

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Joint sessions of the 27th meeting of the Animals Committee and
21st meeting of the Plants Committee
Veracruz (Mexico), 2-3 May 2014

Interpretation and implementation of the Convention

Compliance and enforcement

REVIEW OF REPORTING REQUIREMENTS (DECISION 16.45)

1. This document has been prepared by the Chair of the Standing Committee Working Group on Special Reporting Requirements¹, in consultation with the Secretariat.

Background

2. At its 16th meeting (CoP16, Bangkok, 2013), the Conference of the Parties adopted Decision 16.45 on *Review of Reporting Requirements*, as follows:

Directed to the Animals and Plants Committees

16.45 *The Animals and Plants Committees, with the assistance of the Standing Committee Working Group on Special Reporting Requirements and the Secretariat, shall undertake the following actions at their 27th meeting (AC27) and 21st meeting (PC21), respectively:*

- a) *review the special reporting requirements identified by the Standing Committee Working Group on Special Reporting Requirements which relate to animals and plants, respectively;*
- b) *assess whether any of these reporting requirements are still current and valid, or whether they are outdated or otherwise unnecessary and can be considered for deletion; and*
- c) *advise the Standing Committee Working Group on Special Reporting Requirements of the results of the review and assessments mentioned in paragraphs a) and b) above, in a timely manner, so that it can provide a collated report on valid reporting requirements for the 65th meeting of the Standing Committee (SC65).*

Three other decisions on the same subject were directed to the Parties (Decision 16.43), the Standing Committee (Decision 16.44) and the Secretariat (Decision 16.46), respectively.

¹ *The geographical designations employed in this document do not imply the expression of any opinion whatsoever on the part of the CITES Secretariat or the United Nations Environment Programme concerning the legal status of any country, territory, or area, or concerning the delimitation of its frontiers or boundaries. The responsibility for the contents of the document rests exclusively with its author.*

3. The Standing Committee Working Group on Special Reporting Requirements have undertaken a multi-year review of the reporting requirements agreed by CITES Parties. Details of the work undertaken so far have been provided to the 61st meeting of the Standing Committee (SC61, Geneva, August 2011) in document SC61 Doc. 24, the 62nd meeting of the Standing Committee (SC62, Geneva, July 2012) in document SC62 Doc 24.2, and the 16th meeting of the Conference of the Parties in document CoP16 Doc. 30.
4. In order to facilitate its review task, the Working Group developed a spreadsheet of reporting requirements. This was intended to serve as a resource to give the Group an idea of the numbers of requests for information received by Parties and illustrate the types of special reporting requests which have arisen over the years.
5. The Working Group made recommendations in paragraph 40 of document SC62 Doc 24.2 to involve the Animals and Plants Committees in assessing whether any of these reporting requirements are still current and valid, or whether they are outdated or otherwise unnecessary and can be considered for deletion. At SC62, the Standing Committee agreed² to these recommendations, noting the need to avoid any unnecessary burden on Parties and the Secretariat. The implications of this are to seek to reduce the number of reporting requirements to the minimum necessary, and not to retain reporting requirements that impose unnecessary burdens. Draft decisions to take forward the recommendations, and other work, were made in Annex 4 of document CoP16 Doc. 30, which led to the adoption of Decision 16.45 by the Conference of the Parties.
6. Following CoP16, the spreadsheet of reporting requirements was revised by the Working Group on Special Reporting requirements to incorporate new requirements arising from deliberations at CoP16. Based on an analysis of the 57 reporting requirements identified, it is suggested that 18 may need to be reviewed by Animals Committee, nine by Plants Committee, and 47 by Standing Committee. For some of the requirements it is necessary to request more than one of the committees to undertake a review, depending on the relevance of the subject matter. The numbers given in this paragraph are slightly different to the figures given in paragraph 20 of document CoP16 Doc 30, as it has been determined that a few of the requirements are no longer valid, and more work has been undertaken since CoP16. A table listing the reporting requirements to be reviewed, and which Committee(s) should consider them is provided in Annex 1.
7. During its work, the Working Group has been guided by the following language contained in Resolution Conf. 11.17 (Rev. CoP16):

ACKNOWLEDGES that the Conference of the Parties may request that Parties provide special reports not required by the Convention, if additional information is needed that cannot be sought via the national reports required under Article VIII, paragraph 7, of the Convention;

RECOGNIZES that the Conference of the Parties, when requesting special reports, should give consideration to making those special reports time-limited, where this is appropriate, to avoid the potential for unnecessarily increasing reporting burdens;

8. The Group has also been guided by the following language contained in Resolution Conf. 4.6 (Rev. CoP15) under RECOMMENDS that:
 - d) *when drafting resolutions and decisions which require the gathering of information, a Party consider whether such information could be sought via the annual or biennial report, or if a special report is needed, and generally ensure that the reporting burden is kept to a minimum;*

Recommendations

9. It is recommended that the Animals and Plants Committees review each of the reporting requirements identified in Annex 1 as being relevant to them (and others if they consider they are also relevant to the Animals or Plants Committees' remits) and advise in the last column of Annex 1 whether the requirement is:
 - a) still current and valid, or;

² *Item 24.2 in SC62 Executive Summary 5; available from <http://www.cites.org/eng/com/sc/62/sum/index.php>*

- b) outdated or otherwise unnecessary, and therefore no longer required.
10. The review requested in paragraph 9 above will aid the Working Group on Special Reporting Requirements to report at the 65th meeting of the Standing Committee (SC65, Geneva, July 2014). The Working Group report at SC65 will also cover other aspects of the group's mandate, including proposals to streamline the annual and implementation reports, and to align them more closely with the CITES Strategic Vision.

REPORTING REQUIREMENTS, AND WHETHER THEY SHOULD BE REVIEWED BY STANDING, ANIMALS, OR PLANTS COMMITTEE(S)

Row Key is the number of the reporting requirement in the spreadsheet developed by the Working Group on Special Reporting Requirements, and is included to allow cross reference with the spreadsheet, which is the master version of this information. If any reader wishes to have access to the actual spreadsheet they are recommended to contact the Chair of the Working Group via the Secretariat.

SC = Standing Committee, AC = Animals Committee, PC = Plants Committee. A '1' in any of these columns indicates that the relevant committee should review the requirement and provide comment on whether it is still valid or not.

Row key	Short subject	Text of requirement	Links	Categorisation	S C	A C	P C	WG SRR comment / AC/PC Comment
1	Annual & Biennial Reports	Wording from Res Conf 11.17 (Rev CoP16): URGES all Parties to submit their annual reports required under the provisions of Article VIII, paragraph 7 (a), by 31 October following the year for which they are due and in accordance with the most recent version of the Guidelines for the preparation and submission of CITES annual reports distributed by the Secretariat, as may be amended with the concurrence of the Standing Committee; URGES all Parties to submit their reports required under the provisions of Article VIII, paragraph 7 (b), one year before each meeting of the Conference of the Parties, beginning with the 17th meeting of the Conference of the Parties and in accordance with the report format distributed by the Secretariat, as may be amended by the Secretariat from time to time with the concurrence of the Standing Committee;	Article VIII, paragraph 7 Res. Conf. 11.17 (Rev. CoP16) Res. Conf. 4.6 (Rev. CoP16) Notification 2011/019 Notification 2006/005 Notification 2005/035	1. Essential	1			Alteration to Annual and Implementation reports through alterations to Res. Conf 11.17 at CoP16. AC/PC=
13	National legislation programme	Wording from Res Conf 8.4 (Rev CoP15): URGES all Parties that have not adopted appropriate measures for effective implementation of the Convention to do so and inform the Secretariat when such measures have been adopted	Res. Conf. 8.4 (Rev. CoP15)	1. Essential	1			Administrative information - query if can be superseded by new website process (content management system) AC/PC=
11	Ranching	Annual reports on all relevant aspects of each approved ranching operation be submitted to the Secretariat by the Party concerned, including the following: i) the status of the wild population concerned established by monitoring at an appropriate frequency and with sufficient precision to allow recognition of changes in population size and structure owing to ranching; ii) the number of specimens (eggs, young or adults) taken annually	Res. Conf. 11.16 (Rev. CoP15)	1. Essential	1	1		Is this still required? AC/PC=

Row key	Short subject	Text of requirement	Links	Categorisation	S C	A C	P C	WG SRR comment / AC/PC Comment
		from the wild and the percentage of this off take used to supply ranching operations; and iii) details of the annual production levels, and product types and quantity produced for export						
34	Reservations	During the period of 90 days provided for by sub-paragraph (c) of paragraph 1 or sub-paragraph (l) of paragraph 2 of this Article any Party may by notification in writing to the Depositary Government make a reservation with respect to the amendment. Until such reservation is withdrawn the Party shall be treated as a State not a Party to the present Convention with respect to trade in the species concerned	Article XV.3 Res. Conf. 9.25 (Rev. CoP16)	1. Essential	1			Maintain AC/PC=
2	Responses to Secretariat's requests	The functions of the Secretariat shall be to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention	Article XII, paragraph 2(d)	1. Essential	1			Maintain AC/PC=
52	Artificial agarwood production	RECOMMENDS exporting States to establish a registration system of exporters who export pure or mixed oil of agarwood. Samples of the labels used and lists of relevant exporters should be communicated to the Secretariat by exporting States, and then be provided to all Parties through a Notification	Res Conf 16.10	2. Implementation	1			Maintain AC/PC=
18	Caviar processing/re-packaging plants	RECOMMENDS, with regard to regulating trade in sturgeon products, that each importing, exporting and re-exporting Party establish, where consistent with national law, a registration system for caviar processing plants, including aquaculture operations, and repackaging plants in its territory and provide to the Secretariat the list of these facilities and their official registration codes. The list should be updated when changes occur and communicated to the Secretariat without delay. The Secretariat should distribute this information via a Notification to the Parties and include it in its register on the CITES website	Res. Conf. 12.7 (Rev. CoP16)	2. Implementation	1			SC to review, requirement probably still valid. Does this cover other sturgeon and paddlefish products? AC/PC=
39	Compliance	Wording from Res Conf 11.3 (Rev CoP16): RECOMMENDS that when, in application of Article XIII of the Convention and Resolution Conf. 14.3 on CITES compliance procedures, the Secretariat requests information on a potential compliance matter, Parties reply within one month or, if this is impossible, acknowledge within the month and indicate a date, even an approximate one, by which they consider it will be possible to provide the information requested	Article XIII Res. Conf. 14.3 Res. Conf. 11.3 (Rev. CoP16)	2. Implementation	1			Maintain AC/PC=
31	Enforcement authorities	Parties, as a matter of urgency, inform the Secretariat of contact details of their relevant national law-enforcement agencies responsible for investigating illegal trafficking in wild fauna and flora	Res. Conf. 11.3 (Rev. CoP16)	2. Implementation	1			Administrative information - query if can be superseded by new website process (content

Row key	Short subject	Text of requirement	Links	Categorisation	S C	A C	P C	WG SRR comment / AC/PC Comment
								management system) AC/PC=
42	Export quotas	In accordance with Resolution Conf. 12.3 (Rev. CoP15), Parties should inform the CITES Secretariat of their nationally established export quotas and of revisions of such quotas. Such information can be provided at any time but, as far as possible, should be communicated at least 30 days before the start of the period to which the export quota relates	Res. Conf. 14.7 (Rev. CoP15)	2. Implementation	1	1	1	Maintain AC/PC=
58	Introduction from the sea - chartering	Parties taking advantage of the provision on chartering arrangements provided for in Resolution Conf. 14.6 (Rev. CoP16) should provide in a timely manner all information that might be requested from them by the Secretariat in view of the establishment of its report on this issue at the 65th and 66th meetings of the Standing Committee	Decision 16.49	2. Implementation	1			Maintain AC/PC=
33	Lost permits	When a permit or certificate has been cancelled, lost, stolen or destroyed, the issuing Management Authority immediately inform the Management Authority of the country of destination, as well as the Secretariat regarding commercial shipments	Res. Conf. 12.3 (Rev. CoP16)	2. Implementation	1			Query if needed. AC/PC=
30	Management & scientific authorities	A State depositing an instrument of ratification, acceptance, approval or accession shall at that time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat. Any changes in the designations or authorizations under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.	Article IX	2. Implementation	1			Administrative information - query if can be superseded by new website process (content management system) AC/PC=
4	Marking of live animals	(b) The Management Authority of each Party to inform all known manufacturers of microchip-implants and associated equipment on its territory about the present Resolution, urge them to strive towards the production of compatible equipment that can be applied universally and ask them for information about their products compatible with CITES needs; and to advise the Secretariat about the results, for the information of the Parties (c) the Animals Committee to monitor developments in microchip-implant technology and application techniques and to advise the Secretariat about such developments, for the information of the Parties.	Res. Conf. 8.13 (Rev.)	2. Implementation	1	1		Can this be deleted? AC/PC=
54	National laws for implementation of the Convention	By the 66th meeting of the Standing Committee (SC66), Parties whose legislation is in Category 2 or 3 under the National Legislation Project and which have been party to the Convention for more than five years as of March 2013 should submit to the Secretariat, in one of the working languages of the Convention,	Decision 16.33	2. Implementation	1			Maintain; link elements of 16.33 and 16.34 AC/PC=

Row key	Short subject	Text of requirement	Links	Categorisation	S C	A C	P C	WG SRR comment / AC/PC Comment
		appropriate measures that have been adopted for effective implementation of the Convention						
55	National laws for implementation of the Convention	For any Party affected by Decision 16.33 that has been party to the Convention for less than 20 years, should exceptional circumstances prevent them from adopting appropriate measures for effective implementation of the Convention, that Party should advise the Secretariat in writing of those exceptional circumstances by SC66	Decision 16.34	2. Implementation	1			Maintain; link elements of 16.33 and 16.34 AC/PC=
38	Nurseries	Any Management Authority that wishes to register any commercial nursery artificially propagating specimens of species included in Appendix I for export purposes shall provide to the Secretariat, for inclusion in its Register, all appropriate information to obtain and maintain the registration of each such nursery.	Res. Conf. 9.19 (Rev. CoP15)	2. Implementation			1	Query if needed. AC/PC=
40	Personal and household effects	Wording from Notification 2006/041: In Notification to the Parties No. 2005/016 of 22 March 2005 on Party-specific information, the Secretariat reminded Parties of the need to advise it whether export permits are needed for the movement of personal effects of Appendix-II species. The Secretariat urges Parties that have not yet done so to provide information on their legislation or practice regarding personal and household effects.	Article VII paragraph 3 Notification 2006/041	2. Implementation	1			Administrative information - query if can be superseded by new website process (content management system) AC/PC=
41	Personally owned live animals	Parties maintain records of the number of certificates of ownership issued under this Resolution and if possible include the certificate numbers and the scientific names of the species concerned in their annual reports.	Res. Conf. 10.20	2. Implementation	1			SC to review if this information is needed. AC/PC=
9	Plants	RECOMMENDS that Parties develop and maintain a good liaison with national plant-trade organizations, to inform them about all aspects of the implementation of CITES for plants, and to communicate to the Secretariat specific implementation problems presented by these national organizations, for consideration by the Plants Committee	Res. Conf. 11.11 (Rev. CoP15)	2. Implementation			1	Query if needed. AC/PC=
7	Regional reports	RESOLVES that the duties of members elected by the regions of the Animals and Plants Committees and their alternates are as follows: the members should submit a written report, covering the preceding period, to each meeting of the Committee;	Res. Conf. 11.1 (Rev. CoP16): See AC Doc.25 6.7	2. Implementation	1	1	1	Not clear if regional reports are intended to be a summary of activities of regional representatives, or of Parties in the region. More clarity required. See AC Doc 25 6.7. AC/PC=
36	Registered operations	The Management Authority shall provide the Secretariat with appropriate information to obtain, and to maintain, the registration of	Res. Conf. 12.10 (Rev. CoP15)	2. Implementation	1	1	1	Maintain AC/PC=

Row key	Short subject	Text of requirement	Links	Categorisation	S C	A C	P C	WG SRR comment / AC/PC Comment
		each captive-breeding operation as set out in Annex 1.						
37	Registered scientific institutions	Wording from Conf Res 11.15: Each Management Authority should communicate to the Secretariat as soon as practicable the names and addresses of those scientific institutions so registered, and the Secretariat without delay then communicate this information to all other Parties.	Article VII, paragraph 6 Conf Res 11.15	2. Implementation	1	1	1	Administrative information - query if can be superseded by new website process (content management system) AC/PC=
57	Reporting requirements	Parties should: a) submit the report for 2013-2014 using the revised format agreed at the 65th meeting of the Standing Committee (SC65) for reporting under the provisions of Article VIII, paragraph 7 (b), of the Convention; and b) submit a special report by 30 June 2014, using a format provided by the Secretariat, which provides statistical information for the calendar year 2013 on: administrative measures (e.g. fines, bans, suspensions) imposed for CITES-related violations; significant seizures, confiscations and forfeitures of CITES specimens; criminal prosecutions or other court actions; and disposal of confiscated specimens	Decision 16.43	2. Implementation	1			Maintain AC/PC=
32	Sample documents	Parties that have not yet done so communicate to the Secretariat the names of the persons empowered to sign permits and certificates, as well as three specimens of their signatures, and that all the Parties communicate, within one month of any change thereto, the names of persons who have been added to the list of those already empowered to sign, the names of persons whose signatures are no longer valid and the dates the changes took effect. Any Party using phytosanitary certificates as certificates of artificial propagation inform the Secretariat and provide copies of the certificates, stamps, seals, etc. that are used. Parties send to the Secretariat copies of permits, electronic and paper, issued for species subject to quotas if so requested by the Conference of the Parties, the Standing Committee or the Secretariat.	Res. Conf. 12.3 (Rev. CoP16)	2. Implementation	1			Maintain AC/PC=
10	Scientific institutions	Wording from Res Conf 11.15: Each Management Authority should communicate to the Secretariat as soon as practicable the names and addresses of those scientific institutions so registered, and the Secretariat without delay then communicate this information to all other Parties	Res. Conf. 11.15 (Rev. CoP12)	2. Implementation	1	1	1	Administrative information - query if can be superseded by new website process (content management system) AC/PC=

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3	Stricter domestic measures	Wording from Res Conf 12.3 (Rev CoP16): each Party inform the other Parties, direct or through the Secretariat, of any stricter internal measures it has taken under Article XIV, paragraph 1 (a), of the Convention, and that, when a Party is informed of this, it refrain from issuing permits and certificates that run counter to these measures	Article 14, paragraph 1 Res. Conf. 4.22 Res Conf 6.7? Res Conf 12.3 (Rev CoP16)	2. Implementation	1			Administrative information - query if can be superseded by new website process (content management system) AC/PC=
49	e-commerce	Parties are urged to: a) submit information to the CITES Secretariat on best practices and on websites adhering to codes of conduct for posting on the CITES website; b) publish results of scientific research on correlations between use of the Internet and the rate of wildlife crime, and share these results with the CITES Secretariat; c) assess the extent of and trends in commerce of CITES-listed species via the Internet, and submit such information to the Secretariat for analysis; and d) submit information to the CITES Secretariat for analysis on any changes in trade routes and methods of shipment that have been observed as a result of increased use of the Internet to promote trade in wildlife.	Decision 15.57	3. Ongoing work	1			Maintain AC/PC=
12	Review of significant trade	The Secretariat shall, within 30 days after the meeting of the Animals or Plants Committee at which species are selected, notify range States of the species selected, providing an explanation for this selection and requesting comments regarding possible problems of implementing Article IV identified by the Committee. Range States shall be given 60 days to respond; the Secretariat shall report to the Animals or Plants Committee on the response of the range States concerned, including any other pertinent information	Res. Conf 12.8 (Rev. CoP13)	3. Ongoing work	1	1	1	Maintain (not a requirement for all Parties) AC/PC=
43	Convicted traders	Parties inform the Secretariat, when possible, about convicted illegal traders and persistent offenders	Res. Conf. 11.3 (Rev. CoP16)	4. Enforcement	1			Clarity needed on what is required (as compared to what may go through secure channels). AC/PC=
8	Significant seizures	Parties provide to the Secretariat detailed information on significant cases of illegal trade	Res. Conf. 11.3 (Rev. CoP16) Notification 2009/028	4. Enforcement	1			Data helpful for enforcement authorities as alerts can be issued for other Parties. What is defined as significant? There are numerous channels where this information can be

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								reported. Possible revisions to annual/implementation reports through other work of WG SRR. AC/PC=
27	Tortoise	Commercial trade in specimens of this species is apparently taking place on some parts of the world. The CITES Management Authority of Madagascar has advised the Secretariat that no export permit has ever been issued to authorize exports of specimens of this species for commercial trade but only for scientific purposes. In view of the above information, the Secretariat recommends that any trade in this species should be investigated and be reported to the Secretariat	Notification 2004/44	4. Enforcement		1		Secretariat to check if Madagascar still see this as a requirement. AC/PC=
78	Agarwood-producing taxa	The Plants Committee shall monitor the implementation of Resolution Conf. 16.10 (Implementation of the Convention for agarwood-producing taxa) to assess any potential conservation impacts to the long-term survival of agarwood-producing species and possible problems arising from the implementation, and shall report on these issues at the 17th meeting of the Conference of the Parties.	Decision 16.157	5. Species			1	Whether this is a reporting requirement depends on how the decision is implemented. AC/PC=
59	Asian big cats	Decision 16.68 All Parties, particularly Appendix-I Asian big cat range States, are encouraged to: a) support the activities to be conducted in compliance with Decision 16.70, paragraph c), to enable the Secretariat to prepare a report with findings and recommendations, including reporting on the implementation of Resolution Conf. 12.5 (Rev. CoP16) (Conservation of and trade in tigers and other Appendix-I Asian big cat species), at the 65th meeting of the Standing Committee; and b) provide information on incidents of poaching of and illegal trade in all Asian big cat species, including their parts and derivatives, which will enable the compilation of a report for the law enforcement community, in compliance with Decision 16.70, paragraph d). Res. Conf. 12.5 (Rev. Cop16) Urges: (d) all range States and other relevant Parties to implement systems for the recording of information relating to illegal trade in Asian big cats and to share this information as appropriate to ensure coordinated investigations and enforcement;	Res. Conf. 12.5 (Rev. Cop16) Decision 16.68	5. Species		1		Maintain - note language from Decision 16.68 a and b, cross reference to Res. Conf. 12.5 AC/PC=

Row key	Short subject	Text of requirement	Links	Categorisation	S C	A C	P C	WG SRR comment / AC/PC Comment
		INSTRUCTS the Secretariat to: a) report to the Standing Committee and the Conference of the Parties on the status of Asian big cats in the wild, their conservation, and trade controls in place in Parties, using information provided by the range States on measures taken to comply with this Resolution and related relevant Decisions and any relevant additional information provided by relevant countries;						
16	Cetaceans	URGES every country concerned to submit to the CITES Secretariat any information relevant to its inventory of whale parts and derivatives and to examination of unknown whale products, for dissemination by the Secretariat to interested Parties upon request	Res. Conf. 11.4 (Rev. CoP12)	5. Species	1			Query if needed. AC/PC=
15	Elephants	Regarding trade in elephant specimens: URGES e) those Parties in whose jurisdiction there is an ivory carving industry, a legal domestic trade in ivory, an unregulated market for or illegal trade in ivory, or where ivory stockpiles exist, and Parties that may be designated as ivory importing countries, to ensure that they have put in place comprehensive internal legislative, regulatory, enforcement and other measures to maintain an inventory of government-held stockpiles of ivory and, where possible, of significant privately held stockpiles of ivory within their territory, and inform the Secretariat of the level of this stock each year before 28 February, indicating: the number of pieces and their weight per type of ivory (raw or worked); for relevant pieces, and if marked, their markings in accordance with the provisions of this Resolution; the source of the ivory; and the reasons for any significant changes in the stockpile compared to the preceding year Regarding quotas for trade in raw ivory as part of elephant hunting trophies: RECOMMENDS that b) each export quota be communicated to the CITES Secretariat in writing by 1 December for the following calendar year (1 January to 31 December); Details of submitting information to the MIKE and ETIS programmes are provided in Annexes 1 and 2 of Res. Conf. 10.10 (Rev. CoP16).	Res. Conf. 10.10 (Rev. CoP16)	5. Species		1		Maintain - lots of detail of requirement in Res Conf 10.10 (and Annex 2) AC/PC=
61	Elephants and ivory	Wording from Dec 16.79 & 16.80 - Subject to external funding, the Secretariat shall contact each Party identified in document CoP16 Doc. 53.2.2 (Rev. 1) (ETIS report of TRAFFIC) as being of 'secondary concern' (Cameroon, the Congo, the Democratic Republic of the Congo, Egypt, Ethiopia, Gabon, Mozambique and Nigeria) & of being 'importance to watch' (Angola, Cambodia, Japan, the Lao People's Democratic Republic, Qatar and the United Arab Emirates) to seek clarification on their implementation of	Decision 16.79 & Decision 16.80	5. Species	1			Maintain AC/PC=

Row key	Short subject	Text of requirement	Links	Categorisation	S C	A C	P C	WG SRR comment / AC/PC Comment
		CITES and other provisions concerning control of trade in elephant ivory and ivory markets, and report its findings and recommendations						
60	Leopards	Parties shall submit a report to the Secretariat on the implementation of the system as set out in paragraphs c) to j) of Resolution Conf. 10.14 (Rev. CoP16), including details of any problems with the processing of CITES documents, the management and tracking system in general, and the system in place to replace lost or damaged tags	Decision 16.76	5. Species	1			Maintain, note time bound for SC66. AC/PC=
56	Pangolins	All range States for Asian pangolin species are requested to compile information on the conservation of and illegal trade in Asian pangolins, and their efforts to address such trade, and to report at the 65th meeting of Standing Committee, subject to available resources for the purpose	Decision 16.41	5. Species	1			Maintain AC/PC=
75	Queen conch	Range States of <i>S. gigas</i> should: a) in coordination with the Working Group on Queen Conch mentioned in Decision 16.141, develop conversion factors at different levels of processing of <i>S. gigas</i> for standardization of data and reporting instruments on the catch and trade in meat and other products; b) adopt these conversion factors by the end of 2015 and report them to all range States of <i>S. gigas</i> , FAO and the CITES Secretariat; and c) by the end of 2016, apply the agreed conversion factors in their <i>S. gigas</i> fishery management and national, regional and international reporting, and include the degree of processing of <i>S. gigas</i> products in the description field of the export permit	Decision 16.143	5. Species	1			Maintain AC/PC=
14	Rhinos	RECOMMENDS that the IUCN/SSC African and Asian Rhino Specialist Groups and TRAFFIC submit at least six months before each meeting of the Conference of the Parties a written report to the Secretariat on: a) the national and continental conservation status of African and Asian rhinoceros species; b) trade in specimens of rhinoceroses; c) stocks of specimens of rhinoceroses and stock management; d) incidents of illegal killing of rhinoceroses; e) enforcement issues; f) conservation actions and management strategies with an evaluation of their effectiveness; and g) measures by implicated States to end the illegal use and consumption of rhinoceros parts and derivatives	Res Conf. 9.14 (Rev CoP15)	5. Species		1		Maintain, but need to look at Rhino requirements together AC/PC=
63	Rhinos	All Parties should: a) immediately bring every seizure of illegal rhinoceros specimens made within their territories to the attention of authorities in countries	Decision 16.84	5. Species	1			Maintain AC/PC=

Row key	Short subject	Text of requirement	Links	Categorisation	S C	A C	P C	WG SRR comment / AC/PC Comment
		of origin, transit and destination, as applicable, and to the attention of the Secretariat. Information on the seizure should be accompanied by available associated information, to enable follow-up investigations to take place; b) notify the CITES Secretariat of seizures of rhinoceros horn for which the origin cannot be determined. Such a notification should include information describing the circumstances of the seizure; d) submit rhinoceros horn samples from specimens subject to criminal investigation, to designated accredited forensic laboratories as described in document CoP16 Doc. 54.2 (Rev. 1), for DNA analysis, in accordance with relevant legislation regulating the exchange of such specimens;						
64	Rhinos	Vietnam should provide a comprehensive report on progress made to the Secretariat with regard to steps taken to effectively implement the requirements of Resolution Conf. 9.14 (Rev. CoP15)	Decision 16.86	5. Species	1	1		Maintain AC/PC=
65	Rhinos	Mozambique should: a) take steps to effectively implement the requirements of Resolution Conf. 9.14 (Rev. CoP15); b) give priority attention to the enactment and implementation of legislation with deterrent penalties to effectively combat wildlife crime, prevent illegal killing of rhinoceroses and illegal possession of and trade in rhinoceros horn, taking into consideration the content of Decision 16.84, paragraphs a)-g); and c) assist the Working Group on Rhinoceroses to implement its mandate by providing a comprehensive report on measures implemented, as specified in paragraph a) and b) of this Decision, and on any other activities conducted. This report should be submitted to the Secretariat by 31 January 2014.	Decision 16.87	5. Species	1	1		Maintain AC/PC=
66	Rhinos	Mozambique and South Africa should further enhance bilateral cooperation with each other and with their neighbouring States, to enhance current efforts to combat illegal killing of rhinoceroses and illegal trade in rhinoceros horn, and should provide a comprehensive report to the Secretariat, by 31 January 2014, with regard to activities conducted in this regard	Decision 16.88	5. Species	1	1		Maintain AC/PC=
21	Saiga	All range States of Saiga spp. should provide information on the measures and activities they undertook to implement the Medium-Term International Work Programme for the Saiga Antelope (2011-2015) via the online Saiga Resource Centre and its associated project database, managed under the auspices of the Convention on the Conservation of Migratory Species of Wild Animals (CMS).	Decision 14.93 (Rev. CoP16)	5. Species		1		Maintain AC/PC=
68	Saiga	Important consumer and trading countries of saiga parts and	Decision 16.98	5. Species	1			Maintain; should be

Row key	Short subject	Text of requirement	Links	Categorisation	S C	A C	P C	WG SRR comment / AC/PC Comment
		derivatives should provide, via the online Saiga antelope database managed under the auspices of CMS, information on the measures and activities they undertook to implement the Medium-Term International Work Programme for the Saiga Antelope (2011-2015).						merged with other Saiga reporting requirements AC/PC=
17	Sharks	ENCOURAGES Parties to obtain information on implementation of NPOA-Sharks or regional plans, and to report directly on progress to the CITES Secretariat and at future meetings of the Animals Committee;	Res. Conf 12.6 (Rev. CoP16)	5. Species		1		Is this still required, or superseded by CoP16 discussions? AC/PC=
69	Snake trade	In order to support the sustainable use of Asian snakes, Parties are as necessary invited to establish voluntary conservative annual catch and export quotas for CITES-listed snake species in trade, and to communicate the export quotas to the Secretariat.	Decision 16.107	5. Species		1		Not clear why this is different from informing on other Appendix II export quotas under Res. Conf. 14.7; if quotas are established they should be reported to the CITES Secretariat. AC/PC=
77	Snake trade	Parties should eliminate the important illegal and unreported trade in specimens, whether live or parts and derivatives, of CITES-listed snake species by: (b) including information on trade in these specimens in their CITES annual reports	Decision 16.106	5. Species		1		Suggest link to annual reporting. AC/PC=
19	Sturgeon / certificates	Parties supply to UNEP-WCMC copies of all export permits and re-export certificates issued to authorize trade in caviar, no longer than one month after they have been issued, for inclusion in the UNEP-WCMC caviar trade database	Res. Conf. 12.7 (Rev. CoP16) Notification 2010/34	5. Species	1			SC to review, unclear if needed. AC/PC=
44	Tibetan antelope	Relevant Parties are to designate a contact point and to provide contact details to the Secretariat in order to establish a network to assist in the control of illegal trade in Tibetan antelope parts and derivatives, particularly shahtoosh, and, where appropriate to make full use of the ECO-MESSAGE of ICPO-Interpol and existing law enforcement networks, including the World Customs Organization	Res. Conf. 11.8 (Rev. CoP13)	5. Species		1		Query if needed. AC/PC=
67	Tibetan antelope	All Parties should immediately bring every seizure of illegal Tibetan antelope wool and its products made within its territory to the attention of authorities in countries of origin, transit and destination, as applicable, and to the attention of the Secretariat. Information on the seizure should be accompanied by available associated information, to enable follow-up investigations to take place. The progress of follow-up investigations should also be reported to the Secretariat	Decision 16.93	5. Species		1		Maintain, but query if now a high priority. AC/PC=
70	Tortoises and	The Parties, particularly those of the Asian region, should collect	Decision 16.113	5. Species		1		Maintain; suggest link with

Row key	Short subject	Text of requirement	Links	Categorisation	S C	A C	P C	WG SRR comment / AC/PC Comment
	freshwater turtles	<p>data on seizures of CITES-listed live tortoises and freshwater turtles, and report these data annually to the Secretariat, along with the disposition of the specimens. The Parties should provide the data in conjunction with their annual report submission. These data should be reported for confiscations through the end of 2019.</p> <p>The Parties should report, if possible, on the following parameters: species, numbers of specimens, destination (for exports) or source/re-exporting country (for imports), and disposition of the animals as per Resolution Conf. 10.7 (Rev. CoP15) on Disposal of confiscated live specimens of species included in the Appendices.</p>						annual reports AC/PC=
73	Tortoises and freshwater turtles	<p>Considering the large-scale illegal and undocumented trade in parts and derivatives from CITES-listed tortoises and freshwater turtles, the Parties should:</p> <p>a) take note of this problem and take steps to address it within their national systems to ensure that CITES permits are properly issued and the Convention is fully implemented and enforced;</p> <p>b) examine their enforcement efforts regarding the trade in these parts and derivatives, and take adequate steps to deter and detect illegal and undocumented trade;</p> <p>c) conduct education and outreach efforts directed towards turtle farms, buyers and sellers of turtle shell, bones, cartilage (calipee), and other parts, medicinal product manufacturers, shippers, brokers, and other key stakeholders to better ensure that turtle parts and derivatives are traded in compliance with national laws and CITES requirements; and</p> <p>d) report their progress in these areas, via the Secretariat, at the 65th meeting of the Standing Committee.</p>	Decision 16.118	5. Species	1			Maintain AC/PC=
74	Tortoises and freshwater turtles	<p>Parties, particularly those in the Asia region, are encouraged to:</p> <p>a) increase enforcement activities to deter, detect and address illegal and undocumented trade in CITES-listed live tortoises and freshwater turtles, and their parts and derivatives, inter alia by providing relevant training to national enforcement authorities, strengthening the enforcement and implementation of the Convention for these species, disseminating identification materials and increasing awareness amongst the judiciary; and</p> <p>b) provide relevant information on their progress in these areas to the Secretariat for reporting at the 65th meeting of the Standing Committee</p>	Decision 16.121	5. Species	1			Maintain - current issue AC/PC=