#### CONVENTION SUR LE COMMERCE INTERNATIONAL DES ESPECES DE FAUNE ET DE FLORE SAUVAGES MENACEES D'EXTINCTION



#### Vingt-sixième session du Comité pour les plantes Genève (Suisse), 5 – 9 juin 2023

#### Conservation et commerce d'espèces

#### Flore

#### BOIS-BRÉSIL (PAUBRASILIA ECHINATA)

- 1. Le présent document a été préparé par le Secrétariat.
- 2. Lors de sa 19e session (CoP19 ; Panama City, 2022), la Conférence des Parties a adopté un amendement à l'annotation #10 pour *Paubrasilia echinata*, comme suit :

"Toutes les parties, tous les produits et produits finis, sauf les instruments de musique finis, les accessoires pour instruments de musique finis et les parties d'instruments de musique finis réexportés."

 Pour compléter cet amendement concernant l'annexe II, la CoP19 a également adopté les décisions 19.249 à 19.253 sur le Bois-brésil (*Paubrasilia echinata*), comme suit :

#### À l'adresse du Secrétariat

- 19.249 Le Secrétariat doit :
  - a) envoie une notification aux Parties et aux parties prenantes concernées sollicitant des informations concernant Paubrasilia echinata, notamment sur l'évolution de la situation, les mesures de lutte contre la fraude nationales et internationales, le commerce et le marquage des archets;
  - b) sous réserve de financement externe, en consultation avec le Comité pour les plantes et en collaboration avec des parties prenantes expertes, évalue les possibilités d'établir un système de traçabilité pour enregistrer la provenance des archets de Paubrasilia echinata (bois-brésil) produits, acquis ou transportés par des propriétaires, des musiciens et des fabricants ; et
  - c) prépare un rapport sur ses conclusions relatives à la mise en œuvre des paragraphes a) et b) supra et soumet toute recommandation qui en résultera à la session du Comité permanent.

#### À l'adresse du Comité pour les plantes

**19.250** Le Comité pour les plantes conseille le Secrétariat pour la mise en œuvre du paragraphe b) de la décision 19.249.

# À l'adresse des Parties, en particulier celles qui sont des pays d'origine, de transit et de destination de Paubrasilia echinata

**19.251** Les Parties, et en particulier celles qui sont des pays d'origine, de transit et de destination de Paubrasilia echinata, sont invitées à :

- a) poursuivre leurs efforts de lutte contre la fraude au niveau national, notamment les enquêtes sur le commerce illégal de Paubrasilia echinata, et de les compléter par des mesures conjointes de lutte contre la fraude ;
- b) envisager d'enregistrer les stocks de Paubrasilia echinata, le cas échéant ;
- c) offrir, le cas échéant, au Brésil et à d'autres Parties, un soutien en matière de renforcement des capacités pour améliorer l'application de l'inscription de Paubrasilia echinata ; et
- d) fournir des informations au Secrétariat, comme demandé dans la décision 19.249.

# À l'adresse des organisations gouvernementales, intergouvernementales et non gouvernementales et autres entités

- **19.252** Les organisations gouvernementales, intergouvernementales et non gouvernementales et autres entités sont invitées à :
  - a) soutenir l'application de l'inscription de Paubrasilia echinata, notamment :
    - i) en explorant des moyens d'améliorer la traçabilité des archets finis y compris, par exemple, en mettant au point et en œuvre un système de marquage unique et individuel et en sensibilisant les producteurs et les consommateurs (en particulier les musiciens) à la situation de l'espèce,
    - *ii)* en travaillant avec le Brésil au recensement des plantations brésiliennes existantes de Paubrasilia echinata pouvant être considérées de code de source A ou Y, en vue d'établir une chaîne d'approvisionnement durable ; et
  - b) fournir des informations au Secrétariat, comme demandé dans la décision 19.249.

#### À l'adresse du Comité permanent

- 19.253 Le Comité permanent :
  - a) examine tout rapport du Secrétariat résultant de la mise en œuvre de la décision 19.249, ainsi que toute autre information pertinente portée à son attention, concernant l'application de l'inscription de Paubrasilia echinata à l'Annexe II ; et
  - b) prépare des recommandations pour examen par la Conférence des Parties à sa 20e session, y compris des recommandations relatives à l'élaboration et à la mise en œuvre d'un système de traçabilité pour les spécimens de Paubrasilia echinata.

#### Progrès réalisés dans la mise en œuvre de la décision 19.249

- 4. En ce qui concerne l'étude demandée au paragraphe b) de la décision 19.249, le Secrétariat a estimé le coût de sa mise en œuvre à 60 000 USD et des fonds ont été alloués pour entreprendre cette étude (voir la notification aux parties <u>n° 2023/024</u> sur la *Situation des financements pour la mise en œuvre des décisions en vigueur après la CoP19*).
- 5. En préparation de cette étude, le Secrétariat a joint en annexe 1 du présent document un projet de plan d'action pour examen par le Comité pour les plantes lors de la présente session. Ce projet de plan d'action s'inscrit dans le champ d'application défini par le paragraphe b) de la décision 19.249, à savoir "évalue[r] les possibilités d'établir un système de traçabilité pour enregistrer la provenance des archets de Paubrasilia echinata (bois-brésil) produits, acquis ou transportés par des propriétaires, des musiciens et des fabricants". Par conséquent, le projet de plan d'action figurant à l'annexe 1 inclut l'examen des réponses relatives à la traçabilité et aux chaînes d'approvisionnement reçues en réponse à la notification aux parties <u>n° 2023/033</u> (voir ci-dessous), telles qu'elles figurent à l'annexe 2 du présent document.
- 6. Le 22 mars 2023, le Secrétariat a publié la <u>Notification aux Parties n° 2023/033</u>, comme le prévoit le paragraphe a) de la Décision 19.249. La notification était adressée aux Parties et aux parties prenantes mentionnées dans les décisions 19.251 et 19.252, et était accompagnée d'un <u>questionnaire</u> sur Paubrasilia echinata recueillant des informations relatives aux décisions 19.249 à 19.253. Les réponses à la notification

ont servi de base aux informations générales et aux recommandations du présent document, et ont été reprises dans la langue et le format dans lesquels elles ont été reçues, comme suit :

*Parties (12 réponses) :* Allemagne, Autriche, Brésil, Canada, Etats-Unis d'Amérique, Japon, Pays-Bas, République tchèque, Royaume-Uni de Grande-Bretagne et d'Irlande du Nord, Slovaquie, Suède et Suisse.

Secteur privé (3 responses) : Federal Guild Association ;

ForestBased Solutions, LLC ; et,

Représentants de l'industrie musicale. Il s'agit d'une réponse collective des organisations suivantes : American Federation of Violin and Bow Makers (AFVM), Confederation of European Music Industries (CAFIM), Chambre Syndicale de la Facture Instrumentale (CSFI), International Alliance of Violin and Bow Makers for Endangered Species (Alliance), International Federation of Musicians (FIM), International Pernambuco Conservation Initiative (France-Europe, Germany & USA), International Society of Violin and Bow Makers (EILA), League of American Orchestras (League), PEARLE –Live Music Europe (PEARLE)

#### Autres : M. Jean de Smett

7. Outre les aspects relatifs à la traçabilité et aux chaînes d'approvisionnement de *Paubrasilia echinata*, les réponses de l'annexe 2 fournissent des informations d'une importance transversale pour la mise en œuvre des décisions 19.250 à 19.253, telles que la lutte contre la fraude, l'enregistrement des stocks et les besoins en matière de renforcement des capacités pour la mise en œuvre de l'inscription de *P. echinata* à l'annexe II. Étant donné qu'elles sont assez substantielles, une analyse de ces réponses a également été incorporée en tant que résultat (avec les activités associées) dans le projet de plan d'action en annexe 1.

#### **Recommandations**

8. Le Comité pour les plantes est invité à donner son avis au Secrétariat sur le projet de plan d'action figurant à l'annexe 1 du présent document, en tenant compte des réponses figurant à l'annexe 2 du présent document.

#### PROJET DE PLAN D'ACTION

#### ÉTUDE SUR LA MISE EN OEUVRE APPROFONDIE DE L'INSCRIPTION DE PAUBRASILIA ECHINATA À L'ANNEXE II

# Résultat 1 : Rapport sur les options possibles pour la mise en place d'un système de traçabilité des archets de *Paubrasilia echinata*

#### <u>Activités</u>

- 1.1 Examiner les données de la documentation relative aux systèmes de traçabilité du bois et des spécimens de bois dans le commerce qui sont ou pourraient s'avérer utiles pour la traçabilité du commerce des archets de *Paubrasilia echinata*, conformément au paragraphe b) de la décision 19.243.
- 1.2 Pour ce faire, une attention particulière sera portée à l'analyse des éléments correspondants parmi les réponses à la notification aux parties n° 2023/033, telle qu'elle figure à l'annexe 2 du document PC26 Doc. 31.

# Résultat 2 : Rapport sur les questions transversales relatives à la mise en œuvre des décisions 19.250 à 19.253

#### Activités

- 2.1 Examiner, analyser et faire la synthèse des réponses à la Notification aux Parties n° 2023/033 (annexe 2 du document PC26 Doc. 31) et entreprendre une analyse des éléments suivants : lutte contre la fraude, enregistrement des stocks, besoins en matière de renforcement des capacités et autres questions transversales liées à la mise en œuvre des Décisions 19.250 à 19.253.
- 2.2 En plus des points ci-dessus, il convient de procéder à une analyse documentaire et d'en intégrer les résultats dans les sections correspondantes de l'analyse du paragraphe susmentionné.

# Résultat 3 : Rapport consolidé sur une meilleure mise en œuvre de l'inscription de *Paubrasilia echinata* à l'annexe II

#### Activité

3.0. Préparer un projet de rapport consolidé sur les résultats 1 et 2, en vue du rapport du Secrétariat au Comité pour les plantes et au Comité permanent lors de leurs prochaines sessions.

#### Notification to the Parties No. 2023/033 Annex 2

#### Questionnaire on Brazil wood (Paubrasilia echinata)

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

#### 2. Contact information

a) Party, Institution:	Organization,	or	Austria	
			Name	Martin Rose
b) Contact:			Phone	+43171162611404
			Email	martin.rose@bmk.gv.at

#### Traceability and supply chains of Paubrasilia echinata

Traceability of <i>P. echinata</i> bows	Answer or comments			
3.1. Are you aware of any traceability system in place	□No			
for bows of <b>P. echinata</b> ? Tick or mark in bold whichever applies	⊠Yes	□Acquired bows	□Owners □Musicians □Manufacturers	
		⊠Produced bows	□Owners □Musicians ⊠Manufacturers	Inclusion of serial numbers on newly produced bows
		□Transported bows	<ul><li>☐Owners</li><li>☐Musicians</li><li>☐Manufacturers</li></ul>	
3.2. Are you aware of <b>any</b> <b>other</b> traceability system for musical instrument accessories or musical instruments parts? If so, please provide some details.	-			
3.3. Are you aware of any recent developments on the marking of bows of <i>Paubrasilia echinata</i> ? If so, please specify.	number the origi	tioned under 3.1, newl and producers provide in to the buyer.	e a certificate with info	ormation regarding
3.4. According to you, what are the key elements of a traceability system for pernambuco bows?	A marking of bows has to accompanied by proper bookkeeping on the manufacturers side and the crucial information to proof origin has to be transferred to the buyer.			
3.5. Please provide any other information you consider relevant to the traceability of bows and other specimens of <i>Paubrasilia echinata</i> .		bility of newly produce bws. A permanent mark		

Traceability of P. echinata	Answer or comments
bows	
3.6. Please provide any information relating to the existence and status of plantations of <i>Paubrasilia</i> <i>echinata</i> that could be considered source code A or Y.	In preparation of the CoP we received information from the International Pernambuco Conservation Initiative of their projects. We are however not able to provide up-to-date information on these projects. We stand ready to share the information we have.
3.7. List of attachments or relevant links. List here any attachments and links you will provide as a complement to this section.	-

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement actions:	No enfoncements actions specific to <i>Paubrasilia echinata</i> have been taken.
4.2. International enforcement actions:	-
4.3. Illegal trade ( <i>e.g.</i> seizures):	There have been no recent seizures in Austria.
4.5. Other relevant information relating to enforcement:	-

#### **Stockpiles**

Registration of stockpiles	Answer or comments		
5.1. Are you aware of any	⊠No/Unknown		
stockpile registration system	□Yes. Please		
for specimens of <i>P. echinata</i> ?	elaborate:		
5.2. Additional information	-		
relevant to the implementation			
of Decision 19.251			

6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification			
1) Harmonization	It is important to have a harmonized approach on these issues, different system can lead to loopholes that can be exploited – this has happened in the past with <i>Paubrasilia echinata</i> and we therefore should pay special attention to that.			
2)				
3)				
add rows as needed				

Decisions 19.249 to 19.253

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

#### 2. Contact information

a) Party, Organization, or Institution:	Brazilian Institute for the Environment and Renewable Natural		
a) Party, Organization, or institution.	Resources - IBAMA		
	Name	Foreign Trade Coordination – COMEX/DBFLO	
b) Contact:	Phone		
	Email	cites.sede@ibama.gov.br	

#### Traceability and supply chains of Paubrasilia echinata

Traceability of <i>P. echinata</i> bows	Answer or comments			
3.1. Are you aware of any traceability system in place	⊠No			
for bows of <i>P. echinata</i> ?	□Yes	□Acquired bows		
Tick or mark in <b>bold</b> whichever		•		
applies			□Manufacturers	
		Produced bows		
			□Musicians	
			□Manufacturers	
		□Transported bows		
			□Manufacturers	
3.2. Are you aware of <i>any</i>				
other traceability system for				
musical instrument				
accessories or musical instruments parts? If so,				
please provide some				
details.				
3.3. Are you aware of any				
recent developments on the				
marking of bows of				
Paubrasilia echinata? If so,				
please specify.				
3.4. According to you, what	-	in of the wood used fo	or the manufacture of	the bow should be
are the key elements of a	known.			
traceability system for pernambuco bows?	Another important point is to know when the wood was extracted from			
	nature in order to verify the possibility of considering it as pre-Conv material.			t as pre-convention

Traceability of <i>P. echinata</i> bows	Answer or comments
3.5. Please provide any other information you consider relevant to the traceability of bows and other specimens of <i>Paubrasilia echinata</i> .	We have used isotope testing to identify the origin of wood, but we have no way of knowing when the wood was extracted from nature.
3.6. Please provide any information relating to the existence and status of plantations of <i>Paubrasilia</i> <i>echinata</i> that could be considered source code A or Y.	Ibama created a Working Group to resolve issues such as the origin of wood from companies and existing plantations. We know that there are plantations, but we still don't have this information systematized. This is one of the goals of the Ibama Working Group and everything indicates that the correct classification would be Y.
3.7. List of attachments or relevant links. List here any attachments and links you will provide as a complement to this section.	

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement	Ibama and the Brazilian Federal Police carried out an inspection of
actions:	companies that work with exports of brazilwood. As a consequence,
	brazilwood stocks have been seized and work is underway, with no
	completion yet.
4.2. International enforcement	Ibama and the Brazilian Federal Police have worked together with U.S.
actions:	Fish and Wildlife Service to combat the illegal trade in musical
	instrument bows, and furthermore, Ibama, together with the
	Portuguese CITES authorities, is working on the recovery of Paubrasilia
	echinata wood without legal origin.
4.3. Illegal trade ( <i>e.g.</i> seizures):	Ibama and the Brazilian Federal Police made several seizures of sticks
	and bows of musical instruments without having the legal origin proven.
4.5. Other relevant information	Ibama reinforce inspections on cargo and passenger baggage into the
relating to enforcement:	exit ports, especially at international airports.

#### **Stockpiles**

Registration of stockpiles		Answer or comments
5.1. Are you aware of any	□No/Unknown	
stockpile registration system	⊠Yes. Please	Brazil has a system for controlling the origin and
for specimens of <i>P. echinata</i> ?	elaborate:	transport of wood (DOF), but the registered stock of
		brazilwood is being analyzed by the Ibama working
		group on Pernambuco wood, as there are possibly

Registration of stockpiles	Answer or comments		
		stocks of wood of dubious origin, not proving its	
		legality.	
5.2. Additional information relevant to the implementation of Decision 19.251	strategy for <i>Paul</i> to carry out a sur separation of uni	a working group to establish a national protection prasilia echinata and, among the group's objectives, is vey of existing wood stocks in companies, including the usable material from the wood that has legal origin and ialized. The workgroup is actively working but does not apleted activities.	

6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification
1) Training in wood quality for the production of bows for musical instruments.	Several bow manufacturing companies have stocks of wood that apparently does not have the necessary quality for making bows. This type of wood must be identified and eliminated from the company's inventory.
<ol> <li>Isotope training to identify the origin of the wood (natural habitat or plantations).</li> </ol>	
3) Training in wood identification.	
add rows as needed	

#### Decisions 19.249 to 19.253

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).

In the last five years, Ibama has been making a great effort to unmask the frauds of the archetaria sector. The sector used the weaknesses of the DOF control system to insert wood of unproven origin. However, it is essential that the CITES authorities of the importing countries collaborate with Brazil's effort to prevent the reception of wood of dubious origin in their country.

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

#### 2. Contact information

a) Party, Institution:	Organization,	or	Canada	
			Name	Lise Jubinville
b) Contact:			Phone	819 921 9610
			Email	Lise.jubinville@ec.gc.ca

#### Traceability and supply chains of Paubrasilia echinata

Traceability of <i>P. echinata</i> bows		Answ	er or comments	
3.1. Are you aware of any traceability system in place	⊠No			
for bows of <b>P. echinata</b> ? Tick or mark in bold whichever applies	□Yes	□Acquired bows	□Owners □Musicians □Manufacturers	
		□ Produced bows	□Owners □Musicians □Manufacturers	
		□Transported bows	□Owners □Musicians □Manufacturers	
3.2. Are you aware of <i>any</i> <i>other</i> traceability system for musical instrument accessories or musical instruments parts? If so, please provide some details.	No			
3.3. Are you aware of any recent developments on the marking of bows of <i>Paubrasilia echinata</i> ? If so, please specify.	No			
3.4. According to you, what are the key elements of a traceability system for pernambuco bows?		structive, standardize e to every Parties.	ed method and cer	ntralized database
3.5. Please provide any other information you consider relevant to the traceability of bows and other specimens of <i>Paubrasilia echinata</i> .	A system that distinguishes between finished bows and unfinished blocks or filches exported from Brazil might serve to capture and provide data on the rate of transition from unfinished to finished products.			

Traceability of <i>P. echinata</i> bows	Answer or comments
3.6. Please provide any information relating to the existence and status of plantations of <i>Paubrasilia</i> <i>echinata</i> that could be considered source code A or Y.	NA
3.7. List of attachments or relevant links. List here any attachments and links you will provide as a complement to this section.	NA

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement actions:	-Curbing the illegal timber trade has been a priority in Canada for the past couple years regarding all CITES listed species -Nationally coordinated threat risk assessment reports were developed to support regional intelligence and operational activities.
4.2. International enforcement actions:	-Canada is involved in several activities to help curb illegal wildlife trade including, on-going work with the Commission for Environmental Cooperation, North American Forestry Commission, INTERPOL, and through the Wildlife Enforcement Networks.
4.3. Illegal trade ( <i>e.g.</i> seizures):	None
4.5. Other relevant information relating to enforcement:	-Canada has been an international leader in timber identification training, has developed an officer-friendly field guide on wood id and has trained officer in Tanzania.

#### **Stockpiles**

Registration of stockpiles	Answer or comments
5.1. Are you aware of any	⊠No/Unknown
stockpile registration system for specimens of <i>P. echinata</i> ?	□Yes. Please elaborate:
5.2. Additional information relevant to the implementation of Decision 19.251	No

6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification
1) Standardized	
traceability system	
2) Centralized database	
3) Ability to differentiate	
between existing	
(finished) bows and	
pernambuco	
specimens entering	
trade	
add rows as needed	

#### Decisions 19.249 to 19.253

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

#### 2. Contact information

a) I Institu		Organization,	or	Czech Republic, Ministry of Environment	
				Name	Ondrej Kloucek
b) Cor	ntact:			Phone	+420 724 809 547
				Email	Ondrej.Kloucek@mzp.cz

#### Traceability and supply chains of Paubrasilia echinata

Traceability of <i>P. echinata</i> bows	Answer or comments			
3.1. Are you aware of any traceability system in place	□No			
for bows of <b>P. echinata</b> ? Tick or mark in bold whichever applies	⊠Yes	□Acquired bows	□Owners □Musicians □Manufacturers	
		⊠Produced bows	<ul><li>□Owners</li><li>□Musicians</li><li>⊠Manufacturers</li></ul>	Voluntary traceability system <i>P.</i> <i>echinata</i> bows launched in the Czech Republic in 2023
		□Transported bows	□Owners □Musicians □Manufacturers	
3.2. Are you aware of <b>any</b> other traceability system for musical instrument accessories or musical instruments parts? If so, please provide some details.				
3.3. Are you aware of any recent developments on the marking of bows of <i>Paubrasilia echinata</i> ? If so, please specify.	makers photos	the traceability system in the Czech Republic or by unique marking s naterials accompanyir	c to identify their bov pecified in the certifica	vs by identification ates on declaration
3.4. According to you, what are the key elements of a traceability system for pernambuco bows?	To be able to prove legal origin of pernambuco bows on the basis of the determination of their manufacturer. For this purpose bow makers certificates are to be used in the Czech Republic. Another important element is stockpile registration by bow makers and regular updates of their stockpile, checking of legal origin of this stockpile.			

Traceability of P. echinata	Answer or comments
bows	
3.5. Please provide any other information you consider relevant to the traceability of bows and other specimens of <i>Paubrasilia echinata</i> .	After the consultation with bowmakers in the Czech Republic a new traceability system for <i>P. echinata</i> bows has been launched this year. Bow makers are recommended to accompany each <i>P. echinata</i> bow with the certificate declaring bow materials used and enabling identification of the bow and its manufacturer. In the certificates bows should identified by photos focusing on specific unique details or unique numbers should be used impacted on the bows.
3.6. Please provide any information relating to the existence and status of plantations of <i>Paubrasilia</i> <i>echinata</i> that could be considered source code A or Y.	No information available.
3.7. List of attachments or relevant links. List here any attachments and links you will provide as a complement to this section.	-

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement actions:	There are planned controls of bow makers with respect to their pernambuco stockpiles to be conducted by the Enforcement Authorities within 2023.
4.2. International enforcement actions:	-
4.3. Illegal trade ( <i>e.g.</i> seizures):	No evidence of illegal trade
4.5. Other relevant information relating to enforcement:	-

#### **Stockpiles**

Registration of stockpiles	Answer or comments		
5.1. Are you aware of any	□No/Unknown		
stockpile registration system for specimens of <i>P. echinata</i> ?	⊠Yes. Please elaborate:	CZ bow makers have been contacted to register their pernambuco stockpiles by the end of 2023. They have been also recommended to keep and update records of pernambuco stockpiles.	
5.2. Additional information relevant to the implementation of Decision 19.251			



6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification
1) Training for	Training of Enforcement officials for determination of pernambuco
determination of	bows or wood to distinguish from other materials would be useful for
pernambuco wood	more efficient control and enforcement.
2)	
3)	
add rows as needed	

#### Decisions 19.249 to 19.253

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

#### 2. Contact information

a) Pa Institutio	<i>,</i>	nization, c	or	Germany, Federal Agency for Nature Conservation (FANC)			
				Name	Mario Sterz		
b) Conta	.ct:			Phone	+49(228) 84911341		
				Email	Mario.Sterz@bfn.de		

#### Traceability and supply chains of Paubrasilia echinata

Traceability of <i>P. echinata</i> bows		Answe	er or comments			
3.1. Are you aware of any traceability system in place	□No					
for bows of <i>P. echinata</i> ? Tick or mark in bold whichever applies	⊠Yes	⊠Acquired bows	□Owners □Musicians ⊠Manufacturers			
		⊠Produced bows	⊠Owners ⊠Musicians ⊠Manufacturers			
		⊠Transported bows	□Owners ⊠Musicians ⊠Manufacturers			
3.2. Are you aware of <i>any</i> <i>other</i> traceability system for musical instrument accessories or musical instruments parts? If so, please provide some details.	According to the German domestic measures, all commercial operations have to keep special records showing the legal acquisition of the specimens (bookkeeping). These records can be used to trace from whom a specimen was acquired, whether it was further processed and to whom it was further sold. However, this bookkeeping is no longer required if the finished product is not covered by the CITES provisions due to annotations.					
3.3. Are you aware of any recent developments on the marking of bows of <i>Paubrasilia echinata</i> ? If so, please specify.	bow stic	nany, some bow make ck. In annex 2 on page	2 this is illustrated wit	h a picture.		
<ul> <li>3.4. According to you, what are the key elements of a traceability system for pernambuco bows?</li> <li>3.5. Please provide any other information you consider relevant to the traceability of bows and</li> </ul>	acquisit 2). On	rman bow makers' ass ion which is handed ov the basis of this proc ns. However, this meas mation	ver to the buyers of the	e bows (see annex ssible without any		

Traceability of <i>P. echinata</i>	Answer or comments
bows	
other specimens of	
Paubrasilia echinata.	
3.6. Please provide any information relating to the existence and status of plantations of <i>Paubrasilia</i> <i>echinata</i> that could be considered source code A or Y.	Not relevant for Germany
3.7. List of attachments or relevant links. List here any attachments and links you will provide as a complement to this section.	Annex 2 – Proof of legal origin, voluntarily created by bowmakers

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement	On the date of listing of the species Paubrasilia echinata on
actions:	13.09.2007, the existing stocks were declared to the competent
	national authorities ('Federal State Authorities'), registered and
	entered into the national bookkeeping (see 3.2.). The few imports since
	listing (see annex 3) as well as purchases within the European Union
	must also be entered in the national bookkeeping with the necessary
	proofs of legal acquisition. Further trade within Germany is controlled
	by the national authorities on the basis of the registered stocks and the
	mentioned bookkeeping. However, the legal basis required for the
	bookkeeping applies only for CITES specimens. So far, no
	infringements/illegal activities have been detected during national
	controls.
// O. listernetisce of	Operations of the second frame of the second second frame of the second frame of the second s
4.2. International enforcement actions:	Compared to wood from other tree species, there is only a small volume of trade.
chiorechicht actions.	Since the species <i>Paubrasilia echinata</i> was listed, import permits
	as well as re-export certificates have been issued and used (see
	Annex 3)
4.3. Illegal trade ( <i>e.g.</i>	No illegal imports into Germany or re-exports from Germany of specimens of the species <i>Paubrasilia echinate</i> have been detected
seizures):	since the species was listed in 2007.
4.5. Other relevant	No information
information relating to	
enforcement:	

#### **Stockpiles**

Currently, the data from all registered stocks in Germany are being processed by the responsible Federal State Authorities and sent to the CITES MA. We will compile this data as a report and submit it as a supplement to the data already provided in annex 1 - if all data from the more than 250 federal state authorities are available and completed.

Registration of stockpiles	Answer or comments				
5.1. Are you aware of any	□No/Unknown				
stockpile registration system	⊠Yes. Please See annex 1				
for specimens of <i>P. echinata</i> ?	elaborate:				
5.2. Additional information relevant to the implementation of Decision 19.251	The inventory shown in annex 1 includes all the stocks of the members of the German Bowmakers' Association. Other stocks are not included here. This will be part of a national inventory, which could not yet be completed due to the short period of time. A complete stock overview will therefore be submitted later (see 5.)				

#### Capacity building

6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification
1)	Traceability or marking system beginning from exported raw bows to sold finished bows
2)	
3)	
add rows as needed	

#### Decisions 19.249 to 19.253

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).

No additional information.

Germany Notification 2023/033 Annex 1 to questionnaire

Pernambuco stock

Survey of the members of the German Bow Makers Association Status: 2022

Bow maker	Stock of	SLOCK OI	Stock of	Stock of finis	hed musical	instruments (r	10)	Anr	ual consumption	
(anonymised)	blanks (no)	sawn wood	logs (kg)	Violin	Viola	Cello	Double bass	Blanks	kg	
1	100	200		0	0	2	1	50		
2					1	2	4	6		
3	980	2800		5	3	1	1		80	
4	630	380	50	6	1	3	1		40	
5	11022	5115						115		
6	43833			1044	127	427	36	2174		
7	6921	5615		150	70	70	12	30		
8	25		4	4				24		
9	3985									
10	4			80	20	100	4	66		
11	17500	11155		20	10	10		180		
12	300			99	29	96	3			
13	20000	986	54						500	
14	75	3		2				10		
15	120	2		5	2	4		8		
16	1240	1233							15	
17	9020	329		34	18	24	2	150		
18	222000			50	12	25	20	7350		
19	1500	900		20	10	10	5	20		
20	750	49		3		2		12		
21		1500		15	2	7	8	70		
22				1	1	1	2		70	
23		3025		28	19	21	17		30	
24	300			67	14	35	2	15	150	
25				113	44	65	7	450		
26	293	761	2505		2	1	2	1	12	
27	1500			4	3	4		20		
28	22000		1100			400		1000		
29	80	800		5	4	6	1	20		
30	4000	655		6	5	7	3	10		
31	25370	304	609							
32	1200	2400	1600	10	7	8	5		200	
33	103360			77	34	46	20	300		
34	80	800		5	4	6	1	20		
Sum	498188	39012	5922	1853	442	1383	157	12101	1097	

Erklärung zu den Anmerkungen bei den Bogenbestandteilen.

Die Erläuterungen zu den Anmerkungen sind aus der "Liste der in CITES und der VO(EG) 338/97 geschützten Baumarten", veröffentlicht vom Bundesamt für Naturschutz, entnommen.

#5 Bezeichnet Stämme oder Holzblöcke, Schnittholz und Furnierblätter

#10 Bezeichnet alle Teile, Erzeugnisse und fertigen Produkte, ausgenommen Wiederausfuhren fertiger Musikinstrumente, fertige Musikinstrumentenzubehör.

Explanation of the annotations on the bow components. The explanations for the annotations are taken from the "List of tree species protected in CITES and VO(EG) 338/97", published by the Federal Agency for Nature Conservation.

#5 Designates logs or wood blocks, sawn timber and veneer sheets

#10 All parts, derivatives and finished products, except re-export of finished musical instruments, finished musical instrument accessories and finished musical instrument parts.

Nachweis der legalen Herkunft von: - Proof of legal origin of:

Fernambuk - Pernambuco - Pau brasilia echinata

Das Holz wurde am 11.09.2007 registriert bei: - **Wood registration on 09/11/2007 at:** Stadt Erlangen, Amt für Umweltschutz und Energiefragen, Postfach 3160, 91051 Erlangen

### Bundesinnungsverband für das Musikinstrumenten-Handwerk



Zertifikats Nr. - Certifikats No.:

#### AAA001

Nachweis über die verwendeten Materialien für einen Streichbogen. Proof of the materials used for bow. Nachweis erstellt von - **Proof created by**: Bogenmachermeister XXXXXX

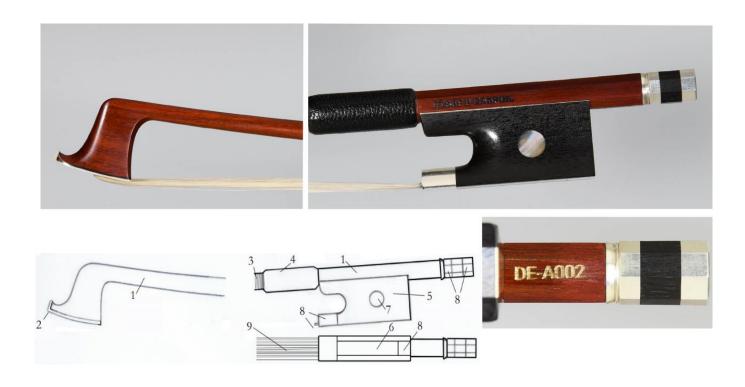
XXXXXXX Germany

Ort und Datum - **Place and Date of issue:** Erlangen, 12.12.2022 Bogenart - Kind of bow: Violinbogen - Violinbow

Stempel - **Brand**: JOSEF P. GABRIEL

Bogen Nr. - **Bow identification no.:** DE-A002

Unterschrift - Signature



Verwendete Materialien zum Zeitpunkt der Erklärung mit Kernnzeichnung der von CITES erfassten Materialien. Materials used at the time of the decleration with identification of material covered by Cites

	Bogenbestandteile	Materialien	CITES	Anmerkung
Pos.	Bow components	Materials	relevant	annotation
1.	Stange - <b>Stick</b>	Fernambuk - <b>Pernambuco</b> - <i>Pau brasilia echinata</i>	х	B#10
2.	Kopfplatte - Faceplate	Knochen - Bone - Bos primigenius indicus		
3.	Bewicklung - Lapping	Silber - Silver - Argentum		
4.	Leder - Leather	Ziegenleder - Goat leather - Capra hircus hircus		
5.	Frosch - <b>Frog</b>	Ebenholz - Ebony - Diospyros crassiflora	Х	B#5
6.	Schub - <b>Slide</b>	Goldfisch - Awabi - Haliotis gigantia		
7.	Auge - <b>Eye</b>	Goldfisch - Awabi - Haliotis gigantia		
8.	Metall - <b>Metal</b>	Silber - Silver - Argentum		
9.	Bogenhaare - <b>Hair</b>	Pferdehaar - Domestic Horse Hair - Equus ferus caballus		

# Imports of Paubrasilia echinata into Germany 13.09.2007 - 31.12.2022

Year	Species	Country of origin	Export-country	Quantity	Unit	Description	Source
2010	PAUBRASILIA ECHINATA	BR	US	4.7	KG	SAW	0/W
2014	PAUBRASILIA ECHINATA	BR	BR	0.121	M³	SAW	O/W
2015	PAUBRASILIA ECHINATA	BR	BR	0.033	M³	CAR	O/W
2015	PAUBRASILIA ECHINATA	BR	BR	0.116	M³	SAW	O/W
2016	PAUBRASILIA ECHINATA	BR	BR	0.818	M³	SAW	O/W
2017	PAUBRASILIA ECHINATA	BR	BR	0.09	M <sup>3</sup>	SAW	O/W
2018	PAUBRASILIA ECHINATA	BR	BR	0.222	M <sup>3</sup>	SAW	O/W
2019	PAUBRASILIA ECHINATA	BR	BR	0.036	M³	SAW	O/W
2020	PAUBRASILIA ECHINATA	BR	BR	0.393	M <sup>3</sup>	SAW	O/W
2021	PAUBRASILIA ECHINATA	XX	US	0.03362	M <sup>3</sup>	TIM	O/U
2021	PAUBRASILIA ECHINATA	XX	US	22	NO	TIM	O/W

## Re-exports of Paubrasilia echinata from Germany

13.09.2007 - 31.12.2022

Year	Species	Country of origin	untry of destinati	Quantity	Unit	Description	Source
2008	PAUBRASILIA ECHINATA	BR	CN	14508	KG	SAW	0/W
2009	PAUBRASILIA ECHINATA	BR	JP	7.45	KG	SAW	O/W
2010	PAUBRASILIA ECHINATA	BR	US	4.7	KG	SAW	O/W
2010	PAUBRASILIA ECHINATA	BR	CN	580	NO	TIM	O/W
2012	PAUBRASILIA ECHINATA	BR	JP	12	NO	CAR	O/W
2012	PAUBRASILIA ECHINATA	BR	CN	1529	NO	SAW	O/W
2014	PAUBRASILIA ECHINATA	XX	СН	6	NO	SAW	I
2017	PAUBRASILIA ECHINATA	XX	CN	260	NO	CAR	O/W
2018	PAUBRASILIA ECHINATA	BR	KR	2.945	KG	SAW	O/W
2020	PAUBRASILIA ECHINATA	XX	СН	154.8	KG	WPR	O/U

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

#### 2. Contact information

a) Party, Institution:	Organization,	or	Japan	
			Name	Akira OSAWA
b) Contact:			Phone	+81-3-5501-8245
			Email	akira.osawa@mofa.go.jp

#### Traceability and supply chains of Paubrasilia echinata

Traceability of <i>P. echinata</i> bows	Answer or comments			
3.1. Are you aware of any traceability system in place	□No			
for bows of <b>P. echinata</b> ? <b>Tick or mark in bold</b>	⊠Yes	□Acquired bows	□Owners	
whichever applies			□Musicians	
		-	□Manufacturers	
		$\boxtimes$ Produced bows	□Owners	
			□Musicians	
			Manufacturers	
		□ Transported		
		bows	Musicians	
			□Manufacturers	
3.2. Are you aware of <b>any</b> <b>other</b> traceability system for musical instrument accessories or musical instruments parts? If so, please provide some details.				
3.3. Are you aware of any recent developments on the marking of bows of <i>Paubrasilia echinata</i> ? If so, please specify.	manufa the proc they ma		ed a kind of traceabili mpany name are eng	ty system in which graved on the bows
3.4. According to you, what are the key elements of a traceability system for pernambuco bows?	Considering its role to prevent illegal wildlife trade, a traceability system for Pernambuco bows should ensure reliability on the identification of the bows and management of its data. On the other hand, to establish the system, it should also be ensured as well that the system should not be burdensome so that stakeholders feel difficulty and lose motivation to establish sustainable system. Given its important role to establish traceability, it is necessary to ensure involving the stakeholders especially bow manufactures' organizations to establish the system.			

Traceability of P. echinata	Answer or comments
bows	
3.5. Please provide any	
other information you	
consider relevant to the	
traceability of bows and	
other specimens of	
Paubrasilia echinata.	
3.6. Please provide any	
information relating to the	
existence and status of	
plantations of <i>Paubrasilia</i>	
echinata that could be	
considered source code A	
or Y.	
3.7. List of attachments or	
relevant links.	
List here any attachments	
and links you will provide as	
a complement to this	
section.	

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement actions:	Correspond to the decision 19.251 to 19.252, Government of Japan has started to communicate with bow producing entities regarding stockpiles and treceability system.
4.2. International	
enforcement actions:	
4.3. Illegal trade ( <b>e.g.</b>	
seizures):	
4.5. Other relevant	
information relating to	
enforcement:	

#### **Stockpiles**

Registration of stockpiles	Answer or comments
5.1. Are you aware of any	□No/Unknown
stockpile registration system for specimens of <i>P. echinata</i> ?	□Yes. Please elaborate:
5.2. Additional information relevant to the implementation of Decision 19.251	

6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification
1)	
2)	
3)	
add rows as needed	

#### Decisions 19.249 to 19.253

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

#### 2. Contact information

a) Party, Institution:	Organization,	or	The Netherlands	
			Name	RVO CITES permit bureau
b) Contact:			Phone	+31(0)880424242
			Email	cites@rvo.nl

#### Traceability and supply chains of Paubrasilia echinata

#### 3. Regarding traceability to register the provenance of *Paubrasilia echinata* bows:

Traceability of <i>P. echinata</i> bows	Answer or comments			
3.1. Are you aware of any traceability system in place	⊠No			
for bows of <b>P. echinata</b> ? Tick or mark in bold whichever applies	□Yes	□Acquired bows	□Owners □Musicians □Manufacturers	
		Produced bows	Owners Musicians Manufacturers	
		□Transported bows	□Owners □Musicians □Manufacturers	
3.2. Are you aware of <b>any</b> other traceability system for musical instrument accessories or musical instruments parts? If so, please provide some details.		musical instruments by the manufacturer.	like guitars and piand	os are individually
3.3. Are you aware of any recent developments on the marking of bows of <i>Paubrasilia echinata</i> ? If so, please specify.	marking some s	er, we have heard from g of bows from IPE wa ort of certificate which port to the EU, photo's	ood for which buyers a includes date and doo	ure said to receive cument number of
3.4. According to you, what are the key elements of a traceability system for pernambuco bows?		ement of the traders, p e serial no. which can b		

Intern gebruik

Traceability of <i>P. echinata</i>	Answer or comments
bows	
3.5. Please provide any	
other information you	
consider relevant to the	
traceability of bows and	
other specimens of	
Paubrasilia echinata.	
3.6. Please provide any	
information relating to the	
existence and status of	
plantations of <i>Paubrasilia</i>	
echinata that could be	
considered source code A	
or Y.	
3.7. List of attachments or	
relevant links.	
List here any attachments	
and links you will provide as	
a complement to this	
section.	

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement	
actions:	
4.2. International	
enforcement actions:	
4.3. Illegal trade ( <b>e.g.</b>	
seizures):	
4.5. Other relevant	
information relating to	
enforcement:	

#### **Stockpiles**

Registration of stockpiles	Answer or comments	
5.1. Are you aware of any	□No/Unknown	
stockpile registration system for specimens of <i>P. echinata</i> ?	⊠Yes. Please elaborate:	An importer of Pau Brasilia bows has indicated that remaining bows in stock are marked with a registration number.
5.2. Additional information relevant to the implementation of Decision 19.251		

<u>Capacity building</u>
Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification
1)	
2)	
3)	
add rows as needed	

#### Decisions 19.249 to 19.253

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).

- 1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.
- 2. Contact information

a) Party, Organization, or Institution:	Party – Slovakia (Ministry of Environment of the Slovak Republic		
a) i arty, organization, or institution.	– CITES Management Authority)		
b) Contact:	Name	Silvia Rusnakova	
	Phone	+421 2 5956 2466	
	Email	silvia.rusnakova@enviro.gov.sk	

#### Traceability and supply chains of Paubrasilia echinata

Traceability of <i>P. echinata</i> bows	Answer or comments			
3.1. Are you aware of any traceability system in place	⊠No			
for bows of <i>P. echinata</i> ?	□Yes	□ Acquired bows	□Owners	
Tick or mark in <b>bold</b> whichever			□Musicians	
applies			□Manufacturers	
		□ Produced bows	□Owners	
			□Musicians	
			□Manufacturers	
		□ Transported bows	□Owners	
			□Musicians	
			□Manufacturers	
3.2. Are you aware of <i>any</i>	No			
other traceability system for				
musical instrument				
accessories or musical instruments parts? If so,				
please provide some				
details.				
3.3. Are you aware of any	No			
recent developments on the				
marking of bows of				
Paubrasilia echinata? If so,				
please specify.				
3.4. According to you, what		r the whole chain of cust	tody, find out unique in	idividual marking of
are the key elements of a	bows			
traceability system for				
pernambuco bows?				
3.5. Please provide any other information you consider	-			
intornation you consider				

	1
relevant to the traceability of	
bows and other specimens of	
Paubrasilia echinata.	
3.6. Please provide any	-
information relating to the	
existence and status of	
plantations of Paubrasilia	
echinata that could be	
considered source code A or	
Υ.	
3.7. List of attachments or	-
relevant links.	
List here any attachments	
and links you will provide as a	
complement to this section.	

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement	No special national enforcement actions in place
actions:	
4.2. International enforcement	No special international enforcement actions in place
actions:	
4.3. Illegal trade ( <i>e.g.</i> seizures):	We do not register any seizures
4.5. Other relevant	-
information relating to	
enforcement:	

#### <u>Stockpiles</u>

Registration of stockpiles	Answer or comments
5.1. Are you aware of any	□No/Unknown
stockpile registration system	⊠Yes. Please We are aware of a stockpile registration system in
for specimens of <i>P. echinata</i> ?	elaborate: place in Germany, Austria
5.2. Additional information relevant to the implementation of Decision 19.251	In relation to the Decision 19.251 letter b) ( <i>Parties, and in particular source, transit and destination Parties for Paubrasilia echinata, are invited to consider the registration of stockpiles of Paubrasilia echinata as appropriate</i> ), we are aware of one producer of bows in Slovakia. We plan to arrange a meeting in May 2023 with him to discuss the process of production/registration/marking of bows and to agree on a voluntary registration of stockpiles of <i>Paubrasilia echinata</i> . Detailed information on stockpiles (including bows) and its changes in time will be than available for enforcement activities in the future.

6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification
1)	-
2)	
3)	
add rows as needed	

#### Decisions 19.249 to 19.253

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

#### 2. Contact information

a) Party, Organization, or Institution:	Sweden	
	Name	Erik Dalarud
b) Contact:	Phone	
	Email	Erik.dalarud@jordbruksverket.se

#### Traceability and supply chains of Paubrasilia echinata

Traceability of <i>P. echinata</i> bows	Answer or comments			
3.1. Are you aware of any traceability system in place	⊠No			
for bows of <i>P. echinata</i> ?	□Yes	□ Acquired bows		
Tick or mark in <b>bold</b> whichever			□Musicians	
applies			□Manufacturers	
		□ Produced bows		
			□Musicians	
			□Manufacturers	
		□Transported bows	□Owners	
			□Musicians	
			□Manufacturers	
3.2. Are you aware of <i>any</i>	Yes, traceability systems are in place for other musical instruments and			
other traceability system for	accessories, such as guitars made from rosewood (Dalbergia spp.) and			
musical instrument	others, which are regulated under CITES. These are usually musical			
accessories or musical	instruments that are labelled with a serial number, either through a label,			
instruments parts? If so,	print or engraving. According to manufacturers, these serial numbers also contain secret codes, linked to the manufacturer.			
please provide some details.	contain s	secret codes, linked to th	e manufacturer.	
3.3. Are you aware of any	We have	e been informed by mus	ic industry representat	ives that there have
recent developments on the		forts to mark bows usir		
marking of bows of	number	or similar), which are etc	ched into it using laser	equipment.
Paubrasilia echinata? If so,				
please specify.				
3.4. According to you, what		nents of a traceability sys		
are the key elements of a		unique identification nur		
traceability system for	0	acquisition. The industry	•	
pernambuco bows?	•••	d/accepted by as many		
		onal tracking system), b	• • •	
	imprintir	ng or engraving). It is que	stionable whether it if	it is possible without

	compromising the quality of the instrument to label already manufactured bows.
3.5. Please provide any other information you consider relevant to the traceability of bows and other specimens of <i>Paubrasilia echinata</i> .	It is essential to involve stakeholders such as manufacturers, musicians, and enforcement agencies in the development of a traceability system to ensure its effectiveness.
3.6. Please provide any information relating to the existence and status of plantations of <i>Paubrasilia</i> <i>echinata</i> that could be considered source code A or Y.	Several plantation types of <i>Paubrasilia</i> exist within Brazil such as monospecific and mixed tree plantations and agroforestry systems, which potentially could fulfill the criteria to be considered source code A or Y. However, there is limited understanding concerning the plantations long-term productivity, wood quality (there are indications that wood from plantations do not match the quality of wild-grown tress due to faster growing rates resulting in less dense wood and therefore lesser quality for bow making), and economic return. A study by Silke Lichtenberg et al (2018)* provide detailed inventories of nine plantations in the Atlantic Forest of Brazil. * Use and Conservation of the Threatened Brazilian National Tree Paubrasilia echinata Lam.: A Potential for Rio de Janeiro State? (2018). Available in <i>Strategies and Tools for a Sustainable Rural Rio de Janeiro</i> (pp. 205-2019). Doi: 10.1007/978-3-319-89644-1 14
3.7. List of attachments or relevant links. <i>List here any attachments</i>	
and links you will provide as a complement to this section.	

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement	None
actions:	
4.2. International enforcement	None
actions:	
4.3. Illegal trade ( <i>e.g.</i> seizures):	None
4.5. Other relevant information	Continued efforts to strengthen enforcement are essential to ensure the
relating to enforcement:	conservation of <i>P. echinata</i> .

#### **Stockpiles**

Registration of stockpiles	Answer or comments			
5.1. Are you aware of any	□No/Unknown	□No/Unknown		
stockpile registration system	⊠Yes. Please	In connection with the listing of P. echinata under		
for specimens of <i>P. echinata</i> ?	elaborate:	CITES in 2007, a registry of existing (pre-conventional)		
		stockpiles was carried out in Sweden. There are 4		
		preconventional stockpiles which weighs in total 1.2		
		tonnes at the time.		
5.2. Additional information				
relevant to the implementation				
of Decision 19.251				

6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification
1)	Developing a reliable marking and tracing system of bows
2)	Support to Brazilian authorities to combat the illegal trade
3)	Identification materials and training for customs
add rows as needed	

#### Decisions 19.249 to 19.253

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).

### Questionnaire on Brazil wood (Paubrasilia echinata)

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

### 2. Contact information

a) Insti	Party, tution:	Organization,	or	Swiss Management Authority of Switzerland and Lichtenstein	
				Name	Ursula Moser
b) C	ontact:			Phone	+41 58 463 83 99
				Email	ursula.moser@blv.admin.ch

### Traceability and supply chains of Paubrasilia echinata

### 3. Regarding traceability to register the provenance of *Paubrasilia echinata* bows:

Traceability of <i>P. echinata</i> bows	Answer or comments			
3.1. Are you aware of any traceability system in place	⊠No			
for bows of <b>P. echinata</b> ? Tick or mark in bold whichever applies	□Yes	□Acquired bows	□Owners □Musicians □Manufacturers	
		□ Produced bows	□Owners □Musicians □Manufacturers	
		□Transported bows	□Owners □Musicians □Manufacturers	
3.2. Are you aware of <i>any</i> <i>other</i> traceability system for musical instrument accessories or musical instruments parts? If so, please provide some details.	We hav wooder	ve a system where b parts.	ow makers can regi	ster their stock of
3.3. Are you aware of any recent developments on the marking of bows of <i>Paubrasilia echinata</i> ? If so, please specify.	No			
3.4. According to you, what are the key elements of a traceability system for pernambuco bows?		cability system should ibuco wood.	I provide information	of the origin of the
3.5. Please provide any other information you consider relevant to the traceability of bows and other specimens of <i>Paubrasilia echinata</i> .	-			

Traceability of <i>P. echinata</i> bows	Answer or comments
3.6. Please provide any information relating to the existence and status of plantations of <i>Paubrasilia</i> <i>echinata</i> that could be considered source code A or Y.	I have no information of the existence about plantation of <i>Paubrasilia echinαte.</i>
3.7. List of attachments or relevant links. List here any attachments and links you will provide as a complement to this section.	-

# **Enforcement**

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement	-
actions:	
4.2. International	-
enforcement actions:	
4.3. Illegal trade ( <b>e.g.</b>	-
seizures):	
4.5. Other relevant	-
information relating to	
enforcement:	

## **Stockpiles**

5. Please provide any information relevant to the registration of stockpiles of *Paubrasilia echinata*, as follows:

Registration of stockpiles	Answer or comments		
5.1. Are you aware of any	⊠No/Unknown		
stockpile registration system	□Yes. Please		
for specimens of <i>P. echinata</i> ?	elaborate:		
5.2. Additional information	-		
relevant to the implementation			
of Decision 19.251			

### Capacity building

6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification
1)	-
2)	-
3)	-
add rows as needed	

## Decisions 19.249 to 19.253

-

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).

#### Questionnaire on Brazil wood (Paubrasilia echinata)

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

### 2. Contact information

a) Party, Organization, or Institution:	United Kingdom		
	Name	Leigh-Anne Bullough	
b) Contact:	Phone		
	Email	I.bullough@kew.org	

### Traceability and supply chains of Paubrasilia echinata

#### 3. Regarding traceability to register the provenance of *Paubrasilia echinata* bows:

Traceability of <i>P. echinata</i> bows		Answ	ver or comments	
3.1. Are you aware of any traceability system in place	□No			
for bows of <i>P. echinata</i> ? Tick or mark in <b>bold</b> whichever applies	⊠Yes	⊠ Acquired bows	⊠Owners ⊠Musicians □Manufacturers	In discussions with industry representatives and individual owners/musicians in the UK, we are aware of the use of detailed photographs and affidavits used in certificates of authenticity for antique bows where it is important for the owners to be able to prove that their bow is the specific bow they claim it to be). As we understand it this isn't as common (and so potentially useful) for new bows.
		⊠Produced bows	⊠Owners ⊠Musicians	As above.

Traceability of <i>P. echinata</i> bows	Answer or comments			
			□Manufacturers	
	Transpo	rted bows	⊠Owners ⊠Musicians □Manufacturers	Individual arrangements may be in place to maintain a verifiable chain of custody, especially for valuable older instruments/ accessories, for certain processes, e.g. the repair of instruments by other craftspeople either domestically or
				overseas. This could include specific receipting, but does not appear to be consistent across the industry.
3.2. Are you aware of <i>any</i> <i>other</i> traceability system for musical instrument accessories or musical instruments parts? If so, please provide some details.	use of some highly p ifetime of the specin oe identified throu experienced some c are aware of the rule	protected spe mens (usually gh a permar lifficulties en es and have th s a not insi	certification for the decies, either for each traction on whether on whether and unalterable suring all musicians, concerning all musicians, concerning to comply wignificant burden on ons.	ransaction or for the er the specimens can marking). We have pllectors and traders ith them. As a result,
3.3. Are you aware of any recent developments on the marking of bows of <i>Paubrasilia echinata</i> ? If so, please specify.	owners about addre oe resistance in th marking of new bow	ssing the issu e communit vs appears lik s over the t	the UK with bow ma e of traceability in bow y to marking existing ely be tolerated. Partic rade in the species a naking.	s. Though there may /antique bows, the cularly if that helped
	wide-scale marking	. However, l	any concrete plans to aser engraving has be ic bow maker, a numb	een talked about –
	many parties and ob portable machine. H commercial value, in	oservers a bo e said that th n his opinion	Guillaume Archetier' ir w that he had laser en is did not impact the qu ) of the bow. However d on a wider scale with	graved using a small uality (and therefore r, as far as the UK is

Traceability of <i>P. echinata</i>	Answer or comments
bows	We do not have a position to prefer this over any other system of marking or traceability.
	We would strongly support a uniform global standard if universal marking is to be recommended to ease the use of such a system.
3.4. According to you, what are the key elements of a traceability system for pernambuco bows?	Any method by which we may mark bows in the future, would need to be backed up by a database/tracking system that allowed enforcement officials to check the legality of the bow.
	This system would therefore have to be global, easily accessible with every day technology, consistently updated and not replicable.
	There is a risk that bows/ID markings could be fraudulently added to any database, in an attempt to legitimize bows from illegally sourced wood. Therefore, any traceability system that uses physical marks should link to a secure database that is well maintained and easily accessed by officials to cross reference the marked bows.
3.5. Please provide any other information you consider relevant to the traceability of bows and other specimens of <i>Paubrasilia echinata</i> .	Legitimate industry appear to genuinely want to work collaboratively on a solution that would address the concerns behind the CoP19 listing proposal for Pernambuco, while still supporting the ongoing cultural practices of bow making, repair and use/performance.
3.6. Please provide any information relating to the existence and status of	We are aware of plantations managed by an organization called 'FunBrazil' who penned an open letter to CITES ahead of CoP19 in which they outlined their work in reforestation and plantation creation.
plantations of <i>Paubrasilia</i> <i>echinata</i> that could be considered source code A or	An excerpt of the letter reads –
Υ.	"In addition to his outreach work which we continue to this day, prior to the creation of FUNBRASIL Professor Fontes was active in plantation and reforestation – he planted 50,000 Pau-Brasil trees on the safety margin of the Tapacurá dam in the municipality of São Lourenço da Mata, and established several hundred plantations in various states and municipalities of Brazil. Professor Fontes and FUNBRASIL have collectively been responsible for the planting of some two million seven hundred thousand specimens of Pau-Brasil on Brazilian soil."
	The viability, age or survival rate of these planted species have not, as far as we know, been made publicly available. We are unsure if they have been conducted, and we are unsure of the current state of the plantations, or their management or sustainable harvest practices and so would not be able to comment on their ability to sustainably meet trade demands. An audit of planted specimens would be a valuable exercise to inform future steps towards the conservation and sustainable management of the species.
3.7. List of attachments or relevant links.	

Traceability of <i>P. echinata</i> bows	Answer or comments
List here any attachments	
and links you will provide as a	
complement to this section.	

#### Enforcement

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement	
actions:	
4.2. International enforcement	
actions:	
4.3. Illegal trade ( <i>e.g.</i> seizures):	
4.5. Other relevant information	
relating to enforcement:	

### **Stockpiles**

5. Please provide any information relevant to the registration of stockpiles of *Paubrasilia echinata*, as follows:

Registration of stockpiles	Answer or comments
5.1. Are you aware of any	⊠No/Unknown
stockpile registration system	□Yes. Please
for specimens of <i>P. echinata</i> ?	elaborate:
5.2. Additional information	
relevant to the implementation of Decision 19.251	

6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification
Capacity building need list 1)	Comments or justification Red List Status update – Paubrasilia echinata was last updated on the IUCN Red List in 1998. A lot of available information is outdated and needs revising so we have a better idea about the conservation status of the species. Botanic Gardens Conservation International (BGCI) are currently undertaking a project under the Global Trees Assessment aiming to undertaking a Red List assessment for all tree species. Thus, they will update the Paubrasilia echinate status within that. However, the date of when they hope to have completed this particular species is currently not known. Within this assessment, threats to the species as well as population status of remaining wild trees are assessed. However, it was made clear in Brazil's original proposal, as well as in subsequent discussions with experts at Royal Botanic Gardens Kew, that the population trends and status of remaining trees of this species were unknown. Thus, the Red List assessment may not be able to assess this as there may not be enough data, so we cannot rely on the Red List Assessment. Since this data is lacking, experts need to undertake a population survey of this species as a matter of urgency, so we can better understand the viability of the species in the wild and how this resource can be managed more sustainably.
2)	<b>Enforcement support</b> – In Brazil's original proposal to CoP19, it was made clear that bows and bow blanks made from wood with no traceable legal origin had been seized during inspection. As the species is endemic to Brazil, reducing the amount of illegal logging within the Atlantic Forest biome, and strengthening long term management of wild populations is imperative to the species survival. Brazil will have specific needs to aid in the enforcement of the new annotation in order ensure that real changes to the use of illegal wood are seen as a result of the CoP19 discussions. Liasing with Brazil so that these needs can be supported is key to support the implementation process.
3)	
add rows as needed	

#### Decisions 19.249 to 19.253

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).

#### Notification to the Parties No. 2023/033 Annex 2

### Questionnaire on Brazil wood (Paubrasilia echinata)

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

### 2. Contact information

a) Party, Organization, Institution:	or	United States of America	
h) Contract		Name	Naimah Aziz, Head, Division of Management Authority
b) Contact:		Phone	
		Email	naimah_aziz@fws.gov

#### Traceability and supply chains of Paubrasilia echinata

### 3. Regarding traceability to register the provenance of *Paubrasilia echinata* bows:

Traceability of <b>P.</b> echinata bows	Answer or comments					
3.1. Are you aware of any traceability	□No					
system in place for bows of <i>P. echinata</i> ? <i>Tick or mark in bold</i> <i>whichever applies</i> (See additional information at end of survey)	⊠Yes	⊠Acquired bows	⊠Owners ⊠Musicians ⊠Manufacturers	SISCITES DOF System Pau-Brasil Platform		
		⊠Produced bows	⊠Owners ⊠Musicians ⊠Manufacturers	SISCITES DOF System Pau-Brasil Platform		
		⊠Transported bows	⊠Owners ⊠Musicians ⊠Manufacturers	SISCITES DOF System Pau-Brasil Platform		
3.2. Are you aware of any other traceability system for musical instrument accessories or musical instruments parts? If so, please provide some details.	bows Musicians DOF System Pau-Brasil					

Traceability of <i>P.</i> <i>echinata</i> bows	Answer or comments						
	module for analyzing requests and issuing licenses. <u>https://www.gov.br/ibama/pt-br/servicos/sistemas/siscites</u>						
	DOF+ Traceability System is the tool for issuing, managing and monitori the Forestry Origin Document (DOF), a mandatory license for transporti and storing forest products from native species in Brazil. Pub consultations can be carried out on IBAMA's open database.						
	DOF - Transportes de Produtos Florestais - Conjunto de dados - IBAMA						
	PAU-BRASIL platform, which is being used to issue licenses for the export of biodiversity products and by-products. This platform is integrated with the Brazilian foreign trade system, including native species that are included in the CITES Appendices. The system is not publicly accessible.						
	https://login.sso2.ibama.gov.br/cas/login?service=https%3A%2F%2Fpa ubrasil.ibama.gov.br%2Flogin%2Fcas						
	IBAMA also opens its fines and embargoes database for public use, where it is possible to verify parties involved in the illegal extraction and commercialization of <i>Paubrasilia echinata</i> wood.						
	https://servicos.ibama.gov.br/ctf/publico/areasembargadas/ConsultaPublicaAreasEmbargadas.php						
3.3. Are you aware of any recent developments on the marking of bows of <i>Paubrasilia</i> <i>echinata</i> ? If so, please specify.	Certain bow makers install a microchip in the bow (e.g., https://michaelvann.com/contents/en-ca/d2066504611_Microchip-solution.html).						
3.4. According to you, what are the key elements of a traceability system for pernambuco	Traceability systems should provide accurate, timely, and consistent information about the movement of the items tracked throughout the process. More rigid registration and control of purchase and manufacture using parts of <b>P. echinata</b> wood.						
bows?	Logging permits only in public forests under concessions (controlled origin)						
3.5. Please provide any other information you consider relevant to the traceability of bows and other specimens of <i>Paubrasilia echinata</i> .	See above						
3.6. Please provide any information relating to the existence and status of plantations of <b>Paubrasilia echinata</b> that could be considered source	In 2018-2019, Charles Espey visited eight plantations in Espirito Santo, Brazil, which he describes in his report "Paubrasilia echinata plantations in Espirito Santo." According to Mr. Espey, in 2019, one of the plantations received authorization from IBAMA to cut and mill ten trees from the plantation. We are providing the enclosed report on behalf of Mr. Espey, a U.S. citizen and bow maker. <b>Note</b> : providing this report does not constitute our endorsement of its findings.						
code A or Y.	Since 2000, the International Pernambuco Conservation Initiative (IPCI) has planted over 800,000 nursery-grown Brazilwood trees in the Mata Atlântica, and is collaborating with the Federal University of Southern Bahia's Center for Agroforestry Sciences on several projects. IPCI is also working with local groups, Instituto Floresta Viva in Bahia and Associação Plantas do Nordeste,						

Traceability of <b>P</b> . echinata bows	Answer or comments
	in Northeastern Brazil in planting nursery-grown Brazilwood trees and monitoring trees.
3.7. List of attachments or relevant links. <i>List here any</i> <i>attachments and</i> <i>links you will provide</i> <i>as a complement to</i> <i>this section.</i>	The report "Paubrasilia echinata plantations in Espirito Santo" by Charles Espey (charlesespey@gmail.com). International Pernambuco Conservation Initiative USA (http://www.ipci-usa.org/)

## **Enforcement**

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement actions:	In the last two years (2021 and 2022) IBAMA and the Brazilian Federal Police have carried out operations to combat the illegal exploitation and trade in <i>P. echinata</i> wood in the region of the Atlantic Rainforest. Below a summary of the two inspection operations:
	<ul> <li>(i) The "Dó, Ré, Mi" operation was carried out in Espírito Santo, Minas Gerais and São Paulo (Southeast Brazil). Twelve notices of infraction were applied and the fines totaled R\$9.7 million. More than 20,000 violin bows made from wood from endangered species were seized by agents of the Brazilian Institute for the Environment and Renewable Natural Resources (IBAMA).</li> <li>(ii) Operation "Ibirapitinga" (phases I and II): This police operation investigated a criminal group with international ramifications, which had been operating in the states of Espírito Santo, Rio de Janeiro, Bahia and Alagoas and specialized in the illegal exploitation of endangered species of Brazilian flora, in particular, <i>P. echinata</i></li> <li><u>https://www.gov.br/pf/pt-br/assuntos/noticias/2022/11/policia- federal-e-ibama-deflagram-a-operacao-ibirapitanga-ii</u></li> </ul>
4.2. International enforcement actions:	See above
4.3. Illegal trade ( <b>e.g.</b> seizures):	See above
4.5. Other relevant information relating to enforcement:	Investigative journalism websites and blogs are giving space for denouncing the trafficking of violin bows. <u>https://piaui.folha.uol.com.br/como-o-pau-brasil-arvore-ameacada-de-extincao-e-simbolo-nacional-acaba-em-arcos-de-violino-na-europa/</u> Operation Dó-Ré-Mi: The Brazilian Bow Makers Under Investigation For Dealing in Endangered Wood - OCCRP

# **Stockpiles**

5. Please provide any information relevant to the registration of stockpiles of *Paubrasilia echinata*, as follows:

Registration of stockpiles	Answer or comments
5.1. Are you aware of any	⊠No/Unknown
stockpile registration system	□Yes. Please
for specimens of <i>P. echinata</i> ?	elaborate:
5.2. Additional information	
relevant to the implementation	
of Decision 19.251	

6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification
1) Wood anatomical identification	Training of inspection agents in the anatomical identification of wood to be more effective in control actions.
2) equip and train laboratories with stable isotope techniques, and/or mass spectrometry analyzes and genetic identification	These techniques have been shown to be efficient in identifying the wood origin.
3) Non-detriment findings	Making of non-detriments findings for the export of specimens from Brazil.
4) Artificially propagated specimens	Applicability of Resolution Conf. 11.11 and determination if any plantations of Brazilwood are producing specimens for export.
add rows as needed	

#### Decisions 19.249 to 19.253

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).

#### Supplemental information

3.1. Are you aware of any traceability system in place for bows of *P. echinata*?

US response: With Law No. 8 (Normative Instruction, March 25, 2022), the Brazilian agency IBAMA (Brazilian Institute for the Environment and Renewable Natural Resource) has implemented an online database to report and track wood and wood products of Brazilwood for the issuance of official documents, including CITES export permits and Document of Forest Origin (DOF). The new electronic system and law provide Government officials the ability to track and enforce all commercial transactions in the chain of custody of Brazilwood, from the authorized harvest site to the port of export in real time.

### PAUBRASILIA ECHINATA PLANTATIONS IN ESPIRITO SANTO Charles Espey



A pure stand of Paubrasilia echinata planted in the mid 1980s at Ascua. 2018 photo.

Starting in the late 1980s an enclave of Brazilian violin bow makers in Espirito Santo State created a number of Pau-brasil (*Paubrasilia echinata*) plantations, several of which are producing seedlings for distribution and actively planting trees today. Deforestation of the Atlantic Forest in the state has reduced the pockets of specifically Pau-brasil habitat to several small fragments of 'capoeira' or second growth woodland. These locations are neither specifically protected nor recognized. The giant pulp mill, Aracruz Cellulose was responsible for clearing most of this habitat in order to plant Eucalyptus beginning in the 1980s. In the remaining forest fragments, even if no mature Pau-brasil trees are present, stumps invariably send out suckers one or two of which can exceed the girth of the original tree. In addition where a flowering tree was present, numerous seedlings and saplings can be found. As a result, even if the mature trees were cut illicitly, there is usually plenty of regeneration as long as the area is not burned and converted to pasture as is most often the case.

However because of the extremely limited scope of this habitat, plantations created by Brazilian bow makers contain the overwhelming majority of the genetic diversity of the *Paubrasilia echinata* variety endemic to the region. Because the proposed CITES listing would financially ruin the owners of these plantations and make it impossible for them to continue their trade, there is a real concern that the various farms planted with Pau-brasil will be sold off and effectively cleared for agricultural purposes sooner or later. This tragic loss would be a Pyrrhic victory for conservation since, effectively in this case, there are no mature trees to protect from logging in the region. All trees of over 20cm in diameter are on plantations. Maintaining *P. echinata* in its natural state requires habitat that no longer exists in Espirito Santo. It is difficult to convey the amount of time and care necessary to raise even one seedling to the point where it can flourish on its own. Realistically the bowmaker owners are the only people with the knowledge and financial commitment to hire farmhands to maintain these stands, collect seed and continue producing seedlings. In addition it



Eucalyptus on Paubrasilia echinata habitat. 2003.

was demonstrated in 2019 by Floriano Schaeffer that these trees of 18 to 24 years of age and measuring as little as 15cm diameter are perfectly adequate for making the student level bows that represent 95% of Brazilian bow production.

The Brazilian CITES proposal ignores or refutes many of the conditions noted in the preceding paragraphs. The owners of plantations have made numerous attempts to register and inventory their plantings over the years but the authorities (IBAMA) have not made the effort to establish protocols for Pau-brasil plantations or help them in any way. The purpose of this paper is not to discuss the use of illegal wood by some makers of bows nor the fact that most makers use wood that is carefully documented with IBAMA permits. IBAMA is an enforcement agency without the resources to promote Pau-brasil's conservation and

the author has not identified any regional government or non-profit organizations promoting the species either. The author's concern here is simply to document every significant plantation of Paubrasil in Espirito Santo. With the exception of one site, the author has visited and photographed every plantation and observed planting procedures starting in 2001. Most of this information was collected in 2018 and 2019. Because of the lack of forewarning, there has not been time to count the exact number of trees on these plantations or measure the number of hectares planted. As a result some of these estimates will not be accurate and the the reader will have to interpolate the importance of these stands with the help of the photographs. The important thing is that these plantations exist. This data will be updated as inventories are conducted. The precise location of these plantations and forest fragments is not disclosed here but is available to researchers.



Floriano Schaeffer on his plantation. Guarana, ES. 2018

#### ROÇA SCHAEFFER, Guarana, ES.

The bowmaker, Floriano Schaeffer developed his interest in botany as a boy assisting the botanist Augusto Ruschi in Santa Teresa. He has an expert knowledge of Atlantic Forest flora. This small farm surrounded by the Eucalyptus plantations supplying the Aracruz Cellulose pulp-mill was acquired by Floriano Schaeffer in 1995 and he began raising seedlings and initially planted paubrasil in a matrix of other crops such as coffee and papaya. Soon he moved to planting two areas; one mixed with other forest species and the other



Schaeffer's P. echinata seedling production in 2003.



Shaeffer's original P. echinata plantings in 2003.

in a monoculture. These plantings represent an estimated 7,000 Pau-brasil trees over an area of 7.5 hectares. The author noted Schaeffer's shade houses in 2001 where he was propagating approximately 50,000 seedling per year. Most of these seedlings were distributed for free or provided to the Aracruz Cellulose pulp-mill to plant in watersheds where Eucalyptus could not be planted. By estimate, Floriano Schaeffer has distributed over half a million pau-brasil seedlings.



Preparation for P. echinata seedlings in 2018.



Farm workers planting Pau-brasil on Schaeffer's farm. 20018

#### MARCO RAPOSO

The bow maker Marco Raposo is established in the hill town of Domingos Martins, ES. and he started his mountainside plantation in the year 2000. His trees are up to 20cm. He maintains a large propagation facility at another location where he raises pau-brasil seedlings as well as seedlings of other native species.



Marco Raposo on his Pau-brasil plantation. 2018



Raposo's P. echinata seedling production. 2018

#### RENATO CASARA

The bowmaker Renato Casara began his plantation in about 1998 near the village of Dimitrio Ribeiro, ES. His initial plantings are now up to 25cm in diameter. An area planted in the recent years goes up a hillside and has saplings of up to 1.5 meters in height. He keeps a moderate sized shade house to produce seedlings.



Renato Casara on his P. echinata plantation. 2018.



Renato Casara's shade house with *P. echinata* seedlings. 2018

### JACUPEMBA

This plantation was planted by Floriano Schaeffer for a landowner near the town of Jacupemba about ten years ago. It features a regional variety of *P. echinata* from Bahia, 'folia d'arruda'. The trees, which number in the hundreds are up to 7cm in diameter.



Pau-brasil plantation near Jacupemba, ES. 2018



Floriano Schaeffer with Bahian variety of P. echinata, 'folia d'arruda'. 2018

#### ASCUA

This remarkable stand of Pau-brasil trees was planted in the late 1980ies on a private ranch by Floriano Schaeffer. Instead of using seedlings propagated from seed, seedlings were dug up in forest fragments prior to clearing and transplanted in the site as a monoculture. This location may be the most important remnant of the Espirito Santo variety's genetic diversity. The trees here are up to 20cm and those of at least 15cm number in the hundreds covering about one hectare. More recently germinated seedlings and saplings number in the thousands.



Ascua, refuge of Paubrasilia echinata diversity. 2018

#### JOSE BOTTONI

The bowmaker Jose Bottoni has a small plantation of Pau-brasil on his farm near Jacupemba ES. His trees are up to 20cm in diameter.



Jose Bottoni on his plantation of P. echinata. 2018

#### TINTORI

The bowmaker Alexandre Tintori started a plantation on his family's farm in the hills above João Neiva in 2019. To date he has planted approximately 1000 seedlings some of which are now 2 meters in height.



Tintori on his Pau-brasil plantation

### HORST JOHN (Jaci Souza)

Horst John operated a small sawmill and bowmaking workshop starting in the late 1970s. Shortly afterwards he purchased property and created a plantation near Santa rosa, ES. which is still in existence. The author has not seen it. It is reported to have 3,000 Pau-brasil trees. In addition, a compound of about one hectare at his workshop in Guarana, ES. was planted in 1987. An estimated 100 Paubrasilia echinata trees are growing there, some as much as 30 cm in diameter. The bowmaker Jaci Souza who inherited the Horst John business currently maintains this property and continues planting.

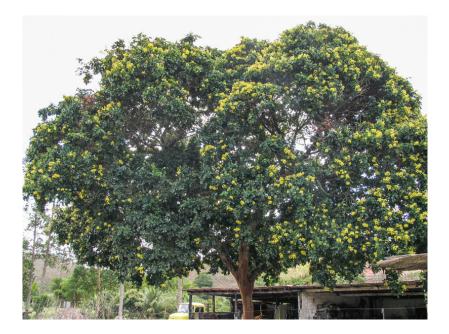
#### FAZENDA ANDRE

This cocoa farmer has a small plantation of Pau-brazil up to 20cm in diameter.



The town of GUARANA.

From seedlings distributed mostly by Floriano Schaeffer since the 1980s, Pau-brasil is a very common tree found in people's gardens throughout the town and also in the city of Aracruz. Pau-brasil is valued as an ornamental on account of its attractive foliage and bright yellow flowers. These trees can be up to 40cm in diameter.



# FOREST FRAGMENTS

### CÓRREGO D'AGUA

This forest fragment was selectively logged over the years but was originally ideal pau-brasil habitat. In recent years additional seedlings were transplanted into the area by Floriano Schaeffer.



Pau-brasil sapling at Corrego D'agua. 2018



Paubrasilia echinata. Corrego D'agua, ES. 2018

#### FAZENDA LIMAO

This forest fragment was originally adjacent to a lemon orchard. Although selectively logged and encroached upon by coffee plantations, it shows extensive regeneration of pau-brasil.



Young Paubrasilia echinata at Fazenda Limao. 2018.



Flavio Schaeffer with *P. echinata* sapling at Fazenda Limao. 2018

#### POSTSCRIPT

It should be recognized here that currently the illegal cutting of Pau-brasil is occurring in the state of Bahia where some habitat remains for the time being. Large scale propagation and planting is also occurring however the author has not been in the area for some years so reporting on that work can come from the IPCI and other organizations active in Bahia. The author's concern is that placing *Paubrasilia echinata* on CITES appendix One will barely address the problem of sustaining *Paubrasilia echinata's* diversity while putting out of business the very people who have been planting trees. The author urges the delegates to postpone this decision.

#### ABOUT THE AUTHOR

Charles Espey studied violin bow making in Paris and in 1983 made the first of several extended trips to Brazil where he purchased pau-brasil wood to last his career; (about 2 cubic meters which was documented as pre-convention in 2007). At that time he met Floriano Schaeffer who introduced him to the incredible diversity of the Atlantic Forest. In 2001-03 he spent many months in Bahia working on the development of a major *Paubrasilia echinata* conservation project; a partnership between the Brazilian agricultural research institute, CEPLAC, and the IPCI, a bow maker's conservation initiative. In 2018-19 he spent several months in Brazil visiting plantations and helping plant and propagate trees. A personal project to expand the scope of seed collection was interrupted by the pandemic. Any requests for information are welcome. charlesespey@gmail.com

### Questionnaire on Brazil wood (Paubrasilia echinata)

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

### 2. Contact information

a) F Institut	Party, tion:	Organization,	or	Bundesinnungsverband ( <i>Federal Guild Association</i> ), Head of Bowmaking Section	
				Name	Josef Gabriel
b) Con	b) Contact:		Phone	+49 9131 990994	
				Email gabriel@bowmaker.info	

### Traceability and supply chains of Paubrasilia echinata

#### 3. Regarding traceability to register the provenance of *Paubrasilia echinata* bows:

Traceability of <i>P. echinata</i> bows		Answe	er or comments	
3.1. Are you aware of any traceability system in place	⊠No			
for bows of <i>P. echinata</i> ?	□Yes	□Acquired bows	□Owners	
Tick or mark in bold whichever applies			□Musicians	
whichever applies			□Manufacturers	
		□ Produced bows	□Owners	
			□Musicians	
			□Manufacturers	
		$\Box$ Transported	□Owners	
		bows	□Musicians	
			□Manufacturers	
3.2. Are you aware of <i>any</i> <i>other</i> traceability system for musical instrument accessories or musical instruments parts? If so, please provide some details.	No, I am not aware of any such traceability system for other musical instruments. Some manufacturers mark their instruments with labels for internal identification. However, these are not intended as a control system. For example, most pianos and many guitars are labelled. Ibanez guitars, for example, have a serial number which can be used online to find out the place of manufacture, year of manufacture and production number, see the following link. https://legendary-guitars.com/de/ibanez-seriennummern-so-bestimmst-du-alter-und-herkunft-deiner-ibanez-gitarre/			
3.3. Are you aware of any recent developments on the marking of bows of <i>Paubrasilia echinata</i> ? If so, please specify.				

Traceability of P. echinata	Answer or comments
bows	
3.4. According to you, what are the key elements of a traceability system for pernambuco bows?	<ul> <li>In my view, the following requirements are necessary to prevent the use of illegal wood.</li> <li>1. Pernambuco wood stocks must be recorded so that the material consumption of the bow manufacturers can be tracked. The best way to do this is to record the number of sticks. Volume or mass of wood are not suitable because there is a lot of scrap or off-cuts in the raw material, which could be unlawfully replaced by high quality illegal wood. I know that the pernambuco wood stocks of the Brazilian bow makers are recorded by number of pieces and each stick is individually numbered. However, the raw sticks are processed (planed, filed, etc.). As a result, these numbers are not preserved and after processing it is</li> </ul>
	no longer possible to determine whether it is the same piece of wood. For a unique identification, physical or optical characteristics would have to be determined for each stick, a kind of "fingerprint". In my opinion, this is currently only possible with a very large technical effort, and is not feasible in practice due to the large number of pieces of wood. On the other hand, it is easy to control the stock of the pernambuco wood by the number of bows made, which corresponds to the number of pernambuco sticks used.
	2. The system for registering each newly built bow must be absolutely unambiguous. This means that there must be exactly one bow for each registration entry. A simple material declaration with a registered marking in the bow (engraving/laser/fire stamp) is not sufficient for this purpose, because one marking could be used for several bows with a similar appearance. Thus, it would be possible to put bows made of illegally acquired wood on the market by using the same marking several times without it being noticed. It would not be possible to distinguish whether the wood is legal or illegal. Therefore, the system must ensure a unique identification and must be managed and controlled by an independent organization.
3.5. Please provide any	The bow passport of the German Bundesinnungsverbands.
other information you consider relevant to the traceability of bows and other specimens of <i>Paubrasilia echinata</i> .	For the Bundesinnungsverbands I have designed a bow passport, which allows to identify the bow, as well as to document the used material while being easy to realize. The letter paper for this bow passport is provided by the Bundesinnungsverband. It carries an embossing of the Bundesinnungsverband with its logo. The letter papers are pre-numbered and belong to the sheet like a vehicle registration document to a car. The pre-printed number on the bow pass serves as proof of material consumption. Each bow maker prints out the bow passport himself on the provided letter paper. It contains: Manufacturer, bow type, bow number, lists all materials used at the time of creation, photos of the head, frog and bow number, and information on materials affected by CITES. Since the bow passport letterhead is issued by a central office and is unique due to the unique numbering, it can only be used as proof of a single bow. Attached is a scan of the bow pass from the Bundesinnungsverband for illustration purposes. Look attachment 01.
3.6. Please provide any information relating to the existence and status of plantations of <i>Paubrasilia</i> <i>echinata</i> that could be considered source code A or Y.	Besides the well-known larger projects, I ran a planting project with European and Brazilian colleagues. You can see a report 2016 in the attached for information. Look attachment 02.

Traceability of <i>P. echinata</i> bows	Answer or comments
3.7. List of attachments or relevant links. List here any attachments and links you will provide as a complement to this section.	

## **Enforcement**

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement	
actions:	
4.2. International	
enforcement actions:	
4.3. Illegal trade ( <i>e.g.</i>	
seizures):	
4.5. Other relevant	
information relating to	
enforcement:	

## **Stockpiles**

5. Please provide any information relevant to the registration of stockpiles of *Paubrasilia echinata*, as follows:

Registration of stockpiles	Answer or comments					
5.1. Are you aware of any	□No/Unknown					
stockpile registration system for specimens of <i>P. echinata</i> ?	⊠Yes. Please elaborate:	The companies have reported their pernambuco inventory to the Federal Environment Agency and registered it. In the appendix, a survey that was carried out by the Federal Guild Association on the stock of goods in the companies. Look attachment 03.				
5.2. Additional information relevant to the implementation of Decision 19.251						

### Capacity building

6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification
1)	
2)	
3)	
add rows as needed	

## Decisions 19.249 to 19.253

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).

Nachweis erstelllt von - **Proof created by:** Bogenmachermeister Josef P. Gabriel Barschenweg 7 91056 Erlangen Deutschland Bogenart - Kind of bow: Cellobogen - Cellobow

Stempel - **Brand:** "JOSEF P. GABRIEL"

Stangen Nr. - Stick identification no..: DE-23B02

Erlangen, 03.02.2023 Ort und Datum - Place and Date of issue:

Unterschrift - Signature



Verwendete Materialien zum Zeitpunkt der Erklärung mit Kennzeichnung von CITES erfassten Materialien. Materials used at the time of declaration with identification of materials covered by Cites.

Pos.	Bogenbestandteile Bow component	Material - <b>Material</b>					CITES relevant	Anmerkung annotation
1.	Stange - Stick	Fernambuk	_	Pernambuco	_	Pau brasilia echinata	x	B #10
2.	Kopfplatte - Faceplate	Knochen	-	Bone	_	Bos primigenius indicus		
3.	Bewicklung - Lapping	Silber	-	Silver	_	Argentum		
4.	Leder - <b>Leather</b>	Ziegenleder	-	Goat leather	_	Capra hircus hircus		
5.	Frosch - Frog	Ebenholz	-	Ebony	_	Diospyros crassiflora	x	B #5
6.	Schub - <b>Slide</b>	Goldfisch	-	Awabi	-	Haliotis gigantia		
7.	Auge - <b>Eye</b>	Goldfisch	-	Awabi	-	Haliotis gigantia		
8.	Metall - Metal	Silber	-	Silver	-	Argentum		
9.	Haare - Hair	Pferdehaar	– Do	omestic Horse Ha	nir –	Equus ferus caballus		

Erklärung zu den Anmerkungen bei den Bogenbestandteilen. Die Erläuterungen zu den Anmerkungen sind aus der "Liste der in CITES und der VO(EG) 338/97 geschützten Baumarten", veröffentlicht vom Bundesamt für Naturschutz, entnommen.

#5 Bezeichnet Stämme oder Holzblöcke, Schnittholz und Furnierblätter

#10 Alle Teile, Erzeugnisse und Endprodukte mit Ausnahme der Wiederausfuhr von fertigen Musikinstrumenten, fertigem Musikinstrumentenzubehör und fertigen Musikinstrumententeilen.

Explanation of the annotations on the bow components. The explanations for the annotations are taken from the "List of tree species protected in CITES and VO(EG) 338/97", published by the Federal Agency for Nature Conservation.

#5 Designates logs or wood blocks, sawn timber and veneer sheets

#10 All parts, derivatives and finished products, except re-export of finished musical instruments, finished musical instrument accessories and finished musical instrument parts.

Nachweis der legalen Herkunft von: - Proof of legal origin of:

Fernambuk - Pernambuco - Pau brasilia echinata

Holzregistrierung am: - Wood registration on: 11.09.2007, bei - at: Stadt Erlangen, Amt für Umweltschutz und Energiefragen, Postfach 3106, 91051 Erlangen

# Bundesinnungsverband für das Musikinstrumenten-Handwerk



Zertifikats Nr. - Certifikats No.:

AAA700

Dokument über die verwendeten Materialien für einen Streichbogen. Document of the materials used for a bow.



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Planting Project Report - Status April 2016

We started our Pernambuco project about a year and a half ago. For the first year, a starting fee of  $1200 \in$  was paid per share, which corresponds to an area of  $2500m^2$ . The money is now used up and it is time to transfer the annual support of  $400 \in$  per share.

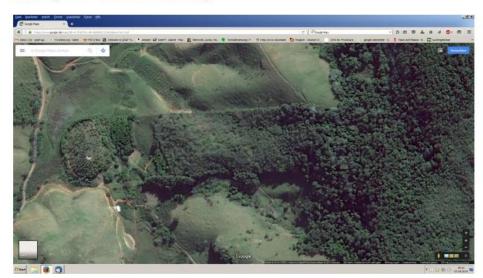
What happened during this time?

Already before the bow making course in Joao Neiva I had talked with Renato and Floriano about the possibility of a planting project. In the meantime, I was already a bit nervous, because the start was very slow. In the meantime, however, Ibama had inspected the planned cultivation areas at Renato's and determined which areas must be protected and which areas may be used for the planned cultivation. In order to have enough seedlings, the seeds could only be collected in October 2015. Now, after half a year, the grown seedlings can be planted. For this project phase, the experience of Floriano was very important!

Renato will plant on 2-3ha, Floriano and Antonio Caniçali on 1ha each.

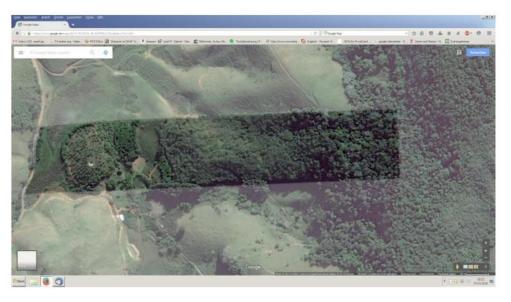
Renato bought his land in 1992. It is located on a south-facing slope and at that time only grass grew there. In the meantime there is a water reservoir, well to recognize on the photo 1.1, and also 2 small ponds were put on. Vegetables and some coffee are grown there. Much of the current tree population, mostly Lantana Camara, has grown wild, as Renato has not managed these areas. The trunks have a diameter of 8-12cm. In our country Lantana Camara is known as Wandelröschen and is kept as an ornamental plant much on terraces in tubs. However, in warm and humid areas it spreads strongly as a tree on wasteland and pastures.

The following satellite images show Renato's land and provide an overview of the land already in use and the acreage for the project.



Recording 1 Renatos land with surroundings

#### Image 1. 1Renato's land, highlighted.



Record 2 Thisarea, about 10% of the total area, is registered and protected may not be farmed commercially.





Recording 3Same is the small stream and protected on both sides up to 10m

Photo 4About 380 trees were planted here in 1998

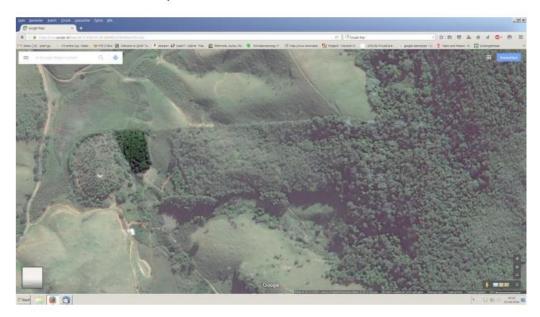
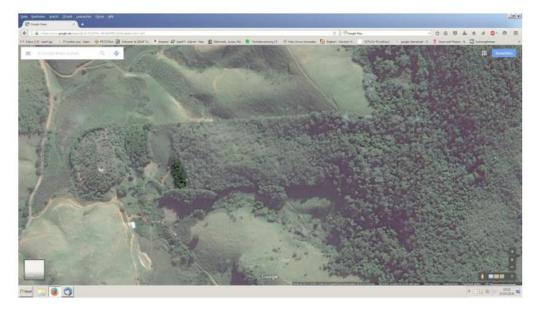


Photo 5About 180 trees were planted here in 2002



Record 6 These 2 ha are earmarked for the project and can now be planted The existing trees, Lantana Camara, will be kept and our seedlings will be planted between them, removing the grass that is growing wild beforehand. In this way, these trees will serve as shade, an important condition for the seedlings to grow straight, as this is how they strive towards the light! The forester Senval will take care of the necessary registration of the plants and issue the required official papers for Ibama.

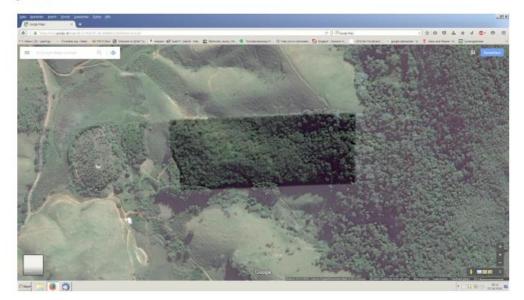




Image 7 Additional cultivation area of 1 ha to be used for the project. Coffee was previously grown there.



The old coffee-growing area will be cleared for the planting project.



Floriano's seedlings are planting

deliveredEmployees of Antonio Caniçali during





Lantana Camara with grass cover on Renato's land where seedlings will be planted.

#### What's next?

After planting, the seedlings must be checked daily for the next 3-6 years to prevent ants from destroying everything. Renato will hire and pay someone for this task.

In 2014, I selected pernambuco rods in Brazil and these rods have since been legally imported last year. They are numbered by me, photographed and technical data such as Lucchi, Young's modulus and density are determined and entered into a database. In this way, each rod is documented and its legality can be proven. From my point of view they are very good bars, they will cost about 250€ and I would like to enable the project participants to buy this wood.

#### Postscript

Without the support of Renato and his family, the project would not be possible. The fact that the Casara family, in addition to the management, organization and support of the Instituto Preservate, also look after our planting project is a great achievement, for which I would like to express my sincere thanks at this point. I know that it is not easy in Brazil at the moment and that it takes a lot of effort to collect the necessary money for the continuation of the music and violin school.

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Survey	on the su	bject of C i t	es		Data And	nymize	h						
					Duta Ain								
Name	Quantity	Stock	Stock	Stock			inished	products	Annual co	insumption	Purchase 10 years	Demand 10	
Name	Quantity	Stock	Stock	Stock			manea	products	Announce	noumption	r archase 10 years	years	
	Employees	Bar blank	Boards kg	Logs kg	Violin	Viola	Cello	Double	Rods	kg	Pcs / kg	pcs/kg	
								bass					
1	1	100	200		0	0	2	1	50		250kg	250kg	
2	4					1	2	4	6				
3	2	980	2800		5	3	1	1	L	80	1001		
4	1	630	380	50	6	1	3	1	115	40	100kg	300kg	
5	4	11022	5115			<u> </u>			115		539 pcs.		
6	60	43833			1044	127	427	36	2174		5506 pcs.	80000 pcs.	
7	1	6921	5615		150	70	70	12	30				
8	1	25		4	4		1		24		30 pcs.	50 pcs.	
9	4	3985											
10	5	4			80	20	100	4	66		450 pcs.	500 pcs.	
11	1	17500	11155		20	10	10		180				
12	3	300			99	29	96	3					
13	28	20000	986	54						500	500 pcs	2000pcs.	
14	1	75	3		2				10		150 pcs.	50 pcs.	
15	1	120	2		5	2	4		8		150 pcs.	80 pcs.	
16	1	1240	1233							15			
17	5	9020	329		34	18	24	2	150		645 pcs.	500 pcs.	
18	36	222000			50	12	25	20	7350		5944 pcs.	50000 pcs.	
19	1	1500	900		20	10	10	5	20				
20	3	750	49		3		2		12				
21	2		1500		15	2	7	8	70				
22	3				1	1	1	2		70		700kg	
23	2		3025		28	19	21	17		30			
24	4	300			67	14	35	2	15	150		150 kg	
25	8				113	44	65	7	450		4500 pcs.	5000 pcs.	
26	3	293	761	2505		2	1	2	1	12	50 pcs.		
27	1	1500			4	3	4		20				
28	10	22000		1100			400		1000				
29	1	80	800		5	4	6	1	20				
30	1	4000	655		6	5	7	3	10				
31	1	25370	304	609									
32	3	1200	2400	1600	10	7	8	5		200		2000kg	
33	2	103360			77	34	46	20	300				
34	1	80	800		5	4	6	1	20				
Total	205	498188	39012	5922	1853	442	1383	157	12101	1097	350kg/ 18464 pcs	3400kg/138180pc	:s.
Status 13.	07.22				Average	percent	age of n	ew construc	tion by pe	mambuco: 639	6.		

## Questionnaire on Brazil wood (Paubrasilia echinata)

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

## 2. Contact information

a) Party, Institution:	Organization,	or	ForestBased Solutions, LLC		
			Name	Robert Garner	
b) Contact:			Phone	+1-415-902-6893	
			Email	rgarner@forestbased.com	

## Traceability and supply chains of Paubrasilia echinata

## 3. Regarding traceability to register the provenance of *Paubrasilia echinata* bows:

Traceability of <i>P. echinata</i> bows		Answ	er or comments	
3.1. Are you aware of any traceability system in place for bows of <i>P. echinata</i> ? <i>Tick or mark in bold</i>	⊠No	with CITES Manager	sized the need for thi nent authorities and t trade of pau brasil an	he trade!! We have
whichever applies	□Yes	□Acquired bows	<ul><li>☐Owners</li><li>☐Musicians</li><li>☐Manufacturers</li></ul>	We can provide
		□ Produced bows	□Owners □Musicians □Manufacturers	We can provide
		□Transported bows	□Owners □Musicians □Manufacturers	We can provide
3.2. Are you aware of <b>any</b> <b>other</b> traceability system for musical instrument accessories or musical instruments parts? If so, please provide some details.	which h musical The sy platform	restBased Solutions, las been providing du l instruments for 20 yea stem is multi-level tr ns through modular da rting woods used for m	e diligence and trace ars. raceability with the ita capture for invento	ability systems for main tools digital
3.3. Are you aware of any recent developments on the marking of bows of <i>Paubrasilia echinata</i> ? If so, please specify.	all pre-o bows.	s suggested developir convention unfinished there has not been an	stocks outside Braz	il and for finished
3.4. According to you, what are the key elements of a traceability system for pernambuco bows?	•	Inventory managemer Industry Standard Digital tools to capture ids	ht	
3.5. Please provide any other information you consider relevant to the traceability of bows and	There needs to be a NDF on stocks in the wild. There needs to be an LAF for stocks in the wild. Moreover, there needs to be a practical system that can be used to create data management for traceability as bow makers do not always agree on what should be implemented as			

Traceability of <i>P. echinata</i> bows	Answer or comments
other specimens of <b>Paubrasilia echinata</b> .	they are in different countries and are guarded about their craft and stocks of wood. This is true in luthiery globally. They are small businesses often one person.
3.6. Please provide any information relating to the existence and status of plantations of <i>Paubrasilia</i>	There is the IPCI program but as I understand it, they planted a significant amount of seedlings in natural forest without any geo- location or markings.
<i>echinata</i> that could be considered source code A or Y.	There are some young plantations also that could be considered A or Y.
	This is one of the issues of the management of the program. It was a good effort but did not have expert forest management people advising.
3.7. List of attachments or relevant links.	www.forest-source.com
List here any attachments and links you will provide as a complement to this section.	

## Enforcement

4. Please provide information on recent developments regarding *Paubrasilia echinata* for the following aspects:

Enforcement	Answer or comments
4.1. National enforcement	
actions:	
4.2. International	
enforcement actions:	
4.3. Illegal trade ( <b>e.g.</b>	
seizures):	
4.5. Other relevant	
information relating to	
enforcement:	

# **Stockpiles**

5. Please provide any information relevant to the registration of stockpiles of *Paubrasilia echinata*, as follows:

Registration of stockpiles	Answer or comments		
5.1. Are you aware of any	⊠No/Unknown		
stockpile registration system	□Yes. Please		
for specimens of <i>P. echinata</i> ?	elaborate:		
5.2. Additional information relevant to the implementation of Decision 19.251	As mentioned, there needs to be an investment in Brazil on NDF and LAF but moreover an investment in completing a species assessment – inventory, distribution, regeneration, silviculture. My company is willing to work on this and we have some private sector partners that are interested in funding part our work.		

### **Capacity building**

6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasilia echinata* in Appendix II, as follows:

Capacity building need list	Comments or justification
<ol> <li>Traceability System of Stocks and Finished Bows</li> </ol>	<ul> <li>95 percent of all the wood available is outside Brazil already</li> <li>There needs to be an industry standard for bows to demonstrate legal trade</li> </ul>
	•
2) NDF Insitu	This should have been done with the 2007 listing and it was not
3) LAF Insitu	This should have been done with the 2007 listing and it was not
4) Species assessment	This is critical to fully understand the dynamics of the conservation and trade of the species.
add rows as needed	

#### Decisions 19.249 to 19.253

- 7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasilia echinata*).
- ForestBased Solutions LLC has the knowledge and capacity to work with CITES and the private sector to develop a practical system for traceability.
- The Forest-Source program is a digital traceability system that can work in all aspects of the supply chain from tree to finished product.

It is customizable through modules that can be integrated with small and large businesses.

FBS has been a pioneer in sustainable use, traceability and trade of woods for musical instruments.

It is time to make the necessary investments to address CITES II listed timber species that are actually almost commercially extinct.

### Questionnaire on Brazil wood (Paubrasiliaechinata)

1. Please complete and submit this questionnaire to the Secretariat at <u>info@cites.org</u> with copy to <u>isabel.camarena@un.org</u> by 17 April 2023.

# 2. Contact information

a) Party, Organization, or Institution:	<ul> <li>American Federation of Violin and Bow Makers (AFVM)</li> <li>Confederation of European Music Industries (CAFIM)</li> <li>Chambre Syndicale de la Facture Instrumentale (CSFI)</li> <li>International Alliance of Violin and Bow Makers for Endangered Species (Alliance)</li> <li>International Federation of Musicians (FIM)</li> <li>International Pernambuco Conservation Initiative (France- Europe, Germany &amp; USA)</li> <li>International Society of Violin and Bow Makers (EILA)</li> <li>League of American Orchestras (League)</li> <li>PEARLE – Live Music Europe (PEARLE)</li> </ul>		
b) Contact:	Name Phone	John Bennett (AFVBM, Alliance, EILA, IPCI-USA) Michael Jousserand (CAFIM) Jacques Carbonneaux (CSFI) Benoît Machuel (FIM) Edwin Clement (IPCI France-Europe) Arthur Dubroca (IPCI France-Europe) Paul Sadka (IPCI France-Europe) Thomas Gerbeth (IPCI-Germany) Heather Noonan (League) Silke Lalvani (PEARLE) J. Bennett: +1 917-403-9849 M. Jousserand: +49 6119545886 J. Carbonneaux: +33 660585423 B. Machuel: +33 660 625 494 E. Clement: +33645481540 A. Dubroca: +33671639732 P. Sadka: +44 7718 213051 T. Gerbeth: +43 699 10350680 H. Noonan: +1 202-776-0215	
	Email	S. Lalvani: +32 4 76 02 71 87 J. Bennett: bennettandassoc@aol.com M. Jousserand: michaeljousserand@buffetcrampon.com J. Carbonneaux: carbonneauxjacques@gmail.com B. Machuel: benoît.machuel@fim-musicians.org E. Clement: clemedwin@gmail.com A. Dubroca: latelierdarthur@wanadoo.fr P. Sadka: pnsadka@gmail.com T. Gerbeth: bogenbau@gerbeth.eu H. Noonan: hnoonan@americanorchestras.org S. Lalvani: silke@pearle.eu	

# Traceability and supply chains of Paubrasiliaechinata

3. Regarding traceability to register the provenance of *Paubrasiliaechinata* bows:

Traceability of <i>P. echinata</i> bows		Answ	er or comments	
3.1. Are you aware of any traceability system in place for bows of <i>P. echinata</i> ? <i>Tick or mark in <b>bold</b> whichever applies</i>	☑No We are not aware of any comprehensive international traceability system for bows. At the same time, we have also answered yes below because bow makers in various countries have been voluntarily marking and using documentation that they have developed to declare the materials contained in the bows they make.			
	⊠Yes		<ul> <li>Owners</li> <li>Musicians</li> <li>Manufacturers</li> </ul>	
		Produced bows	□Owners □Musicians ⊠Manufacturers	Signature,Stamp (Branding iron mark)
		□Transported bows	<ul> <li>Owners</li> <li>Musicians</li> <li>Manufacturers</li> </ul>	
3.2. Are you aware of <i>any</i> <i>other</i> traceability system for musical instrument accessories or musical instruments parts? If so, please provide some details.	Yes. Some other instruments, such as guitars or woodwinds are marked with serial numbers and/or name and/or year of manufacture. Because of their size and shape, bows do not lend themselves to extensive marking beyond marks identifying their maker. Also, at present, because the practice of making unique marks on individual bows has not been often used, there is not yet a generally accepted trade standard.			acture. Because of xtensive marking , because the as not been often rd.
3.3. Are you aware of any recent developments on the marking of bows of <i>Paubrasiliaechinata</i> ? If so, please specify.	history o makers t approxit	today that make more th nately 20-25 annually).	or even by the very sn an 50 bows per year (r A very small handful o	nall number of nost artisans make
3.4. According to you, what are the key elements of a traceability system for pernambuco bows?	history of artisanal bow making or even by the very small number of makers today that make more than 50 bows per year (most artisans mak approximately 20-25 annually). A very small handful of bow makers ha begun exploring unique marking options. After more than two centuries of production, millions of bows exist in trade today. The overwhelming majority of these are not accompanied be documents describing the provenance of the pernambuco they contain. addition, only an extremely small number of top bow-making experts would potentially have the ability to identify even the approximate age a bow. Moreover, marking a valuable older or antique bow would create the rise of potentially devastating impacts on the originality, value and preservation of precious artistic and cultural artifacts. It will not be practicable, therefore, to create a traceability system for already existing bows. The focus should be on newly created bows. When pernambuco was first placed on CITES Appendix II, a few CITE management authorities, particularly in Europe (e.g., Germany), created national registration systems to identify existing stocks of pre-Conventi wood. Most CITES authorities did not take this step. Decision 19.251(b encouraged the Parties to "consider the registration of stockpiles of Paubrasilia echinata as appropriate". Some bow makers in countries with no registration system have already voluntarily created their own inventories and stock documentations. Others have done so since CoP1 <sup>-</sup> It is too early to identify the key elements of a traceability system for pernambuco bows. The trade sector is diverse and predominantly			ot accompanied by co they contain. In making experts approximate age of ould create the risk alue and it will not be or already existing ix II, a few CITES Germany), created s of pre-Convention Decision 19.251(b) stockpiles of rs in countries with I their own ne so since CoP19. lity system for

Traceability of <i>P. echinata</i> bows	Answer or comments
DOWS	accounting. That said, efforts by national CITES authorities to encourage and support the voluntary registration of existing stocks according to internationally agreed standards have the potential to benefit the identification of bows produced from existing stocks. In addition, the strengthening of Brazil's permit verification system would make it possible for international buyers of pernambuco specimens to be confident that the future wood, blanks or bows entering their markets have been legally sourced. It will also be important to have broad acceptance of any system, across the music sector but also across nations.
3.5. Please provide any other information you consider relevant to the traceability of bows and other specimens of <i>Paubrasiliaechinata</i> .	The bow making trade is interested in learning more about the traceability tools that have been used for timber and other commodities. We would like to understand more about the potential for traceability to be applied to pernambuco specimens (wood, blanks and bows) first leaving Brazil. Examples exist in certain European countries of the elements of systems that may have the potential to be used internationally. In France, for example, artisanal bow makers are registered at the Chamber of Crafts or Chamber of Commerce and the bows they produce are branded with their name. European and US bowmakers are small artisan workshops. A more
	limited number of larger, industrial companies around the world may also use pernambuco, some of which is of low quality. Putting aside the need for valid CITES permits at all times, the manufacturing of inferior bows from pernambuco wood that has been cut before it is mature should never be allowed or brought to the market. In order to be fully effective, any voluntary system will require interest and participation from throughout the world. Where existing bows are concerned, music sector observer organizations have been preparing guidance to help makers, musicians and consumers take near-term voluntary steps towards documenting what might be known about the materials used in bows made prior to the February 23, 2023 implementation date of the CoP19 listing. Samples of the guidance that has been developed and distributed to bow makers musicians and consumers (one of which is in draft form) are enclosed. These documents will continue to be updated for distribution.
	The bow-making trade is currently encouraging makers to assess and document their inventories to the extent possible (see above-referenced guidance for makers). Because of the vast number of bows in existence, however, the marking and documentation of all existing bows in circulation among makers, retailers and musicians is impossible. Many owners of older valuable bows, however, have documents of authenticity provided to them by recognized experts. It may be possible for this work to be expanded and standardized to some extent, taking into account the very limited number of experts worldwide.
3.6. Please provide any information relating to the existence and status of plantations of <i>Paubrasiliaechinata</i> that could be considered source code A or Y.	For more than 20 years, the International Pernambuco Conservation Initiative (IPCI) has worked with Brazilian government authorities, scientists and NGOs to conserve pernambuco. In addition to investments in science and awareness building, IPCI has supported the replanting of approximately 340,000 pernambuco (and other) seedlings in reserves, civic areas and on privately owned farmlands using an agroforestry approach, especially with cocoa farmers using a "cabruca" system in which pernambuco provides helpful shade for crops. The existing legal framework on the national and state levels is not well understood by landowners and, it seems, needs to be strengthened and harmonized in order to ensure that plantation-grown trees can be legally harvested and sustainably used. A well-functioning and verifiable legal framework has

Traceability of <i>P. echinata</i> bows	Answer or comments
3.7. List of attachments or relevant links. List here any attachments and links you will provide as a complement to this section.	the potential to relieve the pressure on wild populations created by illegal cuttings. It would also make it possible for landowners to see the long- term economic value of planting pernambuco on their lands. Bow making requires very little wood on an annual basis. The science and other conditions exist to support the creation of a sustainable national commodity that would support bow making and music throughout the world. The music community, and instrument makers in particular, are prepared to support such an initiative. AFVBM: https://afvbm.org Alliance: https://www.alliance-usa.org CAFIM: https://www.cafim.org CSFI: https://www.cafim.org FIM: www.fim-musicians.org IPCI-Germany: https://www.ipci-deutschland.org IPCI-Germany: https://www.ipci-deutschland.org IPCI-USA: http://ipci-usa.org League: https://americanorchestras.org PEARLE: https://www.fao.org/publications/card/en/c/83dcd903-46ce-4612- 859c-460e883e5e59/
	6570-+00060505057/

## **Enforcement**

4. Please provide information on recent developments regarding *Paubrasiliaechinata* for the following aspects:

Enforcement	Answeror comments
4.1. National enforcement	We are aware that enforcement actions have been taken in Brazil.
actions:	
4.2. International enforcement	We are aware that other enforcement agencies have been cooperating
actions:	with Brazilian authorities. knowledge
4.3. Illegal trade ( <i>e.g.</i> seizures):	We have seen news reports regarding seizures of illegal pernambuco
	specimens.
4.5. Other relevant information	Our organizations and the bow makers and musicians we represent
relating to enforcement:	condemn in the strongest possible terms the Illegal harvesting of
	pernambuco, which poses an existential threat to the tree that gives life
	to stringed instrument music and, with it, our professions as they have
	been practiced for centuries. We support continued enforcement and
	the establishment of verifiable permitting that will ensure the credibility
	of legal pernambuco specimens in the international market.
	"Into the Wood" Symphony Online, January 6, 2023

## **Stockpiles**

5. Please provide any information relevant to the registration of stockpiles of *Paubrasiliaechinata*, as follows:

Registration of stockpiles	Answer or comments			
5.1. Are you aware of any	□No/Unknown			
stockpile registration system	⊠Yes. Please	In 2007, France's Ministry of Ecological Transition		
for specimens of <i>P. echinata</i> ?	elaborate:	began the voluntary registration of stocks of pre-		
		Convention wood. Since then, no monitoring has		

Registration of stockpiles	Answer or comments			
	been recommended or imposed. The status of the			
	current stocks is unknown to the CITES			
	management			
	authority.			
	In 2007, on the initiative of the IPCI, the quantity			
	(sticks, boards, logs) of Paubrasilia echinata was			
	recorded in Germany and Austria and reported to			
	the			
	CITES authorities. Since then, separate material			
	accounting has been mandatory. In some regions of			
	Germany, CITES officials are making repeated			
	checks			
	of these documents.			
	In Belgium it seems that it has always been possible			
	to declare stockpiles.			
	In Italy, the registration depends on the areas or regions.			
	Some European countries do not have systems for			
	the registration of stockpiles.			
	In the United States, makers were encouraged in			
	2007 to voluntarily document their stocks of pre-			
	Convention wood. No formal governmental			
	registration process was initiated.			
5.2. Additional information	Bow makers and musicians encourage the creation of a uniform			
relevant to the implementation				
of Decision 19.251	pernambuco wood. Such a system is essential in order to make			
	possible the fluid, legal and sustainable use of pernambuco.			

# Capacity building

6. Please provide a list of the most urgent capacity building needs to support implementation of the listing of *Paubrasiliaechinata* in Appendix II, as follows:

Capacity building need list	Comments or justification		
1) Registration of existing	A standard, uniform international system is needed.		
stocks of pre-			
Convention wood			
2) Investigation of potential	A voluntary traceability system for post-CoP19-produced bows has		
voluntary traceability	potential. Makers and musicians will need to work with experts to learn		
system	more about the tools and approaches that may be best suited to the specific qualities of bows.		
3) Awareness building	The music sector is in urgent need of support from national CITES		
activities	authorities to increase awareness of CITES, CITES decisions pertaining		
	to pernambuco, and how to be legally compliant.		
Support for conservation			
4) Inventory of trees	We encourage the undertaking by the Brazilian government of an		
	exhaustive inventory of wild pernambuco populations as well as		
	plantation-grown trees on Brazilian soil in all states of the Atlantic coast		
	from Sao Paulo to Recife in collaboration with Brazilian public and		
	private organizations. IPCI and other international organizations are		
	prepared to support such an initiative, as appropriate.		
5) Protection of the Atlantic	The survival of pernambuco will depend on regional and state-level		
Rainforest	planning that prioritizes conservation of timber resources throughout the		
	Atlantic Rainforest. Unchecked urban and agricultural development		
	pressures threaten to destroy what little remains of the Atlantic		

	Rainforest's globally significant forests.		
Legal permitting and			
plantations			
6) Elimination of fraud from	Without reliable, verifiable permits, illegal activity will continue, forests		
the permitting process	will be depleted, and international markets will struggle to recognize		
	fraudulent documents. Bow makers and musicians want to support		
	Brazilian enforcement efforts to the greatest extent possible and would		
	welcome cooperation in this connection.		
7) Information about illegal Information about illegal activities, to the extent it can be share			
activities	help the music sector to fight against illegal trafficking, which is an		
	important goal of the sector.		
8) Legal framework for	The creation and promotion of a fully integrated (national and state),		
plantation wood.	transparent legal framework for the sustainable harvesting and use of		
	plantation-grown pernambuco holds the potential to reduce illegal		
	cuttings, strengthen populations of pernambuco, create livelihoods and		
	support bow making and stringed instrument music.		

### Decisions 19.249 to 19.253

7. Please provide any additional information relevant to the implementation of Decisions 19.249 to 19.253 on Brazil wood (*Paubrasiliaechinata*).

Bow makers and musicians are mobilized and motivated to work in cooperation with Brazilian and other environmental authorities to promote legal compliance, explore a voluntary traceability system and continue to support science and the conservation of pernambuco.

## Question 2

- a) -Independend importer of *Paubrasilia echinata* bows from Brasil since 2004.
   -Wholesale function: bows were sold only to violin-and bow makers in NW Europe As sole legal importer in the Netherlands, according to CITES prop 49 data, 5th largest importer worldwide (no wood or blancs)
   -Recognized by CITES NL as stakeholder, hearing took place on 8 September 2022
- b) Name: jean de Smet
   Phone: +31 113 23 26 48 (land line)
   Mail: <u>office@jeandesmet.com</u>

I like to emphasize that this writing is strictly related to my position as an importer/wholesaler. Therefore this writing is strictly related to new, Brazilian made bows. Until June 2022 import consisted only of *Paubrasilia echinata* bows, as from November 2022 only *Handroanthus spp.* bows (due to an export stop on *Paubrasilia echinata* by IBAMA) These bows were and are legally imported and distributed in EU.

Please consider this writing in the perspective mentioned above.

Already existing bows, wherever they were made, "recent" or "old" making; those bows have their specific problems and issues to be solved by other specialist organisations.

### Question 3.3

One of the items presented at my hearing of 8 September at CITES NL was about transparency and proof of legal origin.

I then introduced a system developed by Josef P. Gabriel, Master Bow Maker, Erlangen, Germany. Mr. Gabriel kindly granted, helped and teached me how to make use of his system. I have put it in effect since 3 November 2022.

The system consists of an inerasable engraving of the bow stick by laser and the issue of an accompanying certificate.

#### Question 3.4

-non-erasable marking of the bow stick at the earliest possible moment

(in my specific and actual situation only possible at legal entry of the bow in the EU\*)

-issuing a certificate which accompanies the bow stick for its lifetime.

-provide a unique match between the engraved stick number and a certificate number.

-for the certificate number investigate the cooperation with an independent "umbrella organisation" (in my case the German based "Bundesinnungverband für Musikinstrumentenbau")

-to avoid fraud, develop a "one certificate / one bow stick" system (similar to "one man/one vote")

\*there should be a supervised/controlled match at the source between the raw-material and the bow stick.

#### Question 3.5

Description of the procedure actually applied:

-at legal entry of the bows in the EU, the bow sticks are laser-engraved at a non-erasable way. My engraving consists of a 8-digit combination of my country code + characters + numbers (removing the engraving will lead to loss of the bow stick!)

-3 pictures are taken, head + frog + number.

-the certificate is filled out and printed on marked paper issued by the "umbrella organisation / authority".

The certificate contains the next information:

-the unique certificate number (as issued by the "umbrella organisation / authority")
-description of kind of bow
-the unique laser engraved bow stick number
-date and document number issued by my import carrier as proof of legal (fiscal) entry in the EU.
-branded (name) stamp
-space for extra remarks, for instance: size of the bow, special characteristics etc.

-issuing date of the certificate -name, address and signature of me as importer

-an extended material declaration -CITES relevant remarks, for instance; number of CITES import licences

For now: pictures and certificates are electronically filed on my computer.

The "Bundesinnungsverband für Musikinstrumentenbau" provide me the necessary imprinted paper with their logo thrown into relief. They also provide me with the certificate numbers.

The number of sheets they provide is accounted for and matches with the number of bows I import and the number of certificates I issue. On a regular basis I report the actual status.

The earlier mentioned "one certificate/one bow-stick" for me as a legal importer is easy and secure to handle

NB: As I am not a maker, I don't have a woodstock to account for; a big advantage!

#### Question 3.6

It is undoubtedly known to you that since June 2022, NO *Paubrasilia echinata* bow can be exported from Brazil. To my knowledge IBAMA still does not permit legal exportation.

To my knowledge IBAMA still does not:

-permit the use of stocked *Paubrasilia echinata* wood at manufactures in order for bow-making -allow harvesting so called "plantatation wood" for future bow making.

One of the plantations on which prof. D. Piotto (University of Bahia) is researching wood quality of plantation wood is one in the possession of my Brazilian supplier. This plantation is still blocked. On request I can sent you a preliminary report of the work of prof. Piotto

Attached a survey of existing plantation's in the state of Esperito Santo. A survey by Charles Espey, a US based bow maker. He kindly permitted me to forward his survey.

## Question 3.7

-A marked copy of certificate nr. AAA-327 -A survey of existing *Paubrasilia echinata* plantantions by Mr. Charles Espey

#### Question 7

In case IBAMA releases the export of *Paubrasilia echinata* bows, the here described system is immediately applicable and will be applied by me.

In my opinion it meets some of the CITES requirements as published in CITES decisions 19.249-19.253

Since 3 November 2022 this system is in effect on importing and distributing Brazilian made bows of *Handroanthus spp.* (24 November 2024 CITES appendix II #17)

By the way, *Handroanthus spp.*, one of the very usable alternatives for student bows to replace *Paubrasilia echinata* in order to reserve *Paubrasilia echinata* for high end bows.

## Some remarks.

I would like to draw your attention to the fact that EU regulation is more strict than CITES regulation. CITES appendix I is transferred to EU Appendix "A" meaning only "artificial multiplied material" is allowed to be used, no "natural material" is allowed.

At my knowledge, at the present there is no such "artificial multiplied material" available. Ergo: in future, if CITES at CoP20 would decide to transfer *Paubrasilia echinata* to Appendix I, this turns the here presented system useless for importation in the EU. No matter the origin. In my opinion a non-equal treatment in relation to non-European CITES parties.

However EU Appendix "A" allows existing *Paubrasilia echinata*, with legal proof of already being present in the EU, to be used until the woodstock is "out".

In the latter situation a supervised/controlled match between the raw material and the bows stick would be possible.

J.R.P. de Smet , 14 April 2023 , NL-Goes

Nachweis erstellt von - Proof created by:

*Groothandel in Strijkstokken Jean De Smet Mozartlaan 8 4462JS Goes Nederland* 

Ort und Datum - Place and Date of issue: *Goes 12-03-2023* 

Unterschrift - Signature

Zertifikats Nr. - Certifikats No.: **AAA-327** 

Bogenart - Kind of bow:

Violinbogen - violin bow

Stangen Nr. - Stick identification no.: NL-AA268

Importnachweis - Import proof: 06-02-2023 3FDX-99302 625

Stempel - Brand: "SCHAEFFER IPÊ DO BRASIL"

Besonderheiten - Specifics: keine - nothing

anonecost appropries

NL-AA268

Verwendete Materialien zum Zeitpunkt der Erklärung mit Kennzeichnung von CITES getroffenden Materialien. Materials used at the time of declaration with identification of materials affected by Cites.

Pos	Bogenbauteil Bow component	Material Material	CITES relevant	Fußnote Footer
1.	Stange - Stick	IPÊ - IPÊ - Handroanthus albus syn. Tabebuia alba.		
2.	Kopfplatte - Tipplate	Knochen - Bone - Bos primigenius indicus		
3.	Montage - Mounting	Silber - Silver - Argentum		
4.	Leder - Lapping	Rindleder - Bovine leather - Bos primus indicus		
5.	Frosch - Frog	Ebenholz - Ebony - Diaspyros crassiflora	X	B#5
6.	Einlage - Inlay	Iris grün - Green abalone - Haliotis fulgens		
7.	Auge - Eye	• Iris grün - Green abalone - Haliotis fulgens		
8.	Ring - Ring	Neusilber - Nickelsilver - Argentan		
9.	Haare - Hair	Pferdehaare - Domestic Horse Hair - Equus ferus caballus		

Nachweis der Legalität von CITES relevanten Bestandteile. Die folgenden Angaben sind den entsprechenden CITEN entnommen. Proof of legality of Cites relevant components. The following information is taken from the relevant CITES

Material	CITES Nr./ No.	Ausstellende Behörde Issuing authority	Anhang/Annex Herku CITES / EU Source		Ursprun <mark>g</mark> sland / Country of origin	Genehmingungs Nr. / Permit no.	Ausstellungsdatum / Date of issue
Ebenholz - Ebony Diospyros crassiflora	-	-	II #5 / B #5				
			ş				
						~	2
						01	27
						162	
					1	Dr	
			vom Bundesamt für Na nützten Baumarten''	aturschutz	119	7.4	
#5 Beze	ichnet Stäm	me oder Holzblö	cke, Schnittholz und Fu	unierblätter	and the second s		
xplanation of the t List of tree species			ist of the Federal Agen EG) 338/97".	cy for Nature Con	servation		
#5	Designates	logs or wood blo	cks, sawn timber.	KY,			
lerkunft - Source			n - Explanation, taken i nens taken from nati	The second se	m		
<b>D:</b> Exemplare aus o Zweck - Purpose	der Zeit vor o	dem Übereinkom	imen - Pre-Conventio	on specimens			
<b>T:</b> Kommerzielle Zv	vecke - Com	mercial purpos					
Bun	desin	nungsvo	erband für	<sup>r</sup> das Mu	sikinstrum	nenten-Han	dwerk

Erklärung fur Streichbögen über den legalen Ursprung der Materialen zum Zeitpunkt der Erstellung. Declaration for bows about the legal origin of the materials at the time of creation.

## PAUBRASILIA ECHINATA PLANTATIONS IN ESPIRITO SANTO Charles Espey



A pure stand of Paubrasilia echinata planted in the mid 1980s at Ascua. 2018 photo.

Starting in the late 1980s an enclave of Brazilian violin bow makers in Espirito Santo State created a number of Pau-brasil (*Paubrasilia echinata*) plantations, several of which are producing seedlings for distribution and actively planting trees today. Deforestation of the Atlantic Forest in the region has reduced the pockets of specifically Pau-brasil habitat to several small fragments of 'capoeira' or second growth woodland. These locations are neither specifically protected nor recognized. The giant pulp mill, Aracruz Cellulose was responsible for clearing most of this habitat in order to plant Eucalyptus beginning in the 1980s. In the remaining forest fragments, even if no mature Pau-brasil trees are present, stumps invariably send out suckers one or two of which can exceed the girth of the original tree. In addition where a flowering tree was present, numerous seedlings and saplings can be found. As a result, even if the mature trees were cut illicitly, there is usually plenty of regeneration as long as the area is not burned and converted to pasture as is most often the case.

However because of the extremely limited scope of this habitat, plantations created by Brazilian bow makers contain the overwhelming majority of the genetic diversity of the *Paubrasilia echinata* variety endemic to the region. Because the proposed CITES listing would financially ruin the owners of these plantations and make it impossible for them to continue their trade, there is a real concern that the various farms planted with Pau-brasil will be sold off and effectively cleared for agricultural purposes sooner or later. This tragic loss would be a Pyrrhic victory for conservation since, effectively in this case, there are no mature trees to protect from logging in the region. All trees of over 20cm in diameter are on plantations. Maintaining *P. echinata* in its natural state requires habitat that no longer exists in Espirito Santo. It is difficult to convey the amount of time and care necessary to raise even one seedling to the point where it can flourish on its own. Realistically the bowmaker owners are the only people with the knowledge and financial commitment to hire farmhands to maintain these stands, collect seed and continue producing seedlings. In addition it



Eucalyptus on Paubrasilia echinata habitat. 2003.

was demonstrated in 2019 by Floriano Schaeffer that these trees of 18 to 24 years of age and measuring as little as 15cm diameter are perfectly adequate for making the student level bows that represent 95% of Brazilian bow production.

The Brazilian CITES proposal ignores or refutes many of the conditions noted in the preceding paragraphs. The owners of plantations have made numerous attempts to register and inventory their plantings over the years but the authorities (IBAMA) have not made the effort to establish protocols for Pau-brasil plantations or help them in any way. The purpose of this paper is not to discuss the use of illegal wood by some makers of bows nor the fact that most makers use wood that is carefully documented with IBAMA permits. IBAMA is an enforcement agency without the resources to promote Pau-brasil's conservation and

the author has not identified any regional government or non-profit organizations promoting the species either. The author's concern here is simply to document every significant plantation of Paubrasil in Espirito Santo. With the exception of one site, the author has visited and photographed every plantation and observed planting procedures starting in 2001. Most of this information was collected in 2018 and 2019. Because of the lack of forewarning, there has not been time to count the exact number of trees on these plantations or measure the number of hectares planted. As a result some of these estimates will not be accurate and the the reader will have to interpolate the importance of these stands with the help of the photographs. The important thing is that these plantations exist. This data will be updated as inventories are conducted. The precise location of these plantations and forest fragments is not disclosed here but is available to researchers.



Floriano Schaeffer on his plantation. Guarana, ES. 2018

#### ROÇA SCHAEFFER, Guarana, ES.

The bowmaker, Floriano Schaeffer developed his interest in botany as a boy assisting the botanist Augusto Ruschi in Santa Teresa. He has an expert knowledge of Atlantic Forest flora. This small farm surrounded by the Eucalyptus plantations supplying the Aracruz Cellulose pulp-mill was acquired by Floriano Schaeffer in 1995 and he began raising seedlings and initially planted paubrasil in a matrix of other crops such as coffee and papaya. Soon he moved to planting two areas; one mixed with other forest species and the other



Schaeffer's P. echinata seedling production in 2003.



Shaeffer's original P. echinata plantings in 2003.

in a monoculture. These plantings represent an estimated 7,000 Pau-brasil trees over an area of 7.5 hectares. The author noted Schaeffer's shade houses in 2001 where he was propagating approximately 50,000 seedling per year. Most of these seedlings were distributed for free or provided to the Aracruz Cellulose pulp-mill to plant in watersheds where Eucalyptus could not be planted. By estimate, Floriano Schaeffer has distributed over half a million pau-brasil seedlings.



Preparation for P. echinata seedlings in 2018.



Farm workers planting P. echinata on Schaeffer's farm. 20018

#### MARCO RAPOSO

The bow maker Marco Raposo is established in the hill town of Domingos Martins, ES. and he started his mountainside plantation in the year 2000. His trees are up to 20cm. He maintains a large propagation facility at another location where he raises pau-brasil seedlings as well as seedlings of other native species.



Marco Raposo on his Pau-brasil plantation. 2018



Raposo's P. echinata seedling production. 2018

#### RENATO CASARA

The bowmaker Renato Casara began his plantation in about 1998 near the village of Dimitrio Ribeiro, ES. His initial plantings are now up to 25cm in diameter. An area planted in the recent years goes up a hillside and has saplings of up to 1.5 meters in height. He keeps a moderate sized shade house to produce seedlings.



Renato Casara on his P. echinata plantation. 2018.



Renato Casara's shade house with P. echinata seedlings. 2018

## JACUPEMBA

This plantation was planted by Floriano Schaeffer for a landowner near the town of Jacupemba about ten years ago. It features a regional variety of *P. echinata* from Bahia, 'folia d'arruda'. The trees, which number in the hundreds are up to 7cm in diameter.



Pau-brasil plantation near Jacupemba, ES. 2018



Floriano Schaeffer with Bahian variety of P. echinata, 'folia d'arruda'. 2018

#### ASCUA

This remarkable stand of trees was planted in the late 1980ies on a private ranch by Floriano Schaeffer. Instead of using seedlings propagated from seed, seedlings were dug up in forest fragments prior to clearing and transplanted in the site as a monoculture. This location may be the most important remnant of the Espirito Santo variety's genetic diversity. The trees here are up to 20cm and those of at least 15cm number in the hundreds covering about one hectare. More recently germinated seedlings and saplings number in the thousands.



Ascua, refuge of Paubrasilia echinata diversity. 2018

#### JOSE BOTTONI

The bowmaker Jose Bottoni has a small plantation on his farm near Jacupemba ES. His trees are up to 20cm in diameter.



Jose Bottoni on his plantation of P. echinata.

## TINTORI

The bowmaker Alexandre Tintori started a plantation on his family's farm in the hills above João Neiva in 2019. To date he has planted 1000 seedlings which are now up to 2 meters in height.



## HORST JOHN (Jaci Souza)

Horst John operated a small sawmill and bowmaking workshop starting in the late 1970s. Shortly afterwards he purchased property and created a plantation near Santa rosa, ES. which is still in existence. The author has not seen it. It is reported to have 3,000 trees. In addition, a compound of about one hectare at his workshop in Guarana, ES. was planted in 1987. An estimated 100 Paubrasilia echinata trees are growing there, some as much as 30 cm in diameter. The bowmaker Jaci Souza who inherited the Horst John business currently maintains this property and continues planting.

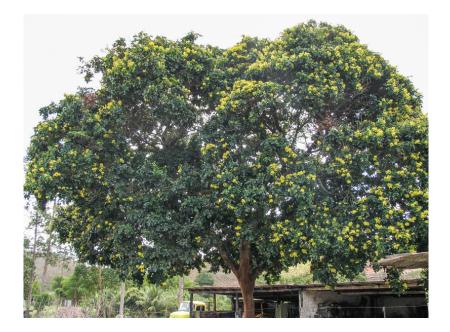
## FAZENDA ANDRE

This cocoa farmer has a small plantation of Pau-brazil up to 20cm in diameter.



The town of GUARANA.

From seedlings distributed mostly by Floriano Schaeffer since the 1980s, Pau-brasil is a very common tree found in people's gardens throughout the town and also in the city of Aracruz. Pau-brasil is valued as an ornamental on account of its attractive foliage and bright yellow flowers. These trees can be up to 40cm in diameter.



# FOREST FRAGMENTS

## CÓRREGO D'AGUA

This forest fragment was selectively logged over the years but was originally ideal pau-brasil habitat. In recent years additional seedlings were transplanted into the area by Floriano Schaeffer.



Pau-brasil sapling at Corrego D'agua. 2018



Paubrasilia echinata. Corrego D'agua, ES. 2018

#### FAZENDA LIMAO

This forest fragment was originally adjacent to a lemon orchard. Although selectively logged and encroached upon by coffee plantations, it shows extensive regeneration of pau-brasil.



Young Paubrasilia echinata at Fazenda Limao. 2018.



Flavio Schaeffer with P. echinata sapling at Fazenda Limao. 2018

#### POSTSCRIPT

It should be recognized here that currently the illegal cutting of Pau-brasil is occurring in the state of Bahia where some habitat remains for the time being. Large scale propagation and planting is also occurring however the author has not been in the area for some years so reporting on that work can come from the IPCI and other organizations active in Bahia. The author's concern is that placing Paubrasilia echinata on CITES appendix One will barely address the problem of sustaining Paubrasilia echinata's diversity while putting out of business the very people who have been planting trees. The author urges the delegates to postpone this decision.

#### ABOUT THE AUTHOR

Charles Espey studied violin bow making in Paris and in 1983 made the first of several extended trips to Brazil where he purchased pau-brasil wood to last his career; (about 2 cubic meters which was documented as pre-convention in 2007). At that time he met Floriano Schaeffer who introduced him to the incredible diversity of the Atlantic Forest. In 2001-03 he spent many months in Bahia working on the development of a major Paubrasilia echinata conservation project; a partnership between the Brazilian agricultural research institute, CEPLAC, and the IPCI, a bow maker's conservation initiative. In 2018-19 he spent several months in Brazil visiting plantations and helping plant and propagate trees. A personal project to expand the scope of seed collection was interrupted by the pandemic. Any requests for information are welcome. charlesespey@gmail.com