CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA



Nineteenth meeting of the Conference of the Parties Panama City (Panama), 14 – 25 November 2022

Species specific matters

Elephants (Elephantidae spp.)

IMPLEMENTATION OF RESOLUTION CONF. 10.10 (REV. COP18) ON TRADE IN ELEPHANT SPECIMENS

1. This document has been prepared by the Secretariat at the request of the Standing Committee.

Background

- 2. Resolution Conf. 10.10 (Rev. CoP18) on *Trade in elephant specimens* in the section *Regarding trade in elephant specimens* directs the Standing Committee in paragraph 17 to:
 - a) review actions taken by the Parties to implement the provisions of this Resolution, particularly but not limited to the provisions concerning trade in elephant specimens,
 - b) make targeted recommendations as appropriate, in accordance with the Guidelines contained in Annex 3, which may include requesting identified Parties to develop and implement National Ivory Action Plans; and
 - c) report the results at each meeting of the Conference of the Parties;
- 3. Paragraph 19 of the same Resolution further *DIRECTS* the Secretariat to report at each regular meeting of the Standing Committee on any apparent problems in the implementation of this Resolution or in the control of trade in elephant specimens, and to assist the Standing Committee in its reporting to the Conference of the Parties.
- 4. In order to meet its reporting requirements indicated above, the Standing Committee requested the Secretariat to draft a report on the Committee's behalf for consideration by the Conference of the Parties at its 19th meeting (CoP19), summarizing the actions decided and determinations made by the Standing Committee regarding the elements of Resolution Conf. 10.10 (Rev. CoP18) on *Trade in elephant specimens* and related elephant Decisions that the Committee addressed at its 74th meeting (SC74, Lyon, March 2022). In order to provide a more coherent approach to work on the regulation of trade in specimens of elephants and related species, this report also covers implementation of Decisions 18.117 to 18.119 on *Closure of domestic ivory markets*, Decision 18.120 on *Trade in mammoth ivory*, Decisions 18.226 and 18.227 on *Trade in Asian elephants* (Elephas maximus) and Decisions 18.184 and 18.185 on *Stocks and stockpiles (elephant ivory)*.
- 5. The document is consequently divided into five parts to facilitate its consideration by the Conference of Parties:
 - Part 1 on the implementation of Decisions 18.117 to 18.119 on Closure of domestic ivory markets;
 - Part 2 on the implementation of Decision 18.120 and 18.121 on Trade in mammoth ivory;

- Part 3 on the implementation of Decisions 18.226 and 18.227 on *Trade in Asian elephants* (Elephas maximus);
- Part 4 on the implementation of the Decisions 18.182 to 18.185 on *Stocks and stockpiles (elephant ivory)*; and
- Part 5 on the implementation of the *National Ivory Action Plan Process* and recommendations adopted at SC74.

Part 1 on the implementation of Decisions 18.117 to 18.119 on Closure of domestic ivory markets

6. At its 18th meeting (CoP18, Geneva, 2019), the Conference of Parties adopted Decisions 18.117 to 18.119 on *Closure of domestic ivory markets* as follows:

Directed to Parties:

18.117 Parties that have not closed their domestic markets for commercial trade in raw and worked ivory are requested to report to the Secretariat for consideration by the Standing Committee at its 73rd and 74th meetings on what measures they are taking to ensure that their domestic ivory markets are not contributing to poaching or illegal trade.

Directed to the Secretariat

18.118 The Secretariat shall compile the reports and make them available to Parties in advance of the Standing Committee meetings.

Directed to the Standing Committee

- 18.119 The Standing Committee shall:
 - a) consider the reports under Decision 18.118; and
 - b) report on this matter and make recommendations, as appropriate and consistent with the scope and mandate of the Convention, to the 19th meeting of Conference of the Parties.
- 7. The Secretariat issued Notification to the Parties <u>No. 2020/026</u> of 23 March 2020, requesting Parties to report to the Secretariat the information required in Decision 18.117. Parties were encouraged to take into consideration all relevant provisions contained in Resolution Conf. 10.10 (Rev. CoP18) on *Trade in elephant specimens* and in other relevant Resolutions.
- 8. Eight reports were submitted by the European Union (EU coordinated reply), Israel, Japan, New Zealand, South Africa, Thailand, the United Kingdom of Great Britain and Northern Ireland, and Zimbabwe.
- 9. In 2020, the postponement of the 73rd meeting of the Standing Committee created an additional opportunity to request Parties to report to the Secretariat on Decision 18.117. The Secretariat therefore issued another Notification to the Parties (<u>No. 2021/005</u>) of 18 January 2021, requesting Parties that had not submitted reports in response to Notification to the Parties No. 2020/026, or wished to provide an update on reports submitted in 2020, to report to the Secretariat by 30 April 2021.
- 10. Five responses were received, including three updates from Parties that submitted reports in response to Notification No. 2020/026: Australia, the European Union and its Member States, Hong Kong Special Administrative Region (SAR) of China, Japan and South Africa.
- 11. At SC74, the Standing Committee noted the report prepared by the Secretariat and the reports submitted by Parties. The actions taken by these Parties focused on the development, review and implementation of legislative provisions since it underpins all other activities, such as enforcement, including inspections; and public awareness campaigns.
- 12. Eight Parties reported that they have put in place internal legislative, regulatory, enforcement and other measures to regulate or prohibit domestic trade in ivory; two Parties reported that they were in the process of either exploring the need to promulgate ivory-specific internal legislation or conducting internal

consultations relating to the prohibition of domestic trade in ivory. Only one Party reported on measures implemented to combat wildlife crime linked to the Internet.

- 13. The Standing Committee encouraged Parties to pay particular attention to paragraphs 12 and 13 of Resolution Conf. 11.3 (Rev. CoP18) on *Compliance and enforcement* in addressing illegal ivory trade.
- 14. The Standing Committee requested the Secretariat to remind Parties about the provisions in paragraph 9 of Resolution Conf. 10.10 (Rev. CoP18) on *Trade in elephant specimens* that request Parties to inform the Secretariat of the status of the legality of their domestic ivory markets and efforts to implement the provisions of the Resolution, including efforts to close those markets that contribute to poaching or illegal trade. The Standing Committee furthermore requested the Secretariat to include this reminder in the Notification it issues annually to Parties about the provisions in Resolution Conf 10.10 (Rev. CoP18) relating to the marking, inventories and security of elephant ivory stocks.
- 15. The Standing Committee agreed that Decisions 18.117 to 18.119 can be renewed and revised Decisions for consideration by the Conference of Parties are contained in **Annex 1** to the present document.
- 16. The Standing Committee noted the suggestion of the European Union to invite the Secretariat and TRAFFIC to engage the Technical Advisory Group of the Programme on Monitoring the Illegal Killing of Elephants (MIKE) and of the Elephant Trade Information System (ETIS) in preparing the ETIS report to CoP19, to advise whether an analysis of ivory seizures connected to Parties with legal domestic markets for commercial trade in ivory could be undertaken and to include such an analysis in the report, if feasible. The Secretariat responded to this suggestion in document CoP19 Doc. 66.6 on *Report on the Elephant Trade Information System (ETIS)*.

Part 2 on the implementation of Decision 18.120 and 18.121 on Trade in mammoth ivory

17. At CoP18, the Conference of the Parties adopted Decisions 18.120 and 18.121 on *Trade in mammoth ivory* as follows:

Directed to the Secretariat

18.120 Subject to external funding, the Secretariat shall commission a study on the trade in mammoth ivory, and its impact and contribution to the illegal trade in elephant ivory and the poaching of elephants and report its findings to the Standing Committee at its 74th meeting.

Directed to the Standing Committee

- 18.121 At its 74th meeting, the Standing Committee shall consider the report and findings provided by the Secretariat in accordance with Decision 18.120 and make recommendations to the 19th meeting of the Conference of the Parties.
- 18. The study to be commissioned in accordance with <u>Decision 18.120 on *Trade in mammoth ivory*</u> is subject to external funding, which could not be secured by the Secretariat.
- 19. The Secretariat informed SC74 that it has been in correspondence with the Kent University in the United Kingdom of Great Britain and Northern Ireland regarding a MSc student conducting research in the context of Decision 18.120. This is, however, an independent research project that was ongoing at the time the Secretariat prepared the report to the Standing Committee. The Secretariat also reflected in its report to the Standing Committee on the Wildlife Justice Commission report concerning wildlife trade on e-commerce sites in China with a focus on mammoth ivory. Further, the Secretariat informed SC74 that a non-governmental organization reached out to the Secretariat in November 2021, indicating that it might have information that could be relevant to the study.
- 20. The Standing Committee agreed to propose to the Conference of Parties that Decisions 18.120 and 18.121 be deleted and new draft decisions be considered for adoption, directing the Secretariat to prepare a report to the Standing Committee taking into consideration available information and research studies such as those referred to in paragraph 19. The draft decisions for consideration by the Conference of Parties are contained in **Annex 2** to the present document.

Part 3 on the implementation of Decisions 18.226 and 18.227 on Trade in Asian elephants (Elephas maximus)

21. At CoP18, the Conference of the Parties adopted Decisions 18.226 and 18.227 on *Trade in Asian elephants* (Elephas maximus) as follows:

18.226 Directed to Parties

All Parties involved in the trade in Asian elephants and their parts and derivatives are encouraged to:

- a) undertake, as necessary, investigations into the illegal trade in Asian elephants and their parts and derivatives, and endeavor to enforce, and where necessary improve, national laws concerning international trade in specimens of Asian elephants with the explicit intention of preventing illegal trade;
- b) develop strategies to manage captive Asian elephant populations;
- c) ensure that trade in, and cross-border movements of live Asian elephants are conducted in compliance with CITES, including the provisions in Article III, paragraph 3, for Asian elephants of wild origin;
- d) collaborate in the development and application of a regional system for registering, marking and tracing live Asian elephants, requesting as necessary assistance from experts, specialized agencies or the Secretariat; and
- e) at the request of the Secretariat, provide information on the implementation of this Decision for reporting by the Secretariat to the Standing Committee.

18.227 Directed to the Secretariat

The Secretariat shall:

- a) request a report from all Parties involved in trade in Asian elephants and their parts and derivatives on the implementation of paragraphs a) through d) of Decision 18.226;
- b) upon request and pending the availability of external funding, assist range States of Asian elephants in their implementation of Decision 18.226; and
- c) incorporate information provided by range States in accordance with Decision 18.226, paragraph e), together with other findings and recommendations concerning trade in Asian elephants and their parts and derivatives as appropriate, into its regular reporting to the Standing Committee on the implementation of Resolution Conf. 10.10 (Rev. CoP18) on Trade in elephant specimens.
- 22. As anticipated by Decision 18.226, paragraph e), and to facilitate the implementation of Decision 18.227, the Secretariat issued Notification to the Parties <u>No. 2020/017 of 2 March 2020</u>, inviting Parties involved in trade in Asian elephants and their parts and derivatives to report to the Secretariat on their implementation of Decision 18.226, paragraphs a) to d). In the same Notification, the Secretariat also invited range States of Asian elephants requiring assistance in their implementation of Decision 18.226 to communicate this to the Secretariat, indicating the nature of the support required.
- 23. At SC74, the Standing Committee considered the report by the Secretariat on the replies received from Cambodia, Indonesia, New Zealand, Thailand and the United Kingdom of Great Britain and Northern Ireland (see document <u>SC74 Doc. 68</u>). The Secretariat noted in its report that only three of the 13 Asian elephant range States reported on their implementation of Decision 18.226. The Secretariat further noted that the Decision fully aligns with and complements the *Jakarta Declaration for Asian Elephant Conservation* agreed by Asian elephant range States in 2017. Effective implementation, and Asian elephant range States are encouraged to continue to pursue the implementation of this Decision actively and vigilantly. The Standing Committee agreed to propose to the Conference of Parties that Decision 18.226 be renewed and revised to be addressed to Asian elephant range States, noting the low response rate from range States to Notification

Parties <u>No. 2020/017 of 2 March 2020</u>. The revised draft decision is contained in **Annex 3** to the present document.

- 24. In the context of Decision 18.227, paragraph b), through its Monitoring the Illegal Killing of Elephants (MIKE) programme, the Secretariat reached out to range States in southeast Asia to offer support, *inter alia* relating to the implementation of Decision 18.226 in the context of a project funded by the United States' Bureau of International Narcotics and Law Enforcement Affairs. Under this project, the development of a regional system for registration of live elephants and investigation into the illegal trade in Asian elephants and their parts and derivatives could be supported. The Secretariat is working with Asian elephant range States to verify available information regarding their registration systems for live Asian elephants and initiated a consultation process with Asian elephant range States to establish whether available data is still accurate and outliers identified, and a proposal drafted on minimum requirements for a registering, marking and tracing system for live Asian elephants. The Secretariat plans to present this proposal to range States to initiate discussions on either establishing a regional system, or alternatively deploying standardized national systems.
- 25. Considering the work the Secretariat initiated on possible minimum requirements for a registering, marking and tracing system for live Asian elephants, the Standing Committee agreed to recommend to CoP19 that Decision 18.227 be deleted and replaced with a new draft decision directed to the Secretariat as contained in **Annex 3** to the present document. The Secretariat proposes a minor editorial change to the new draft decision 19.AA, paragraph a), as shown in underline in Annex 3, to facilitate consistency with the proposed revision of Decision 18.226.

Part 4 on the implementation of the Decisions 18.182 to 18.185 on Stocks and stockpiles (elephant ivory)

- 26. At CoP18, the Conference of the Parties adopted Decision 18.182, requesting the Standing Committee to "review and consider for approval the practical guidance prepared by the Secretariat for the management of ivory stockpiles, including their disposal". Discussions on the topic of management of ivory stockpiles were initiated at the 65th meeting of the Standing Committee (SC65, Geneva, July 2014) (see <u>SC65 summary record</u> and <u>SC65 Com. 9</u>) and, at SC74, the Standing Committee approved the "Practical guidance on ivory stockpile management" in Annex 1 to document. <u>SC74 Doc. 61.1</u> and the "Review of elephant destruction methods" in Annex 2 to the same document. With the approval of this guidance and its posting on the CITES website, Decisions 182 and 18.183 have been implemented. The Committee then requested the Standing Committee Chair to work with the Chair of the intersessional working group on guidance on ivory stockpiles and technologies related to practical guidance on ivory stockpile management [see executive summary <u>SC74 Sum. 12 (Rev.1) (11/03/2022)</u>]. The proposed new draft decision prepared by the Standing Committee Chair of the intersessional working group is contained in **Annex 4** to the present document for consideration and adoption by CoP19.
- 27. Paragraph 7 of Resolution Conf. 10.10 (Rev. CoP18) on *Trade in elephant specimens* urges Parties in whose jurisdiction there is an ivory carving industry, a legal domestic trade in ivory, an unregulated market for or illegal trade in ivory, or where ivory stockpiles exist; and Parties designated as ivory importing countries to ensure that they have put in place comprehensive internal legislative, regulatory, enforcement and other measures to enable a number of actions.
- 28. Amongst these actions is the maintenance of an inventory of government-held stockpiles of ivory and, where possible, of significant privately held stockpiles of ivory within their territory. Parties concerned are urged to inform the Secretariat of the level of this stock each year before 28 February "indicating the number of pieces and their weight per type of ivory (raw or worked); for relevant pieces, and if marked, their markings in accordance with the provisions of the Resolution; the source of the ivory; and the reasons for any significant changes in the stockpile compared to the preceding year."
- 29. At CoP18, the Conference of the Parties adopted two Decisions related to this provision of Resolution Conf. 10.10 (Rev. CoP18) as follows:

18.184 Directed to the Secretariat

The Secretariat shall:

- a) Identify those Parties that have not provided information on the level of government-held stockpiles of ivory and significant privately held stockpiles of ivory within their territory or where stockpiles are not well secured and report to the 72nd and 73rd meetings¹ of the Standing Committee with recommendations as necessary; and
- b) annually publish updated summary data based on the inventories submitted by Parties disaggregated to regional but not country level, including the total ivory stockpiles by weight.

18.185 Directed to the Standing Committee

At its 72nd and 73rd meetings¹, the Standing Committee shall consider the report and recommendations of the Secretariat in Decisions 18.184 and determine whether any further actions are necessary in the case of Parties who fail to provide annual inventories of governmentheld stockpiles of ivory and significantly privately held stockpiles of ivory within their territory or where stockpiles are not well secured.

- The Secretariat submitted the report required in terms of Decisions 18.184 to SC74 for its consideration (see document <u>SC74 Doc. 61.2</u>). The Standing Committee:
 - a) <u>appealed</u> to Parties to step up their efforts to comply with the provisions of paragraph 7 e) of Resolution Conf. 10.10 (Rev. CoP18) on *Trade in elephant specimens* concerning stockpiles, with a view of submitting the required information to the Secretariat every year;
 - b) <u>requested</u> the Secretariat to issue an additional Notification to the Parties to underscore the request for provision of information on government held ivory stockpiles and to remind Parties about the provisions of paragraph 7 e) of Resolution Conf. 10.10 (Rev. CoP18);
 - c) <u>recommended</u> that regional Members and Alternate regional Members, as part of their regular contact with Parties in their region, remind Parties of the obligations of paragraph 7 e) of Resolution Conf. 10.10 (Rev. CoP18);
 - d) <u>noted</u> that the Secretariat will put in place arrangements to conduct a technical mission to Burundi, in accordance with paragraph 29 e) in the Annex to Resolution Conf. 14.3 on *CITES compliance procedures*, to verify the current status of the Burundi stockpile, and to report to the Committee on its findings; and
 - e) <u>agreed</u> to propose the renewal of Decisions 18.184 and 18.185 at the present meeting so that the Standing Committee can consider the Secretariat's report at SC77. The proposed revisions to Decisions 18.184 and 18.185 are contained in **Annex 4** to the present document.

Part 5 on the implementation of the National Ivory Action Plan process and recommendations adopted at SC74

- 31. There are currently 14 Parties which the Standing Committee has determined should be requested to participate in the National Ivory Action Plan (NIAP) process. Five Parties are in Category A (Parties most affected by illegal trade in ivory): Malaysia, Mozambique, Nigeria, Togo and Viet Nam. No Parties are in Category B (Parties markedly affected by illegal trade in ivory). Nine Parties are in Category C (Parties affected by illegal trade in ivory): Angola, Cambodia, Cameroon, Congo, the Democratic Republic of the Congo, Ethiopia, Gabon, Lao People's Democratic Republic (PDR), and Qatar.
- 32. The Standing Committee kept the NIAP process under review as per the *Guidelines to the NIAP process* contained in Annex 3 of Resolution Conf. 10.10 (Rev. CoP18) on *Trade in elephant specimens*.²
- 33. The Secretariat submitted reports on progress with NIAP implementation to SC74 in annexes to document <u>SC74 Doc. 28.4</u>. The reports on progress with NIAP implementation submitted by Parties, as well as new, revised and updated NIAPs, were also made available on the <u>NIAP webpage</u>, as required by Step 3, paragraph f), and Step 4, paragraph c), of the *Guidelines*. The Standing Committee made recommendations on the NIAP process at SC74 [see executive summary <u>SC74 Sum. 4 (Rev. 1)</u>].

¹ The Secretariat believes that the intention was to refer to the 73rd and 74th meetings of the Standing Committee

² Referred to as 'the Guidelines' throughout the present document.

- 34. Since CoP18, the Standing Committee requested Nigeria to revise and update its NIAP, and Mozambique to revise and update its National Ivory and Rhinoceros Action Plan (NIRAP). The Lao People's Democratic Republic also decided to revise and update its NIAP.
- 35. At SC74. the Standing Committee agreed that Hong Kong Special Administrative Region (SAR) of China, as a territory in Category B, exit the NIAP process in accordance with Step 5 of the *Guidelines*.
- 36. The table below shows the status of Parties identified by ETIS in the context of the NIAP process, after SC74.

Status of Parties identified by ETIS after SC74				
Category A Parties	Category B Parties	Category C Parties		
Malaysia	China and Hong Kong SAR	Angola	NIAP Parties that have 'substantially achieved' their	
Mozambique	Kenya	Burundi	NIAPs.	
Nigeria	Uganda	Cambodia	Parties participating in the	
Тодо	United Republic of Tanzania	Cameroon	NIAP process that have not yet 'substantially achieved'	
Viet Nam		Congo	their NIAPs and continue with NIAP implementation.	
		Democratic Republic of	Parties participating in the	
		the Congo	NIAP process identified by the	
		Ethiopia	ETIS report to CoP17, but not	
		Gabon	by the ETIS report to CoP18,	
		Lao People's	and that continue with NIAP	
		Democratic Republic	implementation since they	
		Qatar	have not yet 'substantially	
		Singapore	achieved' their NIAPs.	
		South Africa	Parties not included in the	
		Turkey	NIAP process at present.	
		United Arab Emirates	 ·	
		Zimbabwe		

37. Once the ETIS report to CoP19 has been finalized and is available, the Secretariat will, as required by Step 1 of the *Guidelines*, initiate the process of identifying possible new Parties to participate in the NIAP process and prepare recommendations for consideration by the Standing Committee at SC75. The Secretariat will provide an oral update to the Conference of the Parties at the present meeting.

Recommendations:

- 38. The Conference of the Parties is invited to:
 - a) note the information contained in the present document;
 - b) adopt the draft decisions contained in Annexes 1, 2, 3 and 4 to the present document; and
 - c) delete Decisions 18.120 and 18.121, as well as Decision 18.227 as they have been replaced by new draft decisions and delete Decision 18.182 and 183 as they have been implemented.

COMMENTS OF THE SECRETARIAT

- A. The Secretariat recommends that the Conference of the Parties adopt the draft decisions presented in Annexes 1, 2 (with the amendment indicated in paragraph B below), 3 and 4. The Secretariat also recommends that Decisions 18.120 and 18.121, and Decision 18.227 be deleted.
- B. Concerning draft decision 19.AA in Annex 2, the Secretariat is of the opinion that the instruction to the Secretariat should be clarified to ensure additional resources are not required to carry out the work. The Secretariat proposes the following amendment with text to be deleted shown in strikethrough and text added shown as <u>underlined</u>:

Directed to the Secretariat

- **19.AA** The Secretariat shall, taking into consideration <u>using the</u> available information and research studies, compile information relating to the potential contribution of mammoth ivory trade to illegal trade in elephant ivory and elephant poaching and report its findings to the Standing Committee.
- C. The Secretariat recommends that Decisions 18.182 and 18.183 be deleted as they have been implemented when the Standing Committee approved practical guidance at its 74th meeting (Annex 1 and 2 to document <u>SC74 Doc. 61.1</u>).
- D. The Secretariat furthermore notes that the Asian elephant range States adopted the <u>Kathmandu Declaration</u> in April 2022 in Kathmandu, Nepal. Priority commitments by 2025 include the collective development, where relevant, and coordination of captive Asian elephant registration programmes in relevant countries, based on scientific research including, where appropriate, microchipping and/or DNA-based systems, and ensuring cross-border movements of captive Asian elephants are in compliance with all national and international laws and regulations. This priority seems to align with the draft decisions recommended for adoption by CoP19.

REVISED DECISIONS ON CLOSURE OF DOMESTIC IVORY MARKETS

Added text shown underlined and deleted text shown in strikethrough.

Directed to Parties

18.117 (**Rev. CoP19**) Parties that have not closed their domestic markets for commercial trade in raw and worked ivory are requested to report to the Secretariat for consideration by the Standing Committee at its <u>73rd77th</u> and <u>78th</u> meetings on what measures they are taking to ensure that their domestic ivory markets are not contributing to poaching or illegal trade.

Directed to the Secretariat

18.118 The Secretariat shall compile the reports and make them available to Parties in advance of the Standing Committee meetings.

Directed to the Standing Committee

18.119 (**Rev. CoP19**) The Standing Committee shall:

- a) consider the reports under Decision 18.118; and
- report on this matter and make recommendations, as appropriate and consistent with the scope and mandate of the Convention, to the <u>1920th</u> meeting of Conference of the Parties

DRAFT DECISIONS ON TRADE IN MAMMOTH IVORY

Directed to the Secretariat

19.AA The Secretariat shall, taking into consideration available information and research studies, compile information relating to the potential contribution of mammoth ivory trade to illegal trade in elephant ivory and elephant poaching and report its findings to the Standing Committee.

Directed to the Standing Committee

19.BB The Standing Committee shall consider the report and findings provided by the Secretariat in accordance with Decision 19.AA and make recommendations to the 20th meeting of the Conference of the Parties.

REVISED AND NEW DRAFT DECISIONS ON TRADE IN ASIAN ELEPHANTS (ELEPHAS MAXIMUS)

Revised Decisions: Added text shown underlined and deleted text shown in strikethrough.

Directed to Parties Asian elephant range States

18.226 <u>(Rev. CoP19)</u> All Parties Asian elephant range States involved in the trade in Asian elephants and their parts and derivatives are encouraged to:

- a) undertake, as necessary, investigations into the illegal trade in Asian elephants and their parts and derivatives, and endeavour to enforce, and where necessary improve, national laws concerning international trade in specimens of Asian elephants with the explicit intention of preventing illegal trade;
- b) develop strategies to manage captive Asian elephant populations;
- c) ensure that trade in, and cross-border movements of, live Asian elephants are conducted in compliance with CITES, including the provisions in Article III, paragraph 3, for Asian elephants of wild origin;
- d) collaborate in the development and application of a regional system for registering, marking and tracing live Asian elephants, requesting as necessary assistance from experts, specialized agencies or the Secretariat; and
- e) at the request of the Secretariat, provide information on the implementation of this Decision for reporting by the Secretariat to the Standing Committee.

New draft decisions:

Directed to the Secretariat

- **19.AA** The Secretariat shall:
 - a) request a report from Asian elephant range States on the implementation of paragraphs a) through
 d) of Decision 18.226 (<u>Rev. CoP19</u>);
 - b) subject to the availability of external funding, develop minimum requirements for a registering, marking and tracing system for live Asian elephants, to be presented to Asian elephant range States with the aim of establishing a global system, or alternatively standardized national systems, for registering, marking and tracing live Asian elephants; and
 - c) report any information provided in response to Decision 19.AA paragraph a) and on the implementation of Decision 19.AA paragraph b), as well as findings and recommendations concerning trade in Asian elephants and their parts and derivatives as appropriate, to the Standing Committee.

Directed to the Standing Committee

19.BB The Standing Committee shall consider at its 78th meeting the information, findings and recommendations, as per Decision 19.AA, paragraph c), and make recommendations to the Secretariat <u>and</u> to Asian elephant range States, and report to the Conference of the Parties at its 20th meeting, as appropriate.

NEW AND REVISED DECISIONS ON STOCKS AND STOCKPILES (ELEPHANT IVORY)

New draft Decision:

19.AA Directed to the Secretariat

The Secretariat shall:

- a) invite Parties, through a Notification, to submit information about available management tools, and new techniques and technologies related to any of the elements mentioned or referred to in the "Practical guidance on ivory stockpile management"; and
- b) prepare and submit a summary of the responses to the Notification with, as appropriate, draft recommendations relating to the incorporation of new information in the guidance documents or any of the documents referred to in the guidance documents for consideration at the 78th meeting of the Standing Committee.

Revised Decisions: Added text shown <u>underlined</u> and deleted text shown in strikethrough.

Added text shown underlined and deleted text shown in strikethrough.

Directed to the Secretariat

18.184 (Rev. CoP19) The Secretariat shall:

- a) identify those Parties that have not provided information on the level of governmentheld stockpiles of ivory and significant privately held stockpiles of ivory within their territory or where stockpiles are not well secured and report to the 72nd<u>77th</u> and 73rd meetings of the Standing Committee with recommendations as necessary; and
- b) annually publish updated summary data based on the inventories submitted by Parties disaggregated to regional but not country level, including the total ivory stockpiles by weight.

Directed to the Standing Committee

18.185 (**Rev. CoP19**) At its 72nd77th and 73rd-meetings, the Standing Committee shall consider the report and recommendations of the Secretariat in Decision 18.184 (Rev. CoP19) and determine whether any further actions are necessary in the case of Parties who fail to provide annual inventories of government-held stockpiles of ivory and significantly privately held stockpiles of ivory within their territory or where stockpiles are not well secured.

TENTATIVE BUDGET AND SOURCE OF FUNDING FOR THE IMPLEMENTATION OF DRAFT RESOLUTIONS OR DECISIONS

According to Resolution Conf. 4.6 (Rev. CoP18) on *Submission of draft resolutions, draft decisions and other documents for meetings of the Conference of the Parties*, the Conference of the Parties decided that any draft resolutions or decisions submitted for consideration at a meeting of the Conference of the Parties that have budgetary and workload implications for the Secretariat or permanent committees must contain or be accompanied by a budget for the work involved and an indication of the source of funding. The Secretariat proposes the following tentative budget and source of funding.

The Secretariat proposes the following tentative budget and source of funding:

- Draft decisions in Annex 1: The draft decisions will have workload implications for the Secretariat and the Standing Committee, but these can be accommodated within existing resources.
- Draft decisions in Annex 2: With the amendment proposed by the Secretariat, it is estimated that these can be accommodated within existing resources.
- Draft decisions in Annex 3: See table below. The Secretariat has been able to secure USD 40,000 to support
 range States in the implementation of Decision 18.226 (Rev. CoP19) (US Bureau of International Narcotics
 and Law Enforcement US INL). Additional funding for the implementation of the Decision is under
 discussion with US FWS. The Secretariat furthermore estimates the cost of implementing Decision 19.AA,
 paragraph b) to be approximately USD 30,000 and external funds have been secured to support the
 implementation of the decision (US INL), if adopted.

٠	Draft decisions in Annex 4: The draft decisions will have workload implications for the Secretariat and the
	Standing Committee, but these can be accommodated within existing resources.

Decision	Activity	Indicative costs (USD) (excludes Programme Support Costs)	Source of funding
Decisions in Annex 3			
18.226 (Rev. CoP19)	 Support to Asian elephant range State to implement the decisions. All Parties Asian elephant range States involved in the trade in Asian elephants and their parts and derivatives are encouraged to: a) undertake, as necessary, investigations into the illegal trade in Asian elephants and their parts and derivatives, and endeavour to enforce, and where necessary improve, national laws concerning international trade in specimens of Asian elephants with the explicit intention of preventing illegal trade; b) develop strategies to manage captive Asian elephant populations; c) ensure that trade in, and cross- border movements of, live Asian elephants are conducted in compliance with CITES, 	40,000	Extrabudgetary US Bureau of International Narcotics and Law Enforcement Additional funds under discussion with US FWS to cover the needs for support of range States.

	 including the provisions in Article III, paragraph 3, for Asian elephants of wild origin; d) collaborate in the development and application of a regional system for registering, marking and tracing live Asian elephants, requesting as necessary assistance from experts, specialized agencies or the Secretariat 		
19.AA b)	 b) subject to the availability of external funding, develop minimum requirements for a registering, marking and tracing system for live Asian elephants, to be presented to Asian elephant range States with the aim of establishing a global system, or alternatively standardized national systems, for registering, marking and tracing live Asian elephants 	30,000	Extrabudgetary US Bureau of International Narcotics and Law Enforcement Additional funds to be secured, depending on range States' need for support