

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Joint sessions of the 33rd meeting of the Animals Committee
and the 27th meeting of the Plants Committee
Geneva (Switzerland), 12 - 13 July 2024

EXECUTIVE SUMMARY
SATURDAY 13 JULY 2024

40/47. Botanical and zoological nomenclature

40.1/47.1 Nomenclature of Appendix-III listings PC27 Doc. 40.1/AC33 Doc. 47.1

The Plants and Animals Committees agreed the recommendations in document PC27/AC33 Com. 1 as follows:

Proposed amendments to Resolution Conf. 9.25 (Rev. CoP18) on *Implementation of the Convention for species in Appendix III*:

1. RECOMMENDS that, when considering the inclusion of a species in Appendix III, a Party:

a) ensure that:

i) the species is native to its country;

ii) if the species concerned is included in one of the standard lists of names or taxonomic references adopted by the Conference of the Parties, the name provided by that reference be used; if the species concerned is not included in one of the adopted standard references, the Party provide references as to the source of the name used as indicated in sub-paragraph e) below, and in cases where there is any doubt regarding the nomenclature to follow, consult the nomenclature specialist of the Animals Committee or the Plants Committee, as appropriate;

iii) its national laws and regulations for the conservation of the species are adequate to prevent or restrict exploitation and to control trade, and include penalties for illegal taking, trade or possession and provisions for confiscation; and

iv) its national enforcement measures are adequate to implement these regulations;

[...]

c) inform the Management Authorities of other range States, the known major importing countries, the Secretariat and the Animals Committee or the Plants Committee that it is considering the inclusion of the species in Appendix III, provide the Nomenclature Specialist of the Animals or Plants Committee with the reference as to the source of the name used to describe the species being proposed, and seek their opinion on the potential effects of such inclusion;

[...]

e) after due consultation, and having satisfied itself that the biological and trade status of the species justify the action, submit to the Secretariat its considerations under paragraph 1 a) to d) above, specifying the following, in accordance with paragraph 1 of Article XVI of the Convention:

- i) the scientific name of the species it is submitting for inclusion in Appendix III;
 - A. if the species concerned is included in one of the standard lists of names or taxonomic references adopted by the Conference of the Parties, the reference citation and the name provided by that reference should be submitted;
 - B. if the species concerned is not included in one of the adopted standard references, the Party(ies) should provide reference(s) as to the source of the name used; and
 - C. if there are nomenclature uncertainties concerning the species, Party(ies) should consult the nomenclature specialist of the Animals Committee or the Plants Committee, as appropriate; and
- ii) any readily recognizable parts and derivatives to be included, unless it intends to include all readily recognizable parts and derivatives

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- 6. URGES Parties having included species in Appendix III to:
 - a) review periodically the status of these species, seek assistance of the Animals or Plants Committee in undertaking the review mentioned in paragraph 5 of this Resolution, if necessary, and taking into account these guidelines and any recommendations of the Animals and Plants Committees, to consider the necessity to maintain the species in Appendix III;
 - b) inform the Secretariat and the Animals and Plants Committees about any taxonomic or nomenclatural changes affecting species included in Appendix III to determine whether these changes would also result in changes in distribution that would affect the determination of which countries would be required to issue certificates of origin, and proceed to amend the Appendix-III listing, if needed; and
 - c) respond in a timely manner to requests from the Secretariat on proposed nomenclature changes for Appendix-III listed species recommended by the Animals or Plants Committee through its process for updating current standard nomenclatural references in accordance with Resolution Conf. 12.11 (Rev. CoP19) on *Standard nomenclature* to inform amendments to Resolution Conf. 12.11 (Rev. CoP19) and proceed to amend the Appendix-III listing, if needed.

Proposed amendments to Resolution Conf. 12.11 (Rev. CoP19) on *Standard nomenclature*:

- 2. RECOMMENDS that:

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- f) whenever a change in the name of a taxon included in the Appendices is proposed, the Secretariat, in consultation with the Animals or Plants Committee, determine whether this change would alter the scope of protection for fauna or flora under the Convention. In the case where the scope of a taxon is redefined, the Animals or Plants Committee shall evaluate whether acceptance of the taxonomic change would cause additional species to be included in the Appendices or listed species to be deleted from the Appendices and, if that is the case, a range state Party or the Depositary Government should be requested to submit a proposal to amend the Appendices in accordance with the recommendation of the Animals or Plants Committee, so that the original intent of the listing is retained. Such proposals should be submitted for consideration at the next regular meeting of the Conference of the Parties, at which the recommendations of the Animals and Plants Committees will be considered;
- g) if the Animals or Plants Committee become informed of taxonomic or proposes nomenclatural changes in a published taxonomic authority (see definition in paragraph 2.h) relating to taxa included in Appendix-III, they should advise the Secretariat of such proposed changes and whether they these changes would also result in changes in species distribution that would affect the issuance of determination of which countries would be required to issue certificates of origin by range States. To ensure the Party (or Parties) that included the species in Appendix III are aware of the potential changes and their potential impacts on implementation, the Secretariat will inform the Party (or Parties) of the nomenclature changes and any resulting changes in distribution that potentially alter the scope of protection for fauna and flora (inclusion or deletion of species or populations) included in Appendix III and in consultation

with the nomenclature specialist(s) as appropriate, encourage the Party (or Parties) to revise the nomenclature of their Appendix-III listing in accordance with the procedure described in Resolution Conf. 9.25 (Rev. CoP18) on *Implementation of the Convention for species in Appendix III.*

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8. AGREES that the adoption of a standard checklist or reference by the Conference of the Parties does not by itself change the status vis-à-vis CITES of any entity, whether it is listed in the Appendices or not, and the status of the entity remains as intended in the proposal adopted by the Conference unless specifically changed by the adoption of a further amendment proposal; any Party that identifies a change in the status vis-à-vis CITES of any entity as a result of the adoption of a new standard reference should consult the Secretariat and nomenclature specialist as soon as possible.

The Plants and Animals Committees agreed that Decision 18.313 (Rev. CoP19) has been implemented and can be proposed for deletion to the Conference of the Parties.

40.2/47.2 Higher taxon listings in the Appendices PC27 Doc. 40.2/AC33 Doc. 47.2

The Plants and Animals Committees:

- a) agreed to propose to the Conference of the Parties the following amendment to Resolution Conf. 12.11 (Rev. CoP19) on *Standard nomenclature*:

whenever a change in the name of a taxon included in the Appendices, or the taxonomic level in which a taxon is included in the Appendices, is proposed, the Secretariat, in consultation with the Animals or Plants Committee, determine whether this change would alter the scope of protection for fauna or flora under the Convention. In the case where the scope of a taxon is redefined, the Animals or Plants Committee shall evaluate whether acceptance of the taxonomic change would cause additional species to be included in the Appendices or listed species to be deleted from the Appendices and, if that is the case, the Depositary Government should be requested to submit a proposal to amend the Appendices in accordance with the recommendation of the Animals or Plants Committee, so that the original intent of the listing is retained. Such proposals should be submitted for consideration at the next regular meeting of the Conference of the Parties, at which the recommendations of the Animals and Plants Committees that are determined not to alter the scope of protection for fauna and flora under the Convention will also be considered by the Conference of the Parties;

- b) agreed that Decision 19.272 has been implemented and can be proposed for deletion to the 20th meeting of the Conference of the Parties.

40.3/47.3 Development of a standardized global checklist of species PC27 Doc. 40.3/AC33 Doc. 47.3

The Animals and Plants Committees:

- a) noted the list of priority taxa for the adoption of nomenclature standard references in paragraph 7 of document PC27 Doc. 40.3/AC33 Doc. 47.3 as amended by the United States of America and France (see below);

REPTILIA: SAURIA

Gekkonidae: *Carphodactylus* spp., *Nephrurus* spp., *Orraya* spp., *Phyllurus* spp., *Saltuarius* spp., all listed *Sphaerodactylus* species, *Strophurus* spp., *Underwoodisaurus* spp., and *Uvidicolus* spp.

Helodermatidae: *Heloderma* spp.

Phrynosomatidae: *Phrynosoma* spp.

Scincidae: *Egernia* spp.

ARTHROPODA: ARACHNIDA

Scorpionidae: *Pandinus* spp.

INSECTA: LEPIDOPTERA

Papilionidae: *Bhutanitis* spp., *Teinopalpus* spp.

MOLLUSCA: BIVALVIA

Tridacnidae spp.

MOLLUSCA: GASTROPODA

Achatinellidae: *Achatinella* spp.

Cepolidae: *Polymita* spp.

ANTHOZOA

FLORA

Amaryllidaceae: *Galanthus* spp., *Sternbergia* spp.

Apocynaceae: *Hoodia* spp.

Asparagaceae: *Beaucarnea* spp.

Bignoniaceae: *Handroanthus* spp., *Roseodendron* spp., *Tabebuia* spp.

Crassulaceae: *Rhodiola* spp.

Cyatheaceae: *Cyathea* spp.

Dicksoniaceae: *Dicksonia* spp.

Didiereaceae spp.

Euphorbiaceae: Succulent *Euphorbia* spp.

Leguminosae: *Azalia* spp.

Meliaceae: *Cedrela* spp.

Nepenthaceae: *Nepenthes* spp.

Portulacaceae: *Anacampseros* spp., *Avonia* spp.

Primulaceae: *Cyclamen* spp.

Sarraceniaceae: *Sarracenia* spp.

Stangeriaceae: *Stangeria* spp., *Bowenia* spp.

Thymelaeaceae: *Aquilaria* spp., *Gyrinops* spp., *Gonystylus* spp.

Zamiaceae spp.

Zygophyllaceae: *Guaicum* spp.

- b) agreed to propose to the Conference of the Parties the renewal of Decision 19.274 as amended by the United States of America:

Directed to the Animals and Plants Committees

19.274 (Rev. CoP20)

Subject to external funding, the Animals and Plants Committees shall, through their respective nomenclature specialists, participate in the initiative of the International Union of Biological Sciences to develop a standardized global checklist of species, and report on progress to the ~~20th~~ 21st meeting of the Conference of the Parties, including views on the prospective values, benefits, and the potential limitations of participating in such effort as it relates to improving CITES implementation and with consideration of Resolution Conf. 12.11 (Rev. CoP19).

- c) agreed to propose for deletion paragraph 11 of Resolution Conf. 12.11 (Rev. CoP19) on *Standard nomenclature*.

The Animals and Plants Committees agreed to submit the recommendations in paragraph 9 of document PC27 Doc. 24/AC33 Doc. 29 as amended below for consideration by the Standing Committee through its intersessional working group on *Species at risk of extinction*.

- a) The pertinent section of the virtual college to be updated by the Secretariat and guidance material in relation to the preparation of listing proposals expanded.
- b) Subject to external funding, the Secretariat to reserve a dedicated restricted section (portal) on the CITES website to make material in relation to analysis and studies on species not yet listed in the Appendices which might merit such an inclusion available to Parties. The Secretariat should be invited to maintain and update the material with information of who provided the information and when it was updated. The Secretariat should furthermore be invited to assess the feasibility of providing a mechanism for Parties to upload material directly on the CITES website. This information portal should acknowledge that the content and quality of the uploaded information is the responsibility of the Parties that shared the information and that it remains the Parties' responsibility to do their own due diligence in evaluating the information provided.
- c) Material to be included on the CITES website should be submitted by Parties, by non-Party observers through Parties, and by UN and its specialized agencies through either a Party or the Secretariat and although there is a preference for peer-reviewed materials or publications, analysis established under processes within CITES¹ (such as reports produced through the implementation of decisions adopted by the CoP: Asian Snakes, Amphibians, Marine ornamental fishes, Songbirds etc.), government reports or reports from other official bodies, information from non-peer reviewed sources, such as trade data, population studies and scientific publications can also be submitted along with a disclaimer indicating that the information has not been peer-reviewed and identifying the degree of reliability and accuracy of the information. Parties are encouraged to consider the IPBES four-box model for the qualitative communication of confidence to evaluate the reliability and accuracy of the information provided.
- d) Any Party needing information or support with the preparation of a CITES listing proposal may request the Secretariat to issue a notification on its behalf to request information and support with the preparation of a CITES listing proposal on a particular taxon.
- e) Noting that there are several methodologies that are used for identifying and / or selecting species at risk of extinction that might merit consideration to be included in the CITES Appendices, any methodology submitted to the Secretariat by a Party, a non-Party observers through a Party, or by the UN and its specialized agencies through either a Party or the Secretariat, will be made available for Parties to consider through the dedicated portal on the CITES website.
- f) The Scientific Committees should, upon request by Parties, provide advice related to scientific, technical and nomenclatural aspects of proposals to amend the Appendices as provided for in the current ToR of the Scientific Committees (see Annex II, 2 e) of Resolution Conf. 18.2 on the *Establishment of Committees*. Parties could also approach the regional representatives for their region to assist in searching for information. Parties that are considering the submission of a proposal to amend the Appendices, in cases where there is any doubt regarding the nomenclature to follow, are urged in paragraph 5 of Resolution Conf. 9.24 (Rev. CoP17) on *Criteria for amendment of Appendices I and II* to consult the nomenclature specialist of the Animals Committee or the Plants Committee as early as possible in advance of submitting the proposal.
- g) Further ways to provide capacity and support to Parties to identify species warranting CITES listing and to develop listing proposals could be explored by the Standing Committee working group to ensure Parties receive the support they need without significantly impacting the workload of the Scientific Committees.

¹ The co-chairs noted that these CITES processes refer to processes implemented based on decisions adopted by the Conference of the Parties.

- h) As for a possible mechanism under Resolution Conf.19.2 on *Capacity building*, the following amendment to Resolution Conf. 19.2 was proposed: Include a new subparagraph (d) under paragraph 2 Resolution Conf. 19.2 as follows (new text underlined)

2. INVITES Parties to:

- d) upload to and update the CITES website, either directly or through the Secretariat, with relevant studies, analyses, other sources and methods to identify species that are or may be affected by international trade, are at risk of extinction and are either not yet regulated under CITES or may receive insufficient CITES regulation.

The Animals and Plants Committees agreed that Decision 19.187 has been implemented and can be proposed for deletion to the 20th meeting of the Conference of the Parties.

7/7. CITES Strategic Vision PC27 Doc. 7/AC33 Doc. 7

The Animals and Plants Committee invited the Secretariat to consider the comments made on the possible additional indicators for objective 1.4 of the Strategic Vision in the preparation of its report to the 78th meeting of the Standing Committee.

8/9. Cooperation with Multilateral Environmental Agreements and other international organizations *No document*

The Animals and Plants Committee noted the oral update presented by the Secretariat.

10/11. IPBES Report on the Assessment of the Sustainable Use of Wild SpeciesPC27 Doc. 10/AC33 Doc. 11

The Animals and Plants Committees:

- a) agreed to provide to the Standing Committee through its intersessional working group the results of the review of the scientific aspects of the thematic assessment of the sustainable use of wild species of IPBES as contained in Annex 1 and 2 to document PC27 Doc. 10/AC33 Doc. 11, as well as Annex 3 to document PC27 Doc. 10/AC33 Doc. 11 amended to include Resolution Conf. 8.3 on *Recognition of the benefits of trade in wildlife* in the table in Annex 3 in the section under “Drivers of sustainable use – Practices in CITES procedures and practices addressed in the Chapter that could address sustainable use” mapped against paragraph a) ; and

- c) agreed that Decision 19.28 has been implemented and can be deleted.

17/19. Materials for the identification of specimens of CITES-listed species

17/19.1 Report of the intersessional working group [Resolution Conf. 19.4 and Decision 19.142] PC27 Doc. 17 / AC33 Doc. 19.1 (Rev. 1)

The Animals and Plants Committees agreed to propose to the 20th meeting of the Conference of the Parties that Decision 19.142 to 19.144 be replaced by the following draft decisions as amended in plenary:

Directed to the Secretariat

20.AA *The Secretariat shall issue a Notification to the Parties requesting Parties to:*

- a) share information with the Secretariat relating to the materials used to identify specimens of CITES Appendix-II-listed species and Appendix-I listed plants and any challenges experienced in accessing or using existing materials, including gaps in information;
- b) identify specific species in trade for which identification materials need to be developed and indicate if such materials are needed to cover parts and derivatives, as well as whole specimens; and

- ~~c) share the information with the intersessional working groups on Identification materials to inform the selection of identification materials to be reviewed and the prioritization of new material to be developed.~~
- ~~b) continue collecting information on identification materials and share it through the CITES website and the CITES Virtual College; and~~
- ~~c) report on the progress and make recommendations to the Conference of the Parties, as appropriate.~~

Directed to the Animals and Plants Committees, in consultation with the Secretariat

20.BB The Animals ~~and Plants~~ Committees shall

- a) establish a ~~joint~~ working group on identification materials and undertake the following working group tasks, in consultation with the Secretariat:
 - i) review the information provided by Parties in response to the Notification to the Parties issued by the Secretariat referred to in Decision 20.AA ~~review selected identification materials and assess the need for their revision and improvement, taking into account the materials that are being developed or have already been developed by Parties and materials requested in Decisions or Resolutions; as well as the gap analysis for identification materials for Appendix I listed animal species presented in document AC33 Doc. 19.2 and its Annexes;~~
 - ii) prepare a proposed selection of identification materials to be reviewed and new material to be prioritized for development;
 - iii) review progress made with the initiative by China on the development of identification materials for Appendix-I listed animal species and provide input, as appropriate;
 - iv) consider ways to improve the applicability, accuracy and availability of identification materials on CITES-listed animal species; and
 - v) report on the progress with these activities at the meeting(s) of the Animals ~~and Plants~~ Committees.
- b) consider the report of the working group on identification material and make recommendations to the 21st meeting of the Conference of the Parties relating to identification materials to be updated or developed.

Directed to the Plants Committee

20.CC The Plants Committee shall:

- a) establish a working group on identification materials and undertake the following working group tasks, in consultation with the Secretariat:
 - i) review the information provided by Parties in response to the Notification to the Parties issued by the Secretariat referred to in Decision 20.AA;
 - ii) prepare a proposed selection of identification materials to be reviewed and new material to be prioritized for development;
 - iii) consider ways to improve the applicability, accuracy and availability of identification materials on CITES-listed plant species; and
 - iv) report on the progress with these activities at the meeting(s) of the Plants Committee.
- b) consider the report of the working group on identification material and make recommendations to the 21st meeting of the Conference of the Parties relating to identification materials to be updated or developed.

Directed to Parties

20.DD Parties are encouraged to

- a) support the efforts of the working group on identification materials by providing to the Secretariat information on available identification and guidance materials for specimens of CITES Appendix-II-listed species that used by Parties and particularly by enforcement and inspection officers, and information on any challenges experienced or gaps in the available materials; to facilitate the review and development of materials to assist Parties in identification of specimens of CITES-listed species implementation of the Convention; and
- b) participate, as appropriate, in the initiative by China on the development of identification materials for Appendix-I listed animal species; and
- c) establish collaboration and communication between key experts/specialists at a national and regional level to assist the Committees in implementing Decisions 20.BB ii) and iv); 20 CC ii) and iii).

Directed to Parties

20.EE Parties, intergovernmental and non-governmental organizations are encouraged to provide financial and technical assistance to Parties to implement the recommendations made by the Animals and Plants Committees as appropriate.

17/19.2 Identification manual of animals listed in CITES Appendix I AC33 Doc. 19.2

The Animals and Plants Committees:

- a) noted the progress made by the Expert Contact Group on the Identification Manual of Animals Listed in CITES Appendix I; and
- b) invited more Parties, especially French speaking countries and stakeholders, including zoos and aquariums to contribute to the initiative, as well as to make more ID materials available to experts through the Online library and the image database.

13/13. Country-wide Review of Significant Trade [Decision 19.47] PC27 Doc. 13 / AC33 Doc. 13

The Animals and Plants Committees:

- a) noted the conclusions of the working group as outlined in paragraphs 19 to 21 of document PC27 Doc. 13 / AC33 Doc. 13; and
- b) agreed to propose replacing Decisions 19.47 and 19.48 with the following draft decisions for consideration by the Standing Committee.

Directed to Parties subject to recommendations under the Review of Significant Trade

20.AA *Parties subject to recommendations under the Review of Significant Trade are encouraged to make use of the Guidance on the making of non-detriment findings (NDFs) developed under Decision 19.132; and provide feedback on the use of this guidance to the Secretariat.*

Directed to the Secretariat

20.BB *Subject to the availability of resources, the Secretariat shall provide targeted capacity-building support at a national level to Parties currently subject to recommendations under the Review of Significant Trade, including the application of the new NDF Guidance produced under Decision 19.132.*

Directed to the Animals and Plants Committees

20.CC *The Animals and Plants Committees shall, taking into account the progress made under the Compliance Assistance Programme and the development of a Capacity-Building Framework:*

- a) *review the results of the Evaluation of the country-wide Review of Significant Trade (RST) process report produced for AC30/PC24 and consider whether the RST process or a complementary new mechanism should be developed to provide targeted support to Parties with recurring issues in making non-detriment findings for multiple species, who are not currently eligible for the Compliance Assistance Programme, as highlighted through the Review of Significant Trade Process and on the possible role of the Scientific Committees in facilitating this; and*
- b) *provide recommendations, including possible amendments to Resolution Conf. 12.8 (Rev. CoP18) on Review of Significant Trade in specimens of Appendix-II species or other existing resolutions, or the development of a new resolution; for consideration by the Standing Committee.*

Directed to the Standing Committee, in consultation with the Secretariat

20.DD *The Standing Committee shall review the report and the recommendations of the Animals and Plants Committees, and in consultation with the Secretariat, make recommendations for consideration at the 21st meeting of the Conference of the Parties.*

11/12. World Wildlife Trade report PC27 Doc. 11/ AC33 Doc. 12

The Animals and Plants Committees noted document PC27 Doc. 11/ AC33 Doc. 12 and requested the Secretariat to consider the comments made in plenary in its report to the Standing Committee.

19/20. Transport of live specimens [Decision 19.158] PC27 Doc. 19/ AC33 Doc. 20

The Animals Committee and the Plants Committee:

- a) noted document PC27 Doc. 19/ AC33 Doc. 20 and the comments made on the floor;
- b) agreed that Decision 19.158 has been implemented and can be proposed for deletion to the Conference of the Parties; and
- b) requested the Secretariat to make the information available from the workshop available on the Secretariat's website.

33/45. Periodic Review of species included in Appendices I and II [Resolution Conf. 14.8 (Rev. CoP17)]

33.1/45.1 Overview of species under review..... PC27 Doc. 33.1 / AC33 Doc. 45.1 (Rev. 1)

The Animals and the Plants Committee:

- a) agreed the completion of the review of *Pteropus tokudae* and the deletion of the species from the periodic review as indicated below:

CoP17 to CoP19 – species selected at the 29th meeting of the Animals Committee (AC29; Geneva, July 2017)

| Taxon CITES Appendix | Proposed action |
|---------------------------------------|--|
| <i>Ovis ammon</i> (App. II) | Delete species from review – no volunteer |
| <i>Ovis aries</i> complex (App. I/II) | Delete species from review – no volunteer |
| <i>Podilymbus gigas</i> (App. I) | Delete species from review – no volunteer (the species is extinct) |
| <i>Struthio camelus</i> (App. I) | Delete species from review – no volunteer |
| <i>Podarcis lilfordi</i> (App. II) | Delete species from review – no volunteer |

CoP17 to CoP19 – species selected at the 23rd meeting of the Plants Committee (PC23; Geneva, July 2017)

| Taxon CITES Appendix | Proposed action |
|--|---|
| <i>Melocactus paucispinus</i> (App. I) | Delete species from review – no volunteer |
| <i>Caryocar costaricense</i> (App. II) | Delete species from review – no volunteer |

- b) invited Indonesia to submit the information it has provided in information document PC27 Inf. 2/AC33 Inf.10 as a working document to the next meeting of the Animals Committee;
- c) noted that Colombia had volunteered to conduct a periodic review for *Ramphastos (vitellinus) citreolaemus* with support from the United States of America; and
- d) requested the Secretariat to update the records in the Annexes accordingly.

33.2/45.2 Selection of species for the periodic review..... PC27 Doc. 33.2 / AC33 Doc. 45.2 (Rev. 1)

The Animals and Plants Committees agreed to propose to the Conference of the Parties the deletion of criterion D in paragraph 3 b) ii) of Resolution Conf. 14.8 (Rev. CoP19) on *Periodic Review of species included in Appendices I and II*.

Closing remarks..... *No document*

The Chair of the Plants Committee, the Chair of the Animals Committee and the CITES Secretariat thanked the participants, the interpreters and all the meeting organizers. The Chairs of the Committees then closed the joint session of the 27th meeting of the Plants Committee and of the 33rd meeting of the Animals Committee.