

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORASeventy-seventh meeting of the Standing Committee
Geneva (Switzerland), 6–10 November 2023

SUMMARY

TUESDAY 7 NOVEMBER
AFTERNOON33.8 Application of Article XIII in the European Union..... SC77 Doc. 33.8

The Committee urged the CITES Management Authorities of the European Union to ensure that facilities that are breeding specimens of Appendix-I listed species for commercial purposes be registered with the CITES Secretariat in accordance with the procedures established in Resolution Conf. 12.10 (Rev. CoP15) on *Registration of operations that breed Appendix-I animal species in captivity for commercial purposes*.

The Committee recalled paragraph 8 a) of Resolution Conf. 12.10 (Rev. CoP15) and invited Parties to restrict imports for primarily commercial purposes, as defined in Resolution Conf. 5.10 (Rev. CoP19) on *Definition of 'primarily commercial purposes'*, of captive-bred specimens of Appendix-I species to those produced by operations included in the CITES Register and to reject any permit or certificate granted under Article VII, paragraph 4, if the specimens concerned do not originate from a registered facility and if the permit or certificate does not describe the specific identifying mark applied to each specimen.

The Committee invited the Secretariat to submit to its 78th meeting a document with draft elements of guidance on the following matters:

- a) specific guidance on the chain of custody required for demonstrating the legal acquisition of the parental stock, i.e., the chronological documentation, to the extent practicable and in accordance with applicable laws and records, of the transactions pertaining to the removal from the wild of a specimen and the subsequent ownership of that specimen;
- b) standardized and objective criteria to implement the requirement stated in paragraph 5 j) of Resolution Conf. 12.10 (Rev. CoP15) to assist Management Authorities in making the findings about the continuing meaningful contribution that the captive-breeding operation will make to the conservation needs of the species concerned.

The Committee invited the Secretariat to follow-up with Brazil, Dominica and Germany regarding the cases identified in document SC77 Doc. 33.8 for *Amazona imperialis*, *A. arausiaca* and *Cyanopsitta spixii* and to report back to the Standing Committee.

Chad (Committee Member for Africa) called for a vote under Rule 15.1 of the Rules of Procedure of the Standing Committee which was supported by Kuwait (Committee Member for Asia). The Committee voted on a motion to agree the recommendation in paragraph 87 of document SC77 Doc. 33.8 as follows:

The Committee determined that Article III and Article VII para. 4 of the Convention are not being effectively implemented by the European Union with regard to the registration of operations that

breed Appendix-I animal species in captivity for commercial purposes, in particular concerning two main elements:

- a) the evidence that the parental stock has been obtained in accordance with relevant national laws and the provisions of the Convention (e.g., dated capture permits or receipts, CITES documents, markings, etc.); and
- b) the primarily commercial nature of the operations breeding Appendix-I animal species in captivity.

The motion was approved by a simple majority of 11 votes in favour.

33.1 Implementation of Article XIII and Resolution Conf. 14.3 (Rev. CoP19) SC77 Doc. 33.1

The Committee urged the CITES Management Authority of the United Kingdom of Great Britain and Northern Ireland to ensure that facilities that are breeding specimens of Appendix-I listed species for commercial purposes be registered with the CITES Secretariat in accordance with the procedures established in Resolution Conf 12.10 (Rev. CoP15) on *Registration of operations that breed Appendix-I animal species in captivity for commercial purposes*.

The Committee recalled paragraph 8 a) of Resolution Conf. 12.10 (Rev. CoP15) and invited Parties to restrict imports for primarily commercial purposes, as defined in Resolution Conf. 5.10 (Rev. CoP19) on *Definition of 'primarily commercial purposes'*, of captive-bred specimens of Appendix-I species to those produced by operations included in the CITES Register and to reject any permit or certificate granted under Article VII, paragraph 4, if the specimens concerned do not originate from a registered facility and if the permit or certificate does not describe the specific identifying mark applied to each specimen.

Kenya (Committee Member for Africa) called for a vote under Rule 15.1 of the Rules of Procedure of the Standing Committee which was supported by Kuwait (Committee Member for Asia). The Committee voted on a motion to agree the recommendation in paragraph 45 a) of document SC77 Doc. 33.1 as follows.

The Committee determined that Article III and Article VII, paragraph 4, of the Convention are not being effectively implemented by the United Kingdom of Great Britain and Northern Ireland with regard to the registration of operations that breed Appendix-I animal species in captivity for commercial purposes, in particular concerning two main elements:

- a) the evidence that the parental stock has been obtained in accordance with relevant national laws and the provisions of the Convention (e.g. dated capture permits or receipts, CITES documents, markings, etc.); and
- b) the primarily commercial nature of the operations breeding Appendix-I animal species in captivity.

The motion was approved by a simple majority of 11 votes in favour.

The Committee noted that the United Kingdom of Great Britain and Northern Ireland expressed its sincere disappointment that a compliance case had been brought by the Secretariat and supported by a majority of the Standing Committee without identifying a single specific case of non-compliance in the United Kingdom.

36. Review of trade in specimens reported as produced in captivity..... SC77 Doc. 36

The Committee requested Poland on behalf of the European Union and its Member States, the United Kingdom of Great Britain and Northern Ireland and the United States of America to consolidate their suggested edits to the proposed Standing Committee recommendations in Annex 1 to document SC77 Doc. 36 and submit an in-session document for consideration later in the meeting.

The Committee requested the Secretariat to develop guidance for situations where the founding stock was acquired before the species was listed on CITES or before the Party concerned joined the Convention and report to SC78, taking into account the comments made on the floor.

The Committee noted the following new species-country combinations selected for review at AC32.

	Species	Country	Criterion/criteria met	Source code
1	<i>Macaca fascicularis</i>	Indonesia	Significant numbers	F
2	<i>Macaca fascicularis</i>	Cambodia	Significant numbers; Legal acquisition	C, F, D
3	<i>Macaca fascicularis</i>	Philippines	Significant numbers	C
4	<i>Macaca fascicularis</i>	Viet Nam	Significant numbers	C
5	<i>Chlamydotis macqueenii</i>	Kazakhstan	Significant increase	C
6	<i>Chlamydotis undulata</i>	Morocco	Significant numbers	C
7	<i>Kinyongia boehmei</i>	Kenya	Significant numbers	C
8	<i>Gecko gecko</i>	Indonesia	Significant numbers	F
9	<i>Ctenosaura quinquecarinata</i>	Nicaragua	Significant increase	C
10	<i>Ctenosaura similis</i>	Nicaragua	Significant increase	C
11	<i>Testudo graeca</i>	Jordan	Shift in source code	C
12	<i>Testudo horsfieldii</i>	Uzbekistan	Significant numbers; Shift in source code	F, R, C
13	<i>Testudo kleinmanni</i>	Syrian Arab Republic	Significant increase; Legal acquisition; Breeding biology	C
14	<i>Testudo kleinmanni</i>	Egypt	Legal acquisition; Breeding biology	C
15	<i>Nectophrynoides asperginis</i>	United States of America	Significant numbers	F, C
16	<i>Dendrobatus auratus</i>	Nicaragua	Significant numbers	C
17	<i>Oophaga pumilio</i>	Nicaragua	Significant numbers	F, C
18	<i>Agalychnis callidryas</i>	Nicaragua	Significant numbers	C
19	<i>Cheilinus undulatus</i>	Indonesia	Significant numbers	R
20	<i>Hirudo medicinalis</i>	Azerbaijan	Significant increase; Significant numbers; Legal acquisition	C
21	<i>Batagur borneoensis</i>	United States of America	Breeding biology	C

The Committee requested the Secretariat to contact the Parties in table 2 of Annex 2 to document SC77 Doc. 36 to inquire about the source codes used and any reporting inconsistencies and bring back to the Standing Committee any issue that would require follow up.

The Committee noted the progress made and the proposed way forward towards the implementation of Decisions 19.63 to 19.65.