

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Seventy-seventh meeting of the Standing Committee
Geneva (Switzerland), 6–10 November 2023

Compliance

Review of Significant Trade in specimens of Appendix-II species

OVERVIEW OF THE REVIEW OF SIGNIFICANT TRADE

1. This document has been prepared by the Secretariat.

Background

2. The role and responsibilities of the Standing Committee in conducting the Review of Significant Trade (RST) in specimens of Appendix-II species are described in paragraph 1 k) to p) and paragraph 2 of Resolution Conf. 12.8 (Rev. CoP18) on *Review of Significant Trade in specimens of Appendix-II species*.
3. Details of the 118 cases (species or taxa/country or range State combinations) that are currently in the RST are presented in a table in the Annex to this document. Of these cases, 79 relate to fauna and 39 relate to flora. This table includes the 21 species/country combinations that were selected by the Animals Committee and the 20 species/country combinations selected by the Plants Committee for inclusion in Stage 2 of RST at their 32nd and 26th meetings respectively (AC32 and PC26; Geneva, June 2023).
4. In document SC77 Doc. 35.2, the Secretariat has submitted for consideration of the Standing Committee its determination of implementation of fauna cases that are due for reporting at the present meeting. The recommendations of said document reflect the outcomes of the intersessional consultations with the Animals Committee, in accordance with paragraph 1 k) of Resolution Conf. 12.8 (Rev. CoP18).
5. In document SC77 Doc. 35.3, the Secretariat has submitted for consideration of the Standing Committee its determination of implementation of flora cases that are due for reporting at the present meeting. The determination of implementation of *Pterocarpus erinaceus* as an exceptional case for the eight range States concerned is reported on in document SC77 Doc. 33.2.3. The recommendations of both documents reflect the outcomes of the consultations with the Plants Committee at its 26th meeting (PC26; Geneva, June 2023), as well as that of additional intersessional consultations that took place following PC26, in accordance with paragraph 1 k) of Resolution Conf. 12.8 (Rev. CoP18).

New species/country combinations selected following CoP19

6. At AC32, the Animals Committee selected nine taxa (concerning 15 countries) for the RST in compliance with paragraph 1 b) of Resolution Conf. 12.8 (Rev. CoP18). Cases where Parties are confirmed as non-range States and where discrepancies in the trade data is explained will be removed and reported to AC33.
7. The fauna taxa and range States (countries) that were selected by the Animals Committee for inclusion in Stage 2 of the RST following CoP19 are shown in Table 1 below.

Table 1. Taxa and countries selected following CoP19 at AC32 for inclusion in Stage 2 of RST

Species	Country selected	Justification
<i>Falco cherrug</i>	Jordan (JO)	Endangered species; High volume (Globally Threatened - GT)
<i>Kinixys homeana</i>	Ghana (GH)	Endangered species; High volume (GT)
<i>Python regius</i>	Ghana (GH), Benin (BJ), Togo (TG)	High volume (GT); Sharp increase
<i>Siebenrockiella crassicollis</i>	Indonesia (ID)	Endangered species; High volume (GT)
<i>Carcharhinus longimanus</i>	Kenya (KE); Senegal (SN); Oman (OM); Yemen (YE)*	Endangered species; Sharp increase (global);
<i>Mobula</i> spp.	Sri Lanka (LK)	Endangered species; High volume (GT); Sharp increase (global); Sharp increase
<i>Sphyrna lewini</i>	Mexico (MX); Indonesia (ID); China (CN); Kenya (KE); Nicaragua (NI); Oman (OM); Sri Lanka (LK); Yemen (YE)	Endangered species; High volume (GT); Sharp increase (global); Sharp increase
<i>Sphyrna mokarran</i>	Mexico (MX)	Endangered species; Sharp increase (global); Sharp increase
<i>Testudo horsfieldii</i>	Uzbekistan (UZ)	High Volume (GT)

* Yemen was considered a non-range State at AC32, but subsequent checks have confirmed that *Carcharhinus longimanus* does occur in the territorial waters of Yemen.

8. At PC26, the Plants Committee selected eight taxa for the RST in compliance with paragraph 1 b) of Resolution Conf. 12.8 (Rev. CoP18) and recommended the following species/country combinations for inclusion in Stage 2 of the Review of Significant Trade. Cases where Parties are confirmed as non-range States and where discrepancies in the trade data is explained will be removed and reported to PC27.

Table 2. Taxa and countries selected following CoP19 at PC26 for inclusion in Stage 2 of RST

Species	Country selected	Justification
<i>Euphorbia poissonii</i>	Ghana (GH)	Sharp increase (global); Sharp increase (Ghana)
<i>Dalbergia melanoxylon</i>	Mozambique (MZ); United Republic of Tanzania (TZ); Uganda (UG); Kenya (KE)	High volume (Globally Threatened); Sharp increase (Kenya)
<i>Dalbergia tucurensis</i>	Nicaragua (NI)	Endangered species; High volume (Globally Threatened)
<i>Guibourtia tessmannii</i>	Equatorial Guinea (GQ), Gabon (GA), Cameroon (CM)	Endangered species; High volume (Globally Threatened)
<i>Osyris lanceolata</i>	All Range States plus South Sudan*	High volume; Sharp increase (United Republic of Tanzania)
<i>Aquilaria crassna</i>	Viet Nam (VN), Thailand (TH), Malaysia (MY)*	Endangered species; Sharp increase (Viet Nam)
<i>Aquilaria malaccensis</i>	Indonesia (ID), Malaysia (MY), Singapore (SG), Thailand (TH), India (IN)	Endangered species; High volume (Globally Threatened)
<i>Gyrinops</i> spp.	Indonesia (ID), Papua New Guinea (PG)	Sharp increase (global); Sharp increase (Indonesia)

* An asterisk denotes a non-range State.

Progress in the implementation of Decisions 17.108 (Rev. CoP19) to 17.110 (Rev. CoP19) on *Review of Significant Trade*

9. At its 19th meeting (CoP19; Panama City, 2022), the Conference of the Parties revised Decisions 17.108 (Rev. CoP19) to 17.110 (Rev. CoP19) on *Review of Significant Trade*, as follows:

Directed to the Secretariat

17.108 (Rev. CoP19) *The Secretariat, within six months of the 19th meeting of the Conference of the Parties and building on the work done to date, shall develop, test and establish a Review of Significant Trade Tracking and Management database as an essential tool for the effective implementation and transparency of the process.*

17.109 (Rev. CoP19) *The Secretariat, subject to the availability of funds, within six months of the 19th meeting of the Conference of the Parties, shall develop a user-friendly guide to the Review of Significant Trade that can also be included in the initial letter to range States.*

17.110 (Rev. CoP19) *The Secretariat, subject to the availability of funds, within nine months of the 19th meeting of the Conference of the Parties, shall develop a comprehensive training module on the Review of Significant Trade (including case studies as appropriate).*

10. At AC32 and PC26, the Secretariat informed the Committees that the Review of Significant Trade (RST) Tracking and Management System had been launched at CoP19 during a side event, making it publicly available to all CITES Parties and interested stakeholders. Accordingly, Decision 17.108 (Rev. CoP19) has been fully implemented.
11. As a further development, the Secretariat is pleased to inform the Standing Committee that the RST Tracking and Management System is now available in all three official CITES languages: English, French and Spanish. The Secretariat hopes that this will make the System more useful to all CITES Parties, and particularly those in the Review of Significant Trade.
12. In parallel, the Secretariat has worked with the United Nations Environment Programme – World Conservation Monitoring Centre (UNEP-WCMC) to implement Decision 17.109 (Rev. CoP19). The Secretariat has made available an updated draft user-friendly guide for all public users of the RST Tracking and Management System, in English, French and Spanish through Notification to the Parties No. [2023/114](#) of 18 September 2023. The Notification invited Parties to provide feedback on the Tracking and Management System and the draft user-friendly guide by 31 October 2023. Accordingly, Decision 17.109 (Rev. CoP19) has been fully implemented. The Secretariat is planning to include a training module on the Review of Significant Trade on the CITES Virtual College and will provide an update on the implementation of Decision 17.110 (Rev. CoP19) to SC78.

International expert workshop on NDFs

13. The Secretariat draws the Parties' attention to [Notification to the Parties No. 2023/095](#) which announces an international expert workshop on Non-Detriment Findings (NDFs) from 4 – 8 December 2023 at the United Nations Complex, UN Avenue, Gigiri, in Nairobi, Kenya. The aim of the workshop, an activity of the CITES NDF project, is to review and improve draft NDF guidance materials being developed. Parties currently included in RST should consider attending this workshop and contributing to the development of this guidance by highlighting the challenges that they face in making NDFs. The workshop will develop new guidance materials that Parties will be encouraged to test in the field. It is envisaged that the outputs from the workshop will serve as a useful resource for Parties that find making NDFs challenging.. Detailed information on the progress of the CITES NDF project is available in documents [CoP19 Doc. 43.1](#) and document [PC26 Doc. 17 / AC32 Doc. 16](#).

Recommendations

14. The Standing Committee is invited to take note of this document.

SPECIES/COUNTRY COMBINATIONS CURRENTLY
IN THE REVIEW OF SIGNIFICANT TRADE
(AS OF SEPTEMBER 2023)

- Countries or range States are shown in the first column, arranged in alphabetical order, with the relevant species in the second column.
- Cases selected at AC32 and PC26 for inclusion in Stage 2 of RST are indicated as “initiated”
- Cases where deadlines for implementation of recommendations have now passed are indicated in the third column as “Ongoing”
- Where a recommendation to suspend trade is in place for a particular species or taxa/country or range State combination, this is highlighted in **bold** and green and the date of validation is indicated in the third column.
- Cases marked (*) refer to exceptional cases, pursuant to paragraph 1 c) of the RST process.
- Where information has been received by the Secretariat from the country concerning updates on the implementation of the recommendations of the Animals, Plants or Standing Committees, and the case is reviewed in another SC77 document, this is indicated in the fourth column

Country	Species	Initiated, Ongoing or Date of validation of a recommendation to suspend trade	Comments
All range states plus South Sudan	1. <i>Osyris lanceolata</i>	<i>Initiated</i>	Selected at PC26
Algeria	2. <i>Anguilla anguilla</i>	<i>Ongoing</i>	
Belize	3. <i>Myrmecophila tibicinis</i>	15 June 2010	
Benin	4. <i>Pandinus imperator</i>	2 May 2013	
	5. <i>Chamaeleo gracilis</i>	3 February 2016	
	6. <i>Chamaeleo senegalensis</i>	3 February 2016	
	7. <i>Kinixys homeana</i>	3 February 2016	
	8. <i>Pterocarpus erinaceus</i>	<i>Ongoing*</i>	For review at SC77. See SC77 Doc. 33.2.3.
	9. <i>Python regius</i>	<i>Initiated</i>	Selected at AC32
Burkina Faso	10. <i>Pterocarpus erinaceus</i>	<i>Ongoing*</i>	For review at SC77. See SC77 Doc. 33.2.3.
Cameroon	11. <i>Prunus africana</i>	<i>Ongoing</i>	For review at SC77. See SC77 Doc. 35.3
	12. <i>Trioceros quadricornis</i>	15 March 2016	For review at SC77. See SC77 Doc. 35.2
	13. <i>Trioceros montium</i>	20 January 2020	For review at SC77. See SC77 Doc. 35.2
	14. <i>Guibourtia tessmannii</i>	<i>Initiated</i>	Selected at PC26
China	15. <i>Sphyrna lewini</i>	<i>Initiated</i>	Selected at AC32
Congo	16. <i>Pericopsis elata</i>	<i>Ongoing</i>	For review at SC77. See SC77 Doc. 35.3
Côte d'Ivoire	17. <i>Pericopsis elata</i>	7 September 2012	Retained at SC74
Democratic Republic of the Congo	18. <i>Poicephalus fuscicollis</i>	9 July 2001	
	19. <i>Poicephalus gulielmi</i>	<i>Ongoing</i>	For review at SC77. See SC77 Doc. 35.2

Country	Species	Initiated, Ongoing or Date of validation of a recommendation to suspend trade	Comments
	20. <i>Prunus africana</i>	Ongoing	For review at SC77. See SC77 Doc. 35.3
	21. <i>Pericopsis elata</i>	Ongoing	For review at SC77. See SC77 Doc. 35.3
Equatorial Guinea	22. <i>Prunus africana</i>	3 February 2009	Retained at SC74
	23. <i>Triceros feae</i>	7 September 2012	For review at SC77. See SC77 Doc. 35.2
	24. <i>Guibourtia tessmannii</i>	Initiated	Selected at PC26
Gambia (the)	25. <i>Pterocarpus erinaceus</i>	Ongoing*	For review at SC77. See SC77 Doc. 33.2.3.
Gabon	26. <i>Guibourtia tessmannii</i>	Initiated	Selected at PC26
Ghana	27. <i>Pandinus imperator</i>	12 August 2014	
	28. <i>Chamaeleo gracilis</i>	3 February 2016	
	29. <i>Chamaeleo senegalensis</i>	3 February 2016	
	30. <i>Pterocarpus erinaceus</i>	Ongoing*	For review at SC77. See SC77 Doc. 33.2.3.
	31. <i>Kinixys homeana</i>	Initiated	Selected at AC32
	32. <i>Python regius</i>	Initiated	Selected at AC32
	33. <i>Euphorbia poissonii</i>	Initiated	Selected at PC26
Grenada	34. <i>Strombus gigas</i>	12 May 2006	For review at SC77. See SC77 Doc. 35.2
Guinea	35. <i>Hippocampus algiricus</i>	3 February 2016	
Guinea Bissau	36. <i>Pterocarpus erinaceus</i>	Ongoing*	For review at SC77. See SC77 Doc. 33.2.3.
Guyana	37. <i>Amazona festiva</i>	Ongoing	
	38. <i>Chelonoidis denticulatus</i>	Ongoing	
	39. <i>Amazona farinosa</i>	Ongoing	
	40. <i>Ara ararauna</i>	Ongoing	
	41. <i>Ara chloropterus</i>	Ongoing	
Haiti (non-Party)	42. <i>Strombus gigas</i>	29 September 2003	
India	43. <i>Pterocarpus santalinus</i>	Ongoing	For review at SC77. See SC77 Doc. 35.3
	44. <i>Aquilaria malaccensis</i>	Initiated	Selected at PC26
Indonesia	45. <i>Malayemys subtrijuga</i>	Ongoing	For review at SC77. See SC77 Doc. 35.2
	46. <i>Notochelys platynota</i>	Ongoing	For review at SC77. See SC77 Doc. 35.2
	47. <i>Cuora amboinensis</i>	Ongoing	For review at SC77. See SC77 Doc. 35.2
	48. <i>Siebenrockiella crassicollis</i>	Initiated	Selected at AC32
	49. <i>Sphyrna lewini</i>	Initiated	Selected at AC32
	50. <i>Aquilaria malaccensis</i>	Initiated	Selected at PC26

Country	Species	Initiated, Ongoing or Date of validation of a recommendation to suspend trade	Comments
	51. <i>Gyrinops</i> spp.	<i>Initiated</i>	Selected at PC26
Jordan	52. <i>Testudo graeca</i>	<i>Ongoing</i>	
	53. <i>Falco cherrug</i>	<i>Initiated</i>	Selected at AC32
Kenya	54. <i>Carcharhinus longimanus</i>	<i>Initiated</i>	Selected at AC32
	55. <i>Sphyrna lewini</i>	<i>Initiated</i>	Selected at AC32
	56. <i>Dalbergia melanoxylon</i>	<i>Initiated</i>	Selected at PC26
Lao People's Democratic Republic	57. <i>Dendrobium nobile</i>	3 February 2009	
Madagascar	58. <i>Coracopsis vasa</i>	20 January 1995	
	59. <i>Furcifer labordi</i>	20 January 1995	
	60. <i>Phelsuma borai</i>	20 January 1995	
	61. <i>Phelsuma gouldi</i>	20 January 1995	
	62. <i>Phelsuma hoeschi</i>	20 January 1995	
	63. <i>Phelsuma ravenala</i>	20 January 1995	
Malaysia	64. <i>Aquilaria crassna</i>	<i>Initiated</i>	Selected at PC26
	65. <i>Aquilaria malaccensis</i>	<i>Initiated</i>	Selected at PC26
Mali	66. <i>Uromastix dispar</i>	22 August 2008	
	67. <i>Uromastix geyeri</i>	<i>Ongoing</i>	For review at SC77. See SC77 Doc. 35.2
	68. <i>Pterocarpus erinaceus</i>	<i>Ongoing*</i>	For review at SC77. See SC77 Doc. 33.2.3.
Mexico	69. <i>Sphyrna lewini</i>	<i>Initiated</i>	Selected at AC32
	70. <i>Sphyrna mokarran</i>	<i>Initiated</i>	Selected at AC32
Morocco	71. <i>Anguilla anguilla</i>		
Mozambique	72. <i>Smaug mossambicus</i>	7 September 2012	
	73. <i>Cordylus tropidosternum</i>	10 August 2001	
	74. <i>Trioceros melleri</i>	<i>Ongoing</i>	
	75. <i>Dalbergia melanoxylon</i>	<i>Initiated</i>	Selected at PC26
Nicaragua	76. <i>Dalbergia retusa</i>	<i>Ongoing</i>	For review at SC77. See SC77 Doc. 35.3
	77. <i>Sphyrna lewini</i>	<i>Initiated</i>	Selected at AC32
	78. <i>Dalbergia tucurensis</i>	<i>Initiated</i>	Selected at PC26
Niger	79. <i>Chamaeleo africanus</i>	7 September 2012	
Nigeria	80. <i>Pterocarpus erinaceus</i>	<i>Ongoing*</i>	For review at SC77. See SC77 Doc. 33.2.3.
Oman	81. <i>Carcharhinus longimanus</i>	<i>Initiated</i>	Selected at AC32
	82. <i>Sphyrna lewini</i>	<i>Initiated</i>	Selected at AC32
Panama	83. <i>Dalbergia retusa</i>	<i>Ongoing</i>	For review at SC77. See SC77 Doc. 35.3

Country	Species	Initiated, Ongoing or Date of validation of a recommendation to suspend trade	Comments
Papua New Guinea	84. <i>Gyrinops</i> spp.	<i>Initiated</i>	Selected at PC26
Senegal	85. <i>Hippocampus algiricus</i>	3 February 2016	
	86. <i>Carcharhinus longimanus</i>	<i>Initiated</i>	Selected at AC32
Sierra Leone	87. <i>Pterocarpus erinaceus</i>	<i>Ongoing*</i>	For review at SC77. See SC77 Doc. 33.2.3.
Singapore	88. <i>Aquilaria malaccensis</i>	<i>Initiated</i>	Selected at PC26
Solomon Islands	89. <i>Corucia zebrata</i>	9 July 2001	
	90. <i>Ornithoptera priamus</i>	20 January 1995	
	91. <i>Ornithoptera victoriae</i>	20 January 1995	
	92. <i>Tridacna derasa</i>	3 February 2016	
	93. <i>Tridacna crocea</i>	3 February 2016	
	94. <i>Tridacna gigas</i>	3 February 2016	
	95. <i>Tridacna maxima</i>	3 February 2016	
	96. <i>Tridacna noae</i>	3 February 2016	
	97. <i>Tridacna squamosa</i>	3 February 2016	
Sri Lanka	98. <i>Mobula</i> spp.	<i>Initiated</i>	Selected at AC32
	99. <i>Sphyrna lewini</i>	<i>Initiated</i>	Selected at AC32
Suriname	100. <i>Amazona farinosa</i>	<i>Ongoing</i>	For review at SC77. See SC77 Doc. 35.2
	101. <i>Ara ararauna</i>	<i>Ongoing</i>	For review at SC77. See SC77 Doc. 35.2
	102. <i>Ara chloropterus</i>	<i>Ongoing</i>	For review at SC77. See SC77 Doc. 35.2
Thailand	103. <i>Aquilaria crassna</i>	<i>Initiated</i>	Selected at PC26
	104. <i>Aquilaria malaccensis</i>	<i>Initiated</i>	Selected at PC26
Togo	105. <i>Pandinus imperator</i>	2 May 2013	
	106. <i>Poicephalus fuscicollis</i>	9 July 2001	
	107. <i>Chamaeleo gracilis</i>	<i>Ongoing</i>	
	108. <i>Kinixys homeana</i>	<i>Ongoing</i>	
	109. <i>Varanus ornatus</i>	<i>Ongoing</i>	
	110. <i>Python regius</i>		Selected at AC32
Tunisia	111. <i>Anguilla anguilla</i>	<i>Ongoing</i>	
Uganda	112. <i>Dalbergia melanoxylon</i>	<i>Initiated</i>	Selected at PC26
United Republic of Tanzania	113. <i>Balearica regulorum</i>	2 May 2013	
	114. <i>Dalbergia melanoxylon</i>	<i>Initiated</i>	Selected at PC26
Uzbekistan	115. <i>Testudo horsfieldii</i>	<i>Initiated</i>	Selected at AC32
Viet Nam	116. <i>Aquilaria crassna</i>	<i>Initiated</i>	Selected at PC26
Yemen	117. <i>Carcharhinus longimanus</i>	<i>Initiated</i>	Selected at AC32
	118. <i>Sphyrna lewini</i>	<i>Initiated</i>	Selected at AC32