Interpretation and implementation matters / Compliance and enforcement

Specimens produced from synthetic or cultured DNA

Directed to the Secretariat

17.89 The Secretariat, subject to external funding, is requested to:

- a) undertake a review of relevant CITES provisions, resolutions and decisions, including Resolution Conf. 9.6 (Rev. CoP16) on *Trade in readily recognizable parts and derivatives*, to examine how Parties have applied the interpretation of Resolution Conf. 9.6 (Rev. CoP16) to wildlife products produced from synthetic or cultured DNA, under what circumstances wildlife products produced from synthetic or cultured DNA meet the current interpretation, and whether any revisions should be considered, with a view to ensuring that such trade does not pose a threat to the survival of CITES-listed species; and
- b) report the findings and recommendations of this study to the 29th meeting of the Animals Committee, the 23rd meeting of the Plants Committee, and the 69th meeting of the Standing Committee.

Directed to the Animals and Plants Committees

17.90 At the 29th meeting of the Animals Committee and the 23rd meeting of the Plants Committee, the Animals and Plants Committees are requested to review the findings and recommendations of the Secretariat's report in Decision 17.89 and make recommendations for consideration at the 69th meeting of the Standing Committee, including appropriate revisions to existing

resolutions.

Directed to the Standing Committee

- 17.91 At its 69th meeting, the Standing Committee is requested to review the findings and recommendations of the Secretariat's report in Decision 17.89 and the recommendations of the Animals and Plants Committees, and make recommendations for consideration at the 18th meeting of the Conference of the Parties, including appropriate revisions to existing resolutions.

Captive-bred and ranched specimens

Directed to the Secretariat

- 17.103 The Secretariat shall provide its preliminary observations and recommendations regarding the first iteration of the Resolution Conf. 17.7 on *Review of trade in animals specimens reported as produced in captivity*, including opportunities for harmonisation with the process in Resolution Conf. 12.8 (Rev. CoP17) on *Review of Significant Trade in specimens of Appendix-II species*, and other opportunities to achieve the aims of the Resolution most effectively and cost efficiently, to the 30th meeting of the Animals Committee and to the 70th meeting of the Standing Committee.

Directed to the Animals Committee

- 17.104 Subject to available resources, the Animals Committee shall review the differences in the nature of non-detriment findings made for specimens with source code W, R and F and provide guidance for Parties, to be sent to the Secretariat for inclusion in the section for non-detriment findings on the CITES website referred to in Resolution Conf. 16.7 (Rev. CoP17).
- 17.105 At its 30th meeting, the Animals Committee shall prepare a report of its observations and recommendations regarding the first iteration of Resolution Conf. 17.7 on *Review of trade in animals specimens reported as produced in captivity*, including opportunities for harmonisation with the process in Resolution Conf. 12.8 (Rev. CoP17) on *Review of Significant Trade in specimens of Appendix-II species* and other opportunities to achieve the aims of the Resolution most effectively and cost efficiently, taking into account the recommendations of the Secretariat arising from Decision 17.103, and transmit the report to the Standing Committee.

Directed to the Standing Committee

- 17.107 At its 70th meeting, the Standing Committee shall prepare a report of its preliminary observations and recommendations regarding the first iteration of Resolution Conf. 17.7 on *Review of trade in animals specimens reported as produced in captivity*, including opportunities for harmonisation with the process in Resolution Conf. 12.8 (Rev. CoP17) on *Review of Significant Trade in specimens of Appendix-II species*, and other opportunities to achieve the aims of the Resolution most effectively and cost efficiently, and taking into consideration the report of the Animals Committee and the observations of the Secretariat. The Standing Committee will transmit its recommendations to the 18th meeting of the Conference of the Parties.

Review of Significant Trade

Directed to the Animals and Plants Committees

- 17.111 The Animals and Plants Committee, with the assistance of the Secretariat, shall explore potential benefits and disadvantages of country-wide significant trade reviews, drawing upon the lessons learned, outcomes and impacts of the country-wide Review of Significant Trade of Madagascar as relevant.

Quotas for leopard hunting trophies

Directed to Parties with quotas established under Resolution Conf. 10.14 (Rev. CoP16)

- 17.114 Parties, which have quotas, established under Resolution Conf. 10.14 (Rev. CoP16) are

requested to review these quotas, and consider whether these quotas are still set at levels which are non-detrimental to the survival of the species in the wild, and to share the outcomes of the review and the basis for the determination that the quota is not detrimental, with the Animals Committee at its 30th meeting.

Directed to the Animals Committee

17.115 The Animals Committee shall consider the information submitted by the relevant range States under Decision 17.114 and any other relevant information, and, if necessary, make any recommendations to the range States and to the Standing Committee relating to the review.

Directed to the Secretariat

17.116 The Secretariat shall, subject to external funding, support the reviews to be undertaken by range States, referred to in Decision 17.114, upon request by a range State.

Directed to the Standing Committee

17.117 The Standing Committee should consider any recommendations of the Animals Committee made in accordance with Decision 17.115, and make its own recommendations, as appropriate, for consideration at the 18th meeting of the Conference of the Parties.

Interpretation and implementation matters / Trade control and traceability

Definition of the term 'appropriate and acceptable destinations'

Directed to the Secretariat

17.178 The Secretariat shall, subject to available resources, report to the 29th meeting of the Animals Committee and the 69th meeting of the Standing Committee on the history and implementation of Resolution Conf. 11.20 (Rev. CoP17) on *Definition of the term 'appropriate and acceptable destinations'*, and Article III, paragraphs 3(b) and 5(b), regarding findings that recipients of living specimens of CITES Appendix-I species are suitably equipped to house and care for them.

Directed to the Animals Committee

17.179 The Animals Committee shall, at its 29th meeting:

- a) Consider the report of the Secretariat regarding Resolution Conf. 11.20 (Rev. CoP17) on *Definition of the term 'appropriate and acceptable destinations'*, and make recommendations and develop guidance, as appropriate, for consideration of the Standing Committee and the 18th meeting of the Conference of the Parties;
- b) Consider the report of the Secretariat regarding implementation of the requirements in Article III, paragraphs 3(b) and 5(b), regarding findings that recipients of living specimens of CITES Appendix-I species are suitably equipped to house and care for them, and make recommendations and prepare guidance, as appropriate, for consideration of the Standing Committee and the 18th meeting of the Conference of the Parties.

Directed to the Standing Committee

17.180 At its 69th meeting, the Standing Committee shall:

- a) Consider the report of the Secretariat, including any information from the Animals Committee, regarding Resolution Conf. 11.20 (Rev. CoP17) on *Definition of the term 'appropriate and acceptable destinations'*, and make recommendations and develop guidance, as appropriate, for consideration at the 18th meeting of the Conference of the Parties;
- b) Consider the report of the Secretariat, including any information from the Animals Committee, regarding implementation of the requirements in Article III, paragraphs 3(b)

and 5(b), regarding findings that recipients of living specimens of CITES Appendix-I species are suitably equipped to house and care for them and make recommendations and develop guidance, as appropriate, for consideration at the 18th meeting of the Conference of the Parties.

Species specific matters

Sturgeons and paddlefish (*Acipenseriformes spp.*)

Directed to the Secretariat

16.136 (Rev. CoP17) The Secretariat shall:

- a) subject to external funding and in consultation with the Animals Committee, organize a study to:
 - i) provide an overview of molecular, DNA-based and other forensic methods that could assist in identifying the species and populations of *Acipenseriformes* specimens in trade, determining the origin or age of specimens, and differentiating wild from captive-bred or aquacultured specimens;
 - ii) review relevant developments in this area, including the availability and reliability of uniform identification systems;
 - iii) evaluate the advantages and disadvantages of the different methods (including practicality, costs, time-efficiency, reliability, technical requirements, etc.); and
 - iv) formulate relevant guidance for CITES Parties, enforcement agencies, the private sector and other stakeholders;
- b) ensure consultation with Parties that authorize trade in specimens of sturgeons and paddlefish, appropriate experts, institutions and organizations, and the private sector in the conduct of the study;
- c) make the results of the study available to the Animals Committee for its consideration; and
- d) disseminate the recommendations formulated by the Standing Committee pursuant to Decision 16.138 (Rev. CoP17) in a Notification to the Parties.

Directed to the Animals Committee

16.137 (Rev. CoP17) The Animals Committee shall assist the Secretariat in determining the specifications for the study referred to in Decision 16.136 (Rev. CoP17) and monitoring its conduct. It shall review the report of the study, and make recommendations as appropriate for consideration by the Standing Committee.

17.183 The Animals Committee shall, based on the information submitted by range states, discuss the content of the table contained in Annex 3 of Resolution 12.7 (Rev. CoP17), including possible amendments, and report to the Standing Committee.

Directed to range States for *Acipenseriformes*

17.182 All Range States of *Acipenseriformes* are invited to submit data relating to the content of the table contained in Annex 3 of Resolution 12.7 (Rev. CoP17) to the Secretariat to be considered at the next meeting of the Animals Committee.

Directed to the Standing Committee

16.138 (Rev. CoP17) The Standing Committee shall review the study undertaken in accordance with Decision 16.136 (Rev. CoP17) and the recommendations that the Animals Committee formulated in compliance with Decision 16.137 (Rev. CoP17), and make its own recommendations, as appropriate, for communication to Parties concerned or for consideration at the 18th meeting of the Conference of the Parties.

- 17.184 The Standing Committee shall, based on the report of the Animals Committee, propose possible amendments to the table contained in Annex 3 of Resolution 12.7 (Rev. CoP17), as appropriate, to the 18th meeting of the Conference of the Parties.
- 17.185 The Standing Committee shall, in collaboration with the Animals Committee, discuss the issue of the definition of country of origin of caviar, taking into account the draft definition proposed by the majority of the Standing Committee Working Group on Sturgeons and Paddlefish, which reads: "country of origin of caviar: country in which a registered processing plant harvests roe of Acipenseriformes species to process caviar," and report to the 18th meeting of the Conference of the Parties.

Eels (*Anguilla* spp.)

Directed to the Secretariat

- 17.186 The Secretariat shall, subject to external funding:
- a) contract independent consultants to undertake a study compiling information on challenges and lessons learnt with regards to implementation of the Appendix II listing of European Eel (*Anguilla anguilla*) and its effectiveness. This includes in particular the making of non-detriment findings, enforcement and identification challenges, as well as illegal trade. This study should notably take account of the data compiled and advice issued by the ICES/GFCM/EIFAAC Working Group Eel;
 - b) contract independent consultants to undertake a study on non-CITES listed *Anguilla* species:
 - i) documenting trade levels and possible changes in trade patterns following the entry into force of the listing of the European Eel in CITES Appendix II in 2009;
 - ii) compiling available data and information on the biology, population status, use and trade in each species, as well as identifying gaps in such data and information, based on the latest available data and taking account inter alia of the Red List assessments by the IUCN Anguillid Eel Specialist Group; and
 - iii) providing recommendations for priority topics for technical workshops based on gaps and challenges identified under i) - ii);
 - c) make the reports from the studies above available to the 29th meeting of the Animals Committee (AC29) for their consideration; and
 - d) organize, where appropriate, international technical workshops, inviting cooperation with and participation by the relevant range States, trading countries, FAO, the IUCN Anguillid Eel Specialist Group, the ICES/GFCM/EIFAAC Working Group Eel, industry and other experts appointed by Parties as appropriate. Such workshops should in particular cover the topics identified by the reports described in subparagraphs a) and b) of this Decision and could focus on challenges specific to the various eel species, such as
 - i) in relation to European eel, the realization of and guidance available for non-detriment findings, as well as enforcement of the Appendix II listing including identification challenges; and
 - ii) in relation to the other eel species, to enable a better understanding of the effects of international trade, including trade in their various life stages, and possible measures to ensure sustainable trade in such species ;
 - e) make any workshop report available to the 30th meeting of the Animals Committee (AC30) for their consideration; and
 - f) make available to the Standing Committee relevant information on illegal trade in European eels gathered from the study and the workshop report mentioned in paragraphs a) and e).

Directed to range States and Parties involved in trade in *Anguilla* spp.

17.187 Range States and Parties involved in trade in *Anguilla* species, in collaboration with the Secretariat and FAO, are encouraged to:

- a) promote international or regional cooperation on a species-by-species basis, including the convening of regional meetings to discuss how to fill the information gaps and ensure long-term sustainability in the face of increasing demand from international trade;
- b) provide the Secretariat and their consultants with specific information needed for the purposes of completing Decision 17.186 a) and b) as well as the results of the regional meetings; and
- c) participate, where appropriate, in the technical workshops and share expertise and knowledge on the priority topics identified (examples provided under in Decision 17.186 d).

Directed to the Animals Committee

17.188 The Animals Committee shall:

- a) consider, at its 29th and 30th meetings, the reports produced under Decisions 17.186, as well as the information submitted by European Eel range States and other eel range States pursuant to Decision 17.187, and any other relevant information on conservation of and trade in *Anguilla* species; and
- b) provide recommendations to ensure the sustainable trade in *Anguilla* species, to Parties for consideration at the 18th meeting of the Conference of the Parties.

Directed to the Standing Committee

17.189 The Standing Committee shall consider information relating to illegal trade in European eel at its 69th and 70th meetings and adopt recommendations as appropriate.

Precious corals (Order Antipatharia and family Coralliidae)

Directed to the Secretariat

17.190 The Secretariat, subject to external funding, is requested to:

- a) issue a notification that invites precious coral range States and relevant Regional Fisheries Management Organizations, on a voluntary basis, to complete a questionnaire/survey (Annex 2 of document CoP17 Com. I. 11) to report data on their precious coral resources (black, red, and pink coral species including species within the order Antipatharia, and family *Coralliidae*), especially current and historical abundance, biological status, management, and any known harvest for domestic or international trade; and
- b) compile range States' and Regional Fisheries Management Organizations' data into a report for submission to the 29th meeting of the Animals Committee for its consideration.

17.191 The Secretariat, subject to external funding, is requested to collaborate with FAO to commission a study by species experts on CITES and non-CITES listed precious coral species (black, red, and pink coral species including species within the order Antipatharia, and family *Coralliidae*) including:

- a) consideration of any responses received to the Notification issued in response to Decision 17.190;
- b) compilation of available data and information on the biology, population status, use and trade in each species, as well as identification of gaps in such data and information;
- c) comparison of the management and harvest regulation schemes for black and red and pink coral species; and

- d) preparation of a report for consideration at the 30th meeting of the Animals Committee, on the conservation status and trade of precious corals, including guidance, if appropriate, on the actions needed to enhance the conservation and sustainable use of precious corals.

Directed to the Animals Committee

17.192 The Animals Committee is requested to:

- a) analyse the outcomes of the precious coral survey and the FAO study and prepare recommendations, as appropriate, on actions needed to enhance the conservation and sustainable harvest and use of all precious corals in international trade; and
- b) report these recommendations to the Standing Committee at its 70th meeting.

Directed to the Standing Committee

17.193 The Standing Committee is requested to consider the information and recommendations of the Animals Committee, made in accordance with Decision 17.192, and make its own recommendations, as appropriate, for communication to the Parties or for consideration at the 18th meeting of the Conference of the Parties.

Sharks and rays (*Elasmobranchii spp.*)

Directed to the Secretariat

17.211 The Secretariat shall:

- a) issue a notification, requesting Parties to provide new information on their shark and ray conservation and management activities, including legislation, and make the responses available to the Animals Committee for its consideration; and
- b) provide a summary of information in the CITES trade database on trade in CITES-listed sharks and rays since 2000 for consideration by the Animals Committee.

Directed to the Standing Committee

17.216 On the basis of information provided by the Secretariat and the Animals Committee, the Standing Committee shall consider issues concerning the conservation and management of sharks and rays, and provide guidance as appropriate, pertaining to:

- a) legislative matters that might arise in exporting, transit or consumer countries, and those relating to legality of acquisition and introduction from the sea;
- b) identification and traceability, taking into consideration requirements that have been developed for the trade in specimens of other Appendix-II species, and their applicability to specimens of CITES-listed sharks and rays in trade;
- c) conservation and management measures for sharks and rays taken by Regional Fisheries Management Organisations; and
- d) coherence of CITES provisions concerning sharks and rays with conservation and management measures of other relevant multilateral environmental agreements;

The Standing Committee shall report on the implementation of this decision, with recommendations as appropriate, at the 18th meeting of the Conference of the Parties.

African wild dog (*Lycaon pictus*)

Directed to range and consumer States for African wild dog (*Lycaon pictus*)

17.235 Range States of African wild dog (*Lycaon pictus*) are encouraged to take measures to prevent illegal trade in African wild dog, and to consider including the species in Appendix III.

- 17.236 Parties are encouraged to share information about trade in the species, including levels and sources of species in trade with Burkina Faso, assisted by the Secretariat of the Convention on the Conservation of Migratory Species of Wild Animals (CMS) and in the context of the Joint CITES-CMS Work Programme.

Directed to range States for African wild dog (*Lycaon pictus*) and interested organizations

- 17.237 Range States are encouraged to collaborate and exchange best conservation practices regarding the preservation and restoration of African wild dog (*Lycaon pictus*) populations, and are invited to cooperate with the Convention on the Conservation of Migratory Species of Wild Animals (CMS), IUCN and other interested organizations in taking actions at the national and regional level, in particular with regard to: habitat conservation; the establishment of ecological corridors to address habitat fragmentation; the management of infectious diseases; the restoration of prey-basis; human-wildlife conflicts; and trade, including trade in captive bred specimens.

Directed to range States for African wild dog (*Lycaon pictus*) and interested organizations

- 17.238 Burkina Faso is invited to report to the Animals Committee on the implementation of Decisions 17.235 to 17.237 at the 29th or 30th Animals Committee meetings, as appropriate.

African lion (*Panthera leo*)

Directed to the Secretariat

- 17.241 Subject to external funding, the Secretariat shall, in collaboration with African lion range States, the Convention on Migratory Species and IUCN:
- a) Investigate possible mechanisms to develop and support the implementation of joint lion conservation plans and strategies, taking into consideration existing lion conservation plans and strategies;
 - b) Develop an inventory of African lion populations across its range, taking due consideration of existing inventories developed by African lion range States;
 - c) Support the development of relevant databases by African lion range States;
 - d) Develop strategies to reinforce international cooperation on the management of lions;
 - e) Undertake studies on legal and illegal trade in lions, including lion bones and other parts and derivatives, to ascertain the origin and smuggling routes, in collaboration with TRAFFIC and/or other relevant organisations;
 - f) Undertake a comparative study of lion population trends and conservation and management practices, such as lion hunting, within and between countries, including the role, if any, of international trade;
 - g) Support capacity-building in lion conservation and management, including where appropriate the making of non-detriment findings where a range State requests it;
 - h) Support public awareness raising as well as education programmes in African lion range States, in order to support co-existence between humans and lions and to promote measures for the conservation and recovery of African lion populations;
 - i) Promote fundraising, as part of its overall fundraising initiatives, to support the effective implementation of conservation and management plans and strategies for African lion and for a CITES Task Force on African lions;
 - j) Create a portal on the CITES website to permit, amongst other things, the posting and sharing of information and voluntary guidance on the making of non-detriment findings for African lion; and

- k) Report on the progress relating to paragraph a) – j) to the 29th and 30th Animals Committee meetings.

Directed to the Animals Committee

17.242 The Animals Committee shall consider the report of the Secretariat and submit recommendations to the 69th and the 70th Standing Committee meetings and the African lion range States, as appropriate.

Directed to the Standing Committee

17.243 The Standing Committee shall, at its 69th and 70th meetings:

- a) consider the reports submitted by the Animals Committee in terms of Decision 17.242;
- b) recommend further actions to be taken; including the possible need for the development of a Resolution on the conservation of African lion;
- c) establish a CITES Task Force on African lions, inviting the participation of all African lion range States, consumer states for lion parts and derivatives, and relevant enforcement bodies, including the members of the ICCWC the Task Force;
- d) provide terms of reference and modus operandi for this Task Force; and
- e) consider the establishment of a multi-donor technical trust fund to attract funding and direct resources for the work of the CITES Task Force on African lions and to support the effective implementation of conservation and management plans and strategies for African lion.

Directed to African lion range States

17.244 African lion range States are encouraged to collaborate in implementing the decisions contained in 17.241 paragraphs a) - j) and 17.243 paragraph c).

Directed to all Parties, governmental, intergovernmental, non-governmental organizations, donors and other entities

17.245 All Parties, governmental, intergovernmental, non-governmental organizations, donors and other entities are encouraged to support the African lion range States and the Secretariat:

- a) in their efforts to conserve and restore this iconic species across the continent, taking into consideration existing land-use practices; and
- b) in implementing the decisions contained in Decision 17.241 paragraphs a) - j).

Freshwater stingrays (Potamotrygonidae spp.)

Directed to Parties and interested organizations

17.248 Parties and interested organizations are encouraged to conduct or promote research concerning the captive breeding and sustainable use of freshwater stingrays (family Potamotrygonidae) at the global level, including the species involved, the numbers produced, the source of parental stock, and the international trade dynamics and market developments, and the collaboration between Parties with ex situ breeding operations for freshwater stingrays and those with *in situ* conservation programs.

Directed to Parties, the Secretariat and interested organizations

17.249 The Secretariat, subject to external funding, non-governmental organizations, intergovernmental organizations (including the Food and Agriculture Organization of the United Nations) and Parties are encouraged to support range States in the mathematical modelling of population trends for freshwater stingrays (family Potamotrygonidae) and the Secretariat shall

report any such activities to the Animals Committee as appropriate.

Banggai cardinalfish (*Pterapogon kauderni*)

Directed to Indonesia

17.259 Indonesia should implement conservation and management measures to ensure the sustainability of international trade in *Pterapogon kauderni*, and report progress on these measures to the Animals Committee at its 30th meeting.

Directed to the Secretariat

17.260 Subject to external funding, the Secretariat shall commission a study to assess the impact of international trade on the conservation status of *Pterapogon kauderni* and to advise on suitable conservation and management measures, as appropriate.

17.261 The Secretariat shall share the results of the study as referred to under Decision 17.260 with the Animals Committee at its 30th meeting.

Directed to the Animals Committee

17.262 The Animals Committee shall, at its 30th meeting, review the progress report submitted by Indonesia as referred to under Decision 17.259, as well as the results of the study as referred to under Decision 17.260, and make its recommendations to the 18th meeting of the Conference of the Parties.

Directed to donor Parties and other relevant organizations

17.263 Donor Parties and other relevant organizations, including FAO, are invited and encouraged to provide support to Indonesia and to the Secretariat for the purpose of implementing Decisions 17.260 to 17.262.

Snakes (Serpentes spp.)

Directed to Benin, Ghana, Honduras, Indonesia and Togo

17.276 Benin, Ghana, Honduras, Indonesia and Togo are encouraged to undertake the following actions:

- a) Honduras: to ensure that measures are in place to address poaching of, and illegal trade in, the Cayos Cochinos boa constrictor (*Boa constrictor imperator*);
- b) Benin: to implement the following measures for the Ball python (*Python regius*):
 - i) Design and implement a management programme for the species;
 - ii) Make non-detriment findings based on studies of the species, basic demographics, harvest and trade in the species; and
 - iii) Strengthen national regulations relating to trade control and monitoring, including stricter control policies for production systems.
- c) Benin, Ghana and Togo: to implement the following measures for the Calabar ground boa (*Calabaria reinhardtii*):
 - i) Make non-detriment findings based on studies of the species, basic demographics, harvest and trade in the species; and
 - ii) Improve systems to monitor harvest, captive breeding, and trade in the species;
- d) Indonesia: to improve enforcement of existing laws and take into account the recommendations provided in the document to more effectively regulate the collection of

and trade in the populations of the wild green tree python (*Morelia viridis*) and the Boelen's python (*Morelia boeleni*); and

- e) Benin, Ghana, Honduras, Indonesia and Togo: to report to the Secretariat on the implementation of actions directed to them in this Decision, for transmission to, and review by the Animals Committee at one of its meetings between the 17th meeting and the 18th meeting of the Conference of the Parties and subsequent reporting by the Animals Committee to the Standing Committee.

Directed to the Animals Committee

17.279 Animals Committee shall continue to review guidance on the making of non-detriment findings for the export of CITES-listed snakes, information from Benin, Ghana, Honduras, Indonesia and Togo provided in accordance with Decision 17.276 and new information on the trade in, and sustainable use and conservation of snakes, and make recommendations to the Standing Committee as appropriate.

Directed to the Standing Committee

17.280 The Standing Committee shall:

- a) consider the reports and recommendations from the Animals Committee submitted in accordance with Decision 17.279 and any other relevant information;
- b) make recommendation to the Parties, the Animals Committee and the Secretariat as appropriate; and
- c) report on the implementation of Decision 17.279 at the 18th meeting of the Conference of the Parties with recommendations for consideration by the Parties, including revisions to Resolution Conf. 17.12 on Conservation, sustainable use of and trade in snakes, if deemed necessary.

Directed to the Secretariat

17.282 The Secretariat shall make any relevant information on trade, sustainable use and conservation of snakes available to Parties and the Animals Committee through the CITES website.

17.283 The Secretariat shall transmit the information provided by Benin, Ghana, Honduras, Indonesia and Togo in accordance with Decision 17.278 to the Animals Committee for consideration at one of its meetings between the 17th meeting and the 18th meeting of the Conference of the Parties.

17.284 The CITES Secretariat shall, subject to external funding:

- a) compile information and develop guidance that can assist Parties in the making of non-detriment findings, management systems for wild populations and the establishment of export quotas for Appendix-II snake species in trade;
- b) conduct one or more interdisciplinary workshops for CITES authorities, and other relevant authorities and stakeholders, of range States of Asian snake species in international trade on:
 - i) the use of guidance for monitoring and controlling captive-breeding operations and other production systems; and
 - ii) the use of guidance for making non-detriment findings and establishing export quotas for Appendix-II snake species in trade; and
- c) report on the results of these activities to the Animals Committee and the Standing Committee, as appropriate, before the 18th meeting of the Conference of the Parties.

Queen conch (*Strombus gigas*)

Directed to the Animals Committee

17.287 If requested by range States of *S. gigas*, the Animals Committee shall provide advice regarding the making of non-detriment findings for trade in *S. gigas*, research in support of sustainable queen conch fishery and trade, and other technical matters.

17.288 The Animals Committee shall review the process for the setting of scientific quotas for queen conch, in particular where scientific quotas make up a large portion of the overall export quota.

Tortoises and freshwater turtles (*Testudines spp.*)

Directed to the Secretariat

17.291 The Secretariat shall, subject to available funding:

- a) in collaboration with Parties requiring assistance, and relevant experts, provide or develop guidance to CITES Scientific and Management Authorities concerning:
 - i) techniques to survey and monitor wild populations of tortoises and freshwater turtles, to assess impacts of offtake, and to implement adaptive management programmes in the context of the making of non-detriment findings; and
 - ii) the differentiation of specimens originating from the wild from those from captive or ranching production systems;
- b) contract consultants to develop, in collaboration with relevant Parties, experts and ICCWC, a guide on categories of turtle parts and derivatives in trade, for national agencies responsible for wildlife law enforcement, to raise their awareness of the trade in these types of specimens, to enable initial recognition of such specimens, and to provide guidance on further identification resources and expertise that can be consulted; and
- c) in collaboration with ICCWC, relevant Parties and experts, establish a secure rapid-response identification network to connect inspecting officials to a network of verified specialists on species identification, with an initial pilot phase focusing on tortoises and freshwater turtles, that could be extended to other species, if appropriate.

17.292 The Secretariat shall report at the 18th meeting of the Conference of the Parties on the implementation of Decision 17.291.

Directed to the Animals Committee

17.293 The Animals Committee shall review the guidance provided or developed in accordance with Decision 17.291, paragraphs a) and b), and make recommendations for consideration by the Secretariat.

Black Sea bottlenose dolphin (*Tursiops truncatus ponticus*)

Directed to range States for the Black Sea bottlenose dolphin (*Tursiops truncatus ponticus*)

17.299 Parties are encouraged to:

- a) use genetic analysis to confirm the subspecies of the specimen of *Tursiops truncatus* and its origin prior to the issuance of an export permit;
- b) establish, on a national or regional basis, repositories where relevant genetic identification data are stored and to make these accessible on-line; and
- c) report to the Animals Committee on exports of *Tursiops truncatus ponticus* and their origins.

Directed to the Animals Committee

17.300 The Animals Committee shall consider at its 30th meeting the information submitted by the Parties pursuant to Decision 17.299 to evaluate the effectiveness of the zero annual export quota for *Tursiops truncatus ponticus* from the wild for primarily commercial purposes, and if necessary make recommendations to the 18th meeting of the Conference of the Parties.

Directed to the Secretariat

17.301 The Secretariat shall, subject to available resources, cooperate with the Secretariats of ACCOBAMS, the Convention on Migratory Species, the Bern Convention, and the Bucharest Convention, in order to coordinate efforts and to avoid duplication regarding conservation of, and trade in *Tursiops truncatus ponticus*.

Amendment and maintenance of the Appendices

Great whales

Directed to the Animals Committee

14.81 No periodic review of any great whale, including the fin whale, should occur while the moratorium by the International Whaling Commission is in place.

Appendix-III listings

Directed to the Standing Committee

17.303 The Standing Committee, in consultation with the Animals and Plants Committees, as appropriate, shall consider the development of guidance on the application of Appendix-III CITES listings. Considerations could include, e.g.:

- a) possible guidance for exporting and importing countries with respect to the effective implementation of Appendix III, including measures to address suspected illegal international trade in Appendix III-listed specimens; and
- b) possible guidance for range States on characteristics of species that may benefit from inclusion in Appendix III.

17.304 The Standing Committee shall, in consultation with the Secretariat, as well as Parties, as appropriate, make recommendations, including possible amendments to Resolution Conf. 9.25 (Rev. CoP17) on Inclusion of species in Appendix III, to the 18th meeting of the Conference of the Parties.

Directed to the Animals and Plants Committees

17.305 The Animals and Plants Committees shall advise the Standing Committee Working Group, if requested by the Standing Committee or its working group, on subject-specific items, e.g. on characteristics of species for a possible listing of species in Appendix III CITES.

Nomenclature: Identification of CITES-listed corals in trade

Directed to the Secretariat

17.306 The Secretariat shall:

- a) seek, if possible, a time-specific version of (i) the WoRMS database, and (ii) the new Corals of the World website by John VERON (currently in preparation) that serves the needs of a CITES nomenclature reference, and
- b) report its progress to the Animals Committee.

Directed to Parties

17.307 The Parties shall undertake an internal assessment of the WoRMS database with regard to consistency with their own internal coral nomenclature databases, and report their findings to the Secretariat for communication to the Animals Committee.

Directed to the Animals Committee

17.308 The Animals Committee shall:

- a) consider the report of the Secretariat and the responses of the Parties and recommend a way forward to identify a standard nomenclature reference for CITES-listed corals; and
- b) update its list of coral taxa for which identification to genus level is acceptable, but which should be identified to species level where feasible, once a new standard nomenclature reference for CITES-listed coral species has been identified and provide the updated list to the Secretariat for dissemination.

Nomenclature: Use of time-specific versions of online databases as standard nomenclature references

Directed to the Secretariat

17.309 The Secretariat shall:

- a) reach out to copyright-holders of relevant online-databases that might serve as standard nomenclature references and explore the possible use of time-specific versions for CITES services; for example, relevant databases include but are not limited to WoRMS, Fish Base, ESCHMEYER& FRICKE's Catalog of Fishes, and Amphibian Species of the World; and
- b) report the results of its consultations to the Animals Committee.

Directed to the Animals Committee

17.310 The Animals Committee shall:

- a) evaluate the results of the Secretariat's consultation; and
- b) develop recommendations on the use of time-specific online-databases as standard nomenclature references for decision at the 18th meeting of the Conference of the Parties.

Nomenclature: Bird family and order names

Directed to the Secretariat

17.311 The Secretariat shall:

- a) subject to the availability of funds, commission an analysis of the implications of adopting a new standard nomenclature reference for birds at the family and order level, taking into account the 3rd and 4th editions of The Howard & Moore complete checklist of the birds of the world, and the non-passerine and passerine volume of the HBW and BirdLife International illustrated checklist of the birds of the world as well as the Animals Committee discussion regarding a new nomenclature standard reference for birds at the genus and species levels; and
- b) report back the results to the Animals Committee.

Directed to the Animals Committee

17.312 The Animals Committee shall:

- a) evaluate the results of the analysis; and

- b) develop a recommendation for decision by at the 18th meeting of the Conference of the Parties.

Nomenclature: African lion (*Panthera leo*)

Directed to the Animals Committee

17.313 The Animals Committee shall review the taxonomy and standard nomenclature of *Panthera leo* and report its recommendations to the 18th meeting of the Conference of the Parties.

Annotations

Directed to the Standing Committee, in cooperation with the Animals Committee and the Plants Committee

16.162 (Rev. CoP17) The Standing Committee shall re-establish the working group on annotations, in close collaboration with the Animals and Plants Committees, recognizing that the Animals and Plants Committees are an important source of expertise and advice to Parties on such scientific and technical issues. The group shall include, but not be limited to, members from the Standing Committee, Animals Committee, Plants Committee, observer Parties, CITES Management and Scientific Authorities, enforcement authorities, including Customs, and industry representatives. The Standing Committee shall particularly endeavour to ensure balanced representation of importing and exporting Parties. The terms of reference for the working group shall be:

- a) to further consider procedures for crafting annotations and develop recommendations for improving them;
- b) to evaluate and address issues related to the drafting, interpretation and implementation of annotations, and assist Parties in drafting future annotations, drawing on appropriate expertise from within and outside its membership;
- c) to conduct any relevant additional work on evaluating the existing annotations for plant taxa listed in Appendices II and III, with an emphasis on ensuring that such annotations are clear as to the types of specimens to be covered by a listing, can be readily implemented, and focus on those parts and derivatives primarily exported from the range States and those commodities that dominate the trade in and demand for the wild resource;
- d) based on the results of the timber trade study directed to the Secretariat in Decision 15.35 (Rev. CoP16), to review the existing annotations for tree species and, if appropriate, draft amendments to those annotations and prepare clear definitions for the terms used in the annotations in order to facilitate their use and understanding by CITES authorities, enforcement officers, exporters and importers;
- e) in close collaboration with ongoing efforts in the Plants Committee, to continue reviewing the appropriateness and practical implementation of the annotation(s) of the agarwood-producing taxa (*Aquilaria* spp. and *Gyrinops* spp.), taking into consideration the previous work done by the range and consumer States of these species;
- f) to review outstanding implementation challenges resulting from the listings of *Aniba rosaeodora* and *Bulnesia sarmientoi* in the Appendices, particularly concerning trade in extracts, and propose appropriate solutions;
- g) to draft definitions of terms included in annotations, in cases where the terms are not easily understood or where there has been difficulty in implementing the listing as a result of confusion about what commodities are covered, and submit them to the Standing Committee for adoption by the Conference of the Parties and subsequent inclusion in the Interpretation section of the Appendices;
- h) to conduct any work related to annotations directed to it by the Conference of the Parties, the Standing, Animals or Plants Committee; and
- i) to prepare reports on progress made in addressing the issues tasked to it and submit them

for consideration at the 69th and 70th meetings of the Standing Committee.

The Standing Committee shall report to the 18th meeting of the Conference of the Parties on the implementation of this Decision, propose amendments to Resolutions and Decisions as necessary, and request the Depositary Government to make any proposals to amend the Appendices as appropriate.

Directed to Parties

16.163 (Rev. CoP17) At its 18th meeting, the Conference of the Parties shall review the report submitted by the Standing Committee on the outcomes of the work undertaken by its working group on annotations called for in Decision 16.162 (Rev. CoP17) and evaluate the need for continuing such a working group.