

Conf. 14.8 (Rev. CoP19)*

Periodic Review of species included in Appendices I and II

RECOGNIZING the fundamental principles of Article II of the Convention and that there is a need to conduct periodic reviews of species listed in Appendices I and II to ensure that species are appropriately listed, based on current biological and trade information;

REAFFIRMING that Resolution Conf. 18.2 on *Establishment of Committees*,¹ in Annex 2, paragraph 2 b) ii), directs the Animals and Plants Committees to undertake a periodic review of animal or plant species included in the CITES Appendices;

ACKNOWLEDGING that, in undertaking a periodic review, the Scientific Committees are mandated to provide advice and recommendations to the Conference of the Parties and it is the responsibility of the Conference of the Parties to take decisions as they deem appropriate;

ACKNOWLEDGING that Resolution Conf. 9.24 (Rev. CoP17) on *Criteria for amendment of Appendices I and II*, establishes criteria to ensure that decisions to amend the Convention's Appendices are founded on sound and relevant scientific information and that, to monitor the effectiveness of protection offered by the Convention, the status of species included in Appendices I and II should be regularly reviewed;

ACKNOWLEDGING that a successful periodic review of a species consists of an objective evaluation by the Animals and Plants Committees of a species listed on Appendix I or II and may result in a recommendation to amend Appendix I or II, or equally may result in advice that the Appendix under which the species is currently listed properly reflects its conservation needs and the species should be retained as listed;

RECOGNIZING that the periodic review process provides advice to Parties on the scientific basis underlying the Convention, can guide Parties in the implementation of the Convention and can provide valuable information to support range States' conservation and management actions for the species evaluated; and

FURTHER RECOGNIZING that the periodic review process also facilitates open and constructive dialogue among range States and importing countries, and at meetings of the Animals and Plants Committees;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

1. AGREES that the Animals and Plants Committees shall conduct a periodic review of species listed in Appendix I and II, seeking information, participation and support from the range States. The regional representatives of the Animals and Plants Committees shall seek assistance from range States within their region to support the taxon reviews;
2. ALSO AGREES that no periodic review of any great whale, including the fin whale, should occur while the moratorium by the International Whaling Commission is in place;
3. FURTHER AGREES that the review will be conducted in accordance to the following process:
 - a) normally, after every second meeting of the Conference of the Parties, the Animals and Plants Committees shall establish a schedule for the periodic review of the Appendices and identify a list of taxa they propose to review during the next two intersessional periods. The list should be established at the first meetings of the Committees after the meeting of the Conference of the Parties (CoP) that initiates the review period;

* Amended at the 16th, 17th and 19th meetings of the Conference of the Parties.

1 Corrected by the Secretariat following the 18th meeting of the Conference of the Parties. Originally referred to Resolution Conf. 11.1.

- b) the Animals and Plants Committees shall select a practical sub-subset of CITES-listed species of flora or fauna for analysis pursuant to the following methods and guidance;
 - i) the Secretariat shall, subject to availability of funding, undertake or appoint consultants to undertake the assessment outlined in the Annex and prepare the resulting outputs for consideration by the Scientific Committees at their first meeting after the meeting of the Conference of the Parties that initiates the review period (Note: if no funding is available, the Secretariat shall inform the Parties and the Scientific Committees' Chairs);
 - ii) the following taxa should not be considered for review:
 - A. species that were the subject of listing proposals at the previous three meetings of the Conference of the Parties (whether or not the proposals were adopted);
 - B. species subject to ongoing reviews, under the Review of Significant Trade [Resolution Conf. 12.8 (Rev. CoP18)], or periodic reviews conducted within the last 10 years; or
 - C. species subject to other reviews targeted by valid Decisions and Resolutions of the CoP; and
 - D. species for which it is clear that there has been no change in the status, range or trade and for which there is no possibility to need to amend the Appendices; and
 - iii) outputs resulting from the assessment conducted in accordance with the Annex to this Resolution shall contain the following information in summary tables that include:
 - A. a summary of trade data since the initial inclusion of that taxon in the Appendices;
 - B. current conservation status, including the International Union for Conservation of Nature (IUCN) category of the species, if assessed;
 - C. current listing in the CITES Appendices, criteria under which it was listed (if known), date of first listing; and
 - D. the distribution of the species (range States);
- c) at the first meetings of the Committees after the meeting of the CoP that initiates the review period and based on the outputs prepared in subparagraph 2 b) above, the Animals and Plants Committees will identify the list of taxa to be considered for periodic review;
- d) the Secretariat shall send a copy of the proposed list of taxa to be reviewed to all Parties, and request range States of the taxa to comment within 60 days on whether they support a review of the taxa and express their interest in undertaking the reviews. The responses shall be relayed by the Secretariat to the Animals or Plants Committee. If no volunteer offers to undertake a review within two intersessional periods between CoPs, those taxa shall be deleted from the list of species to be reviewed;
- e) each review (ideally in the format of a proposal used to amend the Appendices) is to be submitted as a working document to the Animals or Plants Committee for review, clearly specifying the recommendation with reference to the criteria in Resolution Conf. 9.24 (Rev. CoP17). The Secretariat shall notify the relevant range States of these working documents in advance of the meeting of the Committee;
- f) the Animals or Plants Committees may also evaluate reviews undertaken independently by Parties and submitted for their consideration;
- g) based on the information as per subparagraph 2 e) above, the Animals or Plants Committee is to make a recommendation on whether it would be appropriate to retain a taxon in the Appendix in which it is currently listed, transfer a taxon from one Appendix to another, or to delete a taxon from the Appendices;
- h) the Animals or Plants Committee shall draft its recommendation with reference to the criteria in Resolution Conf. 9.24 (Rev. CoP17). The Committee shall report its conclusions to the

Conference of the Parties, and the Secretariat shall inform the range State(s) for the species reviewed of the conclusion of the Committee; and

- i) in the event that the Committee recommends a change in the CITES listing status for the species reviewed:
 - i) the Secretariat shall invite the range State(s) of the species reviewed to submit a proposal to the next Conference of the Parties; and
 - ii) if no range State expresses a willingness to submit the proposal, the Secretariat may request the Depository Government to submit it as specified in Resolution Conf. 11.1 (Rev. CoP17)¹ and to include the comments of the range States in the supporting statement, if so requested by the Animals or Plants Committee;
 4. RECOMMENDS the Animals and Plants Committees should share their experience, especially during joint meetings, regarding the undertaking of periodic reviews of taxa included in the Appendices (including financing of reviews, processes, format and outputs);
 5. ENCOURAGES the Animals and Plants Committees and Parties to facilitate periodic reviews by:
 - a) collaborating with university graduate students, including those from the CITES Master's Programme at the International University of Andalusia;
 - b) collaborating with other non-Party reviewers including species experts such as IUCN Species Survival Commission Specialist Groups;
 - c) using readily available information on species' conservation status from organizations (e.g. IUCN, BirdLife, etc.) and Parties;
 - d) seeking financial support for reviews, including from importing countries, as appropriate; and
 - e) increasing communication between the Chairs of the Animals and Plants Committees and suggest coordination with Parties when animal and plant species' ranges overlap;
 6. DIRECTS the Chairs of the Animals and Plants Committees to keep the Standing Committee informed about the conduct of periodic reviews, noting that Standing Committee approval is not required to initiate the process;
 7. DIRECTS the Secretariat to maintain a record of the species selected for periodic review, including: species previously and currently reviewed; dates of relevant Committee documents; recommendations from the reviews; and any reports and associated documents; and
 8. INVITES Parties, intergovernmental organizations, non-governmental organizations, and other interested entities to support the work of the Animals and Plants Committees in the undertaking of the periodic review of the Appendices.
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¹ Corrected by the Secretariat following the 17th meeting of the Conference of the Parties. Resolution Conf. 11.1 (Rev. CoP17) has since been repealed and replaced by Resolution Conf. 18.2 which no longer contains these provisions.

Annex

Protocol for the assessment of taxa for consideration in the Periodic Review of the Appendices

