

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES  
OF WILD FAUNA AND FLORA

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Twenty-sixth meeting of the Plants Committee  
Geneva (Switzerland), 5 – 9 June 2023

Strategic matters

Plants Committee strategic planning for 2023-2025 (CoP19-CoP20)

RESOLUTIONS AND DECISIONS DIRECTED TO THE PLANTS COMMITTEE

1. This document has been prepared by the Secretariat.
2. Annex 1 to this document provides an overview of the currently valid Resolutions that require actions from, or contain references to, the Plants Committee.
3. Annex 2 lists all currently valid Decisions of the Conference of the Parties directed to the Plants Committee, or that may need consultation with, or assistance from the Committee.
4. The Plants Committee is invited to take these instructions into consideration in developing its working programme for 2023–2025 (see document PC26 Doc. 8.2).

RESOLUTIONS CONTAINING INSTRUCTIONS THAT ARE DIRECTED OR RELEVANT TO THE PLANTS COMMITTEE

Resolution	Instruction
<p><b>Conf. 4.6 (Rev. CoP19)</b> <b><i>Submission of draft resolutions, draft decisions and other documents for meetings of the Conference of the Parties</i></b></p>	<p>2. RECOMMENDS that:</p> <ul style="list-style-type: none"> <li>a) the text of any draft resolution, draft decision or other document to be submitted for consideration at a meeting of the Conference of the Parties be communicated to the Secretariat at least 150 days before the meeting;</li> <li>b) the Secretariat be authorized to accept draft resolutions, draft decisions and documents (other than proposals for amendment of Appendices I and II) after the 150-day deadline only in exceptional circumstances, when it is established, to the satisfaction of the Secretariat, that the draft resolutions, draft decisions or documents could not have been communicated before the deadline;</li> <li>c) when drafting a resolution that is intended to treat a subject comprehensively, or to make significant changes in the way in which a subject is dealt with, a Party prepare the draft so that, if adopted, it will replace and repeal all existing Resolutions (or, as appropriate, the relevant paragraphs) on the same subject;</li> <li>d) when drafting resolutions and decisions which require the gathering of information, a Party consider whether such information could be sought via reports required under the provisions of Article VIII, paragraph 7, of the Convention or, if a special report is needed, and generally ensure that the reporting burden is kept to a minimum;</li> <li>e) when drafting decisions, a Party clearly identify who should implement the decision and normally direct the decision to the Standing Committee, the Animals Committee, the Plants Committee, the Secretariat or Parties;</li> <li>f) prior to submitting a proposal to amend a Resolution, a Party identify whether the Resolution in question is referred to in an annotation and submit an amendment proposal pursuant to Article XV to update the reference accordingly;</li> <li>g) unless practical considerations dictate otherwise, draft resolutions not include: <ul style="list-style-type: none"> <li>i) instructions or requests to committees, working groups or the Secretariat, unless they are part of a long-term procedure;</li> <li>ii) decisions on the presentation of the Appendices; and</li> <li>iii) recommendations (or other forms of decision) that will be implemented soon after their adoption and will then be obsolete;</li> </ul> </li> <li>h) unless practical considerations dictate otherwise, draft decisions not include calls for funding;</li> <li>i) as a general rule, documents submitted for consideration at a meeting of the Conference of the Parties be no more than 12 pages in length; and</li> <li>j) when a draft resolution is adopted that merely adds points to the recommendations (or other decisions) in existing Resolutions, or makes minor amendment thereto, the existing Resolutions be replaced by revised versions with the agreed changes;</li> </ul>
<p><b>Conf. 9.19 (Rev. CoP15)</b> <b><i>Registration of nurseries that artificially propagate specimens of Appendix-I plant species for export purposes</i></b></p>	<p><b>Annex 3: Role of the Secretariat</b></p> <p>1. RESOLVES that the Secretariat shall perform the following functions:</p> <ul style="list-style-type: none"> <li>d) receive and review reports on registered nurseries, provided by the Parties, and present summary conclusions to the Plants Committee;</li> </ul>

Resolution	Instruction
<p><b>Conf. 9.24</b> <b>(Rev. CoP17)</b> <b><i>Criteria for amendment of Appendices I and II</i></b></p>	<p>5. URGES Parties that are considering the submission of a proposal to amend the Appendices, in cases where there is any doubt regarding the nomenclature to follow, to consult the nomenclature specialist of the Animals Committee or the Plants Committee as early as possible in advance of submitting the proposal;</p> <p>9. RESOLVES that, to monitor the effectiveness of protection offered by the Convention, the status of species included in Appendices I and II should be regularly reviewed by the range States and proponents, in collaboration with the Animals Committee or the Plants Committee, subject to the availability of funds;</p> <p><b>Annex 4: Precautionary measures</b></p> <p>B. The following review procedures shall apply when a species is transferred to Appendix II pursuant to paragraph A. 2. iii) above.</p> <p>1. Where the Plants Committee, the Animals Committee or a Party becomes aware of problems in compliance with the management measures and export quotas of another Party, the Secretariat shall be informed and, if the Secretariat fails to resolve the matter satisfactorily, it shall inform the Standing Committee which may, after consultation with the Party concerned, recommend to all Parties that they suspend trade with that Party in specimens of CITES-listed species, and/or request the Depositary Government to prepare a proposal to transfer the population back to Appendix I.</p> <p>2. If, on review of a quota and its supporting management measures, the Animals or Plants Committee encounters any problems with compliance or potential detriment to a species, the relevant Committee shall request the Depositary Government to prepare a proposal for appropriate remedial action.</p> <p><b>Annex 6: Format for proposals to amend the Appendices</b></p> <p>10. <u>Consultations</u></p> <p>Provide details of the consultation undertaken to secure comments on the proposal from the range States of the species, either through direct contact or via the CITES Secretariat. Comments received from each country should be provided. Where comments were sought but not received in sufficient time to enable their inclusion in the supporting statement, this should be noted, as well as the date of the request.</p> <p>In cases of proposals to transfer Appendix-II species that are subject to the Review of Significant Trade to Appendix I, the proponent should consult the affected range State(s) and, as appropriate, the Animals Committee or Plants Committee. The proponent should state the reasons to justify why the amendment proposal was made. In cases of consultation with Parties via the CITES Secretariat, information from range States and non-range States should be separated.</p> <p>In the case of species that are also managed through other international agreements or intergovernmental bodies, provide details of the consultations undertaken to obtain the comments of those organizations or bodies, and indicate how those comments have been addressed in the supporting statement. Where comments were sought but not received in sufficient time to enable their inclusion in the supporting statement, this should be noted, as well as the date of the request.</p>
<p><b>Conf. 9.25</b> <b>(Rev. CoP18)</b> <b><i>Implementation of the Convention for species in Appendix III</i></b></p>	<p><b><i>Inclusion of species in Appendix III</i></b></p> <p>1. RECOMMENDS that, when considering the inclusion of a species in Appendix III, a Party:</p> <p>c) inform the Management Authorities of other range States, the known major importing countries, the Secretariat and the Animals Committee or the Plants Committee that it is considering the inclusion of the species in Appendix III and seek their opinion on the potential effects of such inclusion;</p> <p>h) consult with the Secretariat, the Standing Committee and, as appropriate, the Animals Committee or Plants Committee, to ensure that</p>

Resolution	Instruction
	<p>any proposed annotation that is part of a request to include a species in Appendix III (and any definitions developed that define terms in the annotation, as appropriate) is clear and unambiguous, and likely to be understood by enforcement personnel and user groups;</p> <p>5. REQUESTS that, upon request by a Party, the Animals or Plants Committee assist Parties in reviewing the status of species in Appendix III, subject to available resources;</p> <p>6. URGES Parties having included species in Appendix III to review periodically the status of these species, seek assistance of the Animals or Plants Committee in undertaking the review mentioned in paragraph 5 of this Resolution, if necessary, and taking into account these guidelines and any recommendations of the Animals and Plants Committees, to consider the necessity to maintain the species in Appendix III;</p>
<p><b>Conf. 10.21 (Rev. CoP16)</b> <b><i>Transport of live specimens</i></b></p>	<p>1. DIRECTS the Standing Committee and the Animals and Plants Committees to deal with matters related to the transport of live specimens;</p> <p>2. RECOMMENDS that:</p> <p>e) the Standing Committee and the Secretariat, in consultation with the Animals and Plants Committees and IATA, regularly review, revise and approve amendments to the <i>CITES guidelines for the non-air transport of live animals and plants</i>;</p> <p>3. DIRECTS the Standing Committee and the Animals and Plants Committees, in consultation with the Secretariat:</p> <p>a) to participate in meetings of the Live Animals and Perishables Board of IATA in order to amplify or update the <i>IATA Live Animals Regulations</i> and the <i>IATA Perishable Cargo Regulations</i> and the <i>CITES guidelines for the non-air transport of live animals and plants</i>;</p> <p>b) to examine new or additional references for transport of live specimens for incorporation into the present Resolution, if appropriate;</p> <p>c) to examine developments related to the transport of live plant specimens for incorporation into the present Resolution, if appropriate; and</p> <p>d) to examine when appropriate, any high mortality shipments of live specimens and make recommendations to relevant Parties, exporters, importers and transport companies on how to avoid this in the future;</p>
<p><b>Conf. 11.21 (Rev. CoP19)</b> <b><i>Use of annotations in Appendices I and II</i></b></p>	<p>1. AGREES that:</p> <p>a) the following are reference annotations and are for information purposes only:</p> <p>i) annotations to indicate that one or more geographically separate populations, subspecies or species of the annotated taxon are in another Appendix;</p> <p>ii) the annotations 'possibly extinct'; and</p> <p>iii) annotations relating to nomenclature;</p> <p>b) the following are substantive annotations, and are integral parts of species listings:</p> <p>i) annotations that specify the inclusion or exclusion of designated geographically separate populations, subspecies, species, groups of species, or higher taxa, which may include export quotas; and</p> <p>ii) annotations that specify the types of specimens or export quotas;</p> <p>c) reference annotations may be introduced, amended or deleted by the Conference of the Parties, or by the Secretariat, as required, to facilitate the understanding of the Appendices;</p> <p>d) substantive annotations relating to species in Appendix I or II may be introduced, amended or deleted only by the Conference of the Parties in accordance with Article XV of the Convention;</p> <p>e) substantive annotations relating to species in Appendix III may be introduced, amended or deleted only by the Party or Parties that submitted the species for inclusion in Appendix III;</p>

Resolution	Instruction
	<ul style="list-style-type: none"> <li>f) substantive annotations relating to geographically separate populations in Appendix I or II should be in compliance with the split-listing provisions contained in Resolution Conf. 9.24 (Rev. CoP17) Annex 3; and</li> <li>g) substantive annotations used in the context of transferring a species from Appendix I to Appendix II should be in compliance with the precautionary measures contained in Resolution Conf. 9.24 (Rev. CoP17) Annex 4;</li> <li>h) references to Decisions or Resolutions of the Conference of the Parties should not be included in annotations;</li> <li>i) if needed, definitions of key terms and expressions used in annotations should be specified in the Interpretation section of the Appendices; and</li> <li>j) annotations that include time limits or other references that may with time cease to apply should, on a regular basis, be considered for deletion or revision by the Standing Committee and as appropriate, by the Animals Committee or Plants Committee;</li> </ul> <p>7. URGES Parties submitting proposals that contain substantive annotations to consult with the Secretariat, the Standing Committee and, as appropriate, the Animals Committee or Plants Committee, to ensure that the annotation is appropriate and can be readily implemented;</p> <p>8. DIRECTS:</p> <ul style="list-style-type: none"> <li>a) the Standing Committee, in consultation with the Animals Committee or the Plants Committee, to agree on interim definitions between meetings of the Conference of the Parties in cases where significant differences in the interpretation of terms in annotations exist among trading countries and are causing implementation difficulties, and then include these definitions in its report to the Conference of the Parties for adoption;</li> <li>b) the Secretariat to issue a Notification to the Parties on any interim definitions of terms in annotations agreed by the Standing Committee;</li> <li>c) the Secretariat to report to the Standing Committee, for at least four years following the adoption of a proposal to transfer species from Appendix I to Appendix II subject to a substantive annotation, any credible information it receives indicating a significant increase in the illegal trade in or poaching of such species; and</li> <li>d) the Standing Committee to investigate any such reports of illegal trade and to take appropriate action to remedy the situation, which may include calling on the Parties to suspend commercial trade in the affected species, or inviting the Depositary Government to submit a proposal to amend the annotation or to retransfer the species to Appendix I;</li> </ul>
<p><b>Conf. 12.11 (Rev. CoP19)</b>  <b>Standard nomenclature</b></p>	<p>2. RECOMMENDS that:</p> <ul style="list-style-type: none"> <li>a) a subspecies be proposed for inclusion in the Appendices only if it is generally recognized as a valid taxon, and easily identifiable in the traded form;</li> <li>b) where there are identification difficulties, the problem be approached by either including the entire species in Appendix I or Appendix II or by circumscribing the range of the subspecies warranting protection and listing the populations within this area on a country basis;</li> <li>c) where there are domesticated forms of listed taxa, the Animals and Plants Committees recommend names for the wild and domestic forms;</li> <li>d) when submitting a proposal to amend the Appendices to the Convention, the proponent identify the reference used to describe the entity being proposed;</li> <li>e) upon receiving proposals to amend the Appendices to the Convention, the Secretariat seek, where appropriate, the advice of the Animals and Plants Committees on the correct names to use for the species or other taxa in question;</li> </ul>

Resolution	Instruction
	<p>f) whenever a change in the name of a taxon included in the Appendices is proposed, the Secretariat, in consultation with the Animals or Plants Committee, determine whether this change would alter the scope of protection for fauna or flora under the Convention. In the case where the scope of a taxon is redefined, the Animals or Plants Committee shall evaluate whether acceptance of the taxonomic change would cause additional species to be included in the Appendices or listed species to be deleted from the Appendices and, if that is the case, the Depositary Government should be requested to submit a proposal to amend the Appendices in accordance with the recommendation of the Animals or Plants Committee, so that the original intent of the listing is retained. Such proposals should be submitted for consideration at the next regular meeting of the Conference of the Parties, at which the recommendations of the Animals and Plants Committees will be considered;</p> <p>g) if the Animals or Plants Committee proposes nomenclatural changes relating to taxa included in Appendix-III, they should advise the Secretariat whether these changes would also result in changes in distribution that would affect the determination of which countries would be required to issue certificates of origin;</p> <p>h) if there is conflict regarding the choice of taxonomic authority for taxa for which no standard references have been adopted by the Conference of the Parties, countries authorizing export of animals or plants (or parts or derivatives thereof) of such taxa inform the CITES Secretariat and prospective importing countries of their preferred published taxonomic authority. 'Taxonomic authority' means a recent published paper or monograph that reviews the nomenclature of the taxon being exported and that has been reviewed by professionals in the pertinent discipline. In cases where specimens of the taxon are exported from several countries and the exporting countries do not agree, or the exporting and importing countries do not agree, on the taxonomic authority, the Animals or Plants Committee should determine the most appropriate taxonomic authority, until a formal recommendation to the Conference of the Parties is made. The Animals or Plants Committee shall include this interim decision in its report to the Conference of the Parties, for adoption. The Secretariat shall notify the Parties about the interim decision;</p> <p>i) the Secretariat be provided with the citations (and ordering information) of checklists that will be nominated for standard references at least six months before the meeting of the Conference of the Parties at which such checklists will be considered. The Secretariat shall include such information in a Notification to the Parties so that Parties can obtain copies to review if they wish before the meeting;</p> <p>j) final recommendations to update current or adopt new standard nomenclatural references should be made available 150 days prior to every meeting of the Conference of the Parties; and</p> <p>k) when the Animals or Plants Committee recommends a change in the name of a taxon to be used in the Appendices, it also provide an evaluation of the implications for the implementation of the Convention;</p> <p>3. RECOMMENDS the following procedure for updating current standard nomenclatural references and adoption of new ones:</p> <p>a) the process for updating current standard nomenclatural references and adoption of new ones shall be initiated directly by the Animals or Plants Committee on their own initiative or by the submission of a proposal to the Committees by:</p> <p>i) one or more Parties; or</p> <p>ii) the Secretariat, on its own initiative or in response to information it has received from the Parties; and</p> <p>b) proposed changes shall be based on recognized taxonomic publications. In the case where a proposed amendment to the nomenclatural status</p>

Resolution	Instruction
	<p>of a taxon is still under discussion, the new taxonomy should not be adopted;</p> <p>4. RESOLVES that the Secretariat, in consultation with the Animals or Plants Committee, may make orthographical changes in the lists of species included in the Appendices to the Convention, without consulting the Conference of the Parties, and shall inform the Parties of those changes;</p> <p>5. DIRECTS the Secretariat, in close cooperation with the nomenclature specialists of the Animals and Plants Committees, and in the implementation of its memoranda of understanding or cooperation, or programmes of work with other biodiversity-related multilateral environmental agreements, to consider ways of harmonizing the taxonomy and nomenclature of species included in their respective provisions;</p> <p>7. RECOGNIZES the <a href="#">Checklist of CITES species</a>, compiled by the UNEP World Conservation Monitoring Centre, 2005, and its updates, as an official digest of scientific names contained in the standard references, that fully reflects the taxonomy and nomenclature contained in the original species proposals, the recommendations of the Animals or Plants Committee and all accepted names included in the standard references that have been adopted by the Conference of the Parties for species included in the Appendices;</p> <p>9. URGES Parties to assign to their Scientific Authorities the principal responsibility for:</p> <ol style="list-style-type: none"> <li>a) interpretation of the listings;</li> <li>b) consultation with the Animals or Plants Committee as appropriate;</li> <li>c) identification of nomenclatural issues that may warrant further review by the appropriate CITES committee and preparation of proposals to amend the Appendices if appropriate; and</li> <li>d) supporting and cooperating in the development and maintenance of the checklists;</li> </ol> <p>11. DIRECTS the Secretariat, in close cooperation with the nomenclature specialists of the Animals and Plants Committees, to promote harmonization of the taxonomy and nomenclature used by biodiversity-related multilateral environmental agreements;</p>
<p><b>Conf. 12.8</b> <b>(Rev. CoP18)</b> <b><i>Review of Significant Trade in specimens of Appendix-II species</i></b></p>	<p><b><i>Regarding conduct of the Review of Significant Trade</i></b></p> <p>1. DIRECTS the Animals and Plants Committees, in cooperation with the Secretariat and experts, and in consultation with range States, to review the biological, trade and other relevant information on Appendix-II species subject to significant levels of trade, to identify problems and solutions concerning the implementation of Article IV, paragraphs 2 (a), 3 and 6 (a), in accordance with the following procedure and as outlined in Annex 1 of this Resolution:</p> <p><b>Stage 1: Selection of species/country combinations to be reviewed</b></p> <ol style="list-style-type: none"> <li>a) the Secretariat shall, within 90 days after each meeting of the Conference of Parties, commence, or appoint consultants to commence, preparation of a summary from the CITES Trade Database of annual report statistics showing the recorded level of direct exports for Appendix-II species over the five most recent years, and an extended analysis of trade to inform the preliminary selection of species/country combinations, to be completed in sufficient time for the first regular meeting of the Animals or Plants Committee following that meeting of the Conference of the Parties (see Annex 2 of this Resolution);</li> <li>b) on the basis of recorded levels of direct exports and information available to the Animals or Plants Committee, the Secretariat, Parties or other relevant experts, a limited number of species/country combinations of greatest concern shall be included in Stage 2 of the review process by the Animals or Plants Committee at their first regular meeting following a meeting of the Conference of the Parties; and</li> <li>c) in exceptional cases, outside of steps 1 a) and b) above, and where new information provided to the Secretariat by a proponent indicates that rapid action may be needed concerning problems relating to the</li> </ol>

Resolution	Instruction
	<p>implementation of Article IV (for a species/country combination), the Secretariat:</p> <ul style="list-style-type: none"> <li>i) will verify that the proponent has provided a justification for the exceptional case, including supporting information;</li> <li>ii) may produce, or request a consultant produce a summary of trade from the CITES Trade Database in relation to the species/country combination concerned as necessary; and</li> <li>iii) will, as soon as possible, provide the justification and, if appropriate, a trade summary to the Animals or Plants Committee for their intersessional review and decision on whether or not to include the species/country combination in Stage 2 of the review process;</li> </ul> <p><b>Stage 2: Consultation with the range States and compilation of information</b></p> <ul style="list-style-type: none"> <li>d) the Secretariat shall: <ul style="list-style-type: none"> <li>i) within 30 days after the meeting of the Animals or Plants Committee at which species/country combinations are selected, or within 30 days after the Committee has selected a species/country combination on an exceptional basis, notify selected range States that their species has been selected, providing an overview of the review process and an explanation for the selection. The Secretariat shall request range States to provide the scientific basis by which it is established that exports from their country are not detrimental to the survival of the species concerned and are compliant with Article IV, paragraphs 2 (a), 3 and 6 (a) of the Convention. In its letter, the Secretariat shall provide guidance to range States on how to respond, explain the consequences of not responding to the request, and inform the range States that the responses will be made available on the CITES website as part of the agenda for meetings of the Animals or Plants Committee. Range States shall be given 60 days to respond; and</li> <li>ii) compile, or appoint consultants to compile, a report about the biology and management of and trade in the species, including any relevant information provided by the range States, to be made available for the next meeting of the Animals or Plants Committee. In doing so, the Secretariat (or consultants) shall actively engage with the range States and relevant experts in the compilation of the report;</li> </ul> </li> <li>e) the report required under subparagraph 1 d) ii) shall include conclusions about the effects of international trade on the selected species/country combinations, the basis on which such conclusions are made and problems concerning the implementation of Article IV, and shall provisionally divide the selected species/country combinations into three categories: <ul style="list-style-type: none"> <li>i) 'action is needed' shall include species/country combinations for which the available information suggests that the provisions of Article IV, paragraph 2 (a), 3 or 6 (a), are not being implemented;</li> <li>ii) 'unknown status' shall include species/country combinations for which the Secretariat (or consultants) could not determine whether or not these provisions are being implemented; and</li> <li>iii) 'less concern' shall include species/country combinations for which the available information appears to indicate that these provisions are being met; and</li> </ul> </li> <li>f) once the report is completed, the Secretariat shall draw the attention of the relevant range States to the report prepared under subparagraph d) ii) and invite them to provide any additional information for consideration at the second meeting of the Animals or Plants Committee following the Conference of the Parties;</li> </ul>



**Stage 3: Categorization and Recommendations by the Animals or Plants Committee**

- g) the Animals or Plants Committee shall, at their second meeting following the Conference of the Parties, review the report of the Secretariat or the consultants, and the responses and additional information received from the range States concerned. For each selected species/country combination the Animals or Plants Committee shall recategorize species/country combinations of 'unknown status' as either 'action is needed' or 'less concern' and provide a justification for such recategorization. Additionally, if appropriate, the Animals and Plants Committee shall revise the preliminary categorization proposed for species/country combinations of those where 'action is needed' or those of 'less concern' and provide a justification for the revision;
  - i) species/country combinations determined by the Animals or Plants Committee to be of less concern shall be removed from the review process and the Secretariat shall notify the range States accordingly within 30 days; in cases where the species/country combination is of less concern due to the establishment of a zero export quota, any change to this quota should be communicated by the range State to the Secretariat and the Chair of the relevant Committee along with a justification; and
  - ii) species/country combinations determined by the Animals or Plants Committee to be those for which 'action is needed' shall be retained in the review process. The Animals or Plants Committee shall, in consultation with the Secretariat, formulate time-bound, feasible, measurable, proportionate, and transparent recommendations directed to the range States retained in the review process, using the principles outlined in Annex 3 of this Resolution. The recommendations should aim to build the range State's long term capacity to implement Article IV, paragraphs 2 (a), 3 and 6 (a) of the Convention;
- h) the Secretariat shall, within 30 days of the meeting of the Animals or Plants Committee, transmit these recommendations to the range States concerned; and
- i) the Animals or Plants Committee shall formulate separate recommendations directed to the Standing Committee for problems identified in the course of the review that are not directly related to the implementation of Article IV paragraph 2(a), 3 or 6(a), following the principles outlined in Annex 3 of this Resolution;

**Stage 4: Measures to be taken regarding the implementation of recommendations**

- j) the Secretariat shall monitor progress against the recommendations, taking account of the different deadlines;
- k) once the range State has reported on the implementation of recommendations or the deadlines have passed, whichever is first and, following timely intersessional consultation with members of the Animals or Plants Committee through the Chairs, the Secretariat shall determine whether the recommendations referred to above have been implemented;
  - i) where the recommendations have been met, the Secretariat shall, following consultation with the Chair of the Standing Committee, notify the range States concerned that the species/country combination was removed from the review process and include the rationale for its evaluation, noting where relevant, specific commitments made by the range States in question and, in the case where a species/country combination was removed from the review process on the basis of the establishment of an interim precautionary export quota (including a zero export quota) in the place of implementing the recommendations, any change to this quota should be communicated to the Secretariat and Chair of the relevant Committee along with a justification, for their agreement; or

Resolution	Instruction
	<ul style="list-style-type: none"> <li>ii) when the recommendations are not deemed to have been met (and no new information is provided), the Secretariat shall, in consultation with the members of the Animals or Plants Committee through the Chairs, recommend to the Standing Committee appropriate action, which may include, as a last resort, a suspension of trade in the affected species with that State; or</li> <li>iii) where the recommendations are not deemed to have been met or have been partially met, and there is new information suggesting the recommendation may require updating, the Secretariat shall, in a timely fashion, request the members of the Animals or Plants Committee, through the Chairs, to prepare a revised recommendation, keeping in mind the principles that recommendations should be time-bound, feasible, measurable, proportionate, transparent, and should promote capacity building. The Secretariat shall provide the revised recommendation to the range States within 30 days of its drafting;</li> </ul> <ul style="list-style-type: none"> <li>l) the Secretariat shall report to the Standing Committee on its evaluation of the implementation of the recommendations, including the rationale for its evaluation and, where relevant, specific commitments made by the range States in question, and a summary of the views expressed by the Animals or Plants Committees. The Secretariat shall additionally report on any further actions taken by the Animals or Plants Committee in the case of range States where new information has resulted in revised recommendations;</li> <li>m) for range States where recommendations are not deemed to have been met, the Standing Committee shall decide, at its next regular meeting or intersessionally as appropriate, on necessary action and make recommendations to the range State concerned, or to all Parties, keeping in mind that these recommendations should be time-bound, feasible, measurable, proportionate, transparent, and should promote capacity building. In exceptional circumstances, where the range State under consideration provides new information on the implementation of the recommendations to the Standing Committee, the Standing Committee through the Secretariat, shall consult in a timely fashion with the members of the Animals or Plants Committee through the Chair, prior to making a decision on necessary action;</li> <li>n) the Secretariat shall notify all Parties of any recommendations or actions taken by the Standing Committee;</li> <li>o) a recommendation to suspend trade in the affected species with the range State concerned should be withdrawn only when that range State demonstrates to the satisfaction of the Standing Committee, in consultation with the Secretariat and the members of the Animals or Plants Committee, through the relevant Chair, compliance with Article IV, paragraph 2 (a), 3 or 6 (a); and</li> <li>p) the Standing Committee, in consultation with the Secretariat and the Chair of the Animals or Plants Committee, shall review recommendations to suspend trade that have been in place for longer than two years, evaluate the reasons why this is the case in consultation with the range State, and, if appropriate, take measures to address the situation;</li> </ul> <p><b><i>Regarding capacity building, monitoring, reporting, and evaluating the review process</i></b></p> <ul style="list-style-type: none"> <li>5. DIRECTS the Secretariat, for the purpose of monitoring and facilitating the implementation of this Resolution and the relevant paragraphs of Article IV: <ul style="list-style-type: none"> <li>a) to report at each meeting of the Animals or Plants Committee on the implementation by the range States concerned of the recommendations made by the Committee; and</li> </ul> </li> </ul>

Resolution	Instruction
	<p>b) to maintain a database of species/country combinations that are included in the review process set out in this Resolution including a record of progress with the implementation of recommendations;</p> <p>6. DIRECTS the Secretariat to include training on the Review of Significant Trade process as part of its capacity building activities related to the making of non-detriment findings;</p> <p>7. DIRECTS the Animals or Plants Committee, in consultation with the Secretariat, to undertake a regular review of the outcomes of the Review of Significant Trade by, for example, examining a sample of past species/country combinations to assess whether implementation of Article IV paragraph 2 (a), 3 or 6 (a) was improved. The Animals or Plants Committee should consider the results of this review and revise the Review of Significant Trade process as necessary. In doing so, feedback should be obtained from range States (including their Scientific Authorities) who have been through the review process;</p>
<p><b>Conf. 13.2 (Rev. CoP14)</b> <b><i>Sustainable use of biodiversity: Addis Ababa Principles and Guidelines</i></b></p>	<p>1. URGES the Parties to:</p> <p>a) make use of the <i>Principles and Guidelines for the Sustainable Use of Biodiversity</i>, also taking into account scientific, trade and enforcement considerations determined by national circumstances, as well as the recommendations of the Animals and Plants Committees (see Annex 2) when adopting non-detriment-making processes and making CITES non-detriment findings;</p>
<p><b>Conf. 14.3 (Rev. CoP19)</b> <b><i>CITES compliance procedures</i></b></p>	<p>13. The Animals and Plants Committees, acting in accordance with instructions from and authority delegated by the Conference of the Parties, advise and assist the Standing Committee and the Conference of the Parties with regard to compliance matters, <i>inter alia</i>, by undertaking necessary reviews, consultations, assessments and reporting. These Committees are entrusted with specific tasks in the handling of matters related to the Review of Significant Trade.</p> <p>14. The Secretariat:</p> <p>a) assists and supports the Animals and Plants Committees, the Standing Committee and the Conference of the Parties in carrying out their functions concerning compliance matters as described in this Guide and, where applicable, according to the procedures set out in relevant Resolutions and Decisions;</p> <p>b) receives, assesses and communicates to the Parties information on compliance matters;</p> <p>c) advises and assists Parties in complying with obligations under the Convention;</p> <p>d) makes recommendations for achieving compliance; and</p> <p>e) monitors the implementation of compliance-related decisions.</p>
<p><b>Conf. 14.4</b> <b><i>Cooperation between CITES and ITTO regarding trade in tropical timber</i></b></p>	<p>5. URGES Parties to support and contribute to work, guided by the CITES Plants Committee, to develop appropriate listing proposals based on the best available science to ensure the conservation of timber species and help ensure that trade does not threaten their survival;</p>
<p><b>Conf. 14.8 (Rev. CoP19)</b> <b><i>Periodic Review of species included in Appendices I and II</i></b></p>	<p>1. AGREES that the Animals and Plants Committees shall conduct a periodic review of species listed in Appendix I and II, seeking information, participation and support from the range States. The regional representatives of the Animals and Plants Committees shall seek assistance from range States within their region to support the taxon reviews;</p> <p>3. FURTHER AGREES that the review will be conducted in accordance to the following process:</p> <p>a) normally, after every second meeting of the Conference of the Parties, the Animals and Plants Committees shall establish a schedule for the periodic review of the Appendices and identify a list of taxa they propose to review during the next two intersessional periods. The list should be</p>

Resolution	Instruction
	<p>established at the first meetings of the Committees after the meeting of the Conference of the Parties (CoP) that initiates the review period;</p> <p>b) the Animals and Plants Committees shall select a practical sub-subset of CITES-listed species of flora or fauna for analysis pursuant to the following methods and guidance;</p> <p>i) the Secretariat shall, subject to availability of funding, undertake or appoint consultants to undertake the assessment outlined in the Annex and prepare the resulting outputs for consideration by the Scientific Committees at their first meeting after the meeting of the Conference of the Parties that initiates the review period (Note: if no funding is available, the Secretariat shall inform the Parties and the Scientific Committees' Chairs);</p> <p>ii) the following taxa should not be considered for review:</p> <p>A. species that were the subject of listing proposals at the previous three meetings of the Conference of the Parties (whether or not the proposals were adopted);</p> <p>B. species subject to ongoing reviews, under the Review of Significant Trade [Resolution Conf. 12.8 (Rev. CoP18)], or periodic reviews conducted within the last 10 years; or</p> <p>C. species subject to other reviews targeted by valid Decisions and Resolutions of the CoP; and</p> <p>D. species for which it is clear that there has been no change in the status, range or trade and for which there is no possibility to need to amend the Appendices; and</p> <p>iii) outputs resulting from the assessment conducted in accordance with the Annex to this Resolution shall contain the following information in summary tables that include:</p> <p>A. a summary of trade data since the initial inclusion of that taxon in the Appendices;</p> <p>B. current conservation status, including the International Union for Conservation of Nature (IUCN) category of the species, if assessed;</p> <p>C. current listing in the CITES Appendices, criteria under which it was listed (if known), date of first listing; and</p> <p>D. the distribution of the species (range States);</p> <p>c) at the first meetings of the Committees after the meeting of the CoP that initiates the review period and based on the outputs prepared in subparagraph 2 b) above, the Animals and Plants Committees will identify the list of taxa to be considered for periodic review;</p> <p>d) the Secretariat shall send a copy of the proposed list of taxa to be reviewed to all Parties, and request range States of the taxa to comment within 60 days on whether they support a review of the taxa and express their interest in undertaking the reviews. The responses shall be relayed by the Secretariat to the Animals or Plants Committee. If no volunteer offers to undertake a review within two intersessional periods between CoPs, those taxa shall be deleted from the list of species to be reviewed;</p> <p>e) each review (ideally in the format of a proposal used to amend the Appendices) is to be submitted as a working document to the Animals or Plants Committee for review, clearly specifying the recommendation with reference to the criteria in Resolution Conf. 9.24 (Rev. CoP17). The Secretariat shall notify the relevant range States of these working documents in advance of the meeting of the Committee;</p>

Resolution	Instruction
	<p>f) the Animals or Plants Committees may also evaluate reviews undertaken independently by Parties and submitted for their consideration;</p> <p>g) based on the information as per subparagraph 2 e) above, the Animals or Plants Committee is to make a recommendation on whether it would be appropriate to retain a taxon in the Appendix in which it is currently listed, transfer a taxon from one Appendix to another, or to delete a taxon from the Appendices;</p> <p>h) the Animals or Plants Committee shall draft its recommendation with reference to the criteria in Resolution Conf. 9.24 (Rev. CoP17). The Committee shall report its conclusions to the Conference of the Parties, and the Secretariat shall inform the range State(s) for the species reviewed of the conclusion of the Committee; and</p> <p>i) in the event that the Committee recommends a change in the CITES listing status for the species reviewed:</p> <p style="padding-left: 20px;">i) the Secretariat shall invite the range State(s) of the species reviewed to submit a proposal to the next Conference of the Parties; and</p> <p style="padding-left: 20px;">ii) if no range State expresses a willingness to submit the proposal, the Secretariat may request the Depository Government to submit it as specified in Resolution Conf. 11.1 (Rev. CoP17)<sup>1</sup> and to include the comments of the range States in the supporting statement, if so requested by the Animals or Plants Committee;</p> <p>4. RECOMMENDS the Animals and Plants Committees should share their experience, especially during joint meetings, regarding the undertaking of periodic reviews of taxa included in the Appendices (including financing of reviews, processes, format and outputs);</p> <p>5. ENCOURAGES the Animals and Plants Committees and Parties to facilitate periodic reviews by:</p> <p style="padding-left: 20px;">a) collaborating with university graduate students, including those from the CITES Master's Programme at the International University of Andalusia;</p> <p style="padding-left: 20px;">b) collaborating with other non-Party reviewers including species experts such as IUCN Species Survival Commission Specialist Groups;</p> <p style="padding-left: 20px;">c) using readily available information on species' conservation status from organizations (e.g. IUCN, BirdLife, etc.) and Parties;</p> <p style="padding-left: 20px;">d) seeking financial support for reviews, including from importing countries, as appropriate; and</p> <p style="padding-left: 20px;">e) increasing communication between the Chairs of the Animals and Plants Committees and suggest coordination with Parties when animal and plant species' ranges overlap;</p> <p>6. DIRECTS the Chairs of the Animals and Plants Committees to keep the Standing Committee informed about the conduct of periodic reviews, noting that Standing Committee approval is not required to initiate the process;</p> <p>8. INVITES Parties, intergovernmental organizations, non-governmental organizations, and other interested entities to support the work of the Animals and Plants Committees in the undertaking of the periodic review of the Appendices.</p>
<p><b>Conf. 16.5</b>  <b>Cooperation with the</b>  <b>Global Strategy for</b>  <b>Plant Conservation of</b></p>	<p>2. DIRECTS the Secretariat to encourage the exchange of information related to the GSPC and other plant conservation and sustainable use initiatives, by:</p> <p style="padding-left: 20px;">a) promoting awareness of ongoing CITES activities that contribute to the achievement of GSPC Targets, by communicating information among</p>

<sup>1</sup> Corrected by the Secretariat following the 17th meeting of the Conference of the Parties. Resolution Conf. 11.1 (Rev. CoP17) has since been repealed and replaced by Resolution Conf. 18.2 which no longer contains these provisions.

Resolution	Instruction
<b><i>the Convention on Biological Diversity</i></b>	<p>CITES bodies and Parties on the operations and outcomes of CITES processes, such as the Review of Significant Trade, Periodic Review of the Appendices, proposals to amend the CITES Appendices, and formulation of Non-detriment Findings (NDFs), among others;</p> <ul style="list-style-type: none"> <li>b) collaborating with the CBD Secretariat to streamline reporting on relevant CITES activities related to the GSPC Targets;</li> <li>c) including the GSPC in any work plans developed under the Memorandum of Cooperation with the Secretariat of CBD; and</li> <li>d) inviting a CBD representative to participate as an observer at Plants Committee meetings that address GSPC;</li> </ul> <p>3. DIRECTS the Plants Committee and the Secretariat to promote CITES collaboration with CBD on the implementation of the GSPC by:</p> <ul style="list-style-type: none"> <li>a) representing the CITES Plants Committee at meetings of CBD's Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA) and other GSPC meetings subject to the availability of external funding; and</li> <li>b) providing contributions for CBD documents regarding the implementation of the GSPC.</li> </ul>
<b>Conf. 16.7 (Rev. CoP17)</b> <b><i>Non-detriment findings</i></b>	<p>3. DIRECTS the Secretariat:</p> <ul style="list-style-type: none"> <li>a) to maintain a prominent section for non-detriment findings on the CITES website and to update it regularly with information from the Animals and Plants Committees, Parties and other sources;</li> <li>b) to implement a user-friendly mechanism on the CITES website that would allow Parties to easily submit relevant information to be considered for inclusion in the website;</li> <li>c) to ensure that this information is accessible in the appropriate sections of the CITES Virtual College; and</li> <li>d) to assist in identifying possible funding sources to help Parties implementing capacity-building activities related to the making of non-detriment findings.</li> </ul>
<b>Conf. 16.10</b> <b><i>Implementation of the Convention for agarwood-producing taxa</i></b>	<p><b><i>Regarding management and trade control</i></b></p> <p>9. ENCOURAGES Parties to make use of the Glossary as a reference while inspecting and controlling specimens of agarwood products. The Glossary was adopted by the Plants Committee at its 20th meeting (Geneva and Dublin, March 2012) and is presented in document CoP16 Inf. 3, in which the images illustrate samples of products but do not represent the whole range of products of agarwood.</p>
<b>Conf.18.2</b> <b><i>Establishment of Committees</i></b>	<p>3. ESTABLISHES the Animals Committee and the Plants Committee as its scientific advisory bodies, which shall report to the Conference of the Parties at its meetings and, if so requested, to the Standing Committee between meetings of the Conference of the Parties in accordance with their Terms of Reference as found in Annex 2 of this Resolution;</p> <p><b><i>Regarding regional sessions at meetings of the Conference of the Parties</i></b></p> <p>7. AGREES that:</p> <ul style="list-style-type: none"> <li>a) regional sessions should be held at each meeting of the Conference of the Parties; such sessions should have an official character and should have an agenda;</li> <li>b) the Chair of each regional session should be the representative of a regional Member of the Standing Committee;</li> <li>c) each region has specific tasks to fulfil, as follows: <ul style="list-style-type: none"> <li>i) selection, as appropriate, of Parties as Members and alternate Members of the Standing Committee, and experts as Members and alternate Members of the Animals and Plants Committees;</li> <li>ii) the regions with more than one Member on a committee should review at each meeting of the Conference of the Parties the way the representation should be exercised; and</li> </ul> </li> </ul>

Resolution	Instruction
	<p>iii) other tasks depending to a large extent on the agenda of the meeting of the Conference of the Parties.</p> <p><b>Regarding conflict of Interest in committees where the membership consists of individuals</b></p> <p>8. AGREES that a “conflict of interest” refers to any current financial interest which could significantly impair an individual’s impartiality, objectivity or independence in carrying out his or her duties as a Member of a committee. A candidate’s employment by itself does not automatically constitute a conflict of interest. For committees where individuals are elected as Members, such as the Animals Committee and Plants Committee, the following procedures apply:</p> <p>a) Parties proposing candidates as Members or alternate Members are to request that, together with their names and <i>curricula vitae</i>, each candidate provides a Declaration of Interest which should be circulated to the Parties of the region concerned before the meeting of the Conference by the Parties at which the Members will be elected. In that declaration in the standard disclosure form agreed by the Standing Committee, the candidate should disclose any current financial interest that might call into question his or her impartiality, objectivity or independence in carrying out his or her duties as a Member or alternate Member of the committee;</p> <p>b) Following an election, the declaration of interest and the <i>curriculum vitae</i> of each Member and alternate Member shall be made available by the Secretariat to the Chair and the Members of the committee concerned, and to the Chair of the Standing Committee; and</p> <p>c) Each Member and acting Member shall, at the beginning of each meeting of the committee using the standard disclosure form agreed by the Standing Committee, declare whether they have any financial interests that he or she considers call into question his or her impartiality, objectivity or independence regarding any subject on the agenda for that meeting of the committee. A conflict of interest may also be identified by any credible source and brought to the attention of the Chair of the committee through the Secretariat. If a Member has such a financial interest, he or she may take part in discussions but not in the decision-making regarding the agenda item in question. If the Member is the subject of the potential conflict of interest, he or she shall not chair the meeting or sub-meeting for the agenda item in question.</p> <p><b>Annex 2 – Terms of Reference of the Animals and Plants Committees of the Conference of the Parties</b></p> <p><b>Purpose</b></p> <p>1. As the scientific advisory committees of the Conference of the Parties, the Animals and Plants Committees play an important role in providing scientific and technical expertise and advice for the implementation of a broad range of decisions adopted by the Conference of the Parties. This is evidenced in the adoption of Resolution Conf. 14.8 (Rev. CoP17) on the <i>Periodic Review of species included in Appendices I and II</i>, Resolution Conf. 12.8 (Rev. CoP18) on the <i>Review of Significant Trade in specimens of Appendix II species</i> and Resolution Conf. 12.11 (Rev. CoP18) on <i>Standard nomenclature</i>, for example. Further, Parties have recognized the importance of making available the best technical and scientific advice regarding CITES-listed species from different sources, origins and production systems, as well as the importance of assisting Parties in making non-detriment findings, and supporting their Scientific Authorities in accordance with Resolution Conf. 16.7 (Rev. CoP17) on <i>Non-detriment findings</i>. Parties have additionally recognized that nomenclature used in the Appendices or for proposals for listing new species in the Appendices will be most useful to the Parties if standardized through adoption of standard nomenclatural references, which also facilitates identification and tracking of specimens of CITES-listed species in trade and harmonises cooperation with other multilateral environmental agreements.</p>

Resolution	Instruction
	<p><b>Functions</b></p> <p>2. The Animals and Plants Committee shall, in accordance with instructions from and authority delegated by the Conference of the Parties in its resolutions and decisions:</p> <ul style="list-style-type: none"> <li>a) provide scientific advice and guidance to the Conference of the Parties, the other committees, the Secretariat and Parties on matters relevant to international trade in animal and plant species included in the Appendices;</li> <li>b) undertake the tasks which are directed to them by the Conference of the Parties through relevant resolutions or decisions including: <ul style="list-style-type: none"> <li>i) review the biological, trade and other relevant information on Appendix-II species, subject to significant levels of trade in accordance with Resolution Conf. 12.8 (Rev. CoP18) on <i>Review of Significant Trade in specimens of Appendix II species</i>;</li> <li>ii) conduct periodic reviews of species included in Appendix I and II in accordance with Resolution Conf. 14.8 (Rev. CoP17) on <i>Periodic Reviews of species included in Appendix I and II</i>;</li> <li>iii) identify and address nomenclatural issues in accordance with Resolution Conf. 12.11 (Rev. CoP18) on <i>Standard nomenclature</i>; and</li> <li>iv) for the Animals Committee review biological, trade and other relevant information regarding animal species subject to significant levels of trade using source codes C, D, F or R in accordance with Resolution Conf. 17.7 (Rev. CoP18) on <i>Review of trade in animal specimens reported as produced in captivity</i>;</li> </ul> </li> <li>c) upon request by Parties or the Secretariat, provide advice on the making of non-detriment findings in the context of Resolution Conf. 16.7 (Rev. CoP17) on <i>Non-detriment findings</i>, and on the management of quotas in the context of Resolution Conf. 14.7 (Rev. CoP15) on <i>Management of nationally established export quotas</i>;</li> <li>d) provide scientific advice on identification issues, and on training and other capacity-building materials, tools and guides to promote their accuracy and availability;</li> <li>e) upon request by Parties, provide advice related to scientific, technical and nomenclatural aspects of proposals to amend the Appendices;</li> <li>f) upon request by Parties, provide technical, scientific and nomenclatural advice to Parties concerning the management of trade in specimens of CITES-listed species from different sources, origins and production systems, including captive breeding and artificial propagation;</li> <li>g) draft resolutions or decisions on scientific matters related to animals or plants, for consideration by the Standing Committee and the Conference of the Parties, with a budget for the work involved and an indication of the source of funding;</li> <li>h) perform any other functions that may be entrusted to them by the Conference of the Parties or the Standing Committee; and</li> <li>i) report to the Conference of the Parties and, if so requested, to the Standing Committee, on the activities they have carried out or supervised between meetings of the Conference.</li> </ul> <p>3. In giving instructions to the Animals and Plants Committees, the Conference of the Parties and the Standing Committee agree to pay due attention to whether the nature of the work is within their mandate as set out in paragraph 2, and whether the committees have the resources, time and expertise to undertake such work.</p> <p>4. When making requests in the context of paragraph 2 c), e) and f) above, Parties should be mindful of the limited resources of the committees.</p> <p><b>Membership</b></p> <p>5. The membership of the Animals and Plants Committee shall be elected by the Conference of the Parties and consist of:</p>



Resolution	Instruction
	<p>a) one person selected by each of the major geographic regions consisting of North America and Oceania based on candidates nominated by Parties from these regions;</p> <p>b) two persons selected by each of the major geographic regions consisting of Africa, Asia, Central and South America and the Caribbean, and Europe based on candidates nominated by Parties from these regions; and</p> <p>c) a specialist on zoological nomenclature (Animals Committee) and a specialist on botanical nomenclature (Plants Committee) selected by their respective Committees based on candidates nominated by Parties, and who are <i>ex-officio</i> and non-voting.</p> <p>6. One person shall also be selected and elected as an alternate Member for each of the Members listed in paragraph 5 above to serve as an acting Member at meetings only in the absence of the Member for whom he/she is the alternate.</p> <p>7. The membership of the Committees shall be reviewed at every regular meeting of the Conference of the Parties. The terms of office of the Members shall commence at the close of the regular meeting at which they are elected and shall expire at the close of the second regular meeting thereafter.</p> <p>8. A Chair and a Vice-Chair shall be elected by the Committee from amongst the regional Members and shall normally serve until the close of the second regular meeting after being elected. In the absence of the Chair at a meeting, the Vice-Chair shall be acting as the Chair.</p> <p>9. The Chair should be replaced in his/her role of regional Member by his/her alternate. In the absence at a meeting of the alternate in question, the Chair shall serve also as regional Member for his/her region on an ad hoc basis.</p> <p>10. Regarding nomination of candidates, the following guidelines should be implemented:</p> <p>a) Parties proposing candidates as Members or alternate Members should confirm, at the time of nomination, that the candidate will be supported and that they will obtain the necessary means to undertake their activities;</p> <p>b) the names of the proposed candidates, and their <i>curricula vitae</i>, should be officially submitted to the Secretariat at least 120 days before the meeting of the Conference of the Parties at which the representatives will be elected. These candidatures should be communicated to all the Parties of the region concerned as soon as possible thereafter by the Secretariat. In case of the nomenclature specialists, the names and their <i>curricula vitae</i> of the proposed candidates shall be circulated to the relevant committee;</p> <p>c) ideally the candidates should be associated with a Scientific Authority, have adequate knowledge of CITES and receive sufficient institutional support to carry out their duties. This information should also be included in the <i>curricula vitae</i>; and</p> <p>d) proposed candidates should be persons; a Party should not be accepted as a proposed candidate even if it plans to identify a person at a later time.</p> <p>11. Regarding the timing of replacement of regional Members and alternate Members, the procedure should be the same as that described above for the Standing Committee and:</p> <p>a) as alternate Members are alternates of specified Members, they should be elected at the same time as the Members;</p> <p>b) if a region wishes to re-elect a Member or alternate Member, there is nothing to prevent it from doing so; and</p> <p>c) in the event that no nominations have been received before the deadline the incumbent shall remain, if so willing and able, as a representative until a replacement is elected.</p>

Resolution	Instruction
	<p>12. In the event of a vacancy arising amongst the Members or alternate Members of a committee between regular meetings of the Conference of the Parties, the following procedure shall apply:</p> <ul style="list-style-type: none"> <li>a) the Secretariat shall notify the vacancy to the committee concerned, the Chair of the Standing Committee and the regional Member(s) of the Standing Committee from the region concerned (which may be all regions in the case of a nomenclature specialist);</li> <li>b) the Secretariat shall immediately issue a Notification to the Parties calling for nominations from Parties in the region or regions concerned for a person to fill the vacancy on an interim basis;</li> <li>c) the Secretariat shall provide the names and <i>curricula vitae</i> of the candidates received to the Chair of the Standing Committee and to the Standing Committee's Regional Member(s) from the region concerned or, in the case of a vacancy for a nomenclature specialist, to the committee concerned. They will decide on the person to fill the vacancy on an interim basis until the next regular meeting of the Conference of the Parties;</li> <li>d) until a decision is reached in the case of a vacancy of a Member, the provisions of paragraph 6 above apply; and</li> <li>e) At the next regular meeting of the Conference of the Parties, the vacancy shall be filled in accordance with paragraph 5 of the present Annex. Nothing shall prevent the person appointed on an interim basis from being later proposed to fill the position.</li> </ul> <p><b><i>Duties of the Members of the Animals and Plants Committees</i></b></p> <p>13. The duties of the Members elected to the Animals and Plants Committees and their alternates are as follows:</p> <ul style="list-style-type: none"> <li>a) each Member should, to the best of his/her abilities, act as impartially as possible and endeavour to base his/her judgements and opinions upon an objective, scientific assessment of the available evidence;</li> <li>b) each Member should collaborate with his/her alternate regarding the work to be done between the meetings of the committee;</li> <li>c) each Member should maintain regular communication with the Parties in his/her region;</li> <li>d) when a region has more than one representative, the representatives should also agree which Parties each represents. Contact should also be established with non-Parties in the region;</li> <li>e) each Member should increase the awareness of the role and function of the committee, its mandate and the issues of concern to his/her region through mechanisms such as participation in seminars or in related meetings organized by the Secretariat and by other organizations at regional or sub-regional level;</li> <li>f) before the meetings of the committee, the Members should inform and consult the Parties in their regions about the agenda and in particular on matters specifically relevant to the countries of the region;</li> <li>g) the Members should submit a written report, covering the preceding period, to each meeting of the committee;</li> <li>h) the Members should inform the Parties in their regions of the results of each meeting of the committee in particular on matters specifically relevant to the countries of the region;</li> <li>i) the Members must inform the alternates well in advance if they will not attend a forthcoming committee meeting; and</li> <li>j) the Members should provide to their successors all relevant information on the activities in the region.</li> </ul> <p>14. The zoological and botanical nomenclature specialists of the Animals and Plants Committees shall coordinate, monitor and analyse the input needed from specialists in fulfilling their responsibilities as assigned by the Parties.</p>

Resolution	Instruction
	<p><b>Meeting Procedures</b></p> <p>15. When back-to-back meetings of the Animals and Plants Committees include a joint session, the duration of the separate committee meetings should be four days, but when not back-to-back, the length of each meeting should be five days, unless the Chair and the Secretariat consider that a shorter meeting may be sufficient.</p> <p><b>Financial Support</b></p> <p>16. The Conference of the Parties determines the budget of the Secretariat, including the financial resources provided to support meetings of the Animals and Plants Committees and associated eligible travel expenses. Each Member from a developing country and the nomenclature specialists are eligible to seek payment of travel expenses to attend each regular meeting of the Animals or Plants Committee.</p> <p>17. Parties and regions are urged to utilize or develop long-term sustainable funding mechanisms to support their representatives in the Committees, including the nomenclature specialists.</p>
<p><b>Conf. 18.3</b> <b>CITES Strategic Vision: 2021-2030</b></p>	<p>The <i>CITES Strategic Vision</i> provides a framework for the future development of the CITES Resolutions and Decisions and provides guidance on goals and objectives to be achieved. The Conference of the Parties, through its Resolutions and Decisions, will determine actions to be taken by Parties, the Committees or the Secretariat, as appropriate. The Strategic Vision also serves the Parties as a tool for the prioritization of activities, and decisions on how best to fund them, in light of the need for the efficient and transparent use of resources.</p>
<p><b>Conf. 18.4</b> <b>Cooperation with the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services</b></p>	<p>3. Instructs the Standing Committee, working with the Chairs of the Animals and Plants Committees and the Secretariat, to:</p> <ul style="list-style-type: none"> <li>a) ensure that there is a two-way relationship between CITES and IPBES in which CITES is a user or beneficiary of IPBES, as well as a contributor to IPBES;</li> <li>b) ensure that communication between CITES and IPBES is effective;</li> <li>c) promote the needs of national Scientific and Management Authorities in the work of IPBES to foster the use of applied science for the implementation of CITES, including the making of non-detriment findings and legal acquisition findings, and related trade resolutions and decisions;</li> <li>d) ensure that CITES requests and input to intersessional and regular work undertaken by IPBES are provided in accordance with relevant timelines; and</li> <li>e) ensure that any inputs are conveyed to IPBES, with the endorsement of the Chair of the Standing Committee after consultation with the Committee and the Chairs of the Animals and Plants Committees, by the Secretariat on behalf of the Standing Committee;</li> </ul> <p>5. INSTRUCTS the Animals and Plants Committees, through their Chairs, to assist the Standing Committee with the implementation of this Resolution and, to participate, when relevant and subject to external resources, as observers in the IPBES Multidisciplinary Expert Panel (MEP) and thereby reinforce linkages between the MEP and the CITES scientific committees; and</p> <p>6. INSTRUCTS the Secretariat to:</p> <ul style="list-style-type: none"> <li>a) assist the Standing Committee with the implementation of this Resolution;</li> <li>b) track the intersessional and regular work of IPBES bodies and, as appropriate and subject to external funding, participate as an observer in the governing body of IPBES and thereby reinforce linkages between that body and the governing bodies of CITES; and</li> <li>c) seek external funding to support attendance at IPBES meetings by the Chairs of the Animals and Plants Committees and the Secretariat.</li> </ul>

Resolution	Instruction
<p><b>Conf. 18.6</b> <i>Designation and role of Management Authorities</i></p>	<p>8. FURTHER REMINDS Parties that the specific reporting commitments implemented by their Management Authorities include, but are not limited to, the following:</p> <ul style="list-style-type: none"> <li>a) coordinating the preparation of an annual illegal trade report and submitting this report to the Secretariat by 31 October each year covering actions in the preceding year, in accordance with Resolution Conf. 11.17 (Rev. CoP18) on <i>National reports</i> and with the <i>Guidelines for the preparation and submission of the CITES annual illegal trade report</i>; and</li> <li>b) reporting, where appropriate, on specific issues concerning the implementation of the Convention as requested by the Conference of the Parties, the Standing Committee, the Animals Committee, the Plants Committee or the Secretariat in accordance with relevant Resolutions, Decisions and Notifications to the Parties;</li> </ul>
<p><b>Conf. 19.1</b> <i>Financing and the costed programme of work for the Secretariat for the triennium 2023-2025</i></p>	<p>30. FURTHER DECIDES that the standard participation charge for all observer organizations other than the United Nations and its specialized agencies at meetings of the Standing Committee and the Animals and Plants Committees shall be set at a minimum of USD 100 for each observer participant (except as otherwise decided by the Secretariat as required and following consultation with the Finance and Budget Subcommittee);</p> <p>32. AFFIRMS that:</p> <ul style="list-style-type: none"> <li>a) all meetings of the Conference of the Parties and all regular meetings of the Standing Committee, the Animals Committee and the Plants Committee should be held in the Host country of the Secretariat unless a candidate host country pays the difference in costs between its proposed venue and the Host country; and</li> <li>b) candidate host country is to sign the Host Country Agreement no later than 60 days after the decision is made by the Conference of the Parties or the Committees and to transfer the host contribution in a timely manner to the Secretariat for its additional costs to hold the meeting outside the host country of the Secretariat; and</li> <li>c) no more than two regular meetings each of the Standing Committee, and the Animals and Plants Committees should be convened between regular meetings of the Conference of the Parties;</li> </ul> <p>33. DIRECTS the Secretariat to make provision for the payment, if requested, of reasonable and justifiable travel expenses of members, including attendance at the relevant committee meetings, and other expenses of the Chairs of the Standing Committee, the Animals Committee and the Plants Committee, other than members from developed countries;</p> <p>35. REQUESTS the Secretariat:</p> <ul style="list-style-type: none"> <li>a) to make provisions for all the costs the Secretariat incurs, including costs associated with the hiring of temporary staff and consultants, when seeking funds for the implementation of externally funded projects;</li> <li>b) to seek the most cost effective translation and interpretation services;</li> <li>c) in consultation with proponent Parties, when necessary, to advise the Conference of the Parties on proposals with budgetary implications, including staff costs; and</li> <li>d) in consultation with the Chairs of the Animals and Plants Committees, to assign scientific consultants and define terms of reference for specific science-based projects. Such a process should be implemented in a fashion that would not have a negative impact on the budget, but rather effectively utilize the scientific expertise of the Parties which is available to the Secretariat via the Chairs of the technical committees;</li> </ul>
<p><b>Conf. 19.2</b> <i>Capacity-building</i></p>	<p>4. DIRECTS the Secretariat to:</p> <ul style="list-style-type: none"> <li>a) seek external funding and provide capacity-building support to Parties, particularly for needs identified in Resolutions and Decisions and through compliance procedures, considering CITES implementation reports and direct expressions of interest and needs of Parties, giving priority to those</li> </ul>

Resolution	Instruction
	<p>Parties recently acceded, the Least Developed Countries, Landlocked Developing Countries and Small Island Developing States;</p> <ul style="list-style-type: none"> <li>b) cooperate with institutions and organizations in planning and delivering joint capacity-building activities of relevance to the Convention, in consultation with the Standing Committee and with the Animals and Plants Committees, as appropriate, as they relate to the development of new partnerships that have a scientific nexus or new or revised capacity-building materials of a scientific nature, including scholarships for in-person training or training opportunities;</li> <li>c) collect information on capacity-building materials and efforts from Parties and others, and make these resources available to Parties through the CITES website;</li> <li>d) continue to undertake the revision and enhancement of the CITES website and of the CITES Virtual College, in consultation with the Standing Committee and with the Animals and Plants Committees, as appropriate, including selected online courses, to update the content and to improve their effectiveness in providing access to capacity-building resources to Parties; and</li> <li>e) report to the Standing Committee and to the Animals and Plants Committees on capacity-building activities and seek their advice and input, as appropriate.</li> </ul> <p>5. REQUESTS the Animals and Plants Committees to offer advice and input as appropriate to Parties and to the Secretariat related to CITES implementation and capacity-building activities, which may include identifying capacity-building needs and priorities and making recommendations for developing or improving capacity-building materials and tools;</p>
<p><b>Conf. 19.4</b> <b><i>Materials for the identification of specimens of CITES-listed species</i></b></p>	<ul style="list-style-type: none"> <li>1. DIRECTS the Secretariat to: <ul style="list-style-type: none"> <li>a) regularly review and analyze the materials produced by or for Parties to assist in identification of specimens of CITES-listed species and gaps in existing identification materials relative to the needs expressed by the Parties, and provide a summary of this to the Animals and Plants Committees;</li> <li>b) publish and maintain in a centralized location on the CITES website access to identification materials in a timely and practical manner and in a format that is readily available for CITES authorities and enforcement officials to use, and make updates as new electronic formats evolve;</li> <li>c) where available and practical to do so, continue to link identification materials to existing lists of species, or higher order groupings of species, in the CITES Appendices on the CITES website;</li> <li>d) upon request from a Party and subject to the availability of financial and human resources, provide advice in coordination with the Chair of the Animals or Plants Committee on the identification of species or particular specimens, or seek advice from experts on the taxa concerned and, where relevant, share this information through the CITES website;</li> <li>e) assist Parties in developing and promulgating national or regional identification materials, enlisting input from the appropriate technical committees or specialist organizations when possible;</li> <li>f) keep the Standing, Animals and Plants Committees informed of progress made and needs identified in the development and maintenance of identification materials, as appropriate; and</li> <li>g) report progress and developments to the Conference of the Parties, as appropriate;</li> </ul> </li> <li>2. REQUESTS the Animals and Plants Committees to: <ul style="list-style-type: none"> <li>a) identify where materials are lacking or inaccurate, or where improvements might be made on existing identification materials, taking into consideration information on gaps and needs identified</li> </ul> </li> </ul>

Resolution	Instruction
	<p>by Parties and the Secretariat, and make recommendations when appropriate to prioritize development of new or updated materials;</p> <p>b) provide input and recommendations to Parties and the Secretariat concerning the development of new or updated identification materials, or where draft materials are submitted for input; and</p> <p>c) identify and suggest to the Secretariat improvements to the access of CITES identification materials;</p> <p>3. RECOMMENDS that Parties:</p> <p>a) provide identification information to the extent possible in the proposals for amendment of the Appendices, including information outlined in the Annex to this Resolution, and in the case of proposals to amend Appendix I or II, in paragraphs C. 1. "Taxonomy", 3. "Species characteristics" and 9. "Information on similar species" in Annex 6 to Resolution Conf. 9.24 (Rev. CoP17) on <i>Criteria for amendment of Appendices I and II</i>;</p> <p>b) continue to develop and share national, regional, or taxon-based guides to the identification of specimens of CITES-listed species, especially for those specimens that are commonly traded and/or found in their country or region, and when practicable include identification materials for commonly traded species that are not listed on the CITES Appendices to assist in identifying and differentiating between listed and unlisted species;</p> <p>c) develop or make available identification materials in the working languages of the Convention, as well as other languages used by Parties where appropriate;</p> <p>d) develop and promote the use of rapid, accurate and inexpensive techniques to identify species in trade, especially for identifying specimens that are traded in a highly processed or modified form, or where derivatives of multiple species are combined, which may include molecular or isotope techniques, digitized field guides, apps, or other tools being developed for identification;</p> <p>e) raise awareness of the availability of identification materials and techniques that are used by CITES authorities and enforcement and inspection officers to facilitate the implementation of the Convention, through training programmes for customs and border officials;</p> <p>f) inform the Animals or Plants Committee of any difficulties experienced in identifying particular taxonomic groups, species or specimens, where improved identification guidance would be beneficial, for consideration and any appropriate recommendation under paragraph 3; and</p> <p>g) consider submitting draft identification material under development to the Animals or Plants Committee to review and provide input, as appropriate.</p>

DECISIONS DIRECTED TO THE PLANTS COMMITTEE  
OR THAT MAY REQUIRE ITS INPUTS OR ASSISTANCE

**Administrative and financial matters**

**Emerging operational issues of the committees**

***Directed to the Secretariat***

**19.1** The Secretariat shall:

- a) prepare a document for consideration by the Standing Committee containing information relating to the risk-assessment approaches implemented by the Secretariat to determine the best course of action if intersessional work and meetings are affected by emerging operational issues, such as those experienced during the COVID-19 pandemic, and referred to in paragraph 2 g) of Annex 1 to Resolution Conf. 18.2 on *Establishment of committees*;
- b) prepare a document for consideration by the Standing Committee, Animals Committee and Plants Committee reflecting the Secretariat's recommendations for the exceptional circumstances under which it may be appropriate to hold an online meeting or make hybrid options available to Parties to facilitate participation in a face-to-face meeting of the Standing Committee, Animals Committee and Plants Committee; and
- c) based on the guidance provided to the committees relating to the use of the Rules of Procedures for the online meetings of the 73rd meeting of the Standing Committee, 31st meeting of the Animals Committee and 25th meeting of the Plants Committee, provide the Standing Committee, Animals Committee and Plants Committee with a document highlighting the provisions in the Rules of Procedure that may require further consideration for online and hybrid meetings.

***Directed to the Animals and Plants Committees***

**19.2** The Animals and Plants Committees shall:

- a) taking into account the document prepared by the Secretariat under Decision 19.1, paragraphs b) and c),
  - i) consider the exceptional circumstances under which it may be appropriate to hold an online meeting or make hybrid options available to Parties to facilitate participation in a face-to-face meeting of the Animals and Plants Committees; and
  - ii) consider whether adjustments to Rules of Procedures of the committees are required to facilitate online and hybrid meetings and decision-making where these are required and agreed; and
- b) propose amendments to Resolution Conf. 18.2 on *Establishment of committees* and their Rules of Procedure, as appropriate, to the 20th meeting of the Conference of Parties.

***Directed to the Standing Committee***

**19.3** The Standing Committee shall, by its 78th meeting:

- a) develop and adopt guidance on a structured, risk-assessment approach to be followed to determine the best course of action if intersessional work and meetings are affected by emerging operational issues, such as those experienced during the COVID-19 pandemic and referred to in paragraph 2 g) of Annex 1 to Resolution Conf. 18.2. In developing this guidance, the Standing Committee will consider the document developed by the Secretariat under Decision 19.1.
- b) develop and adopt guidance on the exceptional circumstances under which it may be appropriate to hold an online meeting or make hybrid options available to Parties to facilitate participation in

a face-to-face meeting of the Standing Committee. In developing this guidance, the Committee will consider the document prepared by the Secretariat under Decision 19.1; and

- c) review the Rules of Procedures of the Standing Committee, taking into account the document developed by the Secretariat under Decision 19.1, to consider whether adjustments are needed to permit and facilitate online and hybrid meetings and decision-making where these are required and agreed.

## Strategic matters

### CITES Strategic Vision

#### ***Directed to the Secretariat***

- 19.11** The Secretariat shall undertake a comparative analysis in order to illustrate the linkages between the *CITES Strategic Vision 2021-2030* and highlight areas of alignment with the post-2020 Global Biodiversity Framework, as a starting point for an assessment of how CITES can contribute to the implementation of the Global Biodiversity Framework and its monitoring framework; make recommendations for additional actions as appropriate; and present its analysis to the Animals and Plants Committees, followed by the Standing Committee.

#### ***Directed to the Animals and Plants Committees***

- 19.12** The Animals and Plants Committees shall review the information provided by the Secretariat under Decision 19.11 and make further recommendations to the Standing Committee.

#### ***Directed to the Standing Committee***

- 19.13** The Standing Committee shall review the comments and recommendations provided by the Animals and Plants Committees and by the Secretariat under Decisions 19.11 and 19.12 and make recommendations to the 20th meeting of the Conference of the Parties.

#### ***Directed to the Standing Committee, in consultation with the Chairs of the Animals and Plants Committee***

- 19.14** The Standing Committee shall, in consultation with the Chairs of the Animals and Plants Committees, make recommendations on new or revised indicators for objective 1.4 of the *CITES Strategic Vision: 2021-2030*, for consideration by the 20th meeting of the Conference of the Parties.

### **Role of CITES in reducing risk of future zoonotic disease emergence associated with international wildlife trade**

#### ***Directed to the Secretariat***

- 19.15** The Secretariat shall:
- a) issue a Notification to the Parties, requesting Parties to report on any measures they have in place to prevent and mitigate the risk of pathogen spillover and transmission from wildlife trade and associated wildlife supply chains including markets, and make the results available on the CITES website as a compilation of responses that could be useful to other Parties;
  - b) review its Cooperation Agreement with the World Organisation for Animal Health (WOAH) to identify any necessary updates to reflect guidance provided by the Animals and Standing Committees and work with WOAH to, *inter alia*, develop a joint programme of work to identify effective and practical solutions for reducing pathogen spillover risk in wildlife supply chains;
  - c) collaborate with the Convention on Migratory Species to assess the potential risk of pathogen spillover and identify practical solutions for reducing pathogen spillover risk from wildlife;
  - d) following any necessary consultations, prepare a report summarizing existing activities or formal agreements with other entities (such as, among others, the Convention on Biological Diversity (CBD) and other relevant biodiversity-related agreements, the Food and Agriculture Organization of the United Nations (FAO), World Health Organization (WHO) and International Consortium on



Combating Wildlife Crime (ICWC) as well as possible emerging opportunities, and identify opportunities for additional practical collaboration towards reducing the risk of pathogen spillover or zoonotic disease transmission in international wildlife trade supply chains, including consideration of a possible CITES advisory body; and

- e) report to the Animals and Standing Committees on the implementation of Decision 19.15, paragraphs a) to d).

***Directed to the Animals and Plants Committee***

**19.16** The Animals and Plants Committee shall review the report of the Secretariat under Decision 19.15 and make recommendations to the Standing Committee, including on proposed effective and practical solutions for reducing pathogen spillover risk in wildlife supply chains, opportunities for practical collaboration under the direction of existing Resolutions, Decisions or agreements, and consideration of a possible CITES advisory body.

***Directed to the Standing Committee, in consultation with the Animals and Plants Committees***

**19.17** The Standing Committee shall:

- a) review the report of the Secretariat under Decision 19.15, taking into account the recommendations of the Animals and Plants Committees under Decision 19.16;
- b) taking into account the information provided by the Secretariat and the Animals and Plants Committees, consider the establishment of a CITES advisory body to provide guidance based on best available science to Parties, in their efforts to reduce the risk of zoonotic pathogen spillover and transmission from wildlife trade and associated wildlife supply chains, including markets;
- c) taking into account proposals in document CoP19 Doc. 23.2 and in consultation with the Animals and Plants Committees, consider the need for and development of a Resolution on actions CITES Parties and others could take to advance a 'One Health' approach as it pertains to international wildlife trade; and
- d) provide its guidance to the Secretariat and its recommendations, which may include a new draft Resolution, to the 20th meeting of the Conference of the Parties.

***Directed to the United Nations Environment Programme***

**19.18** The Conference of the Parties invites the United Nations Environment Programme (UNEP) to share information from relevant work carried out under the Quadripartite Collaboration for One Health or other relevant initiatives, with the Parties *via* the Secretariat.

***Directed to Parties***

**19.19** Parties are invited to:

- a) endorse the Quadripartite's (FAO/UNEP/WHO/WOAH) definition of the term zoonoses as "infectious diseases that can be spread between animals and humans; can be spread by food, water, fomites or vectors".
- b) take into consideration a multi-sectoral approach such as defined by the One Health High Level Expert Panel (OHHLEP) when implementing the Convention, contributing to managing, preventing and mitigating the risk of pathogen spillover and zoonotic disease emergence by:
  - i) ensuring live animals are being traded in accordance with Articles III, IV, V and VII, which require that living specimens are so prepared and shipped as to minimise the risk of injury, damage to health or cruel treatment, and Article VII which further requires that all living specimens, during any period of transit, holding or shipment, are properly cared for so as to minimize the risk of injury, damage to health or cruel treatment;
  - ii) regulating, registering, or otherwise administering captive-breeding, farming, and ranching facilities, including in accordance with Resolution Conf. 12.10 (Rev. CoP15) on *Registration of*

*operations that breed Appendix-I animal species in captivity for commercial purposes, Resolution Conf. 10.16 (Rev. CoP19) on Specimens of animal species bred in captivity, and Resolution Conf. 11.16 (Rev. CoP15) on Ranching and trade in ranched specimens of species transferred from Appendix I to Appendix II;*

- c) develop and strengthen synergies with appropriate national and international animal and public health authorities, taking account of relevant definitions, standards and guidance from the WHO, WOA, FAO, UNEP and other international bodies and expert organizations as appropriate; and
- d) building on such synergies, ensure that CITES Authorities, if requested, work with relevant national authorities including national WOA and WHO focal points to develop and implement strategies that aim to identify and reduce the risk of transmission and spillover of zoonotic diseases and pathogen emergence from traded wildlife.

### **Cooperation with Multilateral Environmental Agreements and other international organizations**

#### ***Directed to the Secretariat***

**19.20** Subject to external funding, the Secretariat shall, prepare for consideration by the Standing Committee a partnership strategy for the Parties, the Permanent Committees and the Secretariat to identify priorities for collaboration that specifically enhance the implementation of the Convention, as well as its effectiveness and efficiency, through strategic partnerships.

#### ***Directed to the Standing Committee***

**19.21** The Standing Committee shall review the draft partnership strategy developed by the Secretariat under Decision 19.20 and make recommendations for consideration at the 20th meeting of the Conference of Parties.

#### ***Directed to Parties***

**17.55 (Rev. CoP19)** Parties are encouraged to strengthen synergies among biodiversity multilateral environmental agreements at the national level by, among others, improving coordination and cooperation between national focal points and strengthening capacity-building activities.

#### ***Directed to the Standing Committee, with support of the Secretariat***

**17.56 (Rev. CoP19)** The Standing Committee shall, with support of the Secretariat, explore options consistent with the *CITES Strategic Vision* to strengthen cooperation, collaboration and synergies at all relevant levels between CITES and the post-2020 global biodiversity framework, taking into account the outcomes of the Second Consultation Workshop of Biodiversity-related Conventions on the Post-2020 Global Biodiversity Framework (Bern II), as well as the 2030 Agenda for Sustainable Development and its Sustainable Development Goals. This should involve the members of the Liaison Group of Biodiversity-related Conventions, and, as appropriate, engagement with other relevant organizations and processes, including processes under the Rio Conventions. The Standing Committee shall report on the implementation of this Decision at the 20th meeting of the Conference of the Parties.

### **Cooperation with the Global Strategy for Plant Conservation**

#### ***Directed to the Plants Committee, in collaboration with the Secretariat***

- 19.22** The Plants Committee shall, in collaboration with the Secretariat:
- a) taking into account the relevant outcomes under the *Convention on Biological Diversity* (CBD) regarding the post-2020 future of the GSPC, consider the need to revise Resolution Conf. 16.5 on *Cooperation with the Global Strategy for Plant Conservation*;
  - b) if considered appropriate, draft a revision to Resolution Conf. 16.5 with a view to ensure that a two-way collaboration between both Conventions is reflected; and
  - c) present its recommendations to the Standing Committee.

***Directed to the Standing Committee, in coordination with the Plants Committee and the Secretariat***

**19.23** The Standing Committee shall consider any report prepared in response to Decision 19.22, and in coordination with the Plants Committee and the Secretariat, convey its recommendations to the 20th meeting of the Conference of the Parties.

**IPBES Assessment report on the sustainable use of wild species**

***Directed to the Animals and Plants Committees***

**19.28** The Animals and Plants Committee shall review the scientific aspects of the thematic assessment of the sustainable use of wild species of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES); consider their relevance to the implementation of the Convention; and provide the results of their review and any associated recommendations to the Standing Committee.

***Directed to the Standing Committee***

**19.29** The Standing Committee shall consider the review of the IPBES thematic assessment of the sustainable use of wild species and associated recommendations prepared by the Animals and Plants Committees; make additional recommendations as appropriate; and submit the resulting conclusions and any recommendations as appropriate to the 20th meeting of the Conference of the Parties for its consideration.

**World Wildlife Trade Report**

***Directed to the Secretariat***

**19.30** The Secretariat shall:

- a) issue a Notification to the Parties providing the pilot *World Wildlife Trade Report*, seeking feedback and views on such a report and the potential utility and drawbacks of producing such a report periodically; and
- b) provide the responses received from the Notification to the Standing Committee and present findings and make recommendations for consideration by the Standing Committee.

***Directed to the Standing Committee, in consultation with the Animals and Plants Committees***

**19.31** The Standing Committee shall review the responses to the Notification and the findings and recommendations of the Secretariat, consult with the Animals and Plants Committees as appropriate and make recommendations to the 20th meeting of the Conference of the Parties.

**CITES and forests**

***Directed to the Secretariat, in consultation with the Plants Committee***

**19.32** The Secretariat shall:

- a) prepare a report for the consideration of the Plants Committee and the Standing Committee summarizing existing Resolutions and Decisions and supportive provisions relevant to the implementation of the Convention relevant to forests, focusing on CITES-listed tree-species, (a 'CITES forest compendium') and provide information on possible measures to enhance the implementation of the Convention to contribute more effectively to, global forest-relevant mandates and forest policies and initiatives, while avoiding duplication and supporting joint action; and,
- b) subject to the availability of external resources, and following consultation with the Plants Committee on the terms of reference, prepare an interdisciplinary study to assist decision-making processes on the future of any initiative relating to *CITES and forests* by:
  - i) defining the scope of such an initiative;

- ii) furthering the understanding of the role of sustainable use and conservation in trade of CITES-listed forest species, with particular focus on tree species, in the conservation and sustainable use of forests;
- iii) developing recommendations to enhance the timely and effective implementation of the CITES forest compendium, including exploring resource mobilization strategies.

***Directed to the Plants Committee***

**19.33** The Plants Committee shall:

- a) provide input to and consider any report from the Secretariat resulting from the implementation of Decision 19.32; and,
- b) advise the Secretariat on its implementation of Decision 19.32 and the Standing Committee on its implementation of Decision 19.34 to ensure that any initiative relating to CITES-listed forest species, with particular focus on tree species, is technically and scientifically coherent, and supportive of provisions relevant to the implementation of the Convention.

***Directed to the Standing Committee***

**19.34** The Standing Committee shall:

- a) consider any report by the Secretariat and the Plants Committee resulting from the implementation of Decisions 19.32 and 19.33;
- b) based on the above, explore options aligned with the mandate and the *CITES Strategic Vision* to:
  - i) enhance the implementation of the Convention with respect to CITES-listed forest species, with particular focus on tree species, and CITES' contribution to global forest-relevant mandates and forest policies and initiatives, while avoiding duplication and supporting joint action; and,
  - ii) raise awareness of the importance of investing in the conservation, sustainable management and legal trade of CITES-listed forest species, with particular focus on tree species;
- c) assess the merits of proposing to the 20th meeting of the Conference of the Parties any measures, including the development of a resolution on *CITES and forests*, aimed at enhancing the implementation of CITES mandates, that would improve visibility, funding opportunities and contributions to global forest policies and initiatives, while avoiding duplication and supporting joint action; and,
- d) prepare a report on its implementation of the present Decision and submit any resulting recommendations for consideration by the Conference of the Parties at its 20th meeting.

**Capacity-building**

**Capacity-building**

***Directed to Parties***

**19.40** Parties are invited to share ideas, experiences, and information related to the development of an integrated capacity-building framework aiming to guide Parties, the Secretariat and external partners, as appropriate, to identify capacity-building needs and to prioritize, plan, coordinate, implement, monitor and review the benefit of their capacity-building efforts for more effective implementation of the Convention.

***Directed to the Standing Committee, with inputs from the Animals and Plants Committees, the Finance and Budget Subcommittee, and the Secretariat***

**19.41** The Standing Committee shall:

- a) continue the development of an integrated capacity-building framework, including a common language and clear definitions, to improve the implementation of the Convention, with inputs from the Animals and Plants Committees, the Finance and Budget Subcommittee, and the Secretariat;
- b) in doing so, ensure the representation of perspectives and contexts of different regions and stakeholders (including from Parties that fund and Parties that receive capacity-building support) and consider developing a mechanism for Parties to identify the specific needs that, if met, would allow them to achieve full capacity to implement CITES; and
- c) provide a draft integrated capacity-building framework (which may include conceptual models, tools and guidance), along with its recommendations, for consideration of the Conference of the Parties at its 20th meeting.

***Directed to the Animals and Plants Committees***

**19.42** The Animals and Plants Committees shall engage in consultations with the Standing Committee, as called for in Decision 19.41; and with the Secretariat as called for in Decision 19.43.

***Directed to the Secretariat, in consultation with the Standing Committee and the Animals and Plants Committees***

**19.43** The Secretariat shall provide input to the Standing Committee and, subject to the availability of external funding and in consultation with the Standing Committee and the Animals and Plants Committees, organise technical workshops and regional consultations that would facilitate the implementation by the Standing Committee of Decision 19.41.

**Country-wide Review of Significant Trade**

***Directed to the Animals and Plants Committees***

**19.47** The Animals and Plants Committees shall:

- a) taking into account the progress made under the Compliance Assistance Programme and the development of a Capacity-Building Framework, consider whether the scientific and management issues identified in the country-wide Review of Significant Trade for Madagascar are sufficiently addressed, or whether a new mechanism should be developed to provide targeted support to Parties at a national level; and
- b) provide recommendations, including possible amendments to Resolution Conf. 12.8 (Rev. CoP18) on *Review of Significant Trade in specimens of Appendix-II species* or other existing resolutions, or the development of a new resolution; for consideration by the Standing Committee.

***Directed to the Standing Committee, in consultation with the Secretariat***

**19.48** The Standing Committee shall review the report and the recommendations of the Animals and Plants Committees, and in consultation with the Secretariat, make recommendations for consideration at the 20th meeting of the Conference of the Parties.

**CITES Tree Species Programme**

***Directed to Parties***

**19.49** Parties are invited to provide financial and in-kind support for a capacity-building programme that provides long-term support to Parties on their implementation of the Convention for CITES-listed tree species.

***Directed to the Secretariat, the Plants Committee and the Standing Committee***

**19.50** The Secretariat shall bring to the attention of the Plants Committee relevant technical and scientific results of the CITES Tree Species Programme (CTSP) and, subject to external funding:

- a) develop and implement a capacity-building programme covering all relevant regions on the implementation of CITES for tree species included in the Appendices;
- b) seek advice and guidance from the Plants and Standing Committees to assess the potential for the CITES Tree Species Programme to be made a permanent programme;
- c) continue cooperation with organizations working on forest related matters, such as the International Tropical Timber Organization (ITTO), the Food and Agricultural Organization (FAO) of the United Nations and other members of the Collaborative Partnership on Forests (CPF), and private economic actors to strengthen the support to Parties for implementing the Convention for listed tree species; and
- d) report on the implementation of this Decision at the 20th meeting of the Conference of the Parties.

## Illegal trade and enforcement

### Wildlife crime enforcement support in West and Central Africa

#### ***Directed to Parties importing CITES specimens from West and Central Africa***

**18.90 (Rev. CoP19)** Parties importing CITES specimens from West and Central Africa are encouraged to assist their counterparts in West and Central Africa, by implementing measures that will address wildlife crime and support legal trade that is limited to sustainable levels, in particular by:

- a) supporting efforts to determine and ensure sustainable levels of trade through scientific studies that can facilitate the making of robust non-detriment findings;
- b) undertaking due diligence as outlined in Resolution Conf. 11.3 (Rev. CoP19) on *Compliance and enforcement* and closely scrutinizing consignments of CITES-listed species imported from West and Central Africa and accompanying CITES documents to ensure that illegal species are not laundered into legal trade; and
- c) as a priority, raise any concerns about imports with the exporting State, or with the Animals Committee, Plants Committee, Standing Committee, or the Secretariat.

#### ***Directed to Parties, intergovernmental and non-governmental organizations***

**18.91 (Rev. CoP19)** Parties, intergovernmental and non-governmental organizations are encouraged to provide financial and technical assistance to Parties in West and Central Africa and mobilize resources to support the implementation of Decisions 19.84, 19.85, paragraphs a) and b), 19.86, 19.87 paragraphs a), b) and c) and 18.90 (Rev. CoP19) and, as appropriate, take these Decisions into consideration in the development of work programmes or activities they initiate in the two subregions.

#### ***Directed to Parties***

**19.84** Parties in West and Central Africa and Parties importing CITES specimens from West and Central Africa are strongly encouraged to enhance collaboration and communication regarding illegal wildlife trade affecting the two subregions, including by:

- a) using existing secure communication channels such as those provided by INTERPOL and the [World Customs Organization](#) for exchange of enforcement and illegal trade related information, and by drawing upon the enforcement focal point information available through the [National CITES Authorities](#) and [Enforcement focal points](#) webpages;
- b) actively pursuing international law enforcement collaboration through the mechanisms established by the [United Nations Convention against Transnational Organized Crime \(UNTOC\)](#); and other relevant information exchange platforms;
- c) reporting seizures on timber exported from West and Central Africa to the exporting countries as soon as practical, as appropriate, including sharing information described in paragraph 2.1 d) under *Strengthen regional and international collaboration to address illegal trade in CITES-listed*

*tree species* in the outcome document of the *Task Force on illegal trade in specimens of CITES-listed tree species*; and

- d) actively pursuing the implementation of the measures and activities outlined in the [Outcome document of the Task Force on illegal trade in specimens of CITES-listed tree species](#).

**Directed to Parties in West Africa and Central Africa:**

**19.85** Parties in West and Central Africa should:

- a) as relevant to them and where not yet done, continue to actively pursue the implementation of the *Recommendations to Parties in West and Central Africa for consideration in their implementation of measures and activities to address wildlife crime affecting the two subregions*;
- b) engage in regional and bilateral activities to share information on their national legislative and regulatory measures, exchange experiences and best practices, and identify opportunities for regional and cross-border cooperation and joint actions to address illegal trade in wildlife, taking into consideration paragraph 13 e) of Resolution Conf. 11.3 (Rev. CoP19) on *Compliance and enforcement*; and
- c) identify priority actions that could benefit from support, including activities referenced in Decisions 19.84, 19.85, paragraphs a) and b), 19.86, 19.87 paragraphs a), b) and c) and 18.90 (Rev. CoP19), and present these to the International Consortium on Combatting Wildlife Crime (ICCWC), donors and the development community, to seek support to implement them.

- 19.86**
- a) Parties in West Africa are invited to, through the Economic Community of West African States (ECOWAS) Commission, request support from ICCWC for the implementation of the ICCWC Guidelines for Wildlife Enforcement Networks, to facilitate fully operationalizing the West Africa Network to Combat Wildlife Crime (WAN); and
  - b) Parties in Central Africa are invited to, through the Commission of Central African Forests (COMIFAC) or other appropriate platforms, request support from ICCWC for the implementation of the ICCWC Guidelines for Wildlife Enforcement Networks.

**Directed to the Secretariat, with its ICCWC partners**

**19.87** The Secretariat shall:

- a) subject to external funding, work with its ICCWC partners to support Parties in West and Central Africa in addressing illegal trade in wildlife, including by promoting and facilitating collaboration and communication between Parties in West and Central Africa, transit and destination countries, through the convening of Wildlife Inter-Regional Enforcement (WIRE) and Regional Investigative and Analytical Case (RIACM) meetings as appropriate, and by supporting Parties upon request as anticipated in Decision 19.86;
- b) subject to external funding, work with ICCWC partners to expedite ICCWC Wildlife and Forest Crime Analytic Toolkit in West and Central African Parties to inform capacity-building activities;
- c) subject to external funding and upon request from Parties, undertake general and targeted capacity-building activities to strengthen the effective implementation of CITES in the two subregions;
- d) issue a Notification to the Parties requesting them to provide information on their implementation of Decisions 19.84, 19.85, 19.86 and 18.90 (Rev. CoP19);
- e) report to the 78th meeting of the Standing Committee on the implementation of Decision 19.87, paragraphs a), b) and c), and the responses to the Notification in Decision 19.87, paragraph d), together with any recommendations it may have; and
- f) support the Standing Committee in the implementation of Decision 19.88, paragraph a) and b).

***Directed to the Standing Committee***

**19.88** The Standing Committee shall:

- a) establish a working group, with representation from all regions, to make recommendations on the development and adoption of procedures that will promote enhanced collaboration between source, transit and consumer countries, in particular to promote regular communication between source, transit and consumer countries;
- b) consider whether the establishment and administration of a CITES enforcement fund or other mechanisms that could provide targeted and sustainable financial support to combat wildlife crime and implement CITES to Parties that request such support is needed;
- c) consider the report of the Secretariat in accordance with Decision 19.87; and
- d) make recommendations to the Parties, the Secretariat, and the 20th meeting of the Conference of the Parties, as appropriate.

<b>Regulation of trade</b>
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**Non-detriment findings**

***Directed to the Secretariat***

**19.132** The Secretariat shall:

- a) subject to external funding, address priorities in capacity-building related to non-detriment findings (NDF) agreed by the Secretariat and the Technical Advisory Group (TAG), in consultation with the Animals and Plants Committees and Parties, by:
  - i) continuing to support the TAG through which the Animals and Plants Committees provide support and advice for implementation;
  - ii) organizing in consultation with the TAG an international expert workshop on non-detriment findings to review, advance or complete draft guidance materials on NDFs; and
  - iii) undertaking targeted research in support of the development of new or updated NDF guidance materials in close collaboration with the TAG, relevant experts, Parties and organizations to address the agreed workstreams, building on the inventory and gap analysis of existing guidance prepared by the Secretariat;
- b) compile and present the results of the work under paragraph a) to the Animals and Plants Committees for their review and make suggestions on how best to use the outputs to assist Scientific Authorities in the making of NDFs;
- c) make available to Parties on the CITES website the NDF guidance materials resulting from the implementation of the present Decision;
- d) develop a strategy and feedback mechanism for Parties and the wider CITES community to share experiences with using NDF guidance materials, which should allow the Animals and Plants Committees to make recommendations pertaining to review and update the NDF materials as may be needed; and
- e) consult the Animals and Plants Committees on the implementation of the present Decision and make recommendations to the Conference of the Parties as appropriate.

***Directed to the Animals and Plants Committees***

**19.133** The Animals and Plants Committees shall:

- a) continue the TAG established under the recommendations in document AC31/PC25 Com. 3 through which the Animals and Plants Committees provide support and advice for



implementation, and make any appropriate decisions to ensure continued advice and assistance for the implementation of Decisions 19.132 to 19.134;

- b) participate as appropriate in the international expert workshop on NDFs where draft guidance materials are to be reviewed, advanced or completed;
- c) review and make recommendations concerning: the outcomes of the international expert workshop on NDFs; the use of its outputs in support of the making of NDFs by Scientific Authorities; and their publication on the CITES website;
- d) based on the strategy and feedback from Parties and the wider CITES community on experiences with using NDF guidance materials, review and update NDF materials as may be needed; and
- e) report on these activities at the 20th meeting of the Conference of the Parties.

***Directed to Parties***

**19.134** Parties are encouraged to:

- a) provide any help and information regarding methodologies, tools, scientific information, expertise and any other resources used to formulate NDFs in order to contribute to such a workshop;
- b) make use of the guidance materials on NDFs resulting from the implementation of Decisions 19.132 and 19.133 and participate as appropriate in the feedback mechanism on NDF guidance, to be developed by the Secretariat as referred to in Decision 19.132, paragraph d); and
- c) provide financial and technical support for the implementation of Decision 19.132, including for an international expert workshop on non-detriment findings.

**Materials for the identification of specimens of CITES-listed species**

***Directed to the Animals and Plants Committees, in consultation with the Secretariat***

**19.142** The Animals and Plants Committees shall establish a joint working group on identification materials and undertake the following working group tasks, in consultation with the Secretariat:

- a) review selected identification materials and assess the need for their revision and improvement, taking into account the materials that are being developed or have already been developed by Parties and materials requested in Decisions or Resolutions;
- b) consider ways to improve the accuracy and availability of identification materials on CITES-listed species; and
- c) report on the progress with these activities at the meeting(s) of the Animals and Plants Committees.

***Directed to the Secretariat***

**19.143** The Secretariat shall:

- a) continue collecting information on identification materials and share it through the CITES website and the CITES Virtual College; and
- b) report on the progress and make recommendations to the Conference of the Parties, as appropriate.

***Directed to Parties***

**19.144** Parties are encouraged to support the efforts of the working group on identification materials by providing to the Secretariat information on available identification and guidance materials that are used by Parties, and particularly by enforcement and inspection officers, to facilitate implementation of the Convention.

## Identification of timber and other wood products

### ***Directed to the Secretariat, in close consultation with the Plants Committee***

**19.145** The Secretariat shall, in close consultation with the Plants Committee, and subject to external resources:

- a) review the utility and practicality of the online repository, and make recommendations on its development;
- b) based on the outcomes of the review, liaise with relevant organizations and experts in the development of a depository of timber and other wood products identification resources and a dedicated webpage, taking into account the progress and recommendations reported in document PC25 Doc. 19 and its addendum;
- c) in undertaking the above, consideration should be given to tailor the compilation of timber and other wood products identification resources for both frontline enforcement officers and to experts involved in identifying timber and other wood products for forensic or legal purposes; and
- d) report on progress or results of this work to the Plants Committee at its first regular meeting after CoP19 and seek its advice and input.

### ***Directed to Parties***

**19.146** Parties are encouraged to collaborate with the Secretariat in sharing relevant information in support of the implementation of Decisions 19.145 and 19.147.

### ***Directed to the Plants Committee, in collaboration with relevant stakeholders***

**19.147** The Plants Committee shall, in collaboration with relevant stakeholders and building on information on existing initiatives, and progress to date:

- a) develop a plan to prioritize the CITES-listed tree species to focus global efforts on developing and sharing identification reference databases and tools, including sampling campaigns for vouchered reference samples;
- b) prioritize the development of identification material for *Dalbergia* species, taking into consideration progress made in document PC25 Doc. 34 and document CoP19 Doc. 84.1, and giving attention to frontline identification materials to assist differentiating look-alike species that may not be of conservation concern, such as *Dalbergia sissoo*;
- c) develop a list of available techniques and tools, and evaluate their standards and usefulness to species-specific identification and enforcement for CITES-listed tree species and their look-alikes;
- d) determine gaps in current knowledge sources for CITES timber identification, on their availability and usefulness, and consider challenges and resourcing required to make these tools more widely available to CITES Parties;
- e) develop standardized information templates and other tools that could be used by Parties to facilitate sharing information on the content and status of wood sample collections, and exchange with research institutions, law enforcement agencies, and other authorities;
- f) determine methods to stimulate global, regional and national exchange of best practices in wood identification technologies between Parties, including lessons learned on how Parties have built their timber identification capacity and expertise;
- g) review the utility and practicality of the online repository and make recommendations on its development to inform implementation of Decision 19.145;
- h) consider the relevant outcomes of the online Task Force meeting on illegal trade in specimens of CITES-listed tree species presented in the Annex to the Addendum to document SC74 Doc. 33.2; and

- i) update the Standing Committee, as appropriate, on progress made, and report its findings and recommendations for consideration by the Conference of the Parties at its 20th meeting.

***Directed to the Standing Committee***

**19.148** The Standing Committee shall consider any report by the Plants Committee relating to the implementation of Decision 19.147 and convey, as appropriate, any recommendations it may have to the Conference of the Parties.

**Transport of live specimens**

***Directed to the Animals Committee and Plants Committee, in consultation with the Standing Committee, the Secretariat and the International Air Transport Association (IATA)***

**19.158** The Animals and Plants Committees, in consultation with the Standing Committee, the Secretariat, and the International Air Transport Association (IATA), shall hold a workshop to share best practices related to live animal and plant transport. The Animals and Plants Committees shall invite Parties with expertise in this area to present on their management of live animals and plants in trade and steps to support other Parties in meeting CITES requirements for live animal and plant transport consistent with Resolution Conf. 10.21 (Rev. CoP19) on *Transport of live specimens*.

***Directed to Secretariat, in consultation with the Standing Committee***

**19.159** The Secretariat shall, in consultation with the Standing Committee, work with IATA to make relevant sections of the *IATA Live Animal Regulations* and *IATA Perishable Cargo Regulations* available to authorized representatives of Management Authorities and enforcement authorities as electronic or hard copies, depending on the needs of the Party and consider making them accessible to other relevant exporters, transporters and importers, free of charge.

**Specimens produced through biotechnology**

***Directed to the Standing Committee, in close collaboration with the Animals and Plants Committees***

**19.161** The Standing Committee, in close collaboration with the Animals and Plants Committees, shall:

- a) continue to discuss trade in products of biotechnology, which might potentially affect international trade in CITES-listed specimens in a way that would threaten their survival, including enforcement of CITES provisions. The Committee's discussion shall consider the need for new guidance material or updates to existing guidance material on the following issues, in relation to trade in specimens produced through biotechnology:
  - i) whether an update is needed in the *Guidance on the use of the scientific exchange exemption and the simplified procedures to issue permits and certificates*, endorsed by the Standing Committee at SC73 (online, May 2021), to include a section on specimens produced through biotechnology;
  - ii) whether there is a need for additional guidance on making legal acquisition findings in relation to specimens produced through biotechnology;
  - iii) whether there is a need for guidance on the application of source codes to specimens produced through biotechnology;
  - iv) whether guidance is needed to improve permitting and enforcement of trade in specimens produced through biotechnology in order to address the risk of natural specimens of illegal origin being passed as synthetic and thereby entering the market with a valid CITES permit;
  - v) whether guidance is needed on traceability issues to improve permitting and enforcement of trade in specimens produced through biotechnology in order to ensure a clear link (e.g., marking, other means of identification) between a specimen produced through biotechnology and CITES documentation in order to prevent misuse;

- vi) whether biotechnology issues concerning animals and plants should be addressed distinctly; and
  - vii) any emerging issues or cases not considered in the document AC31 Doc. 17/PC25 Doc. 20, such as hirudin and squalene;
- b) communicate to the Animals and Plants Committees any matters that may require scientific advice and guidance, as appropriate; and
  - c) make recommendations for consideration at the 20th meeting of the Conference of the Parties, including appropriate updates to existing guidance materials, the development of new guidance materials on trade in specimens produced from biotechnology or changes to any pertinent Resolutions.

***Directed to the Animals and Plants Committees***

**19.162** The Animals and Plants Committees shall inform implementation of Decision 19.161 and provide any relevant scientific advice and guidance on matters relevant to international trade in specimens produced through biotechnology and communicate it to the Standing Committee, as appropriate.

***Directed to the Secretariat***

**19.163** Subject to the availability of external funds, the Secretariat shall convene and organize a meeting to facilitate the discussions mentioned in Decision 19.161 and develop guidance on the implementation of the amendment to Resolution Conf. 9.6 (Rev. CoP19) on *Trade in readily recognizable parts and derivatives*. The Secretariat shall extend invitations to concerned Parties as well as relevant entities, including the Biological Weapons Convention (BWC), the Secretariat of the Convention on Biological Diversity (CBD), the Food and Agriculture Organization of the United Nations (FAO), the International Union for Conservation of Nature (IUCN), the United Nations Conference on Trade and Development (UNCTAD), the World Health Organization (WHO) and other relevant organizations as appropriate.

**Exemptions and special provisions**

**Review of CITES provisions related to trade  
in specimens of animals and plants not of wild source**

***Directed to the Standing Committee, in consultation with the Animals and Plants Committees***

**19.179** The Standing Committee shall:

- a) in consultation with the Animals and Plants Committees, develop specific terms of reference including *modus operandi* and a roadmap as appropriate, to guide the continuation of the review of trade in specimens of both CITES-listed animals and plants not of wild source;
- b) continue to consider amendments to Resolution Conf. 10.16 (Rev. CoP19) and Resolution Conf. 12.3 (Rev. CoP19), as well as any amendment to other Resolutions concerning provisions on trade in specimens of both CITES-listed animals and plants not of wild source, taking into account findings and suggestions in document SC74 Doc. 56 and any related comments and recommendations from the Standing Committee, Parties, the Secretariat or other stakeholders;
- c) review issues and challenges in the application of the Convention for trade in non-wild specimens of both CITES-listed animal and plant species, in particular key elements that may contribute to the uneven application of Article VII, paragraphs 4 and 5, and consider the scientific advice and guidance from the Animals and Plants Committees on the need for implementing these Articles differently for either animal specimens from species bred in captivity or plant specimens that are artificially propagated; and
- d) make recommendations for addressing these issues and challenges, including amendments to existing Resolutions or development of a new Resolution or Decisions to address these issues and challenges, for consideration at the 20th meeting of the Conference of the Parties.

**Directed to the Animals and Plants Committees**

**19.180** In support of the Standing Committee's implementation of Decision 19.179, the Animals and Plants Committees shall, separately and together in their joint session:

- a) consider the key elements in the current implementation of Article VII paragraphs 4 and 5 for animals and plants, respectively, in the current applicable Resolutions;
- b) determine if there is a need to implement Article VII paragraphs 4 and 5 differently for either animal specimens from species bred in captivity or plant specimens that are artificially propagated than what is outlined in existing Resolutions, and provide their recommendations to the Standing Committee in time for its 78th meeting; and
- c) provide any other scientific advice and guidance on CITES provisions concerning trade in non-wild specimens of CITES-listed animal and plant species to the Standing Committee upon request and as appropriate.

**Guidance on the term 'artificially propagated'**

**Directed to the Secretariat**

**19.182** The Secretariat shall, subject to external funding:

- a) revise, also considering recommendations made at the 25th meeting of the Plants Committee, the *Preliminary guidance on terms related to the artificial propagation of CITES regulated plants* to cover plant specimens derived from artificial propagation (source code 'A') or assisted production (source code 'Y');
- b) review the existing guidance materials, specifically *A guide to the application of CITES source codes* to ensure alignment with a finalized version of the *Preliminary guidance on terms related to the artificial propagation of CITES regulated plants* and report its findings to the Plants Committee for consideration;
- c) submit the draft revised guidance to the Plants Committee; and
- d) subject to approval by the Plants Committee, make it available to Parties in all working languages of the Convention.

**Directed to the Plants Committee**

**19.183** The Plants Committee shall:

- a) consider and, if appropriate, approve, the revised *Preliminary guidance on terms related to the artificial propagation of CITES regulated plants* submitted by the Secretariat as per Decision 19.182; and
- b) consider the report from the Secretariat on the revision of the existing guidance materials to ensure alignment with the updated *Preliminary guidance on terms related to the artificial propagation of CITES regulated plants*, and if appropriate, approve the update to the *Guide to the application of CITES source codes*.

<b>Species conservation and trade</b>
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**Assessment of Appendix-I listed species**

**Directed to the Secretariat**

**19.184** The Secretariat shall:

- a) in consultation with relevant range States and relevant experts, produce detailed assessments on the conservation status, threats, impacts of legal and illegal trade, ongoing *in situ* and *ex situ* conservation strategies or recovery plans and funding/resources available or required for at

least the ten Appendix-I listed species from those listed in the table in paragraph 15 of document CoP19 Doc. 11, and others; and

- b) submit a report, including these assessments, and recommendations on possible actions within the CITES mandate which could contribute to the implementation of the *CITES Strategic Vision 2021-2030* and link to any global biodiversity monitoring framework which may be adopted as part of a post-2020 Global Biodiversity Framework with draft recommendations, for consideration by the Animals and Plants Committees.

#### ***Directed to the Animals and Plants Committees***

**19.185** The Animals and Plants Committees shall:

- a) review the report and draft recommendations prepared by the Secretariat under paragraph a) of Decision 19.184;
- b) taking into account paragraph a) of this Decision, information document AC31 Inf. 6/ PC25 Inf. 8 and the suggestions in document AC31/PC25 Com. 1 (Rev. by Sec.) and its Annex, refine the methodology and its criteria for carrying out an assessment of species listed in Appendix I that might benefit from measures adopted by the Conference of the Parties; and
- c) formulate recommendations, as appropriate, for communication to the range States and consideration at the 20th meeting of the Conference of the Parties.

#### **Identifying information on species at risk of extinction affected by international trade**

#### ***Directed to the Standing Committee, in collaboration with the Animals and Plants Committees***

**19.186** The Standing Committee shall, in collaboration with the Animals and Plants Committees:

- a) consider under Resolution Conf. 19.2 on *Capacity-building* how to provide Parties requesting it with information from any relevant studies, analyses or other sources on the identification of species at risk of extinction that are not yet regulated under CITES or may receive insufficient CITES regulation and that are or may be affected by international trade, working in coordination with the CITES Secretariat, CITES Parties, IUCN, UNEP-WCMC, FAO, regional competent authorities, and relevant experts as appropriate so that Parties may consider such information, as appropriate, in the preparation of listing proposals under Resolution Conf. 9.24 (Rev. CoP17) on the *Criteria for amendment of Appendices I and II*.
- b) establish a working group, with representation from all regions, the Animals and Plants Committees, and observers to review the recommendations developed by the Animals and Plants Committees under Decision 19.187 and make recommendations on the implementation of paragraph a) of the present Decision. The terms of reference for the working group shall be as provided below.

#### ***Terms of reference for the Standing Committee working group***

##### **Mandate**

Review recommendations made by the Animals and Plants Committees under Decision 19.187.

##### **Membership**

The working group will be Party led. It is proposed to have two co-chairs (and if necessary a vice-chair), with the co-chairs leading the work of the group. Membership will be open to Parties, intergovernmental organizations, and observers in line with the Standing Committee Rules of Procedure.

##### ***Modus Operandi***

The group will operate by electronic correspondence to the extent possible. Support with translation and interpretation in the working languages of the Convention will be provided by the CITES Secretariat, subject to external resources. Should a meeting be considered necessary, the group may meet virtually or in conjunction

with intersessional meetings of the Standing Committee or any other CITES meetings should timings and resources permit.

***Directed to the Animals and Plants Committees***

**19.187** The Animals and Plants Committees shall develop draft recommendations for consideration by the Standing Committee to facilitate the implementation of Decision 19.186.

***Directed to the Secretariat***

**19.188** The Secretariat shall support the Animals, Plants and Standing Committees in the implementation of Decisions 19.186 and 19.187 including through the provision of technical expertise and, subject to external funding, translation and interpretation as appropriate.

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**Agarwood-producing taxa (*Aquilaria* spp. and *Gyrinops* spp.)**

***Directed to the Plants Committee, with the assistance of the Secretariat***

**19.239** The Plants Committee shall, with the assistance of the Secretariat, review document CoP19 Doc. 62.2 and information documents CoP19 Inf. 12 and CoP19 Inf. 15 and:

- a) consider potential revisions to Resolution Conf. 16.10 on *Implementation of the Convention for agarwood-producing taxa*, taking into account other relevant Resolutions, including Resolution Conf. 10.13 (Rev. CoP18) on *Implementation of the Convention for tree species*, and Resolution Conf. 11.11 (Rev. CoP18) on *Regulation of trade in plants*, as appropriate;
- b) formulate any appropriate recommendations regarding the Agarwood glossary and the Agarwood NDF guidance; and
- c) report its conclusions and recommendations on paragraphs a) and b) of the present Decision for consideration by the Standing Committee.

***Directed to the Standing Committee***

**19.240** The Standing Committee shall consider the report by the Plants Committee on Decision 19.239 and formulate recommendations to the Conference of the Parties for the improvement of the implementation of the Convention for agarwood-producing taxa.

**Boswellia trees (*Boswellia* spp.)**

***Directed to the Secretariat***

**19.241** The Secretariat shall share the report in information document CoP19 Inf. 10 (Rev. 1). with the Plants Committee.

***Directed to the Plants Committee***

**19.242** The Plants Committee shall:

- a) identify meetings or other venues that might provide opportunities to collaborate or share information regarding harvest and management of these species; and
- b) review the available information submitted by the Secretariat in accordance with Decision 19.241 and the gaps in knowledge identified in document PC25 Doc. 25 on *Boswellia trees* (*Boswellia* spp.) to inform possible listing proposals for *Boswellia* species, including consideration of appropriate annotations consistent with the guidance in Resolution Conf. 11.21 (Rev. CoP19) on *Use of annotations in Appendices I and II*.

## **Rosewood tree species [Leguminosae (Fabaceae)]**

### ***Directed to the Secretariat***

**19.243** Subject to external resources, the Secretariat shall:

- a) compile and submit for consideration of the Plants Committee an overview and status of work completed, underway, or to be undertaken as a result of CoP19 to improve CITES implementation for rosewood tree species;
- b) in consultation with the Plants Committee, develop the terms of reference for a study of rosewood tree species, taking into account findings and recommendations contained in documents PC25 Doc. 26.1, PC25 Doc. 26.2 and PC25 Doc. 26.3 and any planned CITES workshops on non-detriment findings;
- c) commission the study on the conservation and trade rosewood-tree species;
- d) organize an international workshop, inviting relevant range States, trading countries, relevant organizations, industry representatives and other experts to present the results of the study and develop recommendations aimed at improving the implementation of the Convention for rosewood tree species; and
- e) submit the final study for consideration by the Plants Committee, as well as the outcomes of the workshop.

### ***Directed to the Plants Committee***

**19.244** The Plants Committee shall collaborate with the Secretariat in the implementation of Decision 19.243 and make recommendations aimed at improving the implementation of the Convention for rosewood tree species to the Standing Committee and/or the Conference of the Parties, as appropriate.

### ***Directed to the Standing Committee***

**19.245** The Standing Committee shall consider any report from the Plants Committee under Decision 19.244 and make recommendations aimed at improving the implementation, interpretation, and enforcement of the Convention for rosewood tree species to the Conference of the Parties, as appropriate.

## **Products containing specimens of Appendix II orchids (Orchidaceae spp.)**

### ***Directed to the Secretariat***

**19.246** The Secretariat shall:

- a) submit the outcomes of the study on international trade in edible orchids (information document CoP19 Inf. 9) for the consideration of the Standing Committee together with recommendations on how the Convention can be better implemented for the species concerned;
- b) subject to availability of external resources, consult with Parties and stakeholders and undertake a study to compile an overview of Appendix-II orchid taxa that are particularly affected by wild harvest for international trade to inform the following assessments:
  - i) an assessment of the conservation impacts of exempting artificially propagated Appendix-II listed orchid taxa from CITES regulations, including as articulated in footnote annotation 10 (after CoP19, footnote annotation P3) of the CITES Appendices, including identification challenges and look-alike issues; and
  - ii) an assessment of the conservation impacts of exempting derivatives and/or finished products of certain Appendix-II listed orchid taxa from CITES regulations through amendments to annotation #4; and
- c) report to the Plants Committee.



**Directed to the Plants Committee**

**19.247** The Plants Committee shall consider the studies as per Decision 19.246 and make recommendations on how to improve CITES implementation for Appendix-II listed orchids to the Standing Committee or to the Conference of the Parties, as appropriate.

**Directed to the Standing Committee**

**19.248** The Standing Committee shall review any recommendations of the Plants Committee, and make recommendations to the Conference of the Parties, as appropriate.

**Brazil wood (*Paubrasilia echinata*)**

**Directed to the Secretariat**

**19.249** The Secretariat shall:

- a) issue a Notification to the Parties and relevant stakeholders requesting information on recent developments, national and international enforcement actions, illegal trade and marking of bows regarding *Paubrasilia echinata*,
- b) subject to external funding, in consultation with the Plants Committee and in association with expert stakeholders, evaluate options for the establishment of a traceability system to register the provenance of *Paubrasilia echinata* (pernambuco) bows produced, acquired, or transported by owners, musicians and manufacturers; and
- c) prepare a report on its findings on the implementation of paragraphs a) and b) *supra* and submit any resulting recommendations to the Standing Committee at its meeting.

**Directed to the Plants Committee**

**19.250** The Plants Committee shall advise the Secretariat in the implementation of Decision 19.249, paragraph b).

**Directed to Parties, in particular source transit and destination Parties for *Paubrasilia echinata***

**19.251** Parties, and in particular source, transit and destination Parties for *Paubrasilia echinata*, are invited to:

- a) continue national enforcement efforts including investigations of illegal trade in *Paubrasilia echinata* and complement them with joint enforcement actions.
- b) consider the registration of stockpiles of *Paubrasilia echinata* as appropriate;
- c) offer support in capacity-building to Brazil and other Parties as appropriate to improve the implementation of the listing of *Paubrasilia echinata*;
- d) provide information to the Secretariat, as requested in Decision 19.249.

**Directed to governmental, inter-governmental and non-governmental organisations and other entities**

**19.252** Governmental, intergovernmental, and non-governmental organizations and other entities, are invited to:

- a) support the implementation of the listing of *Paubrasilia echinata*, *inter alia* by:
  - i) exploring ways to increase the traceability of finished bows, including for example, the development and implementation of a system of a unique individual marking and by sensitising producers and consumers (in particular musicians) of the status of the species,
  - ii) working with Brazil to identify existing *Paubrasilia echinata* plantations within Brazil that could be considered source code A or Y to establish a sustainable supply chain; and,

- b) provide information to the Secretariat, as requested in Decision 19.249.

**Directed to the Standing Committee**

**19.253** The Standing Committee shall:

- a) consider any report by the Secretariat resulting from the implementation of Decision 19.249, as well as any other relevant information brought to its attention regarding the implementation of the listing of *Paubrasilia echinata* in Appendix II; and,
- b) make recommendations for consideration of the Conference of the Parties at its 20th meeting, including recommendations relating to the development and implementation of a traceability system of *Paubrasilia echinata* specimens.

**African tree species**

**Directed to the Plants Committee**

**19.254** The Plants Committee shall:

- a) update the list of African tree species and associated CITES processes contained in the Annex to document PC25 Doc. 28;
- b) based on documents PC25 Doc. 27, PC25 Doc. 28 and PC25 Doc. 28 Add., and other relevant information, establish priorities to strengthen the implementation of the Convention for African tree species, including priorities and recommendations for the sustainable management and future work on *Prunus africana*;
- c) liaise with Parties in advancing the priorities identified above; and
- d) report the outcomes of this work to the Standing Committee and the Conference of the Parties, as appropriate.

**Directed to the Secretariat**

**19.255** The Secretariat shall, upon request of the Plants Committee, assist in the implementation of Decision 19.254.

**Directed to the Standing Committee**

**19.256** The Standing Committee shall consider the report from the Plants Committee regarding Decision 19.254 and develop recommendations for consideration of the Conference of the Parties, as appropriate.

**Neotropical tree species**

**Directed to the Plants Committee**

**19.257** The Plants Committee shall:

- a) update the list of neotropical tree species and the related CITES processes included in the Annex to document PC25 Doc. 29, taking into consideration the recommendations included in document PC25 Doc. 29, Addenda and the outcomes of CoP19;
- b) based on the above, establish priorities for strengthening the implementation of the Convention for the neotropical tree species concerned;
- c) cooperate with the Parties in making progress with the priorities identified; and
- d) report on the results of this work to the Standing Committee and the Conference of the Parties, as appropriate.

***Directed to Parties***

**19.258** Parties are invited to cooperate with the Plants Committee in the implementation of Decision 19.257.

***Directed to the Secretariat***

**19.259** The Secretariat shall, at the request of the Plants Committee, support the implementation of Decision 19.257.

***Directed to the Standing Committee***

**19.260** The Standing Committee shall consider any reports of the Plants Committee on Decision 19.257 and, if it considers it appropriate, develop recommendations for consideration of the Conference of the Parties.

**Trade in medicinal and aromatic plant species**

***Directed to the Secretariat, in close collaboration with the Plants Committee***

**19.261** The Secretariat shall, in close collaboration with the Plants Committee:

- a) publish a notification inviting Parties to:
  - i) share information materials that have been developed to enhance awareness of CITES regulations and to encourage sustainable use and legal trade in CITES-listed medicinal and aromatic plants and, as far as possible, liaise with key stakeholders of medicinal and aromatic plant trade supply chains for this purpose,
  - ii) review their non-detriment findings (NDFs) for medicinal and aromatic plants and consider sharing such with the Secretariat to be included on the NDF section of the CITES website;
  - iii) assess the utility of the Medicinal Plant Names Service (MPNS) database in their routine work to see if it can contribute to the expansion of the Species+ database; and share any experience of using the MPNS database;
- b) make information materials for raising awareness of CITES regulations for the medicinal and aromatic plant industry stakeholders and consumers available to Parties on the CITES website;
- c) subject to external funding, undertake an analysis of e-commerce supply chains in products of CITES-listed medicinal and aromatic plant species, exploring the applicability of using the Medicinal Plant Names Service (MPNS) database in the analysis, and including
  - i) a stakeholder analysis of key producers, intermediate traders, manufacturers or distribution platforms to end consumers, and of institutions influencing the demand for CITES-regulated medicinal and aromatic plant products in biomedical, traditional and alternative medical systems, cosmetic and personal care, food industries (as appropriate), and
  - ii) an assessment whether existing annotations are adequate with respect to the principles and principles recommended in Resolution Conf. 11.21 (Rev. CoP19) on *Use of annotations in Appendices I and II*; and
- d) report to the Plants Committee on the implementation of this Decision, including suggestions, as appropriate, regarding Resolution Conf. 10.19 (Rev. CoP14) on *Traditional medicines* or a new Resolution in relation to medicinal and aromatic plant products.

***Directed to Parties***

**19.262** Parties are invited to support the implementation of Decision 19.261.

***Directed to the Plants Committee, in consultation with the Animals Committee, as appropriate***

**19.263** The Plants Committee shall:

- a) be informed and review the report of the Secretariat, and the feedback from Parties as per Decision 19.261, regarding the utility of the Medicinal Plant Names Service, with advice from the nomenclature specialist, as appropriate;
- b) taking into consideration the information in document PC25 Doc. 30, information document CoP18 Inf. 11, the report of the Secretariat as per Decision 19.261, and other relevant information, and in consultation with the Animals Committee, as appropriate, undertake a review of Resolution Conf. 10.19 (Rev. CoP14) on *Traditional medicines*, and prepare recommendations for a revised Resolution on traditional medicines or a new Resolution on medicinal and aromatic plant products; and
- c) submit its recommendations to the Standing Committee or the Conference of the Parties, as appropriate.

**Directed to the Standing Committee**

**19.264** The Standing Committee shall review the report from the Plants Committee as per Decision 19.263, as appropriate, and make recommendations, as appropriate, to the Conference of the Parties.

<b>Appendices of the Convention</b>
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**ANNOTATIONS**

**Annotations**

**Directed to the Standing Committee, in close collaboration with the Animals and Plants Committees,**

**16.162 (Rev. CoP19)** The Standing Committee shall re-establish the working group on annotations, in close collaboration with the Animals and Plants Committees, recognizing that the Animals and Plants Committees are an important source of expertise and advice to Parties on such scientific and technical issues. The group shall include, but not be limited to, members from the Standing Committee, Animals Committee, Plants Committee, observer Parties, CITES Management and Scientific Authorities, enforcement authorities, including customs, and industry representatives. The Standing Committee shall particularly endeavour to ensure balanced representation of importing and exporting Parties. The terms of reference for the working group shall be:

- a) in close collaboration with ongoing efforts in the Plants Committee, to continue reviewing the appropriateness and practical challenges resulting from the implementation of the annotations to the Appendices, including but not limited to those on the tree species, of the agarwood-producing taxa (*Aquilaria* spp. and *Gyrinops* spp.), *Aniba rosaeodora*, *Bulnesia sarmientoi* and orchids, and to identify options to streamline these annotations taking into account the guidance provided by Resolution Conf. 11.21 (Rev. CoP19) on *Use of annotations in Appendices I and II*;
- b) to develop or refine definitions of terms used in current annotations as appropriate, and submit them for adoption by the Conference of the Parties and subsequent inclusion in the Interpretation section of the Appendices;
- c) to review and update the definitions of wood and wood products currently located in paragraph 1 c) of Resolution Conf. 10.13 (Rev. CoP18) on *Implementation of the Convention for tree species*, for logs, sawn wood, veneer sheets, and plywood;
- d) to conduct any work related to annotations directed to it by the Conference of the Parties, the Standing, Animals or Plants Committee; and
- e) to prepare reports on progress made in addressing the issues tasked to it and submit them for consideration at the 77th and 78th meetings of the Standing Committee.

## **Annotation #15**

### ***Directed to the Secretariat***

**18.321 (Rev. CoP19)** The Secretariat shall:

- a) subject to available resources, undertake a study to assess the effect on *Dalbergia/Guibourtia* species in international trade of the exemptions contained in annotation #15 for finished musical instruments, parts and accessories, and the conservation implications thereof;
- b) bring any scientific or technical issues to the attention of the Plants Committee and seek its advice; and
- c) report the results of its evaluation along with its recommendations to the Standing Committee.

### ***Directed to the Standing Committee***

**18.322 (Rev. CoP19)** The Standing Committee shall in the context of its work on annotations under the relevant Decisions, consider any report by the Secretariat in accordance with Decision 18.321 (Rev. CoP19), undertake additional evaluation if necessary and report to the 20th meeting of the Conference of the Parties. If warranted, the Standing Committee may work with relevant Parties in preparing an amendment proposal for the 20th meeting of the Conference of the Parties.

## **Implications of the transfer of a species from one Appendix to another**

### ***Directed to the Standing Committee, with the assistance of the Secretariat***

**18.151(Rev. CoP19)** The Standing Committee shall, with the assistance of the Secretariat, consider whether further guidance related to the period of transition, including the period between the adoption of a proposal to transfer a species from one Appendix to another and the entry into force of the new listing, should be developed and, if so, present amendments to an existing Resolution or a new draft resolution to the 20th meeting of the Conference of the Parties. In this context, the Standing Committee shall consider, in consultation with the Plants Committee, as appropriate, whether special recommendations should apply in the case of a transfer of a tree species with annotation #5 or other annotated plant species.

## **Information system for trade in specimens of CITES-listed tree species**

### ***Directed to the Standing Committee, in consultation with the Plants Committee***

**18.317 (Rev. CoP19)** The Standing Committee, in consultation with the Plants Committee, shall explore the feasibility and requirements for developing an information system, subject to agreed terms of reference, for the purpose of processing trade data associated with transactions in specimens of CITES-listed tree species authorized under the provisions of the Convention and submit any relevant recommendations to the 20th meeting of the Conference of the Parties.

### ***Directed to the Secretariat***

**19.265** Subject to external funding, the Secretariat shall commission a study exploring the feasibility and requirements for developing an information system as proposed and provide its findings and recommendations to the Standing Committee.

## Informal review mechanism for existing and proposed annotations

### **Directed to the Secretariat**

**19.266** Subject to external funding, the Secretariat shall prepare a proposal on the feasibility and requirements for an informal review mechanism for existing and proposed annotations, provide its findings and recommendations to the Standing Committee.

### **Directed to the Standing Committee, in consultation with the Animals and Plants Committees**

**19.267** The Standing Committee, in consultation with the Animals Committee and the Plants Committee, shall evaluate the Secretariat's proposal for an informal review mechanism for existing and proposed annotations and submit any relevant recommendations to the 20th meeting of the Conference of the Parties.

## Orchid specimens exempted through annotation #4 g)

### **Directed to the Secretariat**

**19.268** No less than one (1) year after entry into force of the Decisions adopted at the 19th meeting of the Conference of the Parties, the Secretariat shall issue a Notification to the Parties requesting the following information:

- a) whether there have been any implementation issues concerning the annotation #4 exemption for finished products packaged and ready for retail trade of cosmetics containing parts and derivatives of specimens of *Bletilla striata*, *Cycnoches cooperi*, *Gastrodia elata*, *Phalaenopsis amabilis* and *Phalaenopsis lobbii*, and if so describe the issues;
- b) whether Parties have identified any conservation impacts of the annotation #4 exemption on the status of *Bletilla striata*, *Cycnoches cooperi*, *Gastrodia elata*, *Phalaenopsis amabilis* and *Phalaenopsis lobbii* in the wild; and
- c) based on the responses received, prepare a report to the Standing Committee on implementation challenges and to the Plants Committee on conservation impacts of the exemption.

### **Directed to Parties**

**19.269** Parties are encouraged to submit pertinent information concerning the annotation #4 exemption for finished products packaged and ready for retail trade of cosmetics containing parts and derivatives of specimens of *Bletilla striata*, *Cycnoches cooperi*, *Gastrodia elata*, *Phalaenopsis amabilis* and *Phalaenopsis lobbii* as requested in Decision 19.268.

### **Directed to the Plants Committee**

**19.270** The Plants Committee shall:

- a) review the information received as requested under Decision 19.268 with a view to assessing whether the exemption provided under annotation #4 has had any impacts on the wild populations of these species; and
- b) based on the outcome of this review, formulate recommendations to the Standing Committee concerning the exemption provided under annotation #4 for *Bletilla striata*, *Cycnoches cooperi*, *Gastrodia elata*, *Phalaenopsis amabilis* and *Phalaenopsis lobbii*.

### **Directed to the Standing Committee**

**19.271** The Standing Committee shall:

- a) consider the report of the Secretariat in accordance with Decision 19.268 and any recommendations of the Plants Committee under Decision 19.270; and

- b) formulate recommendations to the 20th meeting of the Conference of the Parties concerning the application and conservation impacts of the exemption provided under annotation #4 to *Bletilla striata*, *Cycnoches cooperi*, *Gastrodia elata*, *Phalaenopsis amabilis* and *Phalaenopsis lobbii*, as appropriate.

#### **Annotation of Cape aloe (*Aloe ferox*)**

##### ***Directed to the Secretariat***

**18.323 (Rev. CoP19)** The Secretariat shall issue a Notification to the Parties requesting the following information:

- a) whether, and if so how, the amended annotation #4 has impacted the international trade in *Aloe ferox* specimens; and
- b) whether, and if so how, the amended annotation #4 has affected the population size, distribution, status and harvest of *Aloe ferox*.

**18.324 (Rev. CoP19)** The Secretariat shall compile responses from the Parties as requested under Decision 18.323 (Rev. CoP19) and provide these responses to the Plants Committee.

##### ***Directed to the Plants Committee***

**18.325 (Rev. CoP19)** The Plants Committee shall review the information received as requested under Decision 18.324 (Rev. CoP19) and other relevant information available regarding the status, management, and international trade in *Aloe ferox*, with a view to assessing whether the exemption of finished products of *Aloe ferox* packaged and ready for retail trade from CITES regulation have had any impacts on the natural populations of the species. Based on the outcome of this review, the Plants Committee shall formulate recommendations concerning the listing of *Aloe ferox* for consideration at the 20th meeting of the Conference of the Parties.

##### ***Directed to Parties***

**18.326 (Rev. CoP19)** Range countries, consumer countries, and other countries involved in the management, propagation, or trade of *Aloe ferox* are encouraged to provide information regarding the status, management, and trade in this species as requested under Decision 18.323 (Rev. CoP19).

### **NOMENCLATURE MATTERS**

#### **Nomenclature of Appendix-III listings**

##### ***Directed to the Animals and Plants Committees***

**18.313 (Rev. CoP19)** The Animals and Plants Committees shall, taking into consideration the current guidance in Resolution Conf. 12.11 (Rev. CoP19) on *Standard nomenclature*, paragraph 2 g), evaluate how nomenclature changes affect Appendix-III listings and propose further guidance and recommendations as necessary, that address how such nomenclature changes are to be handled, for consideration by the Standing Committee.

##### ***Directed to the Standing Committee, in consultation with the Secretariat***

**18.314 (Rev. CoP19)** The Standing Committee, in consultation with the Secretariat, shall take into account the guidance and recommendations from the Animals and Plants Committees and make recommendations to address nomenclature changes that affect an Appendix-III listing, including possible amendments to Resolution Conf. 12.11 (Rev. CoP19) or Resolution Conf. 9.25 (Rev. CoP18) on *Inclusion of species in Appendix III*, for consideration at the 20th meeting of the Conference of the Parties.

## Higher taxon listings in the Appendices

### **Directed to the Animals and Plants Committees**

**19.272** The Animals and Plants Committees shall, taking into consideration document AC31 Doc. 38 with its Annex and its addendum, as well as the provisions of Resolution Conf. 9.24 (Rev. CoP17) on *Criteria for amendment of Appendices I and II*, Annex 3, review the impacts of existing and future higher taxon listings in the Appendices and propose further guidance and recommendations as necessary, for consideration by the Standing Committee.

### **Directed to the Standing Committee**

**19.273** The Standing Committee shall take into account the guidance and recommendations from the Animals and Plants Committees and make any recommendations to the 20th meeting of the Conference of the Parties, as required.

## Development of a standardized global checklist of species

### **Directed to the Animals and Plants Committees**

**19.274** Subject to external funding, the Animals and Plants Committee shall, through their respective nomenclature specialists, participate in the initiative of the International Union of Biological Sciences to develop a standardized global checklist of species, and report on progress to the 20th meeting of the Conference of the Parties.

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### **Nomenclature for aloes (*Aloe* spp.)**

### **Directed to the Secretariat, in close cooperation with the nomenclature specialist of the Plants Committee**

**19.279** The Secretariat shall, in close cooperation with the nomenclature specialist of the Plants Committee:

- a) subject to the availability of external resources, commission the drafting of an updated standard nomenclature reference for *Aloe* spp., taking into consideration relevant elements of paragraph 5 of the Addendum to document PC25 Doc. 31 and the Annex to PC25 Com. 5; and
- b) report on progress or results of this work to the Plants Committee.

### **Directed to the Plants Committee**

**19.280** The Plants Committee shall:

- a) consider progress and results reported by the Secretariat as per Decision 19.279;
- b) provide input to assist with the preparation of the updated *Aloe* spp. checklist, as noted in paragraph 5 of the Addendum to document PC25 Doc. 31; and
- c) make recommendations to the Conference of the Parties, as appropriate.

## Cactaceae Checklist and its Supplement

### **Directed to Parties**

**18.304 (Rev. CoP19)** Parties shall inform the Secretariat on their experience in using the *CITES Cactaceae Checklist* (3rd edition) and its *Supplement* (2018) and any issues that may arise as they apply these lists, including feedback to improve it in the light of relevant updates of cacti taxonomy.



**Directed to the Secretariat**

**18.305 (Rev. CoP19)** The Secretariat shall:

- a) liaise with the United Nations Environment Programme – World Conservation Monitoring Centre (UNEP-WCMC) to obtain feedback on the utility of the *CITES Cactaceae Checklist* (3rd edition) and its *Supplement* (2018) and any queries that arise as they update relevant databases, taking into account feedback provided by Parties as per Decision 18.304 (Rev. CoP19); and
- b) report to the Plants Committee of this feedback and any comments it receives from the Parties in order for the Committee to review this at its regular meetings.

**Directed to the Plants Committee**

**18.306 (Rev. CoP19)** The Plants Committee shall review any report from the Secretariat related to the implementation of Decision 18.305 (Rev. CoP19) and, as appropriate, make recommendations to the Conference of the Parties at its 20th meeting.

**Production of a CITES Checklist for rosewoods (*Dalbergia* spp.)**

**Directed to the Secretariat, in close cooperation with the nomenclature specialist of the Plants Committee**

**18.307 (Rev. CoP19)** The Secretariat shall, in close cooperation with the nomenclature specialist of the Plants Committee:

- a) subject to availability of external resources, develop an annotated CITES Checklist of *Dalbergia* spp., taking into consideration:
  - i) the Checklist as included in Resolution Conf. 12.11 (Rev. CoP19) on *Standard nomenclature*;
  - ii) research and other work needed for the production of such a Checklist; and
  - iii) aspects related to its publication; and
- b) report on progress to the Plants Committee at its regular meetings and seek its advice and input.

**Directed to the Plants Committee**

**18.308 (Rev. CoP19)** The Plants Committee shall:

- a) consider progress reported by the Secretariat as per Decision 18.307 (Rev. CoP19); and
- b) make recommendations to the Secretariat and the Conference of the Parties, as appropriate.

**Nomenclature for ebonies (*Diospyros* spp.) (populations of Madagascar)**

**Directed to the Secretariat, in close cooperation with the nomenclature specialist of the Plants Committee**

**19.281** The Secretariat shall, in close cooperation with the nomenclature specialist of the Plants Committee:

- a) subject to the availability of external resources, commission the drafting of an updated standard nomenclature reference of *Diospyros* spp. (populations of Madagascar), taking into consideration relevant elements of paragraph 8 of the Addendum to document PC25 Doc. 31; and
- b) report on progress or results of this work to the Plants Committee.

**Directed to the Plants Committee**

**19.282** The Plants Committee shall:

- a) consider progress and results reported by the Secretariat as per Decision 19.281; and
- b) make recommendations to the Conference of the Parties, as appropriate.

**Standard nomenclature for cumarus (*Dipteryx* spp.)**

**Directed to the Plants Committee, with the support of the Secretariat**

**19.283** The Plants Committee, with the support of the Secretariat, shall:

- a) consider and evaluate the nomenclatural issues related to *Dipteryx* spp., as outlined in document CoP19 Doc. 84.2 and identify a suitable standard nomenclatural reference for amendment of the Annex to Resolution Conf. 12.11 (Rev. CoP19) on *Standard nomenclature*; and
- b) formulate recommendations to the 20th meeting of the Conference of the Parties.

**Standard nomenclature for African mahoganies (*Khaya* spp.)**

**Directed to the Plants Committee, with the support of the Secretariat**

**19.284** The Plants Committee, with the support of the Secretariat, shall:

- a) consider and evaluate the nomenclatural issues related to *Khaya* spp., as outlined in document CoP19 Doc. 84.3 and identify a suitable standard nomenclatural reference for amendment of the Annex to Resolution Conf. 12.11 (Rev. CoP19) on *Standard nomenclature*; and
- b) formulate recommendations to the 20th meeting of the Conference of the Parties.

**Nomenclature of Appendix-II listed orchids (*Orchidaceae* spp.)**

**Directed to the Secretariat**

**19.285** The Secretariat shall:

- a) seek feedback from Parties and relevant experts on their experience in using the standard nomenclatural reference for Appendix-II listed orchids, in Resolution Conf. 12.11 (Rev. CoP19) on *Standard nomenclature*, including suggestions to improve the standard nomenclature in light of relevant updates in orchid taxonomy;
- b) subject to the availability of external resources, and in close collaboration with the nomenclature specialist of the Plants Committee, commission the drafting of an update of the standard nomenclature references for Appendix II-listed orchids, taking into account feedback received under paragraph a) of this Decision; and
- c) report to the Plants Committee the feedback received for review.

**Directed to the Plants Committee**

**19.286** The Plants Committee shall review any report from the Secretariat related to the implementation of Decision 19.285 and, as appropriate, make recommendations to the Conference of the Parties.

**Nomenclature for pachypodiums (*Pachypodium* spp.)**

**Directed to the Secretariat, in close cooperation with the nomenclature specialist of the Plants Committee**

**19.287** The Secretariat shall, in close cooperation with the nomenclature specialist of the Plants Committee:

- a) subject to the availability of external resources, commission the drafting of an updated standard nomenclature reference for *Pachypodium* spp., taking into consideration relevant elements of paragraph 6 of the Addendum to document PC25 Doc. 31; and
- b) report on progress or results of this work to the Plants Committee.

***Directed to the Plants Committee***

**19.288** The Plants Committee shall:

- a) consider progress and results reported by the Secretariat as per Decision 19.287;
- b) provide input to assist with the preparation of the updated *Pachypodium* checklist, as noted in paragraph 6 of the Addendum to document PC25 Doc. 31; and
- c) make recommendations to the Conference of the Parties, as appropriate.

**Standard nomenclature for roseroots (*Rhodiola* spp.)**

***Directed to the Plants Committee, with the support of the Secretariat***

**19.289** The Plants Committee, with the support of the Secretariat, shall:

- a) consider and evaluate the nomenclatural issues related to *Rhodiola* spp., as outlined in document CoP19 Doc. 84.4 and identify a suitable standard nomenclatural reference for amendment of the Annex to Resolution Conf. 12.11 (Rev. CoP19) on *Standard nomenclature*; and,
- b) formulate recommendations to the 20th meeting of the Conference of the Parties.

**Nomenclature for yews (*Taxus* spp.)**

***Directed to the Secretariat, in close cooperation with the nomenclature specialist of the Plants Committee***

**19.290** The Secretariat shall, in close cooperation with the nomenclature specialist of the Plants Committee:

- a) subject to the availability of external resources, commission the drafting of an update of the standard nomenclature reference for *Taxus* spp., taking into consideration relevant elements of paragraph 10 of the Addendum to document PC25 Doc. 31; and
- b) report on progress or results of this work to the Plants Committee.

***Directed to the Plants Committee***

**19.291** The Plants Committee shall:

- a) consider progress and results reported by the Secretariat as per Decision 19.290; and
- b) make recommendations to the Conference of the Parties, as appropriate.