

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Joint sessions of the 33rd meeting of the Animals Committee
and the 27th meeting of the Plants Committee
Geneva (Switzerland), 12 – 13 July 2024

NOMENCLATURE OF APPENDIX-III LISTINGS

Mandate

The Plants and Animals Committees requested the Secretariat to prepare an in-session document reflecting the amendments proposed the AC representative for Europe (Mr. Benyr), the AC representative for North America (Mr. Benitez Diaz) and Conservation Force to the Annex to document PC27 Doc. 40.1/AC33 Doc. 47.1.

Note: All edits provided on the floor are shown in **bold** with the author of the edits indicated in **[brackets]**. [NAR] is used for [North American Region].

PROPOSED AMENDMENTS TO
RESOLUTION CONF. 9.25 (REV. COP18)
AND RESOLUTION CONF. 12.11 (REV. COP19)

Proposed amendments to Resolution Conf. 9.25 (Rev. CoP18) on *Implementation of the Convention for species in Appendix III:*

1. RECOMMENDS that, when considering the inclusion of a species in Appendix III, a Party:
 - a) ensure that:
 - i) the species is native to its country;
 - ii) if the species concerned is included in one of the standard lists of names or taxonomic references adopted by the Conference of the Parties, the name provided by that reference be used; if the species concerned is not included in one of the adopted standard references, the Party provide references as to the source of the name used as indicated in sub-paragraph e) below, and in cases where there is any doubt regarding the nomenclature to follow, consult the nomenclature specialist of the Animals Committee or the Plants Committee, **as appropriate [NAR]**;
 - iii) its national **laws and [Conservation Force]** regulations for the conservation of the species are adequate to prevent or restrict exploitation and to control trade, and include penalties for illegal taking, trade or possession and provisions for confiscation; and
 - iiii) its national enforcement measures are adequate to implement these regulations;
 - [...]
 - c) inform the Management Authorities of other range States, the known major importing countries, the Secretariat and the Animals Committee or the Plants Committee that it is considering the inclusion of the species in Appendix III, provide the Nomenclature Specialist of the Animals or Plants Committee

with the reference as to the source of the name used to describe the species being proposed, and seek their opinion on the potential effects of such inclusion;

[...]

e) after due consultation, and having satisfied itself that the biological and trade status of the species justify the action, submit to the Secretariat its considerations under paragraph 1 a) to d) above, specifying the following, in accordance with paragraph 1 of Article XVI of the Convention:

i) the scientific name of the species it is submitting for inclusion in Appendix III;

A. if the species concerned is included in one of the standard lists of names or taxonomic references adopted by the Conference of the Parties, the reference citation and the name provided by that reference should be submitted; and,

B. if the species concerned is not included in one of the adopted standard references, the Party(ies) should provide reference(s) as to the source of the name used, ~~either at the time of the request or within the 90 days before the listing takes effect; and [proposed for deletion by NAR; just “within the 90 days before the listing takes effect” proposed for deletion by Europe]~~

C. if there are nomenclature uncertainties concerning the species, Party(ies) should consult the nomenclature specialist of the Animals Committee or the Plants Committee, as appropriate; and [NAR]

ii) any readily recognizable parts and derivatives to be included, unless it intends to include all readily recognizable parts and derivatives derived from the species; [proposed for deletion by NAR]

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6. URGES Parties having included species in Appendix III to:

a) review periodically the status of these species, seek assistance of the Animals or Plants Committee in undertaking the review mentioned in paragraph 5 of this Resolution, if necessary, and taking into account these guidelines and any recommendations of the Animals and Plants Committees, to consider the necessity to maintain the species in Appendix III;

b) inform the Secretariat and the Animals and Plants Committees about any taxonomic or nomenclatural changes ~~impacting affecting [NAR] species included in Appendix III to determine whether these changes would also result in changes in distribution that would affect the determination of which countries would be required to issue certificates of origin, and proceed to amend the Appendix-III listing, if needed [NAR]; and~~

c) respond in a timely manner to requests from the Secretariat on proposed nomenclature changes ~~for Appendix-III listed species [NAR] proposed recommended [NAR] by the Animals or Plants Committee through its process for updating current standard nomenclatural references to identify and address nomenclature issues [NAR] in accordance with Resolution Conf. 12.11 (Rev. CoP19) on Standard nomenclature and may result in changes in distribution that potentially alter the scope of protection for fauna and flora (inclusion or deletion of species or populations) included in Appendix III, [NAR] to inform amendments to Resolution Conf. 12.11 (Rev. CoP19) and any action to be taken by the Party that listed the species in Appendix III proceed to amend the Appendix-III listing, if needed. [NAR]~~

Proposed amendments to Resolution Conf. 12.11 (Rev. CoP19) on *Standard nomenclature*:

2. RECOMMENDS that:

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- f) whenever a change in the name of a taxon included in the Appendices is proposed, the Secretariat, in consultation with the Animals or Plants Committee, determine whether this change would alter the scope of protection for fauna or flora under the Convention. In the case where the scope of a taxon is redefined, the Animals or Plants Committee shall evaluate whether acceptance of the taxonomic change would cause additional species to be included in the Appendices or listed species to be deleted from the Appendices and, if that is the case, **a range state Party or [NAR]** the Depository Government should be requested to submit a proposal to amend the Appendices in accordance with the recommendation of the Animals or Plants Committee, so that the original intent of the listing is retained. Such proposals should be submitted for consideration at the next regular meeting of the Conference of the Parties, at which the recommendations of the Animals and Plants Committees will be considered;
- g) if the Animals or Plants Committee ~~become informed aware [NAR] of taxonomic or proposes~~ nomenclatural changes **in a published taxonomic authority (see definition in paragraph 2.h) proposed in the scientific literature [NAR]** relating to taxa included in Appendix-III, they should advise the Secretariat ~~of such proposed changes and whether they these changes would also result in changes in species distribution that would affect the issuance of determination of which countries would be required to issue certificates of origin by range States. To ensure the Party (or Parties) that included the species in Appendix III are aware of the potential changes and their potential impacts on implementation, the Secretariat will inform the Party (or Parties) of the nomenclature changes and any resulting changes in distribution that potentially alter the scope of protection for fauna and flora (inclusion or deletion of species or populations) included in Appendix III and in consultation with the nomenclature specialist(s) as appropriate, encourage the Party (or Parties) to revise the nomenclature of their Appendix-III listing in accordance with the procedure described in Resolution Conf. 9.25 (Rev. CoP18) on Implementation of the Convention for species in Appendix III. [NAR]~~

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8. AGREES that the adoption of a standard checklist or reference by the Conference of the Parties does not by itself change the status vis-à-vis CITES of any entity, whether it is listed in the Appendices or not, and the status of the entity remains as intended in the proposal adopted by the Conference unless specifically changed by the adoption of a further amendment proposal; any Party that identifies a change in the status vis-à-vis CITES of any entity as a result of the adoption of a new standard reference should consult the Secretariat and nomenclature specialist as soon as possible.