

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES  
OF WILD FAUNA AND FLORA



Twenty-sixth meeting of the Plants Committee  
Geneva (Switzerland), 5 – 9 June 2023

Species conservation and trade

Flora

NEOTROPICAL TREE SPECIES

1. This document has been prepared by the Secretariat at the request of the Chair of the Plants Committee.
2. At its 19th meeting (CoP19; Panama City, 2022), the Conference of the Parties adopted Decisions 19.257 to 19.260 on *Neotropical tree species* as follows:

***Directed to the Plants Committee***

**19.257** *The Plants Committee shall:*

- a) *update the list of neotropical tree species and the related CITES processes included in the Annex to document PC25 Doc. 29, taking into consideration the recommendations included in document PC25 Doc. 29, Addenda and the outcomes of CoP19;*
- b) *based on the above, establish priorities for strengthening the implementation of the Convention for the neotropical tree species concerned;*
- c) *cooperate with the Parties in making progress with the priorities identified; and*
- d) *report on the results of this work to the Standing Committee and the Conference of the Parties, as appropriate.*

***Directed to Parties***

**19.258** *Parties are invited to cooperate with the Plants Committee in the implementation of Decision 19.257.*

***Directed to the Secretariat***

**19.259** *The Secretariat shall, at the request of the Plants Committee, support the implementation of Decision 19.257.*

***Directed to the Standing Committee***

**19.260** *The Standing Committee shall consider any reports of the Plants Committee on Decision 19.257 and, if it considers it appropriate, develop recommendations for consideration of the Conference of the Parties.*

Regarding paragraph a) of Decision 19.257

3. At CoP19, the Conference of the Parties adopted new listings in Appendix II for neotropical tree species (see also Notification No. 2023/005), as follows:

Bignoniaceae	<i>Handroanthus</i> spp., <i>Roseodendron</i> spp. and <i>Tabebuia</i> spp. with annotation #17 <Entry into effect delayed by 24 months, i.e. until 25 November 2024>
Leguminosae	<i>Dipteryx</i> spp. with annotation #17 <Entry into effect delayed by 24 months, i.e. until 25 November 2024>

4. At CoP19, the Conference of the Parties also amended annotation #10 for *Paubrasilia echinata* as follows:

*All parts, derivatives and finished products, except re-export of finished musical instruments, finished musical instrument accessories and finished musical instrument parts.*

5. For ease of reference, the Annex to the present document contains the preliminary overview of neotropical tree species listed in the CITES Appendices, as submitted in document [PC25 Doc. 29](#), and updated by the Secretariat to incorporate recent CoP19 amendments to the Appendices relevant to neotropical tree species.
6. The Secretariat notes that the CITES Tree species programme has produced outputs relevant for neotropical tree species. For further information, please refer to document PC26 Doc. 15 on *CITES Tree Species Programme* and its recommendations on the future of the programme, as well as to <https://cites-tsp.org/>.

Recommendations

7. The Plants Committee is invited to:
- review and, as appropriate, revise the list of neotropical tree species and associated CITES processes contained in the Annex to the present document;
  - establish priorities for strengthening the implementation of the Convention for the neotropical tree species concerned, including linkages with the *CITES Tree species programme*, as per paragraph 6 of the present document;
  - identify avenues to cooperate with Parties in making progress with the priorities identified; and
  - report on the results of this work to the Standing Committee or the Conference of the Parties, as appropriate.

Preliminary overview of Neotropical tree species listed in the Appendices\*

*\*Revised by the Secretariat using as a basis the Annex to document PC25 Doc. 29*

1. For the purpose of this overview, the following definitions for “tree” have been used as reference:
  - Tree (FAO, 2018):

*“A woody perennial with a single main stem, or in the case of coppice with several stems, having a more or less definite crown.*

*Explanatory note*

*1. Includes bamboos, palms, and other woody plants meeting the above criteria.”*
  - Tree (IUCN GTSG, 2020):

*“Woody plant with usually a single stem growing to a height of at least two metres, or if multi-stemmed, then at least one vertical stem five centimetres in diameter at breast height.*

*Some plant species have variable lifeforms, but all species that are recorded as naturally growing as a tree somewhere have been included, with the reference cited.”*
2. Regarding the term “neotropical”, the Secretariat took as reference the definition by Morrone (2017) (*i.e.* a biogeographical realm comprised by tropical areas of the Americas, from Mexico to Argentina).
3. Based on the definition above, the Secretariat has identified within the Appendices those listings that represent or cover neotropical tree species (table below). Neotropical tree species listings adopted or revised at the 19th meeting of the Conference of the Parties (CoP19, Panama City 2022) are highlighted in green; and further aspects relevant to the implementation of the Convention for these taxa are summarized in columns C and D.

A. Family	B. Appendix		C. Ongoing CITES processes per family	D. PC26 associated agenda item
	Appendix I	Appendix II		
ARAUCARIACEAE Monkey-puzzle trees	<i>Araucaria araucana</i>	-	Report on <a href="#">assessment of the exception in paragraph 4 of Resolution Conf. 11.11 (Rev.CoP18) allowing specimens grown from wild collected seeds or spores to be deemed as artificially propagated</a> conducted under Decision 18.179.	N/A
BIGNONIACEAE Trumpet trees		<i>Handroanthus</i> spp. <sup>#17</sup> <i>Roseodendron</i> spp. <sup>#17</sup> <i>Tabebuia</i> spp. <sup>#17</sup>	N/A	N/A
CARYOCARACEAE Ajo	-	<i>Caryocar costaricense</i> <sup>#4</sup>	N/A	N/A
CUPRESSACEAE Alerce, cypresses	<i>Fitzroya cupressoides</i> <i>Pilgerodendron uviferum</i>	-	N/A	N/A
JUGLANDACEAE Gavilan	-	<i>Oreomunnea pterocarpa</i> <sup>#4</sup>	N/A	N/A
LAURACEAE Laurels	-	<i>Aniba rosaeodora</i> <sup>#12</sup>	N/A	N/A
LEGUMINOSAE (Fabaceae) Afrormosia, cristobal, palisander, rosewood, sandalwood	<i>Dalbergia nigra</i>	<i>Dalbergia</i> spp. <sup>#15</sup> (except for the species listed in Appendix I)	An <a href="#">adopted standard nomenclature reference for Dalbergia spp.</a> is available in all CITES languages.  At CoP18, annotation #15 was amended to exclude from CITES' controls all musical instruments and other small handicrafts. Decisions 18.321 to 18.322 on <i>Annotation #15</i> aim to assess the effects of these new exemptions and conservation implications thereof. The revised annotation #15 has also introduced new terms to the Appendices (e.g. on instruments and shipments),	PC26 Doc. 43.1 on <i>Overview of flora nomenclature matters for the period CoP19–CoP20</i>  PC26 Doc. 37 on <i>Annotation #15</i>

<sup>#17</sup> Logs, sawn wood, veneer sheets, plywood and transformed wood

<sup>#4</sup> All parts and derivatives, except:

- seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from *Beccariophoenix madagascariensis* and *Dyopsis decaryi* exported from Madagascar;
- seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
- cut flowers of artificially propagated plants;
- fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family Cactaceae;
- stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and
- finished products of *Aloe ferox* and *Euphorbia antisiphilitica* packaged and ready for retail trade.
- finished products derived from artificial propagation, packaged and ready for retail trade of cosmetics containing parts and derivatives of *Bletilla striata*, *Cycnoches cooperi*, *Gastrodia elata*, *Phalaenopsis amabilis* or *Phalaenopsis lobbii*.

<sup>#12</sup> Logs, sawn wood, veneer sheets, plywood and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.

<sup>#15</sup> All parts and derivatives, except:

- Leaves, flowers, pollen, fruits, and seeds;
- Finished products to a maximum weight of wood of the listed species of up to 10 kg per shipment;
- Finished musical instruments, finished musical instrument parts and finished musical instrument accessories;
- Parts and derivatives of *Dalbergia cochinchinensis*, which are covered by Annotation # 4; and
- Parts and derivatives of *Dalbergia* spp. originating and exported from Mexico, which are covered by Annotation # 6.

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			<p>which are now included in the Interpretation section of the Appendices.</p> <p>Nicaragua and Panama are part of the Review of Significant Trade for <i>Dalbergia retusa</i>. The Secretariat provides updates on these cases in document PC25 Doc. 15.3 on <i>Species selected following CoP17</i>.</p> <p>Several projects in the CITES Tree Species project addressed matters related to <i>Dalbergia</i> spp.</p>	<p>PC26 Doc. 15 on <i>CITES Tree Species Programme</i></p> <p>PC26 Doc. 16.2 on <i>Ongoing RST cases selected between CoP11 and CoP17</i></p>
		<b><i>Dipteryx</i> spp.</b> <sup>#17</sup> ( <i>Cumarus</i> )	A standard nomenclature reference is to be developed under Decision 19.283	PC26 Doc. 43.5 on <i>Standard nomenclature for cumarus</i> ( <i>Dipteryx</i> spp.)
		<b><i>Paubrasilia echinata</i></b> <sup>#10</sup> <b><i>Platymiscium parviflorum</i></b> <sup>#4</sup>	Discussions on the sustainable management of <i>P. echinata</i> are ongoing under Decision 19.250.	PC26 Doc. 31 on <i>Brazil wood</i> ( <i>Paubrasilia echinata</i> )
MELIACEAE Mahoganies, West Indian cedar		<b><i>Cedrela</i> spp.</b> <sup>#6</sup> (Populations of the Neotropics) <b><i>Swietenia humilis</i></b> <sup>#4</sup> <b><i>Swietenia macrophylla</i></b> <sup>#6</sup> (Populations of the Neotropics) <b><i>Swietenia mahagoni</i></b> <sup>#5</sup>	N/A	N/A
PINACEAE Firs and pines	<b><i>Abies guatemalensis</i></b>	-	N/A	N/A
PODOCARPACEAE Podocarps	<b><i>Podocarpus parlatorei</i></b>	-	N/A	N/A

<sup>#17</sup> Logs, sawn wood, veneer sheets, plywood and transformed wood

<sup>#10</sup> All parts, derivatives and finished products, except re-export of finished musical instruments, finished musical instrument accessories and finished musical instrument parts.

<sup>#6</sup> Logs, sawn wood, veneer sheets and plywood.

<sup>#4</sup> All parts and derivatives, except:

- a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from *Cactaceae* spp. exported from Mexico, and to seeds from *Beccariophoenix madagascariensis* and *Dypsis decaryi* exported from Madagascar;
- b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
- c) cut flowers of artificially propagated plants;
- d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family *Cactaceae*;
- e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (*Cactaceae*); and
- f) finished products of *Aloe ferox* and *Euphorbia antisiphilitica* packaged and ready for retail trade.
- g) finished products derived from artificial propagation, packaged and ready for retail trade of cosmetics containing parts and derivatives of *Bletilla striata*, *Cynoches cooperi*, *Gastrodia elata*, *Phalaenopsis amabilis* or *Phalaenopsis lobbii*.

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RUBIACEAE Ayugue	<i>Balmea stormiae</i>	-	N/A	N/A
ZYGOPHYLLACEAE Lignum-vitae	-	<i>Bulnesia sarmientoi</i> <sup>#11</sup> <i>Guaiaacum</i> spp. <sup>#2</sup>	Two projects in the CITES Tree Species project addressed matters related to <i>Bulnesia sarmientoi</i> and <i>Guaiaacum</i> spp.	PC26 Doc. 15 on <i>CITES Tree Species Programme</i>

## References

FAO. 2018. *Global Forest Resources Assessment 2020: Terms and Definitions*. [www.fao.org/3/i8661en/i8661en.pdf](http://www.fao.org/3/i8661en/i8661en.pdf)

IUCN's Global Tree Specialist Group (IUCN GTSG). 2020. Available at: [https://tools.bgci.org/global\\_tree\\_search.php?action=about](https://tools.bgci.org/global_tree_search.php?action=about)

Morrone J.J. 2017. *Neotropical Biogeography: Regionalization and Evolution*. First Edition. CRC Press

<sup>#11</sup> Logs, sawn wood, veneer sheets, plywood, powder and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.

<sup>#2</sup> All parts and derivatives except:

- a) seeds and pollen; and
- b) finished products packaged and ready for retail trade.