

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Nineteenth meeting of the Conference of the Parties
Panama City (Panama), 14 – 25 November 2022

Strategic matters

CITES Strategic Vision

ADDENDUM

1. This document has been prepared by the Secretariat.
2. As indicated in its comments on document CoP19 Doc. 10, the Secretariat noted that the *CITES Strategic Vision* indicators highlighted in blue in Annex 2 to the document had been finalized, while the others in black would still warrant further discussion. In order to facilitate the timely adoption of indicators, the Secretariat is proposing to CoP19 revised draft indicators for objectives 2.2, 2.5, 3.7, 4.3, 4.4, 5.1, 5.2 and 5.3. The revised draft indicators are underlined in Annex 1 to this document.
3. The Secretariat has not proposed any new indicator for objective 1.4 (“The Appendices correctly reflect the conservation status and needs of species”) as this objective is fundamental to the performance of the Convention and overlaps with several different workstreams. The Secretariat thus proposes in Annex 2 to this document a draft decision directing to the Standing Committee to continue its work on indicators for objective 1.4 in the next intersessional period.
4. Further, in order to start measuring the indicators proposed by the Standing Committee and the Secretariat, the Secretariat is providing baseline data for each indicator, whenever it is available.
5. The Secretariat notes that the format of the implementation report follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented. The Secretariat further notes that new questions will need to be included in the implementation report in order to be able to have the necessary information for the indicators. In accordance with paragraph 2 of Resolution Conf. 11.17 (Rev. CoP18) on *National reports*, the Secretariat will propose a revised implementation report format to the Standing Committee for consideration and approval at its 77th meeting (SC77, 2023).

Recommendations

6. The Conference of the Parties is invited to:
 - a) adopt the indicators for the *CITES Strategic Vision: 2021-2030* presented in Annex 1 to the present document; and
 - b) adopt the draft decision in Annex 2 to the present document.

POTENTIAL INDICATORS FOR THE *CITES STRATEGIC VISION: 2021-2030*

Proposed new text is underlined, proposed deletions are indicated in ~~strike through~~.

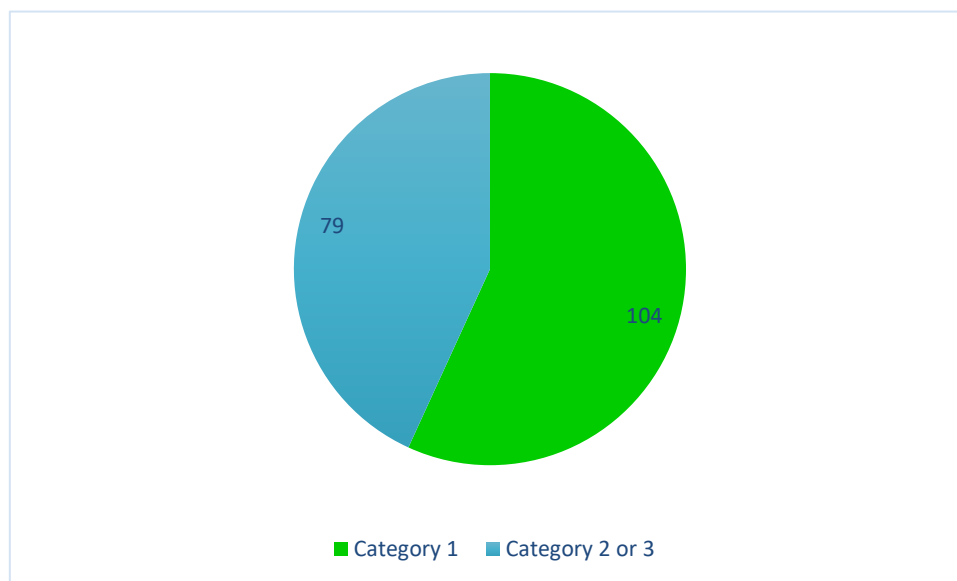
GOAL 1: TRADE IN CITES-LISTED SPECIES IS CONDUCTED IN FULL COMPLIANCE WITH THE CONVENTION IN ORDER TO ACHIEVE THEIR CONSERVATION AND SUSTAINABLE USE

Objective 1.1 Parties comply with their obligations under the Convention through the adoption and implementation of appropriate legislation, policies, and procedures.

Potential indicators	Potential sources of information
<p>1.1.1 <u>The number of Parties that are in category 1 under the national legislation project.</u></p> <p>1.1.2 <u>The number of Parties subject to CITES recommendations to suspend trade.</u></p>	<p>1.1.1 National Legislation Project and in future the content management system of the CITES website. Question in the implementation report on whether new legislation is developed.</p> <p>1.1.2 Notifications to the Parties, the reference list on countries subject to a recommendation to suspend trade.</p>

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

1.1.1 Number of Parties that are in category 1 under the national legislation project.



As of 1 January 2020

Source: <https://cites.org/sites/default/files/projects/NLP/Legislative%20status%20table%20November%202019%20for%20web.docx>

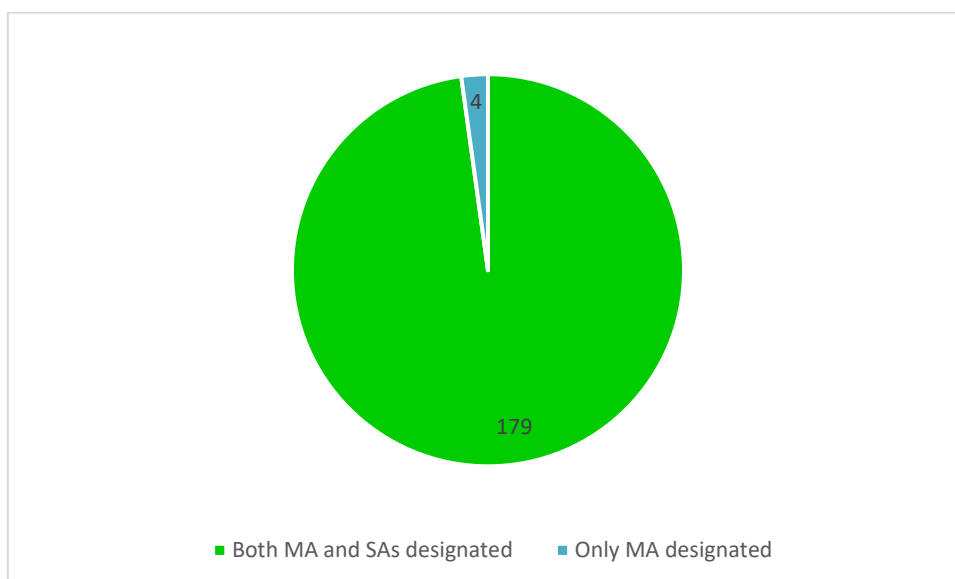
1.1.2 24 Parties subject to CITES recommendations to suspend trade.

Objective 1.2 Parties have established CITES Management and Scientific Authorities and enforcement focal points that effectively carry out the duties required of them under the Convention and relevant Resolutions.

Potential indicators	Potential sources of information
<p>1.2.1 <u>The number of Parties that have designated at least one Management Authority, independent Scientific Authority and enforcement focal points in place.</u></p>	<p>CITES directory. In the future, the content management system of the CITES website.</p>

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

1.2.1 Number of Parties that have designated at least one Management Authority, independent Scientific Authority and enforcement focal points in place.



As of 1 January 2020

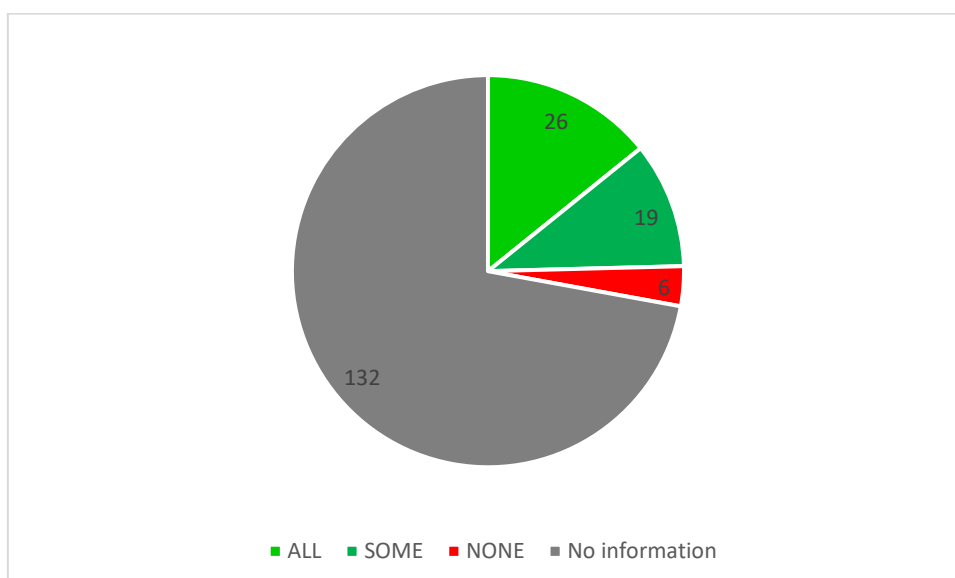
Source: <https://cites.org/eng/cms/index.php/component/cp>

Objective 1.3 Implementation of the Convention at the national level is consistent with Resolutions and Decisions adopted by the Conference of the Parties.

Potential indicators	Potential sources of information
1.3.1 The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.	Secretariat. Questions in the implementation report.

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

1.3.1 Number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.



Source: Implementation report.

1.3.1a	<p>Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat?</p> <p style="text-align: right;"> Responses provided to ALL relevant reporting requirements <input type="checkbox"/> Responses provided to SOME of the relevant reporting requirements <input type="checkbox"/> Responses provided to NONE of the relevant reporting requirements <input type="checkbox"/> No special reporting requirements applicable <input type="checkbox"/> </p>
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Objective 1.4 The Appendices correctly reflect the conservation status and needs of species.

Potential indicators	Potential sources of information
1.4.1 The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.	Information from Secretariat, CoP and AC/PC records. Questions in the implementation report format whether a party has undertaken a review.

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

TO BE DETERMINED

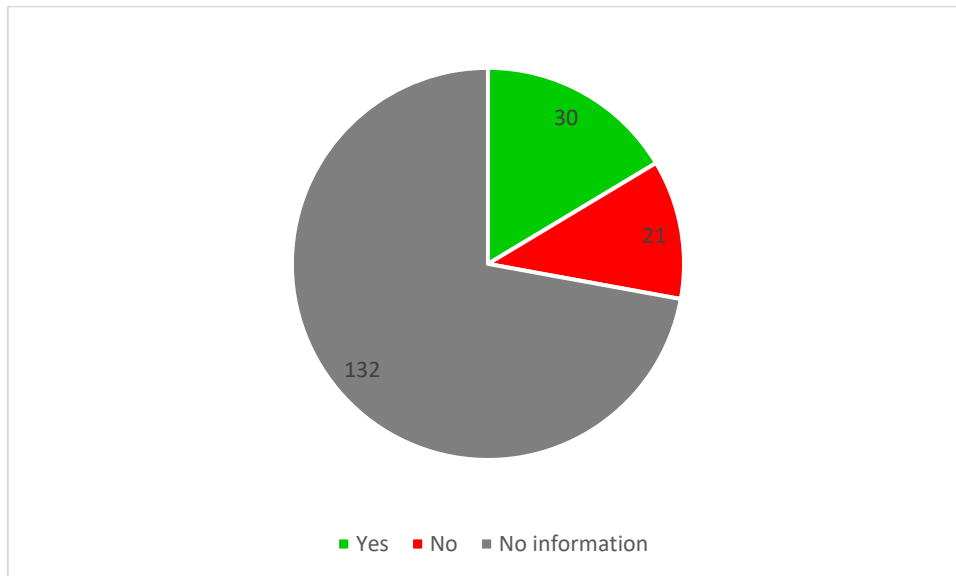
Objective 1.5 Parties improve the conservation status of CITES-listed specimens, put in place national conservation actions, support their sustainable use and promote cooperation in managing shared wildlife resources.

Potential indicators	Potential sources of information
1.5.1 The conservation status of species listed on the CITES Appendices has stabilized or improved.	1.5.1 Latest IUCN Red List conservation status categories, CITES Trade database, questions in the implementation report.
1.5.2 No. of CITES-listed species for which Parties have put in place actions that support sustainable use.	1.5.2 Questions in the implementation report.

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

1.5.1 The conservation status of species listed on the CITES Appendices has stabilized or improved. According to data extracted from the IUCN Red List website on 1 January 2020, out of 1,219 species that have an increasing population, 195 were CITES-listed species. Out of 27,699 species that have a stable population, 1,672 were CITES-listed.

1.5.2 Number of cooperative management plans, including recovery plans, in place for shared populations of CITES listed species.



Source: Implementation report. The data provided did not allow to tally up the number of cooperative management plans. Some Parties answered “many” to that question. Data shown above indicates the number of Parties having at least one cooperative management plan in place for shared populations of CITES-listed species.

1.6.2a	<p>Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%; text-align: left;">Species Name (scientific)</th> <th style="width: 60%; text-align: left;">Link or reference to a published plan</th> </tr> </thead> <tbody> <tr> <td style="height: 40px;"> </td> <td> </td> </tr> </tbody> </table>	Species Name (scientific)	Link or reference to a published plan		
Species Name (scientific)	Link or reference to a published plan				

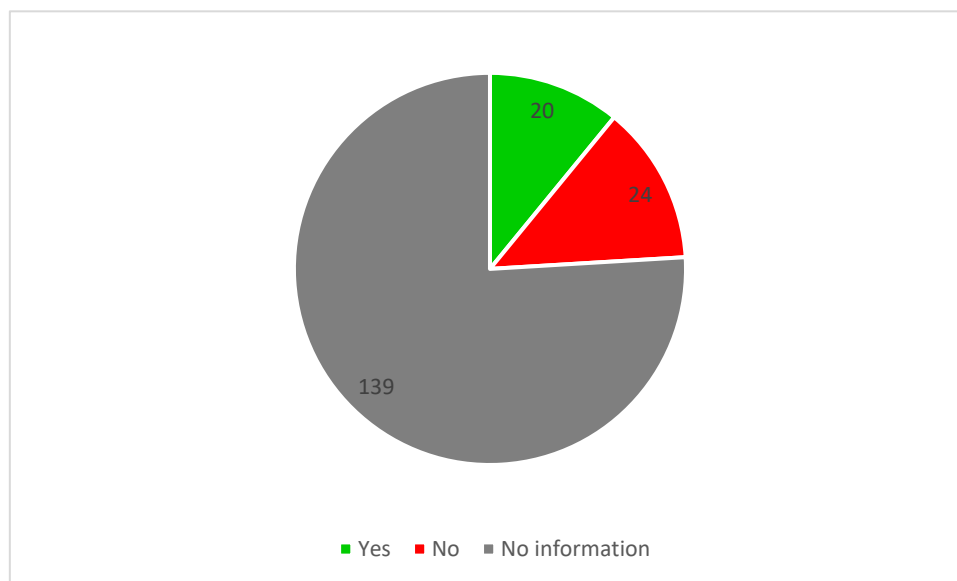
GOAL 2: PARTIES' DECISIONS ARE SUPPORTED BY THE BEST AVAILABLE SCIENCE AND INFORMATION

Objective 2.1 Parties' non-detriment findings are based on best available scientific information and their determination of legal acquisition is based on the best available technical and legal information.

Potential indicators	Potential sources of information
2.1.1 The number of Parties that have adopted standard procedures for making non-detriment findings.	2.1.1 Questions in the implementation report.
2.1.2 The number of written NDFs submitted and number of Parties submitting NDFs for posting in the CITES online database.	2.1.2 Notification to the Parties.
2.1.3 The number of Parties that have included the legal acquisition finding obligation in their national regulatory framework, as recommended by Resolution Conf. 18.7.	2.1.3 Questions in the implementation report.

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

2.1.1 Number of Parties that have adopted standard procedures for making non-detriment findings.



Source: Implementation report.

1.5.2a	Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
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2.1.2 As of 5 July 2022, 184 written NDFs and 56 Parties submitting NDFs for posting in the CITES online database.

2.1.3 **New question to be included in the implementation report**

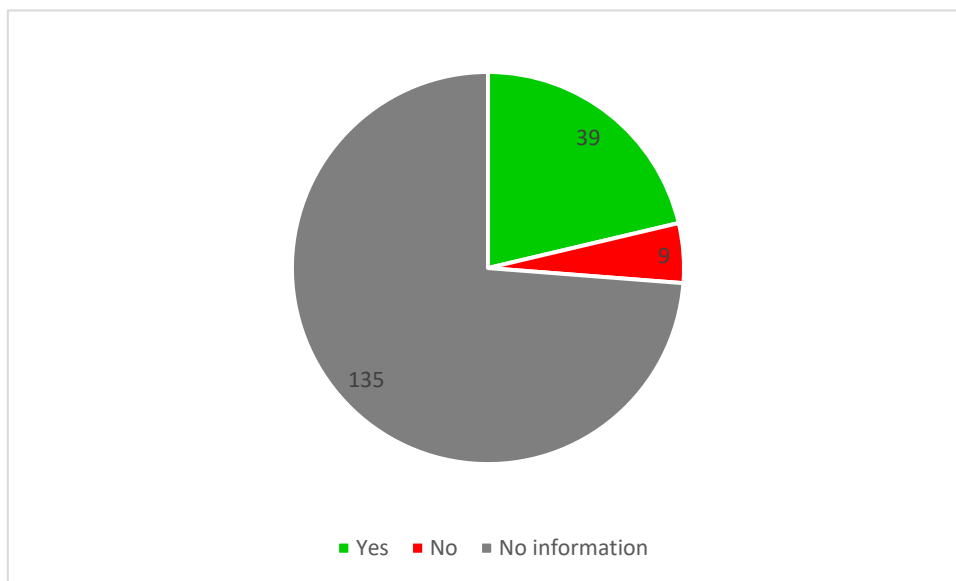
Objective 2.2 Parties cooperate in sharing information and tools relevant to the implementation of CITES.

Potential indicators	Potential sources of information
2.2.1 The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 (Rev. CoP17) on <i>Non-detriment findings</i> related to: - the population status of Appendix-II species; - the trends and impact of trade upon Appendix-II species; and - the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.	2.2.1 Questions in the implementation report.
2.2.2 The number and proportion of annual export quotas based on population surveys.	2.2.2 Quotas published on the CITES website and Secretariat.
2.2.3 The number of workshops and other capacity-building activities that bring range states together to address the conservation and management needs of shared CITES listed species.	2.2.3 Questions in the implementation report.
2.2.4 The number of reports shared by the Parties in compliance with the Resolutions of the Convention.	2.2.4 Secretariat.
2.2.5 The number of tools developed used for Parties cooperation relevant to the implementation of CITES.	2.2.5 Secretariat.
2.2.6 The number of Parties involved in information sharing relevant to the implementation of CITES.	2.2.6 Questions in the implementation report.
	2.2.7 Questions in the implementation report.
	2.2.8 Questions in the implementation report.

2.2.7 Number of Parties using list of tools: E.g. shared databases, data visualization/software, information sharing focused tools, etc.	
2.2.8 Number of cooperation clusters identified.	
2.2.4 Number of visits/downloads from the Virtual College website	2.2.4 Virtual college google data analytics
2.2.5 Number of CoP side-events organized by Parties presenting information and tools relevant to the implementation of CITES	2.2.5 CoP side event schedule and descriptions

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

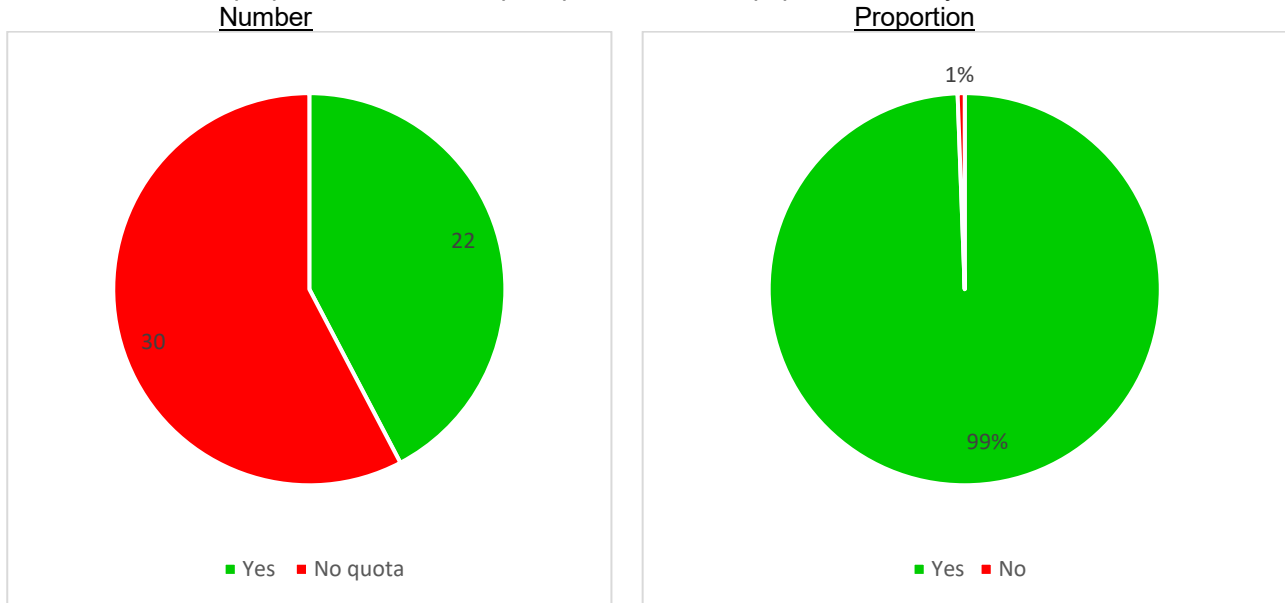
2.2.1 Number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 (Rev. CoP17) on *Non-detriment findings* related to: - the population status of Appendix-II species; - the trends and impact of trade upon Appendix-II species; and - the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.



Source: Implementation report. Data shown under “yes” includes any Party that ticked “yes” for any of the categories below. There was no information on the number of surveys.

1.5.1a	Have any surveys, studies or other analyses been undertaken in <u>your country</u> in relation to:	Yes	No	Not Applicable	If Yes, How many?
	- the population status of Appendix II species?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the trends and impact of trade on Appendix II species?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the status of and trend in naturally-occurring Appendix I species?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the impact of any recovery plans on Appendix I species?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

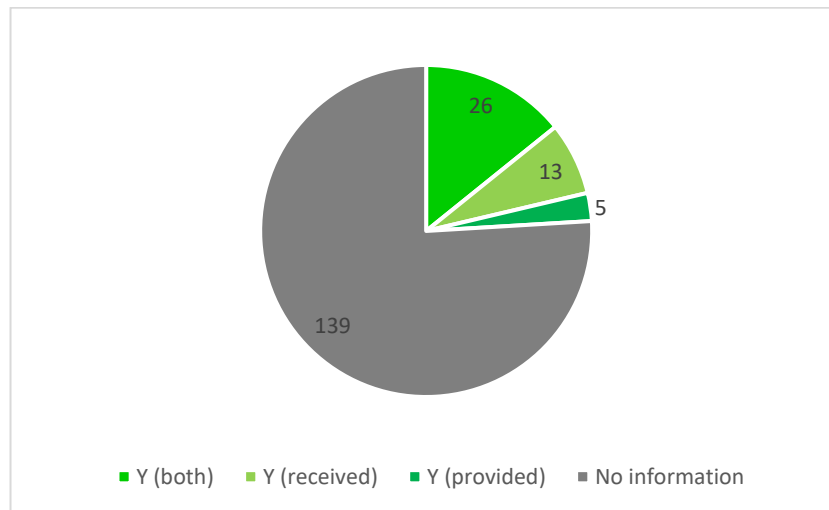
2.2.2 Number and proportion of annual export quotas based on population surveys.



Source: Implementation report. Inconsistent reporting on the zero annual export quote for *Anguilla anguilla*. This quota set at the European Union (EU) level was not reported by all EU member states.

1.5.3a	Do you set annual export quotas?	Yes <input type="checkbox"/>
		No <input type="checkbox"/>
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:	
	Species Name (scientific)	Population Survey? <input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		Other, please specify

2.2.3 Number of workshops and other capacity-building activities that bring range states together to address the conservation and management needs of shared CITES listed species.



Source: Implementation report. There was no information on the actual number of workshops or other capacity-building activities. The data shown above indicates the number of Parties having both received and provided, only received or only provided capacity-building activities.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?
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	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources ¹ ?
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other Parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

2.2.4 Number of visits/downloads from the Virtual College website: 4283 visitors since the new Virtual College was launched on 24 June 2022.

2.2.5 Number of CoP side-events organized by Parties presenting information and tools relevant to the implementation of CITES: 21 CoP19 side-events organized by Parties presenting information and tools relevant to the implementation of CITES

Objective 2.3 Parties have sufficient information to enforce the Convention.

Potential indicators	Potential sources of information
2.3.1 The proportion of Parties that are making use of the available tools. For instance, could look at Google Analytics for the No. of site visits to CITES website, CITES Checklist, or Species+ or no. downloads from CITES Trade Database as a proxy for usage of shared tools.	2.3.1 Secretariat.
2.3.2 The percentage of Parties reporting having sufficient information to enforce the Convention.	2.3.2 Questions in the implementation report. 2.3.3 Questions in the implementation report.

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

2.3.1 Number of visits to the CITES website: From 1 September 2021 to 1 September 2022: 1,140,579 unique users with 3,849,507 pageviews.

¹ Please provide the names of Parties, and any non-Parties, involved.

Number of visits to the CITES Checklist and Species+ : From 1 September 2021 to 1 September 2022: 291,659 users and 2,336,281 pageviews
 Number of downloads from the CITES Trade Database: From 1 September 2021 to 1 September 2022: 22,049 of which 3,646 (16.54%) were full database downloads.

2.3.2 **New question to be included in the implementation report**

Objective 2.4 Parties have sufficient information to make listing decisions that are reflective of species conservation needs.

Potential indicators	Potential sources of information
2.4.1 The percentage of Parties reporting having sufficient information to make listing decisions that are reflective of species conservation needs.	Questions in the implementation report.

BASELINE
 (DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

2.4.1 **New question to be included in the implementation report**

Objective 2.5 Information gaps and needs for key species are identified and addressed.

Potential indicators	Potential sources of information
2.5.1 The number of Parties that have undertaken research (including for non-detriment findings) on their most traded species reflecting gaps and needs for identifying key species. 2.5.2 The numbers of gaps and needs identified. 2.5.3 The percentage of gaps and needs solved which are reported for key species identified and addressed. 2.5.4 Number of parties with improved access to information in the past triennium. 2.5.5 Number of countries that currently lack information for key species and need assistance to address them.	Questions in the implementation report.

BASELINE
 (DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

2.5.1 **New question to be included in the implementation report**

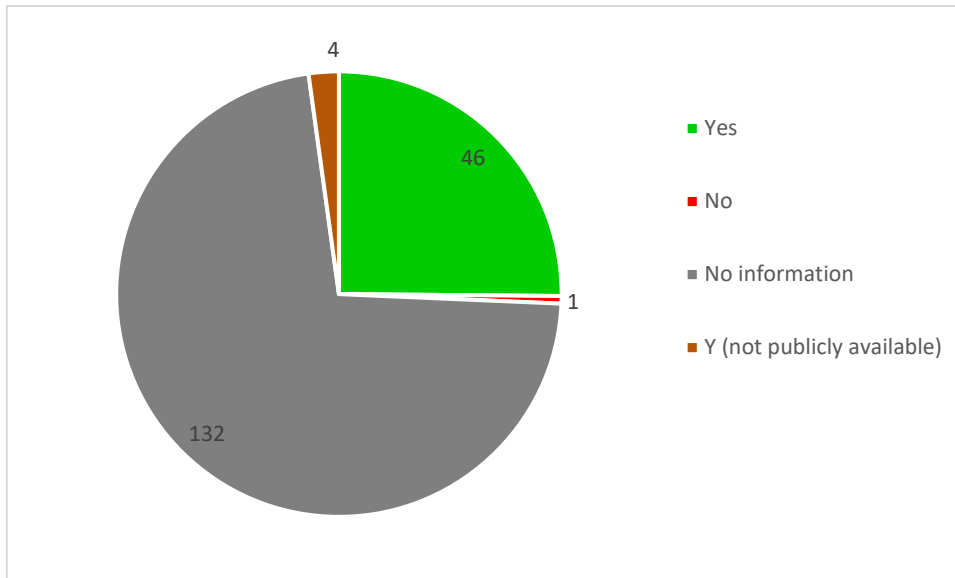
GOAL 3: PARTIES (INDIVIDUALLY AND COLLECTIVELY) HAVE THE TOOLS, RESOURCES AND CAPACITY TO EFFECTIVELY IMPLEMENT AND ENFORCE THE CONVENTION, CONTRIBUTING TO CONSERVATION, SUSTAINABLE USE AND THE REDUCTION OF ILLEGAL TRADE IN CITES-LISTED WILDLIFE SPECIES

Objective 3.1 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Potential indicators	Potential sources of information
3.1.1 The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention. 3.1.2 The number of Parties making use of the simplified procedures provided for in Resolution Conf. 12.3 (Rev. CoP18). 3.1.3 The number of Parties that have adopted an electronic system for the issuance of permits.	Questions in the implementation report.

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

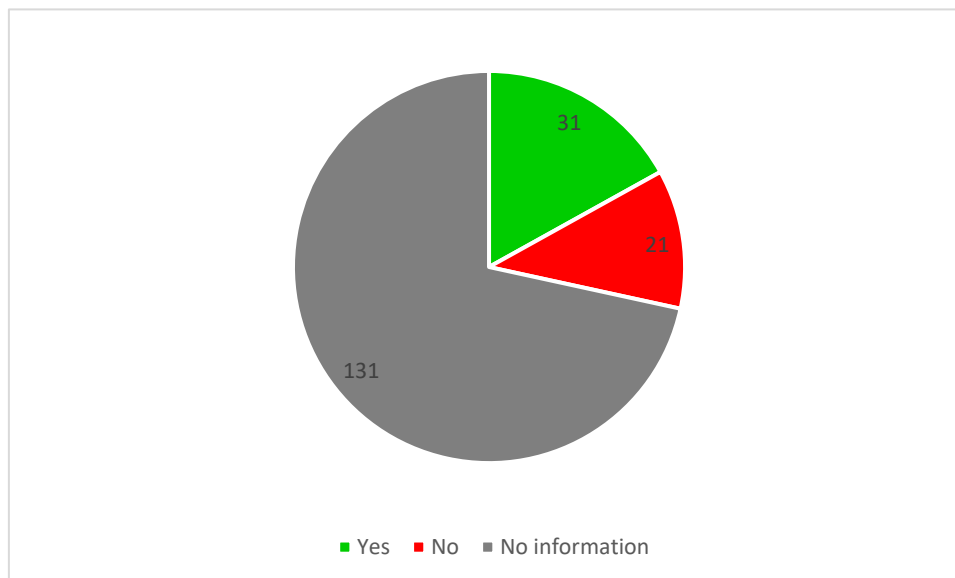
3.1.1 Number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.



Source: Implementation report.

		Yes [□]	No [□]	No information [□]
1.2.1a [□]	Do you have standard operating procedures for application for and issuance of permits? [□]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
[□]	Are the procedures publicly available? [□]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3.1.2 Number of Parties making use of the simplified procedures provided for in Resolution Conf. 12.3 (Rev. CoP18).

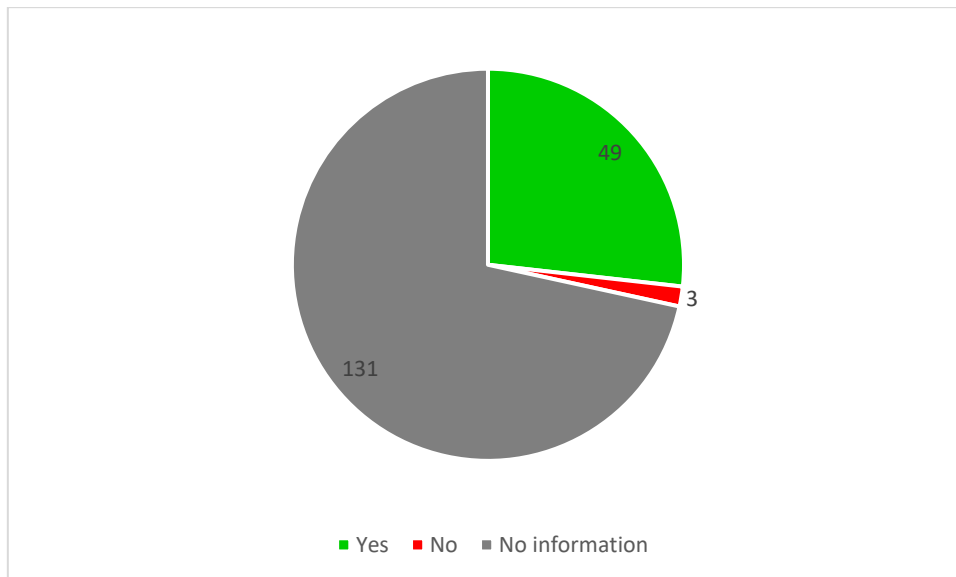


Source: Implementation report. Data shown indicates when a Party ticked "yes" in any of the categories below.

1.2.2a	Has your country developed simplified procedures for any of the following?	Tick all applicable		
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 of Resolution Conf. 12.3 (Rev. CoP16) are urgently required.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

For the issuance of pre-Convention certificates or equivalent documents in accordance with Article VII , paragraph 2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3.1.2 The number of Parties that have adopted an electronic system for the issuance of permits.



Source: Implementation report. Data shown indicates when a Party ticked "yes" in any of the categories below.

1.2.1b	Do you have:	Yes	No	No information
	Electronic data management and a paper-based permit issuance system?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange to Management Authorities of all countries?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit data exchange between Management Authorities and customs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit used to cross border with electronic validation by customs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If 'Yes' to any of the above, please provide information on challenges faced or issues overcome:			
	If 'No', do you have any plans to move towards e-permitting??	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If you are planning to move towards e-permitting, please explain what might help you to do so:			

Objective 3.2 Parties and the Secretariat develop, adopt and implement adequate capacity-building programmes.

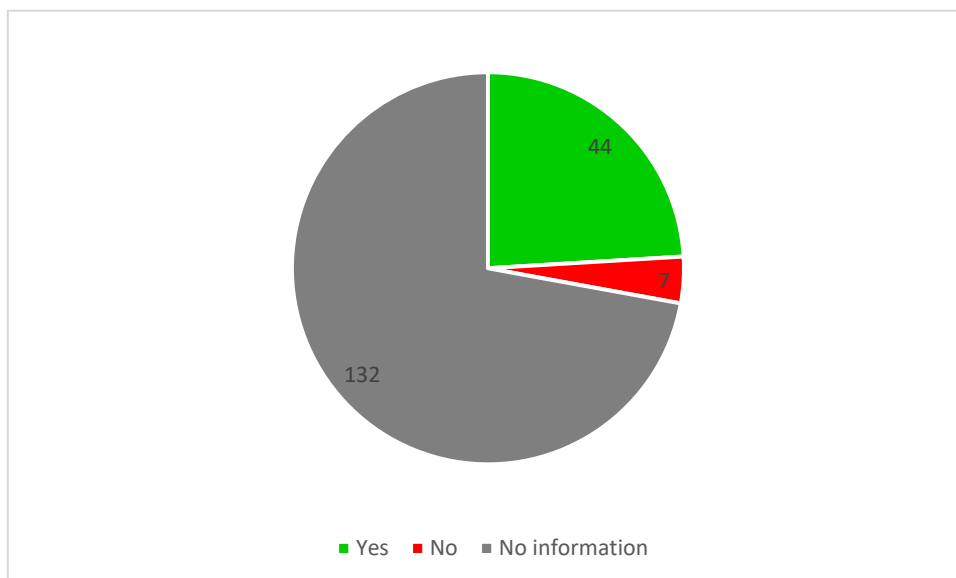
Potential indicators	Potential sources of information
3.2.1 The number of Parties with training programmes and information resources in place to implement CITES, including the making of non-detriment and legal acquisition findings, issuance of permits and enforcement.	Questions in the implementation report.
3.2.2 The number of workshops and other capacity-building activities that bring range States together to address	

² e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

the conservation and management needs of shared CITES listed species.

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

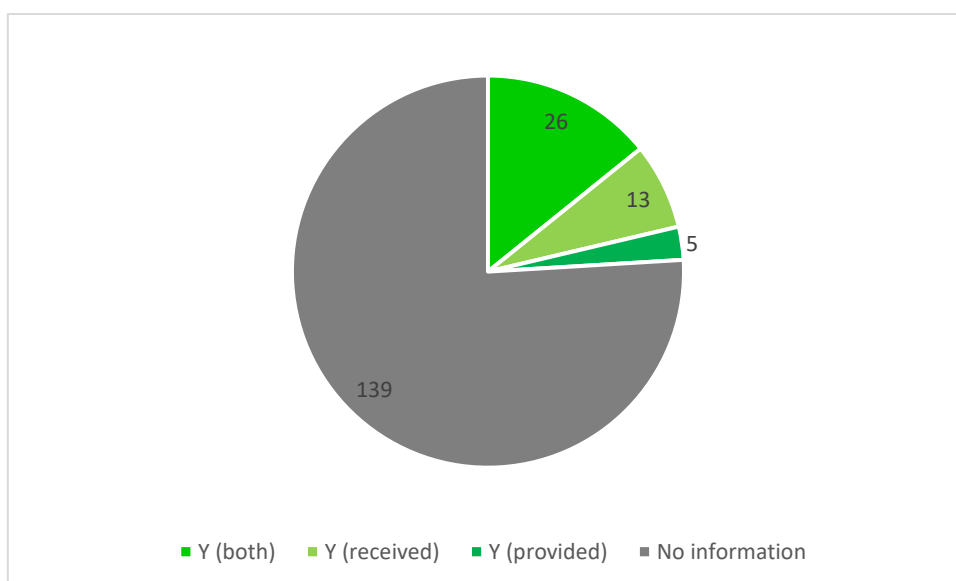
3.2.1 Number of Parties with training programmes and information resources in place to implement CITES, including the making of non-detriment and legal acquisition findings, issuance of permits and enforcement.



Source: Implementation report. The data shown above includes the number of Parties that ticked “yes” for any of the categories below.

1.8.1a	Do you have information resources or training in place to support:	Yes	No
	The making of non-detriment findings?	<input type="checkbox"/>	<input type="checkbox"/>
	Permit officers?	<input type="checkbox"/>	<input type="checkbox"/>
	Enforcement officers?	<input type="checkbox"/>	<input type="checkbox"/>

3.2.2 Number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared CITES listed species.



Source: Implementation report. There was no information on the actual number of workshops or other capacity-building activities. The data shown above indicates the number of Parties having both received and provided, only received or only provided capacity-building activities.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?
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Please tick boxes to indicate which target group and which activity.		Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources ³ ?
Target group							
Staff of Management Authority		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of Scientific Authority		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of enforcement authorities		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Traders		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NGOs		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify):		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
1.6.3b	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?						
Please tick boxes to indicate which target group and which activity.		Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
Target group							
Staff of Management Authority		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of Scientific Authority		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of enforcement authorities		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Traders		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NGOs		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other Parties/International meetings		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

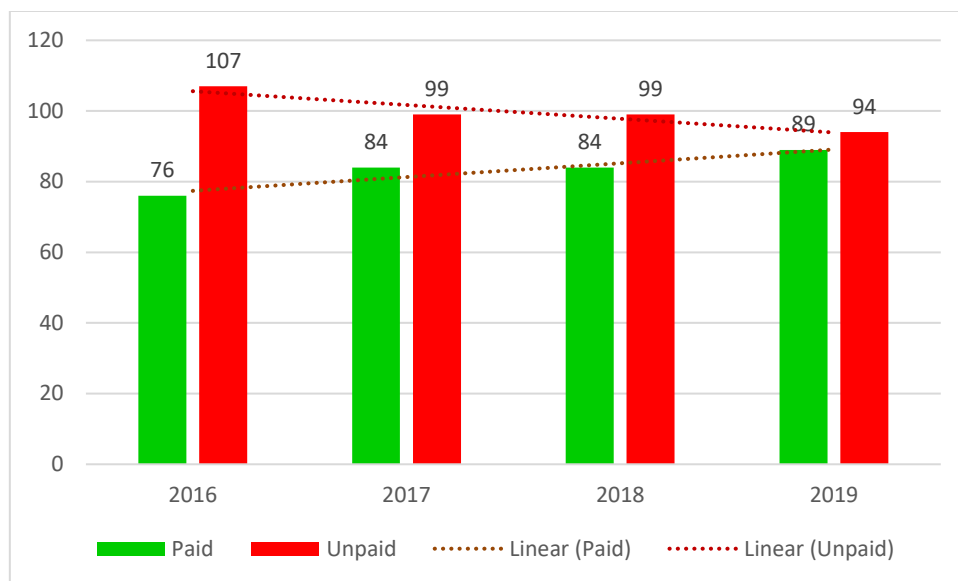
Objective 3.3 Sufficient resources are available at the national and international levels to support necessary capacity-building programmes and ensure compliance with and full implementation and enforcement of the Convention.

Potential indicators	Potential sources of information
<p>3.3.1 The number of Parties meeting their obligations with regard to their assessed contributions to the Trust Fund.</p> <p>3.3.2 The percentage of the total funds required to implement the work programme agreed by the Conference of the Parties that is fully funded.</p>	Secretariat.

³ Please provide the names of Parties, and any non-Parties, involved.

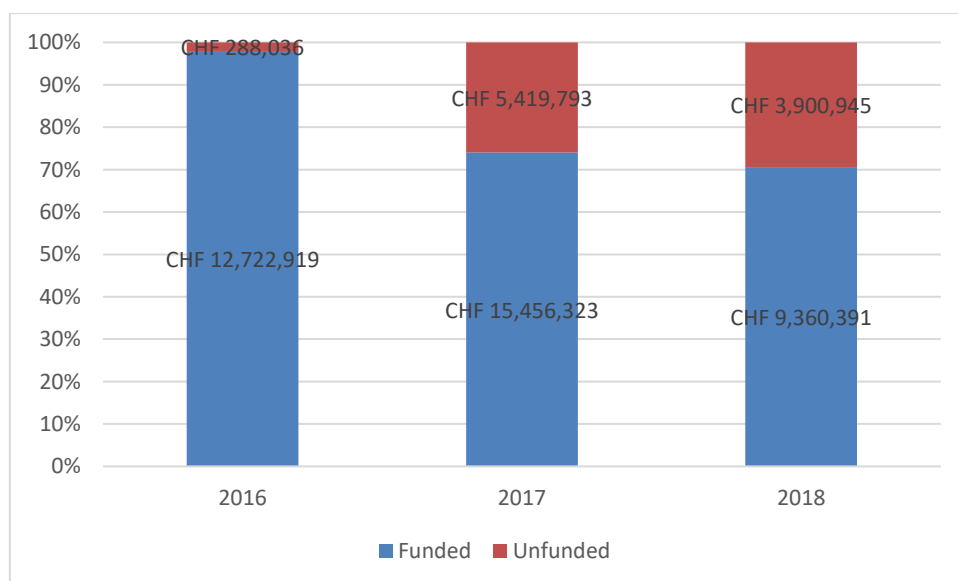
BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

3.3.1 Number of Parties meeting their obligations with regard to their assessed contributions to the Trust Fund.



Source: <https://cites.org/sites/default/files/eng/cop/18/doc/E-CoP18-007-03-A02.pdf>
<https://cites.org/sites/default/files/eng/cop/18/doc/E-CoP18-007-03-A05.pdf>
<https://cites.org/sites/default/files/eng/cop/18/doc/E-CoP18-007-03-A08.pdf>
https://cites.org/sites/default/files/eng/disc/funds/ct_en.pdf

3.3.2 Percentage of the total funds required to implement the work programme agreed by the Conference of the Parties that is fully funded.



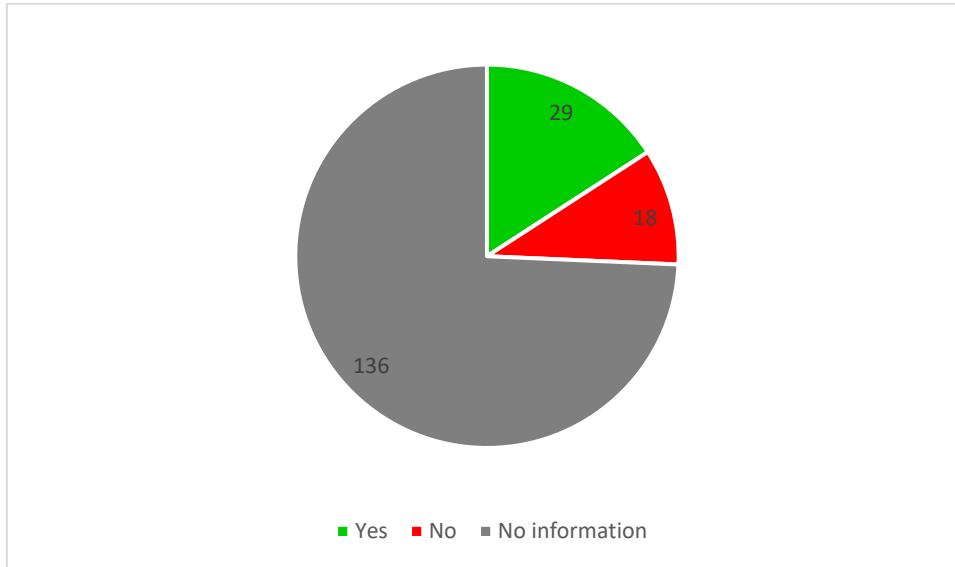
Source: <https://cites.org/sites/default/files/eng/cop/18/doc/E-CoP18-007-03-A01.pdf>
<https://cites.org/sites/default/files/eng/cop/18/doc/E-CoP18-007-03-A04.pdf>
<https://cites.org/sites/default/files/eng/cop/18/doc/E-CoP18-007-03-A07.pdf>

Objective 3.4 Parties recognize illegal trade in wildlife as serious crime and have adequate systems in place to detect and deter it.

Potential indicators	Potential sources of information
3.4.1 No. of Parties where criminal offences relating to illegal trade in wildlife (such as illegal hunting/harvest and wildlife trafficking) are recognized as a serious crime.	Questions in the implementation report.

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

3.4.1 No. of Parties where criminal offences relating to illegal trade in wildlife (such as illegal hunting/harvest and wildlife trafficking) are recognized as a serious crime.



Source: Implementation report.

1.7.3b	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime ⁴ in your country?	Yes <input type="checkbox"/>	
		No <input type="checkbox"/>	
		No information <input type="checkbox"/>	
	If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes:		

Objective 3.5 Parties work collaboratively across range, transit and destination states, to address entire illegal trade chains, including through strategies to reduce both the supply of and demand for illegal products, in order for trade to be legal and sustainable.

Potential indicators	Potential sources of information
3.5.1 The number of seizures made through Parties collaboration across range, transit and destination states, to address entire illegal trade chains.	Illegal trade report , Implementation report.

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

3.5.1 This data is not available from the annual illegal trade report. New question to be included in the implementation report

Objective 3.6 Parties take measures to prohibit, prevent, detect and sanction corruption.

Potential indicators	Potential sources of information
3.6.1 Number of Parties reporting in illegal Trade and implementation Reports of activities taken to address corruption.	Illegal trade report, Secretariat. Implementation report.

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

⁴ The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

3.6.1 This data is not available from the annual illegal trade report. New question to be included in the implementation report.

Objective 3.7 Investments in building capacity of CITES are prioritized, coordinated, and their success monitored to ensure stepwise improvement through time.

Potential indicators	Potential sources of information
3.7.1 Number of Parties for which improvements in implementation has been identified following targeted capacity building efforts	Secretariat website (E.g. National legislation project, information on trade suspension).

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

3.7.1 Data to be extracted from the results of the Compliance Assistance Programme as part also of the broader Capacity building framework under development

Objective 3.8 Parties take full advantage of emerging technological developments to improve the effective implementation and enforcement of the Convention.

Potential indicators	Potential sources of information
3.8.1 Number of CITES Parties using of the CITES Checklist API.	Secretariat.

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

3.8.1 Number of CITES Parties using the CITES Checklist API:

GOAL 4: CITES POLICY DEVELOPMENT ALSO CONTRIBUTES TO AND LEARNS FROM INTERNATIONAL EFFORTS TO ACHIEVE SUSTAINABLE DEVELOPMENT

Objective 4.1 Parties support sustainable wildlife trade policies, especially those that increase the capacity of Indigenous peoples and local communities to pursue livelihoods.

Potential indicators	Potential sources of information
4.1.1 No. of CITES-listed species for which Parties have designed/implemented relevant sustainable wildlife management policies.	Questions in the implementation report.
4.1.2 Percentage of those that co-developed or otherwise supported the capacity of IPLCs to pursue livelihoods.	

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

4.1.1 New question to be included in the implementation report.

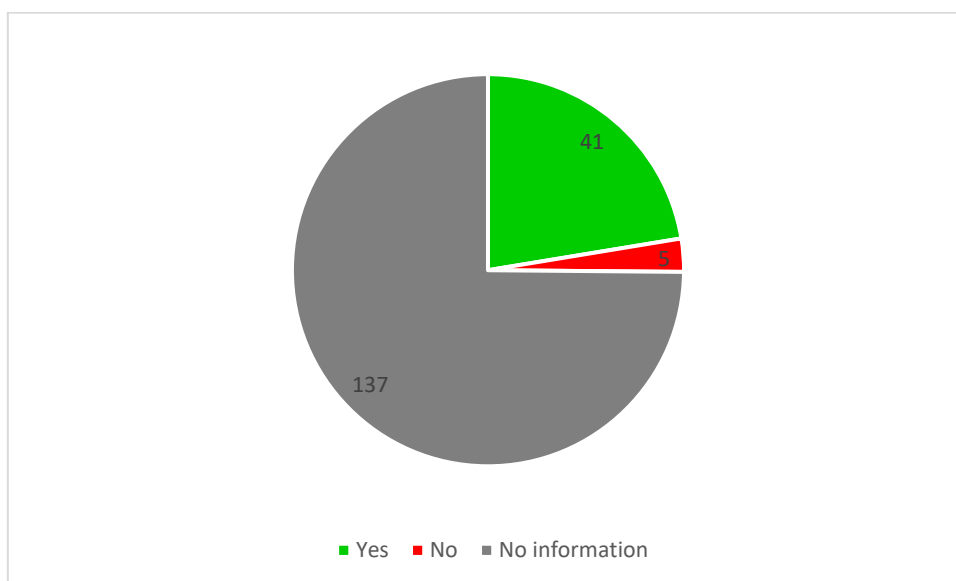
4.1.2 New question to be included in the implementation report.

Objective 4.2 The importance of achieving CITES' aim as a contribution to achieving the relevant Sustainable Development Goals, as well as the post-2020 global biodiversity framework, is recognized.

Potential indicators	Potential sources of information
4.2.1 The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP), also included in the global and national Strategies for Plant Conservation under CBD programme.	Questions in the implementation report.

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

4.2.1 Number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP), also included in the global and national Strategies for Plant Conservation under CBD programme.



Source: Implementation report.

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

Objective 4.3 Awareness of the role, purpose and achievements of CITES is increased globally

Potential indicators	Potential sources of information
4.3.1 The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.	4.3.1 Questions in the implementation report.
4.3.12 The number of new, unique visits to the CITES website.	4.3.2 Secretariat.
4.3.3 The number of Parties with web pages on CITES and its requirements.	4.3.3 Secretariat, Questions in the implementation report.
4.3.24 The number of shares, likes, and comments followers on CITES Instagram, Twitter, LinkedIn and Facebook posts increases.	4.3.4 Secretariat.
4.3.35 The use of key identified hashtags (e.g. #cites, #citescop19 #worldwildlifeday, etc.) on CITES Facebook, Twitter, LinkedIn and Instagram posts increases.	4.3.5 Secretariat
4.3.46 The number of events Parties which have provided support submitted to the World Wildlife Day website.	4.3.6 Questions in the implementation report
	Secretariat

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

4.3.1 Number of site visits to the CITES website: From 1 September 2021 to 1 September 2022: 1,140,579 unique users with 3,849,507 pageviews.

- 4.3.2 CITES social media:
- Instagram: 20K followers
 - Twitter: 89.9K followers
 - LinkedIn: 21,347 followers
 - Facebook CITES page: 396K followers
 - Youtube: 1.95K subscribers

WWD social media: • Instagram: 17K followers

- Twitter: 45.7K followers
- LinkedIn: NA
- Facebook: 57,285 followers
- Youtube: 2.94K subscribers

4.3.3 The use of key identified hashtags (e.g. #cites, #citescop19 #worldwildlifeday, #CITES50, #WWD2023, etc.) on CITES Facebook, Twitter, LinkedIn and Instagram posts increases.

- #cites – 45.6K
- #worldwildlifeday – 187K
- #wwd – 323K
- #citescop19 – “fewer than 100 posts”

4.3.4 Number of Parties which have organized activities to celebrate World Wildlife Day: 118 events submitted to the World Wildlife Day website from over 40 different countries. (Source: document CoP19 Doc. 18)

Objective 4.4 CITES Parties are informed of international actions for sustainable development that may have a bearing on achieving the goal of CITES.

Potential indicators	Potential sources of information
4.4.1 No. of meetings/CoP where representatives of other international actions report on relevant activities to CITES Parties.	4.4.1 Secretariat
4.4.2 Events, documents and presentations delivered by other intergovernmental bodies and fora in meetings convened by the CITES Secretariat.	4.4.2 Secretariat
4.4.3 Number of Parties that have received information regarding international actions that are relevant to the achievement of CITES goals. <u>Number of Notifications to the Parties issued by the CITES Secretariat relating to international actions for sustainable development and collaboration with other biodiversity related conventions and processes</u>	4.4.3 Questions in the implementation report <u>Secretariat</u>

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

4.4.1 No. of meetings/CoP where representatives of other international actions report on relevant activities to CITES Parties:

- AC31 (2021)– 7
- PC25 (2021) – 4
- SC73 (2022) – 12
- SC74 (2022) – 13
- CoP19 (2022) – 21

4.4.2 Events, documents and presentations delivered by other intergovernmental bodies and fora: 29 of side-events organized and **XX** information documents submitted by UN agencies and intergovernmental organizations at meetings of the Conference of the Parties

4.4.3 Number of Notifications to the Parties issued by the Secretariat relating to international actions for sustainable development and collaboration with other biodiversity related conventions and processes: 4
Notifications issued relating to IPBES processes between 2019 and 2021

GOAL 5: DELIVERY OF THE CITES STRATEGIC VISION IS IMPROVED THROUGH COLLABORATION

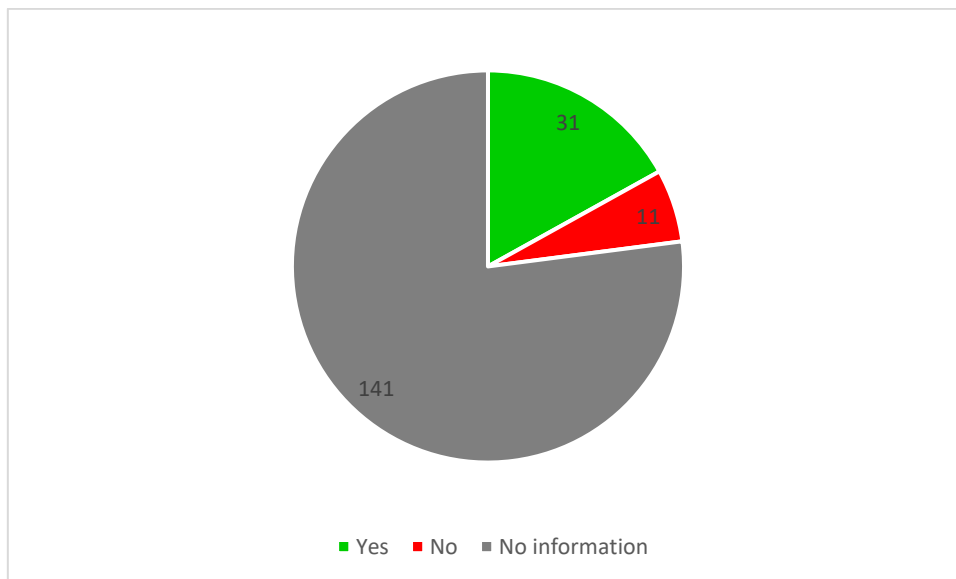
Objective 5.1 Parties and the Secretariat support and enhance existing cooperative partnerships in order to achieve their identified objectives.

Potential indicators	Potential sources of information
5.1.1 The number of Parties which report that they have achieved synergies in their implementation of CITES,	5.1.1 Questions in the implementation report

<p>other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.</p> <p>5.1.2 The number of Parties cooperating / collaborating with intergovernmental and nongovernmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.</p> <p>5.1.3 The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.</p> <p>5.1.4 The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.</p> <p>5.1.5 <u>Number of implemented cooperation agreements between the Secretariat and other biodiversity-related Conventions and Multilateral Environmental Agreements (MEAS), including the Biodiversity Liaison Group (BLG)</u></p>	<p>5.1.2 Questions in the implementation report</p> <p>5.1.3 Secretariat, Questions in the implementation report</p> <p>5.1.4 Secretariat, Questions in the implementation report</p> <p>5.1.5 Secretariat</p>
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BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

5.1.1 Number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

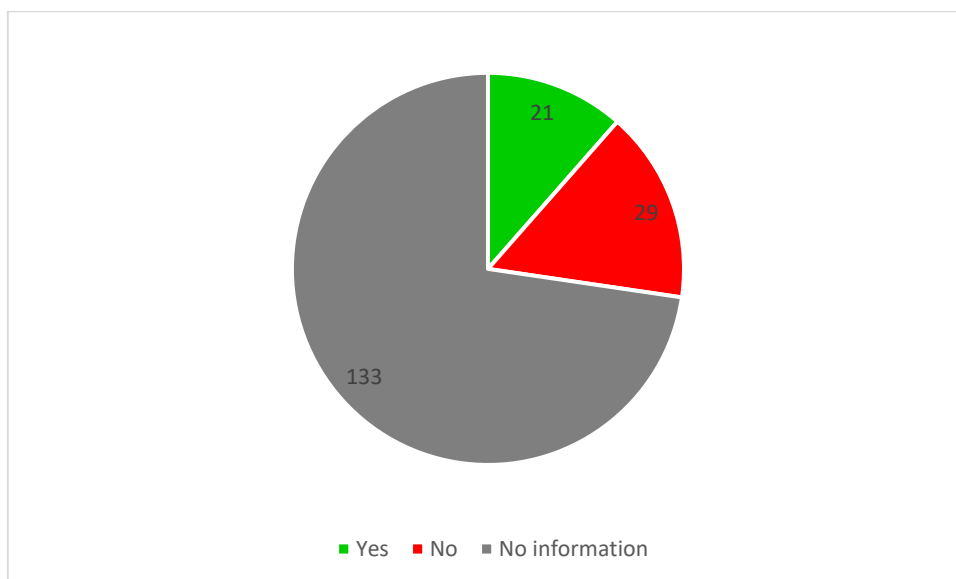


Source: Implementation report.

3.3.1a	<p>Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC)⁵ to which your country is party?</p> <p>If 'Yes', please give a brief description:</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
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⁵ CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

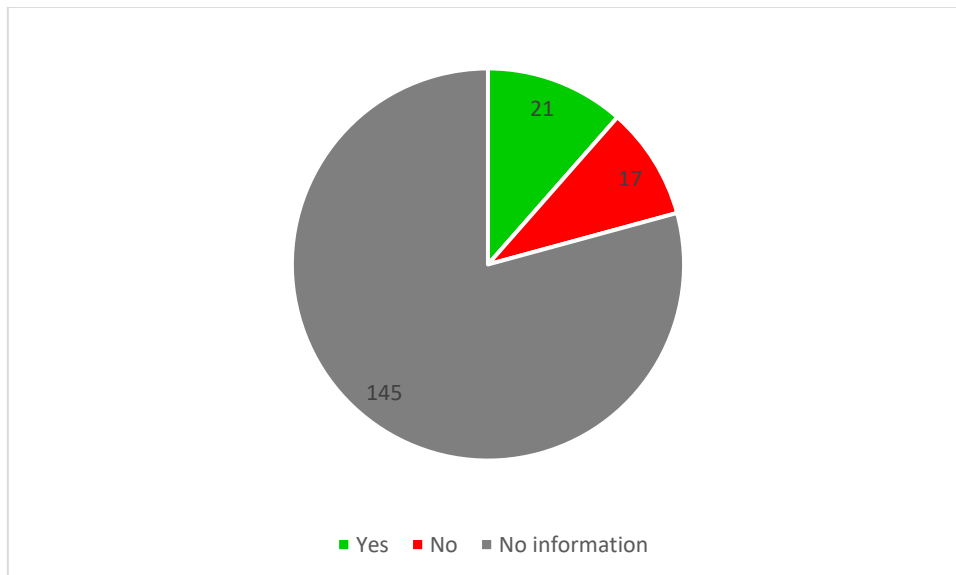
5.1.2 Number of Parties cooperating / collaborating with intergovernmental and nongovernmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.



Source: Implementation report.

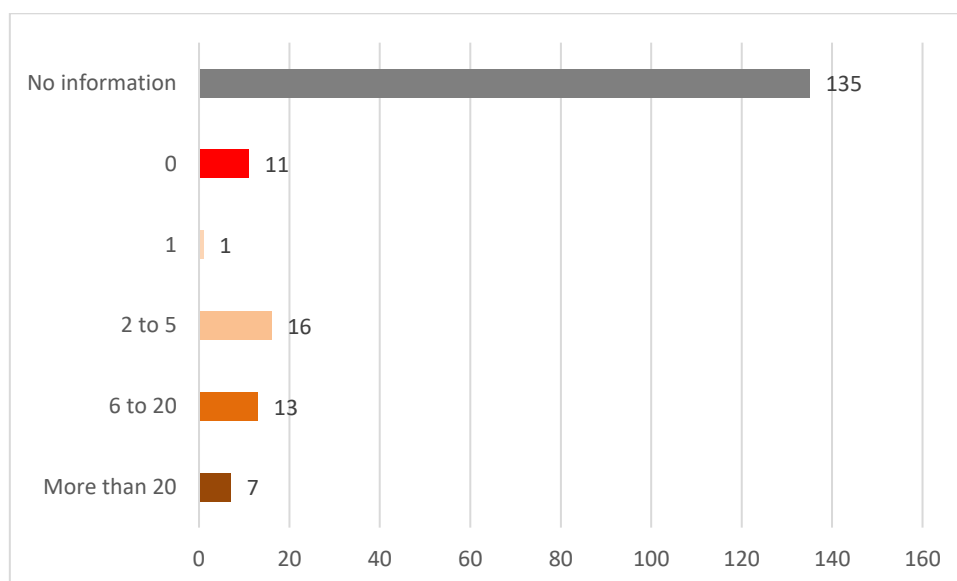
3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?	<input type="checkbox"/>	
	Non-governmental organizations?	<input type="checkbox"/>	

5.1.3 Number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.



Source: Implementation report. The data shown above indicates the number of Parties reporting having implemented cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade. There was no information on the number of cooperative actions.

5.1.4 Number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.



Source: Implementation report.

3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
	Management Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

5.1.5. Baseline to be determined based on the number of implemented Memoranda of Understanding and Memoranda of Cooperation and as part of BLG activities

Objective 5.2 Parties encourage the formation of new, innovative and mutually sustainable alliances between CITES and relevant international partners, where appropriate to advance CITES' objective and mainstream conservation and of sustainable use of biodiversity.

Potential indicators	Potential sources of information
5.2.1 The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.	Questions in the implementation report

BASELINE
(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

5.2.1 Number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements: 200 (Four Parties provided no information.)

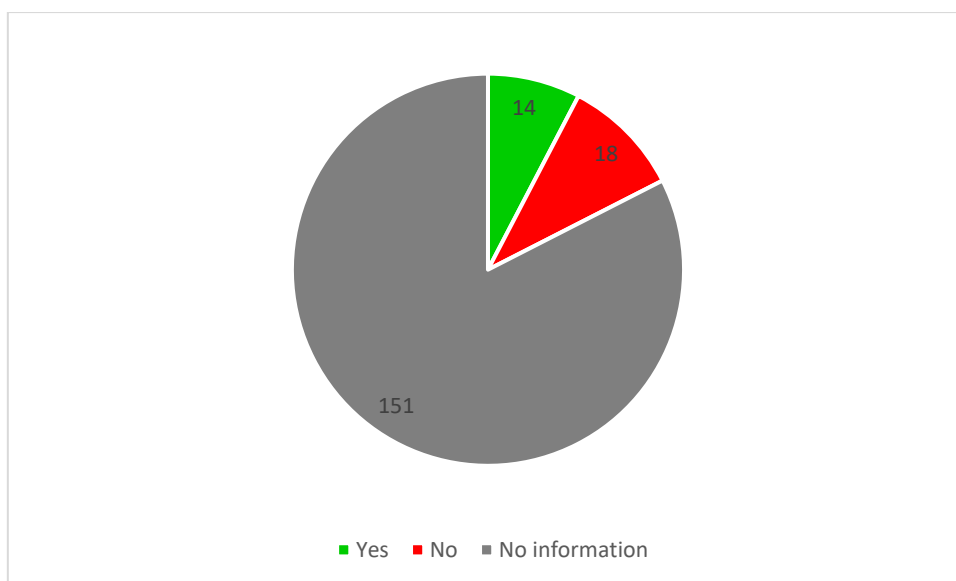
Objective 5.3 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support activities that contribute to CITES implementation and enforcement.

Potential indicators	Potential sources of information
5.3.1 The number of Parties funded by international financial mechanisms and other related institutions to	Questions in the implementation report

<p>develop activities that include CITES-related conservation and sustainable development elements.</p> <p>5.3.2 The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.</p> <p>5.3.3 The number of Parties raising funds for CITES implementation through user fees or other mechanisms</p> <p>5.3.4 The number of Parties using incentive measures as part of their implementation of the Convention</p>	
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(DATA FROM 1 JANUARY 2020 UNLESS OTHERWISE SPECIFIED)

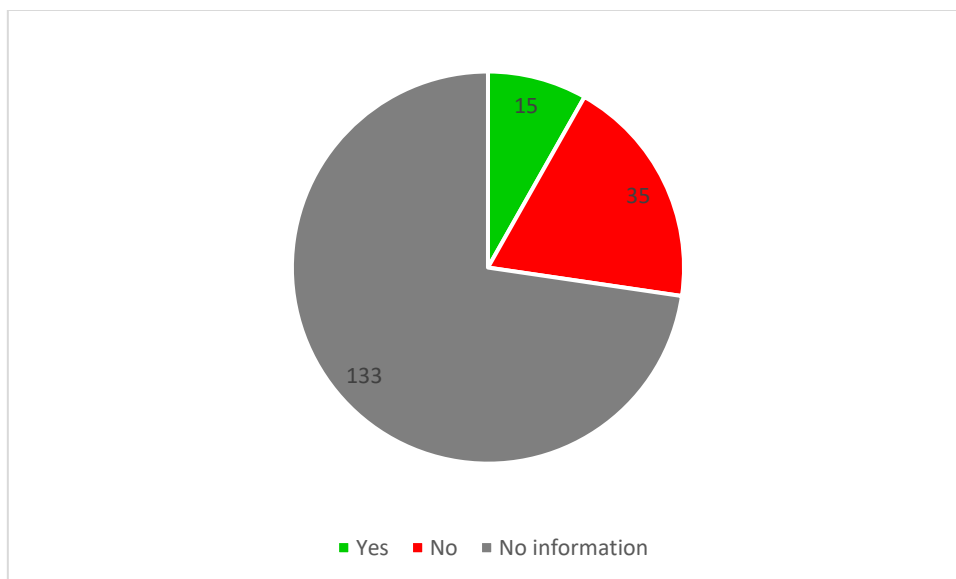
5.3.1 Number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.



Source: Implementation report.

3.1.1a	<p>Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?</p> <p>If 'Yes', please provide brief details:</p>	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
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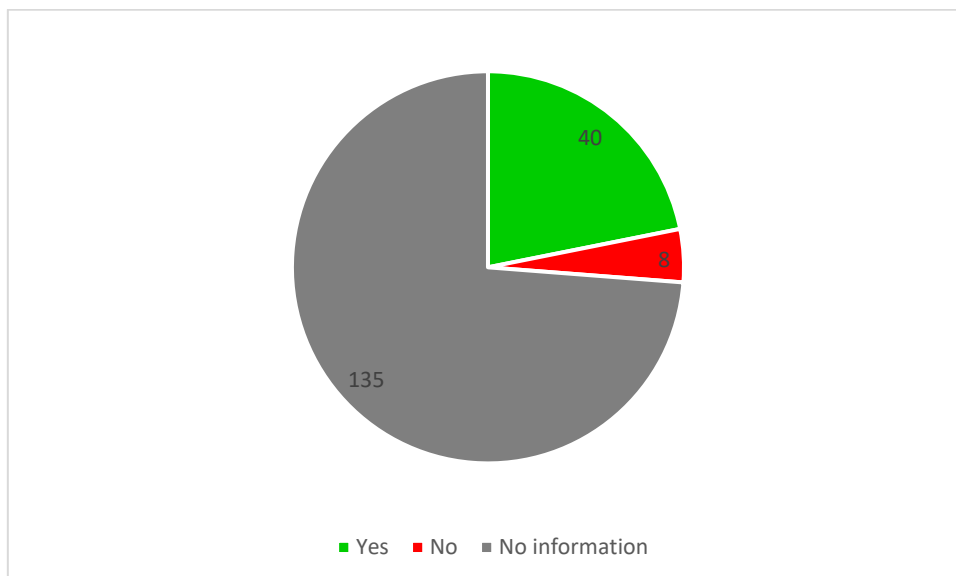
5.3.2 Number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.



Source: Implementation report.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?	Yes No No information	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
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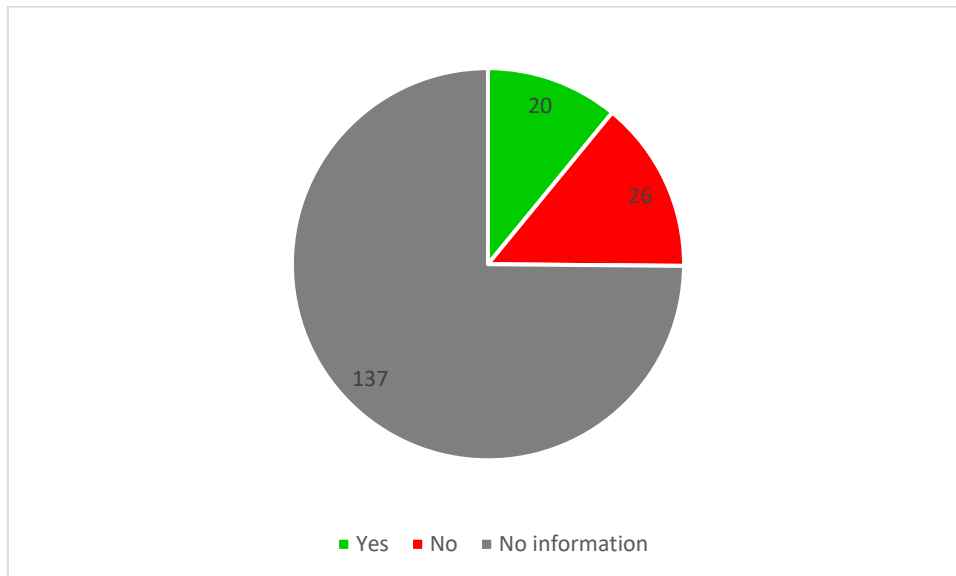
5.3.3 Number of Parties raising funds for CITES implementation through user fees or other mechanisms



Source: Implementation report. The data shown above under “yes” indicates the number of Parties that ticked “yes” to any of the categories below.

2.2.3a	Does the Management Authority charge fees for: <ul style="list-style-type: none"> - Administrative procedures - Issuance of CITES documents (e.g. for import, exports, re-export, or introduction from the sea) - Shipment clearance (e.g. for the import, export, re-export, or introduction from the sea of CITES-listed species) - Licensing or registration of operations that produce CITES species - Harvesting of CITES-listed species - Use of CITES-listed species - Assignment of quotas for CITES-listed species - Other (please specify): 	Tick all that are applicable <ul style="list-style-type: none"> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
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5.3.4 Number of Parties using incentive measures as part of their implementation of the Convention



Source: Implementation report. The data shown above under “yes” indicates the number of Parties that ticked “yes” to any of the categories below.

2.2.4a	Do you use incentive measures ⁶ such as those described in CoP14 Doc 14.32 to implement the Convention?	Yes		No	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Due diligence			<input type="checkbox"/>	<input type="checkbox"/>
	Compensatory mechanisms			<input type="checkbox"/>	<input type="checkbox"/>
	Certification			<input type="checkbox"/>	<input type="checkbox"/>
	Communal property rights			<input type="checkbox"/>	<input type="checkbox"/>
	Auctioning of quotas			<input type="checkbox"/>	<input type="checkbox"/>
	Cost recovery or environmental charges		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Enforcement incentives			<input type="checkbox"/>	<input type="checkbox"/>
	If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to further information:				

⁶ Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and fauna and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

DRAFT DECISION ON THE *CITES STRATEGIC VISION 2021-2030* INDICATORS

Directed to the Standing Committee

- 19.AA** The Standing Committee shall, in consultation with the Chairs of the Animals and Plants Committees, make recommendations on new or revised indicators for objective 1.4 of the *CITES Strategic Vision: 2021-2030*, for consideration by the 20th meeting of the Conference of the Parties.